

# EFFECTIVE FIELD THEORY FOR NON-LORENTZIAN HYDRODYNAMICS

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[2008.03994] AJ; [2010.15782] J Armas, AJ  
[2009.01356] AJ, P Kovtun

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# CLASSICAL HYDRODYNAMICS

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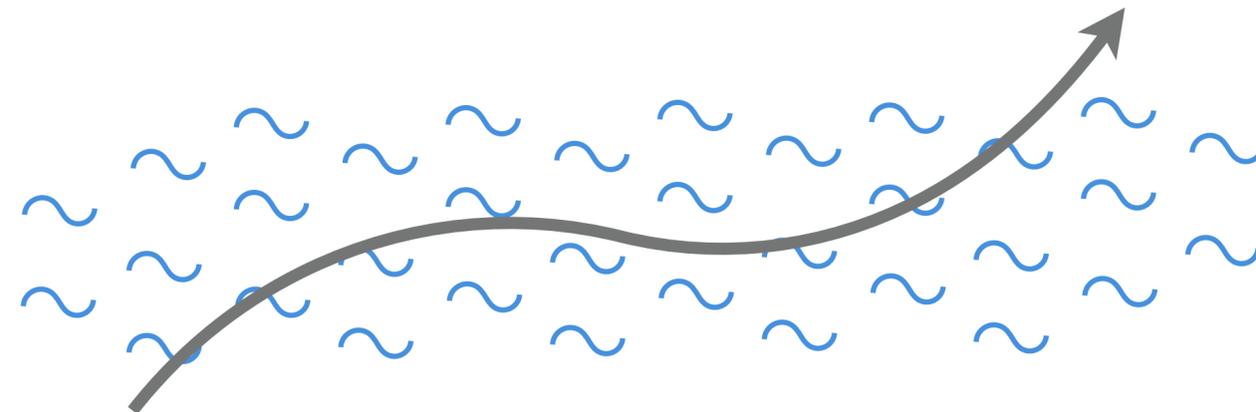
- ▶ Hydrodynamics is an effective description for low-energy long-wavelength fluctuations in a macroscopic system around the equilibrium thermal state.
- ▶ At macroscopic scales, all the microscopic excitations have effectively dissipated and the dynamics is dominated by the macroscopic conserved charges (e.g. energy, momentum, particle number) that cannot dissipate locally.
- ▶ Hydrodynamics is described by transport coefficients (e.g. viscosities, conductivity) that characterise how fluxes (e.g. energy current, stress tensor, particle flux) respond to variations in the conserved densities.
- ▶ Dynamic evolution of the conserved charges is governed by the respective conservation equations.



# CLASSICAL HYDRODYNAMICS

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- The classical formulation of hydrodynamics is incomplete.
- The non-linear hydrodynamic equations account for mutual interactions between conserved charge modes, but not for possible interactions with the background thermal noise arising due to the ignored microscopic excitations.



- There isn't a first principle derivation of the hydrodynamic equations in the classical framework. It isn't even clear what are the correct degrees of freedom out of equilibrium.
- One would like a better understanding of how the second law of thermodynamics emerges from the underlying effective degrees of freedom and symmetries.

# SCHWINGER-KELDYSH FRAMEWORK

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- Schwinger-Keldysh (SK) framework provides a consistent path-integral based formulation of hydrodynamics. [1]
- SK framework allows us to classify the most generic (non-Gaussian) stochastic noise into the hydrodynamic setup. [2]
- The framework proposes a set of effective degrees of freedom and symmetries that can be used to construct an effective action for hydrodynamics from scratch.
- The SK framework provides a derivation of the second law of thermodynamics within the context of the hydrodynamic effective field theory. [3]

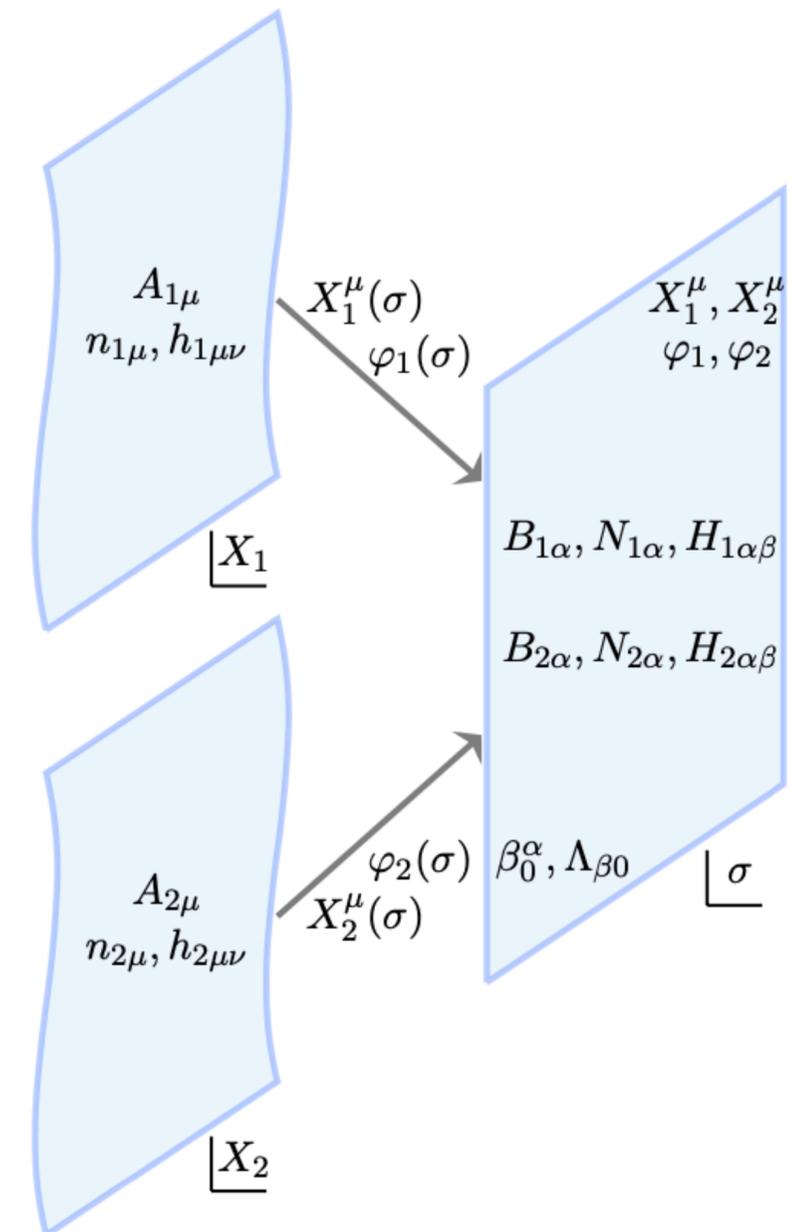
- [1] Crossley, Glorioso, Liu [1511.03646]; Haehl, Loganayagam, Rangamani [1511.07809]; Jensen, Pinzani-Fokeeva, Yarom [1701.07436]  
[2] AJ, Kovtun [2009.01356]  
[3] Glorioso, Liu [1612.07705]



# SCHWINGER-KELDysh FRAMEWORK

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- SK framework of hydrodynamics is setup as a sigma model with two copies of target spacetime fields living on the fluid worldvolume.
- The system respects various worldvolume and target spacetime symmetries. In particular, there is a discrete KMS symmetry that implements fluctuation-dissipation theorem.
- The original models were adapted specifically to relativistic fluids. The aim of the present talk is to revisit these ideas in the absence of Lorentz boost symmetries. [1]



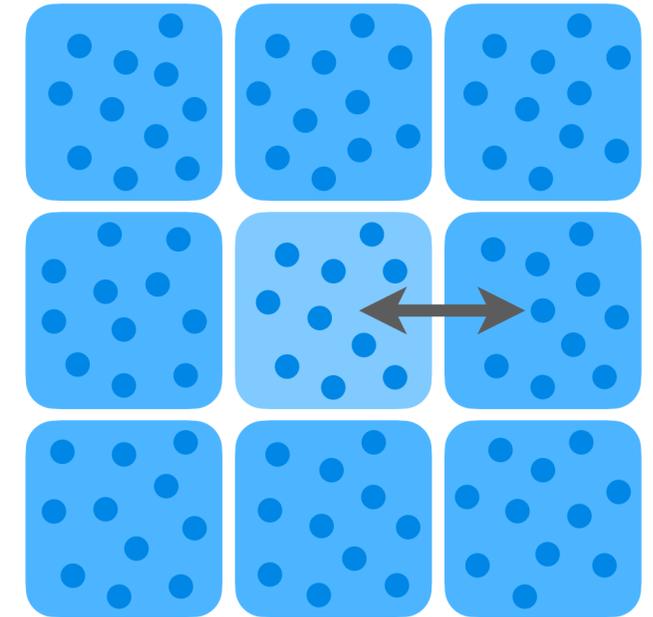
# CONSERVATION EQUATIONS

- ▶ The dynamical equations of classical hydrodynamics are a set of **conservation equations** associated with the global symmetries that the system enjoys.

Particle number conservation:  $\partial_t n + \partial_i j^i = 0$

Energy conservation:  $\partial_t \epsilon + \partial_i \epsilon^i = 0$

Momentum conservation:  $\partial_t \pi^i + \partial_j \tau^{ij} = 0$



- ▶  $\tau^{ij}$  is symmetric due to rotational invariance

Angular momentum conservation:  $\partial_t (\pi^{[i} x^{j]}) + \partial_k (\tau^{k[i} x^{j]}) = \tau^{[ji]}$

- ▶ For a relativistic theory with **Lorentz boost symmetry**

Center-of-energy conservation:  $\partial_t (c^{-2} \epsilon x^i - \pi^i t) + \partial_k (c^{-2} \epsilon^k x^i - \tau^{ki} t) = c^{-2} \epsilon^i - \pi^i$

- ▶ For Galilean hydrodynamics, we have **Milne boost symmetry**

Center-of-mass conservation:  $\partial_t (m n x^i - \pi^i t) + \partial_k (m j^k x^i - \tau^{ki} t) = m j^i - \pi^i$

# COUPLING TO SOURCES

- We can introduce the background sources [1]

$$\underbrace{\begin{pmatrix} n \\ j^i \end{pmatrix}}_{j^\mu} \rightarrow A_\mu, \quad \underbrace{\begin{pmatrix} \epsilon \\ \epsilon^i \end{pmatrix}}_{\epsilon^\mu} \rightarrow n_\mu, \quad \underbrace{\begin{pmatrix} -n_k \pi^k / n_t \\ \pi^i \end{pmatrix}}_{\pi^\mu}, \quad \underbrace{\begin{pmatrix} n_k n_l \tau^{kl} / n_t^2 & -n_k \tau^{kj} / n_t \\ -n_k \tau^{ki} / n_t & \tau^{ij} \end{pmatrix}}_{\tau^{\mu\nu}} \rightarrow h_{\mu\nu} \text{ with } \det h = 0$$

- The coupling structure is given as

$$\delta W = \int dt d^3x \sqrt{\gamma} \left[ j^\mu \delta A_\mu - \epsilon^\mu \delta n_\mu + \left( v^\mu \pi^\nu + \frac{1}{2} \tau^{\mu\nu} \right) \delta h_{\mu\nu} \right]$$

$$\begin{aligned} \gamma &= \det n_\mu n_\mu + h_{\mu\nu} \\ v^\mu h_{\mu\nu} &= 0 \\ v^\mu n_\nu + h^{\mu\lambda} h_{\lambda\nu} &= \delta_\nu^\mu \end{aligned}$$

We can use the symmetry-invariance of  $W$  to obtain the covariant conservation equations.

- One derivative Galilean fluids

$$\begin{aligned} j^\mu &= n u^\mu, & \epsilon^\mu &= (\epsilon + p) u^\mu - p v^\mu + T^2 \kappa h^{\mu\nu} L_\beta n_\nu - \frac{1}{2} T \eta^{\mu\nu\rho\sigma} \bar{u}_\nu L_\beta h_{\rho\sigma} \\ \pi^\mu &= \rho u^\mu, & \tau^{\mu\nu} &= \rho u^\mu u^\nu + p h^{\mu\nu} - \frac{1}{2} T \eta^{\mu\nu\rho\sigma} \left( L_\beta h_{\rho\sigma} - 2 \bar{u}_{(\rho} L_\beta n_{\sigma)} \right) \end{aligned}$$

$$\begin{aligned} u^\mu n_\mu &= 1 \\ \bar{u}_\mu &= h_{\mu\nu} u^\nu, & \bar{u}^\mu &= h^{\mu\nu} \bar{u}_\nu \\ \beta^\mu &= \frac{u^\mu}{T} \\ \eta^{\alpha\beta\gamma\delta} &= 2\eta h^{\alpha(\gamma} h^{\delta)\beta} + \left( \zeta - \frac{2}{d} \eta \right) h^{\alpha\beta} h^{\gamma\delta} \end{aligned}$$

# SCHWINGER-KELDYSH SIGMA MODEL

- Schwinger-Keldysh (SK) field theory for hydrodynamics is naturally formulated on the fluid worldvolume characterised by coordinates  $\sigma^\alpha$ .

- On this worldvolume, lives the double copy dynamical fields

$$X_1^\mu(\sigma), \quad X_2^\mu(\sigma), \quad \varphi_1(\sigma), \quad \varphi_2(\sigma)$$

- We also introduce two copies of background sources

$$A_{1\mu}, \quad A_{2\mu}, \quad n_{1\mu}, \quad n_{2\mu}, \quad h_{1\mu\nu}, \quad h_{2\mu\nu}$$

- The theory is invariant under background diffeomorphisms and gauge transformations on the two SK spacetimes. All the dependence must arise through the invariants

$$B_{1,2\alpha} = \partial_\alpha X_{1,2}^\mu A_{1,2\mu} + \partial_\alpha \varphi_{1,2}, \quad N_{1,2\alpha} = \partial_\alpha X_{1,2}^\mu n_{1,2\mu}, \quad H_{1,2\alpha\beta} = \partial_\alpha X_{1,2}^\mu \partial_\beta X_{1,2}^\nu h_{1,2\mu\nu}$$



# WORLDVOLUME SYMMETRIES

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- ▶ The worldvolume features a reference thermal vector  $\beta_0^\alpha = 1/T_0 \delta_\tau^\alpha$  and reference chemical shift  $\Lambda_{\beta 0} = \mu_0/T_0$ , characterising the global thermal state.
- ▶ Theory is invariant under  $(\beta_0^\alpha, \Lambda_{\beta 0})$  preserving local diffeomorphisms and gauge transformations on the worldvolume

$$\sigma^\alpha \rightarrow \sigma^\alpha + f^\alpha \text{ such that } \partial_\tau f^\alpha = 0, \quad \varphi_{1,2} \rightarrow \varphi_{1,2} + \lambda \text{ such that } \partial_\tau \lambda = 0$$

- ▶ Finally, we have a discrete **KMS symmetry** which acts on the invariants as

$$B_{1\alpha}(\sigma) \rightarrow B_{1\alpha}(-\sigma), \quad B_{2\alpha}(\sigma) \rightarrow B_{2\alpha}(-\tau - i\hbar/T_0, -\vec{\sigma})$$

$$N_{1\alpha}(\sigma) \rightarrow N_{1\alpha}(-\sigma), \quad N_{2\alpha}(\sigma) \rightarrow N_{2\alpha}(-\tau - i\hbar/T_0, -\vec{\sigma})$$

$$H_{1\alpha\beta}(\sigma) \rightarrow H_{1\alpha\beta}(-\sigma), \quad H_{2\alpha\beta}(\sigma) \rightarrow H_{2\alpha\beta}(-\tau - i\hbar/T_0, -\vec{\sigma})$$

This non-local symmetry is quite challenging to implement at the full quantum level, but can be made manifest in the statistical limit ( $\hbar \rightarrow 0$ ).

# SCHWINGER-KELDYSH EFFECTIVE ACTION

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- ▶ The effective action for hydrodynamics is written as

$$\begin{aligned} S[X_{1,2}^\mu, \varphi_{1,2}; A_{1,2\mu}, n_{1,2\mu}, h_{1,2\mu\nu}] &= \int dt d^3x \sqrt{\gamma_r} \mathcal{L}[B_{1,2\alpha}, N_{1,2\alpha}, H_{1,2\alpha\beta}] \\ &= \int dt d^3x \sqrt{\gamma_r} \mathcal{L}[B_{r\alpha}, N_{r\alpha}, H_{r\alpha\beta}; B_{a\alpha}, N_{a\alpha}, H_{a\alpha\beta}] \end{aligned}$$

Here “r” and “a” are average and difference combinations of invariants respectively.

- ▶ The action is required to satisfy the SK constraints

$$\mathcal{L}^*[B_{r\alpha}, N_{r\alpha}, H_{r\alpha\beta}; B_{a\alpha}, N_{a\alpha}, H_{a\alpha\beta}] = - \mathcal{L}[B_{r\alpha}, N_{r\alpha}, H_{r\alpha\beta}; -B_{a\alpha}, -N_{a\alpha}, -H_{a\alpha\beta}]$$

$$\mathcal{L}[B_{r\alpha}, N_{r\alpha}, H_{r\alpha\beta}; B_{a\alpha} = 0, N_{a\alpha} = 0, H_{a\alpha\beta} = 0] = 0$$

$$\text{Im } \mathcal{L}[B_{r\alpha}, N_{r\alpha}, H_{r\alpha\beta}; B_{a\alpha}, N_{a\alpha}, H_{a\alpha\beta}] \geq 0$$

# RESTORING BOOST SYMMETRY

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- To restore Lorentz boost symmetry, one requires that the dependence on invariants only enters via the combinations

$$G_{1,2\alpha\beta} = -c^2 N_{1,2\alpha} N_{1,2\beta} + H_{1,2\alpha\beta}, \quad B_{1,2\alpha}$$

These are pullbacks of the relativistic metric on the SK spacetimes.

- To restore Milne boost symmetry, one requires that the dependence on invariants enters only via the higher-dimensional combinations

$$G_{1,2AB} = \begin{pmatrix} 0 & -N_{1,2\beta} \\ -N_{1,2\alpha} & \frac{1}{m} B_{1,2\alpha} N_{1,2\beta} + \frac{1}{m} N_{1,2\alpha} B_{1,2\beta} + H_{1,2\alpha\beta} \end{pmatrix}$$

The worldvolume diffeomorphisms and gauge-shifts combine to become higher-dimensional diffeomorphisms.

- This theory can also be obtained directly as an uncharged higher-dimensional relativistic fluid in the presence of a null Killing vector — **null fluid**.

# EXPLICIT EFFECTIVE ACTION

► The explicit effective action for dissipative hydrodynamics at one-derivative order is given as

$$\mathcal{L} = n u^\alpha B_{a\alpha} - \epsilon u^\alpha N_{a\alpha} + p \left( \frac{1}{2} H_r^{\alpha\beta} H_{a\alpha\beta} - u^\alpha H_{r\alpha}^\beta N_{a\beta} \right) + \frac{1}{2} \rho u^\alpha u^\beta H_{a\alpha\beta}$$

$$+ iT \begin{pmatrix} B_{a\alpha} \\ -N_{a\alpha} \\ \frac{1}{2} H_{a\alpha\beta} \end{pmatrix}^T \begin{pmatrix} 0 & 0 & 0 \\ 0 & T\kappa H_r^{\alpha\gamma} & \eta^{\alpha\beta\gamma\delta} \bar{u}_\beta \\ 0 & \eta^{\alpha\beta\gamma\delta} \bar{u}_\delta & \eta^{\alpha\beta\gamma\delta} \end{pmatrix} \begin{pmatrix} B_{a\gamma} + \frac{i}{T_0} \partial_\tau B_{r\gamma} \\ -N_{a\gamma} - \frac{i}{T_0} \partial_\tau N_{r\gamma} \\ \frac{1}{2} H_{a\gamma\delta} + \frac{1}{2} \frac{i}{T_0} \partial_\tau H_{r\gamma\delta} \end{pmatrix} + \mathcal{O}(\hbar)$$

$$u^\alpha = \frac{\delta_\tau^\alpha}{N_{r\tau}}, \quad T = \frac{T_0}{N_{r\tau}}, \quad \mu = \frac{\mu_0 + B_{r\tau}}{N_{r\tau}} \quad \bar{u}_\alpha = H_{r\alpha\beta} u^\beta, \quad \bar{u}^\alpha = H_r^{\alpha\beta} \bar{u}_\beta \quad \eta^{\alpha\beta\gamma\delta} = 2\eta H_r^{\alpha(\gamma} H_r^{\delta)\beta} + \left( \zeta - \frac{2}{d}\eta \right) H_r^{\alpha\beta} H_r^{\gamma\delta}$$

All coefficients are functions of  $T$ ,  $\mu$ ,  $\bar{u}^2 = \bar{u}^\alpha \bar{u}_\alpha$ . We have constraints

$$\epsilon + p = T \frac{\partial p}{\partial T} + \mu \frac{\partial p}{\partial \mu} + 2\bar{u}^2 \frac{\partial p}{\partial \bar{u}^2}, \quad n = \frac{\partial p}{\partial \mu}, \quad \rho = 2 \frac{\partial p}{\partial \bar{u}^2}, \quad \eta, \zeta, \kappa \geq 0$$

We have only included dissipative corrections for Galilean hydrodynamics for simplicity.

# WHY SHOULD YOU CARE?

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- Schwinger-Keldysh framework provides a first principle derivation of classical hydrodynamic equations via a variational principle.
- It provides a symmetry-based understanding of the second law of thermodynamics.
- The formalism can be used to consistently introduce various patterns of spontaneous and explicit symmetry breaking, leading to effective theories of a host of real world systems e.g. superfluids, crystals [1], boost-non-invariant fluids etc.
- Upon consistently introducing stochastic noise corrections within the EFT, one finds that classical transport coefficients do not universally characterise the long-distance late-time behaviour of near-equilibrium thermal systems. [2]

[1] Landry [1912.12301]

[2] AJ, Kovtun [2009.01356]



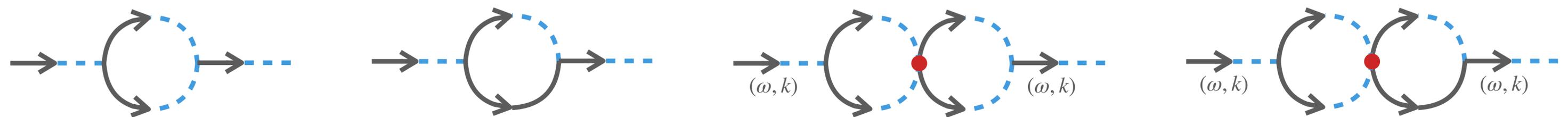
# NON-UNIVERSAL STOCHASTIC CORRECTIONS

- ▶ Tree-level retarded two-point Green's function can be recovered using the mixed propagator



$$G_{nn}^{R,cl}(\omega, k) = \frac{ik^2 \sigma}{\omega + iDk^2} + \dots$$

- ▶ One loop correction to this comes from the diagrams [1,2]



$$G_{nn}^R(\omega, k) = \frac{ik^2}{\omega + iDk^2} \left[ \chi D + \frac{\omega k^2}{\omega + iDk^2} \frac{\chi^2 T}{32\pi D} \left( \frac{\partial D}{\partial n} \right)^2 \sqrt{k^2 - 2i\omega/D} + \dots \right. \\ \left. - \frac{\omega k^2 T}{1024\pi^2 D^2} \left( \frac{\partial D}{\partial n} \right)^2 (k^2 - 2i\omega/D) \left( \frac{1/6 \vartheta_1 k^4}{\omega + iDk^2} + \frac{2/3 \vartheta_1 + \vartheta_2}{D^2} (\omega + iDk^2) \right) + \dots \right]$$

Hydrodynamic correlation functions get **non-universal** corrections that are not fixed by classical transport coefficients. [2]

[1] Chen-Lin, Delacretaz, Hartnoll [1811.12540]

[2] AJ, Kovtun [2009.01356]

# OUTLOOK

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- ▶ Classical hydrodynamics is an incomplete description of long-wavelength low-energy description of near-equilibrium thermal systems.
- ▶ A better and more complete description is offered by the Schwinger-Keldysh effective field theory framework.
- ▶ While the original construction of SK EFTs assumes Lorentz boost symmetry, the framework can be generalised to Galilean hydrodynamics or the absence of any boost symmetry altogether.
- ▶ This is helpful for a plethora of applications to real world scenarios, where the setting is typically non-relativistic (Galilean). The formalism also applies to systems with a preferred frame of reference leading to no boost invariance in the effective theory such as active matter.



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High Energy Physics – Theory

[Submitted on 2 Sep 2020]

# Non-universality of hydrodynamics

Akash Jain, Pavel Kovtun

mySpires. Diffusion Effective Action Schwinger-Keldysh Stochastic Hydrodynamics

We investigate the effects of stochastic interactions on hydrodynamic correlation functions using the Schwinger-Keldysh effective field theory. We identify new "stochastic transport coefficients" that are invisible in the classical constitutive relations, but nonetheless affect the late-time behaviour of hydrodynamic correlation functions through loop corrections. These results indicate that classical transport coefficients do not provide a universal characterisation of long-distance, late-time correlations even within the framework of fluctuating hydrodynamics.

Comments: 5 pages + Supplementary Material

Subjects: **High Energy Physics – Theory (hep-th)**; Statistical Mechanics (cond-mat.stat-mech); High Energy Physics – Phenomenology (hep-ph); Mathematical Physics (math-ph); Fluid Dynamics (physics.flu-dyn)

Cite as: arXiv:2009.01356 [hep-th] (or arXiv:2009.01356v1 [hep-th] for this version)

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