

EXCEPTIONAL NONRELATIVISTIC EFFECTIVE FIELD THEORIES

PROLOGUE

ADLER ZERO

NG bosons interact as a rule weakly :

$$f_{fi}(p) = \text{Diagram: A shaded circle with two incoming lines labeled } f \text{ and } i, \text{ and a red dashed line labeled } p \text{ exiting from the bottom right.}$$

$$\lim_{p \rightarrow 0} f_{fi}(p) = 0$$

typically $f_{fi}(p) = \#p + O(p^2)$

Exceptions to the rule :

- $\lim_{p \rightarrow 0} f_{fi}(p) \neq 0$ Kampf et al. (2020)
- $f_{fi}(p) = \#p^\sigma + O(p^{\sigma+1})$, $\sigma > 1$: exceptional EFTs

ENHANCED SCATTERING AMPLITUDES

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \theta)^2 + c_4 [(\partial_\mu \theta)^2]^2 + c_6 [(\partial_\mu \theta)^2]^3 + c_8 [(\partial_\mu \theta)^2]^4 + \dots$$



arbitrary scale



fixed in terms of c_4 by requiring
 $f_{fi}(p) \sim p^2$ order by order

$$S = \int d^3x \frac{1}{v} \sqrt{1 + v(\partial_\mu \theta)^2}$$

Cheung et al. (2015)

Enhanced (hidden) symmetry :

$$\theta \rightarrow \theta + \beta_\mu x^\mu + \dots$$

$$x^\mu \rightarrow x^\mu - v \beta^\mu \theta + \dots$$

EXCEPTIONAL THEORIES (RELATIVISTIC)

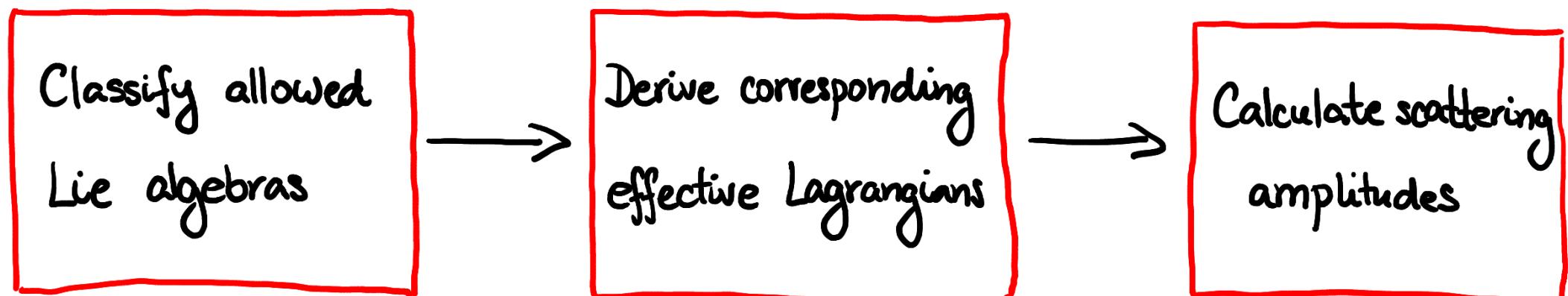
with Mark Bogers

PRL 121 (2018) 171602
JHEP 05 (2018) 046

WHAT EXCEPTIONAL THEORIES ARE THERE ?

Two possible approaches :

- bottom-up : scattering amplitudes \rightarrow effective theories
- top-down : search for allowed hidden symmetries instead !



Bogers , TB (2018)
Roest et al. (2019)

scalar generator responsible for the NG boson

vector generator of hidden symmetry

THEORIES WITH ONE NG BOSON

Classification of Lie algebras :

$$\begin{aligned} [J_{\mu\nu}, J_{\nu\lambda}] &= i(g_{\mu\lambda}J_{\nu\nu} + g_{\nu\lambda}J_{\mu\nu} - g_{\mu\nu}J_{\nu\lambda} - g_{\nu\lambda}J_{\mu\nu}) \\ [J_{\mu\nu}, P_\lambda] &= i(g_{\nu\lambda}P_\mu - g_{\mu\lambda}P_\nu) \\ [J_{\mu\nu}, K_\lambda] &= i(g_{\nu\lambda}K_\mu - g_{\mu\lambda}K_\nu) \\ [P_\mu, K_\nu] &= i(a g_{\mu\nu}Q + b J_{\mu\nu} + c \tilde{J}_{\mu\nu}) \\ [P_\mu, Q] &= i(d P_\mu + e K_\mu) \\ [K_\mu, K_\nu] &= i(f J_{\mu\nu} + g \tilde{J}_{\mu\nu}) \\ [K_\mu, Q] &= i(h P_\mu + i K_\mu) \end{aligned} \quad \left. \begin{array}{l} \text{fixed by} \\ \text{Lorentz} \\ \text{invariance} \end{array} \right\}$$

constrained by
Jacobi identities

THEORIES WITH ONE NG BOSON

DBI scalar theory

$$S = \int d^D x \sqrt{1 + v g_{\mu\nu} \partial^\mu \theta \partial^\nu \theta} + \dots$$

Describes fluctuations of
a D-brane in an
extra dimension.

Symmetries :

$$\bullet \quad \theta \rightarrow \theta + \alpha$$

$$\bullet \quad \theta \rightarrow \theta + \beta_\mu x^\mu + \dots$$

$$x^\mu \rightarrow x^\mu - v \beta^\mu \theta + \dots$$

$$v > 0 : SO(d, 2) \times \mathbb{R}^{D+1}$$

$$v < 0 : SO(d+1, 1) \times \mathbb{R}^{D+1}$$

of spatial dimensions

$D = d+1$

THEORIES WITH ONE NG BOSON

Galileon theory

$$S = \sum_{k=0}^D \int d^Dx \frac{c_k}{(D-k)!} \Theta G_k + \dots$$

Symmetries :

- $\Theta \rightarrow \Theta + \alpha$

- $\Theta \rightarrow \Theta + \beta_\mu x^\mu$

$$G_k = \epsilon^{\mu_1 \dots \mu_k \nu_{k+1} \dots \nu_D} \epsilon_{\lambda_{k+1} \dots \lambda_D}^{v_1 \dots v_k} (\partial_{\mu_1} \partial_{\nu_1} \Theta) \dots (\partial_{\mu_k} \partial_{\nu_k} \Theta) = (D-k)! \det \{ \partial_{\mu_i} \partial_{\nu_j} \Theta \}_{i,j=1}^k$$

Altogether $D+1$ different Lagrangians, all invariant only up to a surface term: Wess-Zumino-Witten terms.

Goon et al. (2012)

MULTI-FLAVOR THEORIES

- Symmetry content :
- Poincaré algebra generated by $J_{\mu\nu}, P_\mu$
 - Q_i : scalar generators that may but need not be spontaneously broken
 - $K_{\mu A}$: vector generators of hidden symmetries

- Assumptions :
- $[P_\mu, Q_i] = 0$... to ensure Adler zero property
 - $[P_\mu, K_{\mu A}] \supset Q_A$... to make $K_{\mu A}$ "redundant"


↑
subset of Q_i ,
all spontaneously broken

LIE ALGEBRA

$$[P_\mu, K_{\nu A}] = i g_{\mu\nu} Q_A$$

$$[K_{\mu A}, K_{\nu B}] = i (g_{AB} J_{\mu\nu} + g_{\mu\nu} Q_{AB})$$

$$[K_{\mu A}, Q_B] = -i g_{AB} P_\mu$$

$$[K_{\mu C}, Q_{AB}] = i (g_{AC} K_{\mu B} - g_{BC} K_{\mu A})$$

$$[Q_A, Q_B] = 0$$

$$[Q_{AB}, Q_C] = i (g_{BC} Q_A - g_{AC} Q_B)$$

$$[Q_{AB}, Q_{CD}] = i (g_{AD} Q_{BC} + g_{BC} Q_{AD} - g_{AC} Q_{BD} - g_{BD} Q_{AC})$$

Pseudo-Euclidean algebra with:

- metric $g_{\mu\nu} \oplus g_{AB}$
- extra translations Q_A
- extra rotations $K_{\mu A}, Q_{AB}$

MULTI-FLAVOR THEORIES

- DBI-like theories :
- theories with non-singular g_{AB}
 - Q_i other than Q_A may also be spontaneously broken

$$S = \int d^Dx \sqrt{-[g_{\mu\nu} - g_{AB} \partial_\mu \theta^A \partial^\nu \theta^B]} + \dots$$

Describe fluctuations of a flat D-dimensional brane in a higher-dimensional pseudo-Euclidean spacetime.

MULTI-FLAVOR THEORIES

Galileon-like theories :

- theories with vanishing g_{AB}
(and some additional assumptions)
- Q_i other than Q_A may also be spontaneously broken

$$S = \sum_{k=0}^D \int d^Dx \frac{1}{(D-k)!} c_{A_1 \dots A_{k+1}} \theta^{A_1} G_k^{A_2 \dots A_{k+1}} + \dots$$

fully symmetric invariant tensor

$$G_k^{A_1 \dots A_k} = \epsilon^{\mu_1 \dots \mu_k \nu_{k+1} \dots \nu_D} \epsilon_{\lambda_{k+1} \dots \lambda_D}^{\nu_1 \dots \nu_k} (\partial_{\mu_1} \partial_{\nu_1}^{A_1}) \dots (\partial_{\mu_k} \partial_{\nu_k}^{A_k})$$

EXCEPTIONAL THEORIES (NONRELATIVISTIC)

JHEP 02 (2021) 218

NONRELATIVISTIC EFFECTIVE THEORIES

Motivation :

- condensed-matter physics
- nonrelativistic gravity
- nonrelativistic string theory

LIE ALGEBRA

Reuse the previous results by interpreting :

- $g_{\mu\nu}$ as Euclidean metric
- $J_{\mu\nu}$ as spatial rotations
- P_μ as spatial translations

Include the Hamiltonian H among the scalar generators Q_i .

Include the boost vector K_μ among the vector generators $K_{\mu A}$.

D3I-LIKE THEORIES

Minimal action containing kinetic terms for all NG fields θ^A :

$$S = \int dt dx \sqrt{G} (1 + g_{AB} \nabla_0 \theta^A \nabla_0 \theta^B)$$

$$G_{\mu\nu} = g_{\mu\nu} - g_{AB} \partial_\mu \theta^A \partial_\nu \theta^B$$

$$(G^{-1/2})_C^B \partial_0 \theta^C$$

$$\tilde{G}^{AB} = g^{AB} - \partial_\mu \theta^A \partial^\mu \theta^B$$

WESS-ZUMINO-WITTEN TERM

Interesting possibility for two flavors of DBI scalars :

$$S_{WZW} = \int dt d^3x \epsilon_{AB} \theta^A \partial_0 \theta^B$$

- manifestly symmetric under ISO(2)
- secretly symmetric under two-flavor DBI-like symmetry

This describes a single complex (Schrödinger) scalar in disguise.

MINIMAL SCHRÖDINGER-DBI THEORY

$$S = \int dtd\vec{x} \left[i\psi^\dagger \partial_0 \psi + \underbrace{\sqrt{1 - 2\vec{\nabla}\psi^\dagger \cdot \vec{\nabla}\psi + (\vec{\nabla}\psi^\dagger \cdot \vec{\nabla}\psi)^2 - |\vec{\nabla}\psi \cdot \vec{\nabla}\psi|^2}} \right]$$

\sqrt{G} in terms of ψ, ψ^+

Interesting features :

- single type-B NG boson in the spectrum
- nonzero commutator $[Q_1, Q_2]$ due to central charge generated by the WWW term

GALILEON - LIKE THEORIES

Scalar sector : $\{Q_i\} \longrightarrow \{\tilde{Q}_i\} \times \{Q_A, Q_{AB}\}$



Abelian ideal of the scalar algebra

$$[P_\mu, K_{VA}] = i g_{\mu\nu} Q_A$$

$$[K_{\mu A}, K_{\nu B}] = i g_{\mu\nu} Q_{AB}$$

$$[\tilde{Q}_i, K_{\mu A}] = (t_i)^B_A K_{\mu B}$$

$$[\tilde{Q}_i, Q_A] = (t_i)^B_A Q_B$$

$$[\tilde{Q}_i, Q_{AB}] = (t_i)^C_A Q_{CB} + (t_i)^C_B Q_{AC}$$

$$[\tilde{Q}_i, \tilde{Q}_j] = i f_{ij}^k \tilde{Q}_k$$

The complete symmetry algebra is fixed by :

- the Lie algebra of Q_i
- its Abelian ideal generated by Q_A, Q_{AB}

COSET CONSTRUCTION

Coset space parametrization :

$$U(t, x, \theta, \xi) = e^{itH} e^{ix^\mu P_\mu} e^{i\theta^A Q_A} e^{\frac{i}{2}\theta^{AB} Q_{AB}} e^{i\xi^{\mu A} K_{\mu A}} e^{i\tilde{\theta}^a \tilde{Q}_a}$$

only include those generators that
actually are spontaneously broken

Maurer-Cartan form :

$$\omega = -i\bar{d}U = \omega_H H + \omega_P^\mu P_\mu + \omega_Q^A Q_A + \frac{1}{2}\omega_Q^{AB} Q_{AB} + \omega_K^{\mu A} K_{\mu A} + \Omega^i \tilde{Q}_i$$

vielbein IHC covariant derivative of θ^a covariant derivative of θ^a

WEISS-ZUMINO-WITTEN TERMS

Cohomology problem : find all invariant closed $(D+1)$ -forms that are not derivatives of an invariant D -form

Galileon forms : $G_k^{A_1 \dots A_k} = \epsilon_{\mu_1 \dots \mu_d} \omega_K^{\mu_1 A_1} \wedge \dots \wedge \omega_K^{\mu_k A_k} \wedge \omega_p^{\mu_{k+1}} \wedge \dots \wedge \omega_p^{\mu_d}$, $0 \leq k \leq d$

Candidate WZW $(D+1)$ -forms :

these have no equivalent
in relativistic field theory!

$$\left. \begin{array}{l} \omega_Q^{\mu_1} \wedge \omega_H \wedge G_k^{A_2 \dots A_{k+1}} \\ \Omega^a \wedge \omega_H \wedge G_k^{A_1 \dots A_k} \\ \omega_Q^{\mu_1} \wedge \omega_Q^{\mu_2} \wedge G_k^{A_3 \dots A_{k+2}} \\ \Omega^a \wedge \omega_Q^{\mu_1} \wedge G_k^{A_2 \dots A_{k+1}} \\ \Omega^a \wedge \Omega^b \wedge G_k^{A_1 \dots A_k} \end{array} \right\}$$

each of these
classes leads
to nontrivial
WZW terms!

these depend only on the Galileon fields Θ^a

SAMPLE WZW TERMS

- $\mathcal{L} = c_{AB} \theta^A \partial_0 \theta^B$: Schrödinger kinetic term, leads to type-B NG bosons
- $\mathcal{L}_k = c_{\alpha A_1 \dots A_{k+1}} \theta^\alpha \partial_0 \theta^{A_1} G_k^{A_2 \dots A_{k+1}}$, $0 \leq k \leq d$

requires that $\rightarrow c_{\alpha A_1 \dots A_{k+1}}$ is fully symmetric in A_1, \dots, A_{k+1}
 and invariant under the action of t_i on these

$$\rightarrow f_{ij}^\alpha c_{\alpha A_1 \dots A_{k+1}} = 0$$

examples : $k=0 \rightsquigarrow \mathcal{L}_0 = c_{\alpha A} \theta^\alpha \partial_0 \theta^A$

$$k=1 \rightsquigarrow \mathcal{L}_1 = c_{\alpha A B} \theta^\alpha \partial_0 \theta^A \partial_\mu \partial^\mu \theta^B$$

SCATTERING AMPLITUDES

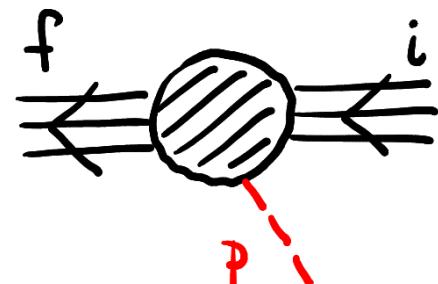
with Martin Mojahed

(preliminary)

PROOF OF ADLER ZERO: RELATIVISTIC

Extract the amplitude from a matrix element of the broken current:

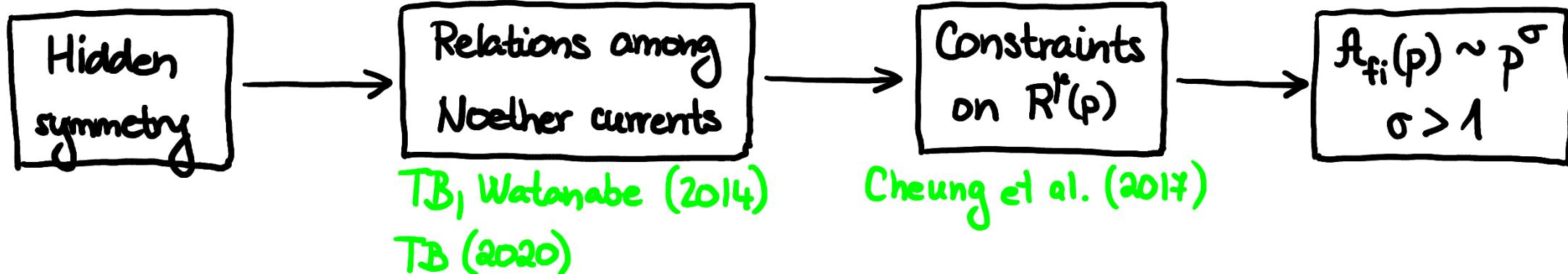
$\hat{A}_{fi}(p) =$



$$\langle f | J^\mu(o) | i \rangle = \frac{i}{p^2} \langle 0 | J^\mu(o) | \theta(\vec{p}) \rangle \langle f + \theta(\vec{p}) | i \rangle + R^\mu(p)$$

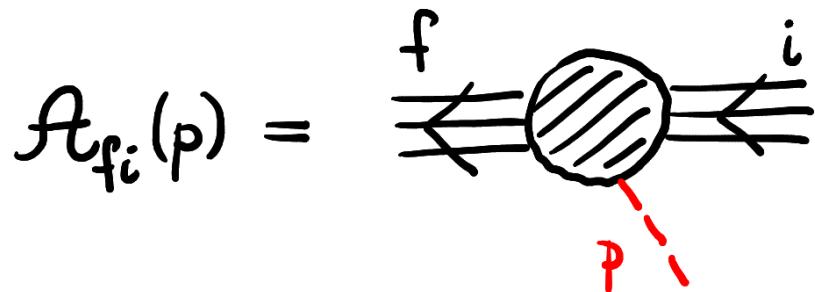
\parallel
 $ip^\mu F$

Current conservation : $p_\mu \langle f | J^\mu(o) | i \rangle = 0 \implies \hat{A}_{fi}(p) = \frac{1}{F} p_\mu R^\mu(p)$



PROOF OF ADLER ZERO: NONRELATIVISTIC

$$f_{fi}(p) = \frac{1}{F} p_r R^k(p)$$



still holds, but a spatial hidden symmetry
only constrains the spatial part of $R^k(p)$!

Two roads towards enhanced scattering amplitudes in NR theories :

- hidden spatial and temporal symmetry
- hidden spatial symmetry and nonlinear dispersion relation

BOOTSTRAPPING REAL SCALAR EFTS

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \theta)^2 + \mathcal{L}_{\text{int}}(\partial_0 \theta, \vec{\nabla} \theta)$$



impose

$$R_{fi}(p) \sim p^2$$



rotationally invariant, only first derivatives

unique solution $\mathcal{L} = \frac{1}{v} \sqrt{1 + v(\partial_\mu \theta)^2}$... relativistic DBI

Lorentz invariance as emergent symmetry from soft limits ?!

BOOTSTRAPPING COMPLEX SCALAR EFTS

$$\mathcal{L} = \bar{\psi}^+ (i\partial_0 + \vec{\nabla}^2) \psi + \mathcal{L}_{\text{int}} (\partial_0 \psi, \partial_0 \bar{\psi}^+, \bar{\nabla} \psi, \bar{\nabla} \bar{\psi}^+)$$

impose

$$f_{fi}(p) \sim p^2$$

rotationally invariant, only first derivatives

$$\mathcal{L} = \underbrace{\epsilon_{AB} \theta^A \partial_0 \theta^B}_{\text{minimal}} + \sqrt{G} \left[1 + c_4 (\delta_{AB} \partial_0^A \partial_0^B)^2 + c_6 (\delta_{AB} \partial_0^A \partial_0^B)^3 + \dots \right]$$

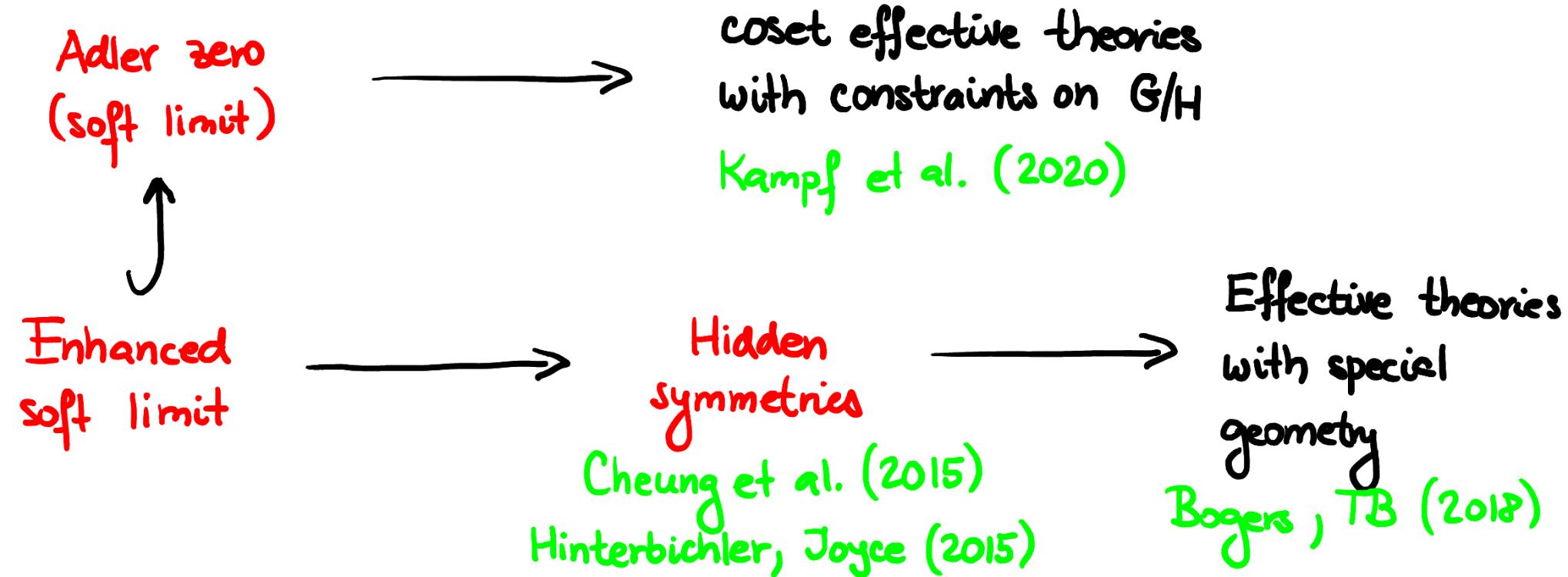
higher-order corrections

Schrödinger-DBI theory

EPILOGUE

CONCLUSIONS

Dynamics of massless particles is strongly constrained by symmetry!



Still a lot to understand, in both relativistic and nonrelativistic context!