

Lecture 2

Wednesday, 7 July 2021 09:49

2. Neutrino Mixing and Oscillations2.1 Three Flavors of Neutrinos

$$\mathcal{L} \supset \sum_{\alpha=e,\mu,\tau} \left[\bar{\nu}_{\alpha L} \not{\partial} \nu_{\alpha L} \right. \\ \left. + \frac{g}{\sqrt{2}} (W^\mu \bar{\nu}_{\alpha L} \gamma_\mu e_{\alpha L} + h.c.) \right. \\ \left. + \frac{g}{2 \cos \Theta_w} Z^\mu \bar{\nu}_{\alpha L} \gamma_\mu \nu_{\alpha L} \right] \\ + \left(\sum_{\alpha,\beta} \frac{1}{2} m_{\alpha\beta} \overline{(\nu_{\alpha L})^c} \nu_{\beta L} + h.c. \right)$$

- $(m_{\alpha\beta})$ in general off-diagonal

- Diagonalization: $\boxed{\nu_{\alpha L} = U_{\alpha j} \nu_{jL}}$
 flavor eigenstates (unitary) mixing matrix mass eigenstates

with $U^T m U = \text{diag}(m_1, m_2, m_3)$

This only works because $(m_{\alpha\beta})$ is symmetric.

- Note: For Dirac ν : $\mathcal{L} \supset \sum_{\alpha,\beta} m_{\alpha\beta} \overline{\nu_{\alpha L}} \nu_{\beta R}$

$$\hookrightarrow \text{the trf is: } \nu_{\alpha L} = U_{\alpha j} \nu_{jL} \\ \nu_{\beta R} = V_{\beta j} \nu_{jR}$$

$$U^T m V = \text{diag}(m_1, m_2, m_3)$$

works for general complex $(m_{\alpha\beta})$

- In the mass basis

$$\begin{aligned} \mathcal{L} \supset & \sum_j \left[\overline{\nu_{jL}} \not{\partial} \nu_{jL} \right] \\ & + \sum_\alpha \frac{g}{\sqrt{2}} \left(W^\mu \overline{\nu_{jL}} U_{\alpha j}^* \gamma_\mu e_{\alpha L} + h.c. \right) \\ & + \frac{g}{2 \cos \theta_w} \sum_j Z^\mu \overline{\nu_{jL}} \gamma_\mu \nu_{jL} \\ & + \sum_j \frac{1}{2} m_j \overline{(\nu_{jL})^c} \nu_{jL} \end{aligned}$$

↳ CC ν interaction involves superposition of mass eigenstates

$$\begin{array}{c} W \\ \swarrow \searrow \\ \frac{g}{\sqrt{2}} U_{e1}^* \quad \nu_1 \end{array} + \begin{array}{c} W \\ \swarrow \searrow \\ \frac{g}{\sqrt{2}} U_{e2}^* \quad \nu_2 \end{array} + \begin{array}{c} W \\ \swarrow \searrow \\ \frac{g}{\sqrt{2}} U_{e3}^* \quad \nu_3 \end{array}$$

2.2 Neutrino Oscillations

Produced in CC interaction

$$|V_\alpha\rangle = U_{\alpha j}^* |v_j\rangle$$

CC detection maps the neutrino onto flavor eigenstate

$$\langle v_p | = U_{p j} \langle v_j |$$

$$\hookrightarrow \mathcal{A} = \langle v_p | V_\alpha(t) \rangle$$

$$= \sum_j U_{\alpha j}^* U_{p j} \underbrace{e^{-iE_j T + ip_j L}}_{\text{time and space evolution of QM plane wave state}}$$

time and space evolution of QM plane wave state

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\mathcal{A}|^2$$

$$|\langle v_\alpha \rightarrow v_\beta \rangle| = |\mathcal{A}|$$

$$= \sum_{j \neq k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \cdot \underbrace{e^{-i(E_j - E_k)T + i(p_j - p_k)L}}_{\text{interference!}}$$

Note: States with different E and p can interfere only if E - and p -uncertainties are larger than $E_j - E_k$, $p_j - p_k$

Typically, we do not know T precisely because uncertainty in v production time is much larger than $(E_j - E_k)^{-1}$.

$$\hookrightarrow \bar{P}(v_\alpha \rightarrow v_\beta) = \frac{1}{W} \int dT |\mathcal{A}|^2$$

normalization factor

$$= \frac{1}{W} \sum_{j \neq k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \cdot 2\pi \delta(E_j - E_k) \cdot \underbrace{\exp[i(\sqrt{E_j^2 - m_j^2} - \sqrt{E_k^2 - m_k^2})L]}_{\approx \exp[-i \frac{\Delta m_{jk}^2}{2E} L]}$$

with $\Delta m_{jk}^2 \equiv m_j^2 - m_k^2$

$$\left[\begin{array}{l} |v_\alpha\rangle = a_\alpha^\dagger |0\rangle \\ v_\alpha = \frac{\int d^3p}{(2\pi)^3 2E} (b^\dagger e^{ipx} - a e^{-ipx}) \end{array} \right.$$

Consider 2 flavors:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$\hookrightarrow P(\nu_\alpha \rightarrow \nu_\beta) \stackrel{2fl.}{\simeq} \underbrace{\sin^2 2\theta}_{\text{osc. amplitude}} \underbrace{\sin^2 \frac{\Delta m^2 L}{4E}}_{\text{oscillation term}}$$

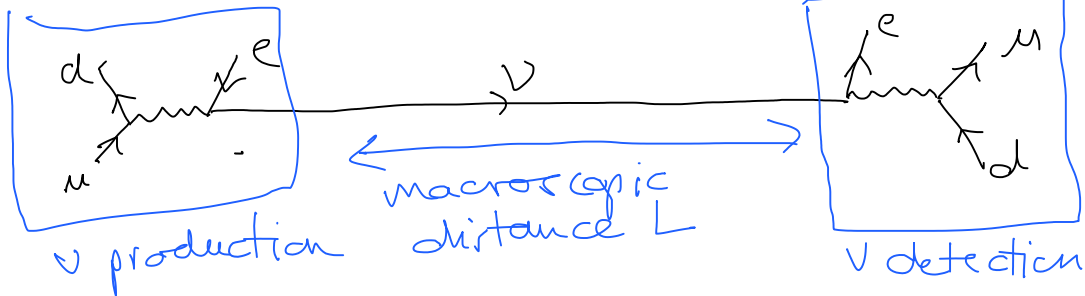
$$\Delta m_{21}^2 \sim 8 \cdot 10^{-5} \rightarrow L_{osc} \sim 60 \text{ km}$$

$$\Delta m_{31}^2 \sim 2 \cdot 10^{-3} \rightarrow L_{osc} \sim 1 \text{ km}$$

$$\text{osc. length } L_{osc} = \frac{4\pi E}{\Delta m^2}$$

mixing angle θ controls osc. amplitude
 Δm^2 controls osc. length

Note: in QFT, ν osc. can be described by a Feynman diagram of the type



treat all external particles as wave packets.

2.3 3-flavor oscillations

mixing matrix is unitary 3×3 matrix
 general parameterization:

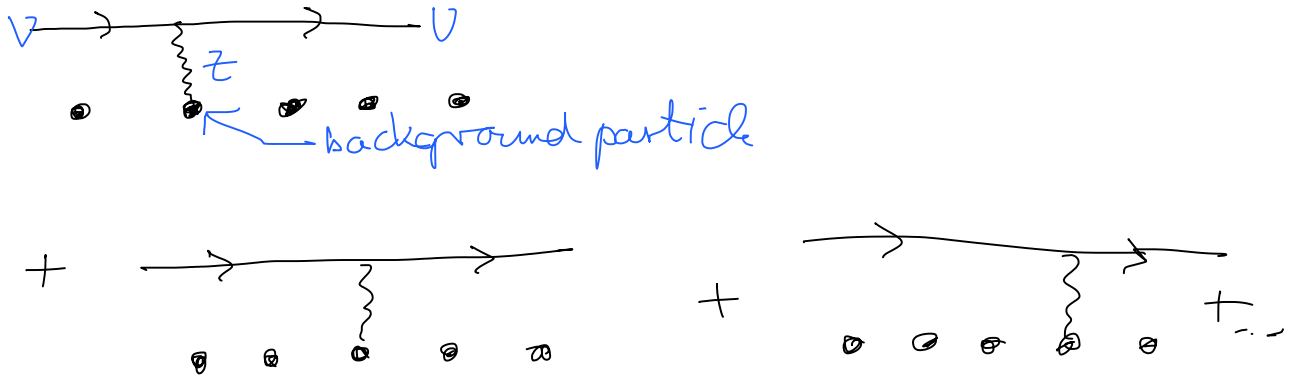
$$U = \begin{pmatrix} 1 & & & \\ c_{23} & s_{23} & & \\ -s_{23} & c_{23} & & \\ & & -s_{13} & e^{i\delta} \\ & & s_{13} & e^{-i\delta} \\ & & & c_{13} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \end{pmatrix}$$

$$\sin \theta_{12} \quad \sim \quad \cos \theta_{23}$$

Where phase factors have been absorbed into redefinitions of states.

2.4 Neutrino oscillations in matter

Coherent forward scattering

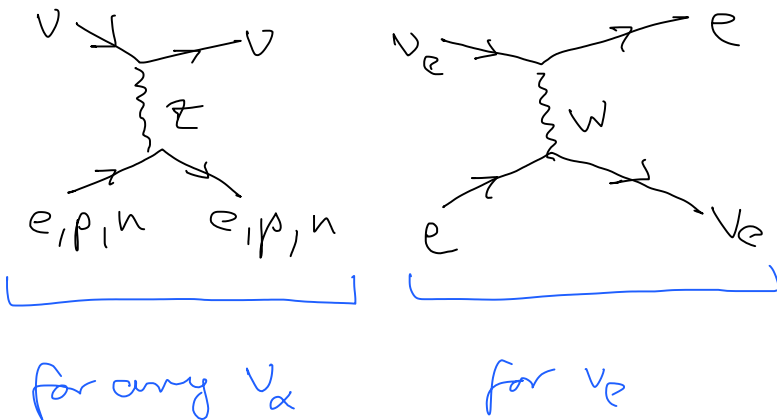


Exchange of W or Z boson without momentum change means that all background contribute coherently.

[Analogy: Photon travelling through medium

$$\hookrightarrow |M|^2 \sim N^2 G_F^2$$

↑ density of scatterers



quantum states of incoming particles identical to those of outgoing one for coh. forward scattering

$$H_{eff} = \frac{-i}{\sqrt{2}} [e \gamma^\mu (1-\gamma^5) \nu_e] [L \nu_e \gamma_\mu (1-\gamma^5) e]$$

$$= \frac{G_F}{\sqrt{2}} [\bar{e} \gamma^\mu (1-\gamma^5) e] [\bar{\nu}_e \gamma_\mu (1-\gamma^5) \nu_e]$$

↑
Fierz

Treat e as fixed background field
 ↳ take expectation value:

$$\langle H_{eff} \rangle = \frac{G_F}{\sqrt{2}} \langle \bar{e} \gamma^\mu (1-\gamma^5) e \rangle [\bar{\nu}_e \gamma_\mu (1-\gamma^5) \nu_e]$$

$$= \begin{cases} n_e & \text{for } \mu=0 \\ 0 & \text{for } \mu=1,2,3 \end{cases}$$

$$= \sqrt{2} G_F n_e \bar{\nu}_e \gamma^0 \nu_e$$

$$= V_{CC}$$

CC MSW potential

Similarly for $\begin{matrix} \nu \\ e, \mu, \nu \end{matrix}$: $V_{NC} = -\frac{1}{2} \sqrt{2} G_F n_n$

In the derivation of $P(\nu_\alpha \rightarrow \nu_\beta)$, we had factors of the form $e^{i p \cdot L}$.

$$\phi \equiv p \cdot L = \sqrt{(\hat{H} - \hat{V})^2 - \hat{M}^2}$$

↑ 2x2 matrix ↑ MSW potentials 2x2
 ← 2x2 mass matrix

$$\approx \hat{H} - \frac{\hat{M}^2}{2\hat{H}} - \hat{V}$$

↑
 \hat{V}, \hat{M} small

Diagonalize $\hat{H} - \frac{\hat{M}^2}{2\hat{H}} - \hat{V} = E \cdot 1 - M \begin{pmatrix} \frac{m_1^2}{2E} & \\ & \frac{m_2^2}{2E} \end{pmatrix} M^T$

$$= \begin{pmatrix} V_{CC} + V_{NC} & \\ & V_{NC} \end{pmatrix}$$

Result :

$$\sin^2 2\theta_{\text{eff}} = \frac{\sin 2\theta \frac{\Delta m^2}{2E}}{\sqrt{\left(\sqrt{2}G_{\text{F}}n_e - \frac{\Delta m^2}{2E} \cos 2\theta\right)^2 + \left(\frac{\Delta m^2}{2E}\right)^2 \sin^2 2\theta}}$$

$$\frac{\Delta m^2_{\text{eff}}}{2E} = \sqrt{\left(\sqrt{2}G_{\text{F}}n_e - \frac{\Delta m^2}{2E} \cos 2\theta\right)^2 + \left(\frac{\Delta m^2}{2E}\right)^2 \sin^2 2\theta}}$$

At the MSW resonance:

$$\frac{\Delta m^2}{2E} \cos 2\theta = \sqrt{2}G_{\text{F}}n_e$$

the effective mixing angle is maximal.