# Coupling a thermal population model on microgrids to a spectral convection scheme

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Representing spatial organization and memory in convective parameterizations

Bulk versus **Decentralized** parameterization

Example: BiOMi-ED(MF)<sup>n</sup>, a convective population model

Using LES and observations as training datasets

# The challenge

Recent research has highlighted the importance of representing the impacts of mesoscale spatial organization and convective memory in Earth System Models

Various methods have been proposed, often involving the introduction of new modules in existing bulk formulations

What other (non-bulk) methods exist that might have the potential of capturing memory and organization?

# Alternatives to bulk

### **Option I: Global LES**

Convection is mostly resolved

### **Option II: Machine learning (ML)**



Randall et al (BAMS, 2003)

Use AI algorithms to identify relations and train subgrid systems on big data

### **Option III: Superparameterization**

Implement a 2D grid in each GCM gridbox and semi-resolve subgrid processes

#### **Option IV: Decentralized approaches**

Conceptual models consisting of an ecosystem of multiple interacting objects, representing the smallest building blocks of convection

# **Decentralized frameworks**

Examples: Population models, Particle models, Multi plume models

The idea:

- An ecosystem of independent but interacting objects
- · Let the system evolve freely, instead of superimposing bulk behavior
- Interactions can introduce negative feedback mechanisms which drive equilibration (self-regulating bulk behavior)



# Some characteristics

Pros

- Bulk closures become obsolete: Emergent properties
- Possibly subtle responses to weak perturbations in external forcings
- Yield a deeper understanding of the problem
- Still orders of magnitude cheaper than global LES

Cons

- Stability is not guaranteed
- Rules of interaction are crucial, but need to be parameterized
- Added degrees of freedom add to cost → harms applicability as a parameterization?

Why not combine a 2D grid approach with a decentralized approach?

**Step 1**: Instead of vertical microgrids, use horizontal microgrids:



**Step 2**: Let a population of objects live on the microgrid, coupled to a vertical transport module consisting of multiple transporting modes

- A horizontal grid can capture spatial organization and memory
- Potentially computationally efficient
- Can well be trained using ML

# Example: BiOMi



#### Journal of Advances in JAMES **Modeling Earth Systems** A Binomial Stochastic Framework for Efficiently **RESEARCH ARTICLE** 10.1029/2020MS002229 **Modeling Discrete Statistics of Convective Populations Key Points:** Roel A. J. Neggers<sup>1</sup> <sup>(D)</sup> and Philipp J. Griewank<sup>2</sup> <sup>(D)</sup> A scale-aware stochastic number generator based on a Bernoulli <sup>1</sup>Institute for Geophysics and Meteorology, University of Cologne, Cologne, Germany, <sup>2</sup>Institut für Meteorologie und process is applied to model object Geophysik, Universität Wien, Vienna, Austria births and advection on Eulerian

An ecosystem of interacting, mobile convective objects is modeled on a 2D microgrid

#### Goals:

grids

- Capture forms of spatial organization and memory
- Fully discrete formulation (for the grey zone)
- Stay computationally efficient

Combining concepts from lattice modeling and Lagrangian particle modeling Inspired by Böing et al (2016)



### Ansatz

Given a reference object birth rate  $\dot{B}_i$  per unit area and unit time

Number of births per timestep  $\Delta t$  within a large, finite domain of size L:

$$B_i = \dot{B}_i \ L^2 \ \Delta t$$

Assume all births are randomly distributed over *N* gridcells:  $N = \frac{L^2}{\Delta x \Delta y}$ Probability *p* that a single birth occurs in a specific gridcell: p = 1 / N

Assuming all births are independent yields a set of Bernouilli trials (coin flips). The associated probability mass function is a binomial:

$$f_i(b) = \begin{pmatrix} B_i \\ b \end{pmatrix} p^b (1-p)^{(B_i-b)}$$

# Grey zone stochasticity

Is automatically captured through the discrete nature of (sub)sampling the binomial:



# A scale-aware stochastic binomial operator

For describing the behavior of a multitude of objects in a cell This is a defining difference with Lagrangian particle models

Used to describe object births, object demographics, and object movement



# **Binomial functions are efficient**

Can easily be vectorized, allowing large microgrid sizes at little cost:



Two simple rules of object interaction, acting through birth probability p. These represent known physics of **convective thermals**:

pulsating growth and environmental deformation.

Under these rules, memory and spatial organization is apparent on the microgrid:

 $\sum_{k} n_k$ 





MODIS true color image of sugar / gravel cloud patterns during EUREC<sup>4</sup>A (NASA Worldview) 13

# Coupling BiOMi to ED(MF)<sup>n</sup>

Eddy Diffusivity Multiple Mass Flux scheme Neggers, JAMES 2015

A discretized spectral framework for turbulent-convective transport

The macrophysical properties of size-bins of coherent surface-rooted convective structures are estimated independently

This makes bulk mass flux closures redundant, and allows interactions across the size spectrum

Remaining closures (transport):

- Bin initialization
- Size-dependent entrainment (e.g. Griewank et al., 2019; Peters et al, 2020)
- Size density of object number ← BiOMi

# Schematic illustration of the coupling:

An online clustering algorithm is applied to read the size density of cluster number from the BiOMi microgrid, which is then fed to EDMF



# Implementation in DALES



Dutch Atmospheric LES (Heus et al., 2010)

BiOMi-ED(MF)<sup>n</sup> replaces the vertical component of the subgrid transport scheme

Object birth rate is coupled to the surface buoyancy flux

2x2 grid

No interaction between microgrids in different LES gridcolumns

Note: Scale-adaptivity (i.e. dependence on LES gridsize) is introduced through the cluster size density that evolves on the BiOMi microgrid

For subtropical marine Trade wind conditions (RICO shallow cumulus case) DALES gridbox size 100x100 km<sup>2</sup>, microgrid size 1000x1000 at 100m spacing



The coupling of EDMF to BiOMi introduces many extra degrees of freedom, which could easily lead to instability / collapse... yet it doesn't! 17

Convective memory: Evolution of largest cluster size on the microgrid



Convective memory: The evolution of cluster size distributions on the microgrid



### Birth probability p



Spatial organization on the microgrid

100

### Grey zone behavior: Stochasticity due to subsampling



#### EDMF cloud fraction

Stochastics introduced through the cluster distribution on the microgrid shifts the grey zone towards larger sizes:



# Using LES and observations

To train the BiOMi rules of interaction, making use of ML algorithms We need many spatial fields for this! Scale up towards multi-year coverage



MODIS image during EUREC<sup>4</sup>A

What does it take for BiOMI to reproduce these patterns?

Special focus on cloud pattern evolution during diurnal cycles at continental meteorological sites (SGP, CACTI)



# Conclusions

A spatially-aware convective population model, consisting of binomial functions on a microgrid, shows promise in capturing convective memory and spatial organization in an efficient way

Coupling the framework to a transport module illustrates that shallow convective boundary layers can be reproduced, including stochastic effects in the grey zone

# Outlook

So far this is still a research model! To do's:

- Train rules of interaction for many cases using LES and obs data
- Play with more rules of interaction (rain, radiation, shear, surface heterogeneity)
- Investigate convection-circulation coupling
- Investigate surface-convection coupling
- Assess responses to idealized climate perturbations