

Neutrinos in the early Universe

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NBIA and DARK at NBI



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The logo for Sapere Aude, featuring a cluster of four colored circles (blue, yellow, green, red) above the text "Sapere Aude".

Sapere Aude

From Olga:

Particle decoupling in the early universe: Neutrinos

- The entropy density is: $s \equiv \frac{\rho + p}{T}$

¿How are related the photon and the neutrino temperatures?

- Electron positron annihilation takes place AFTER neutrino decoupling.
- In an expanding universe the entropy density per comoving volume is conserved:

- Boson's entropy contribution: $2\pi^2 T^3 / 45$
- Fermion's entropy contribution: $7/8 \times 2\pi^2 T^3 / 45$

- Before electron/positron annihilation= electrons (g=2), positrons (g=2), neutrinos (3), antineutrinos (3) and photons (g=2) therefore:

$$s(a_1) = 2\pi^2 T_1^3 / 45 (2 + 7/8(2 + 2 + 3 + 3))$$

- After, only neutrinos, antineutrinos and photons but at different temperature!

$$s(a_2) = 2\pi^2 / 45 (2T_\gamma^3 + 7/8(3 + 3)T_\nu^3)$$

$$s(a_1)a_1^3 = s(a_2)a_2^3 \quad a_1 T_1 = a_2 T_\nu \quad \longrightarrow \quad \left(\frac{T_\nu}{T_\gamma}\right) = \left(\frac{4}{11}\right)^{1/3}$$

Correction from $m_e/T_d > 0$ ($\delta N_{\text{eff}} \sim +0.04$)

Assume entropy conservation:

$$s(a_1)a_1^3 = s(a_2)a_2^3, \quad s_{\nu}(a_1)a_1^3 = s_{\nu}(a_2)a_2^3.$$

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Assume entropy conservation:

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a_1 at neutrino decoupling (T_d), a_2 after e^\pm annihilation. Entropies:

$$s(a_1) = \frac{2\pi^2}{45} \left(g_\gamma + \frac{7}{8}g_e \right) T_d^3 + \delta s, \quad s(a_2) = \frac{2\pi^2}{45} g_\gamma T(a_2)^3,$$

$$s_\nu(a_1) = 3 \times \frac{7}{8} \frac{2\pi^2}{45} g_\nu T_d^3, \quad s_\nu(a_2) = 3 \times \frac{7}{8} \frac{2\pi^2}{45} g_\nu T_\nu(a_2)^3$$

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The change in entropy when relaxing the $T_d/m_e \rightarrow \infty$ approximation: ($E_e = \sqrt{p^2 + m_e^2}$)

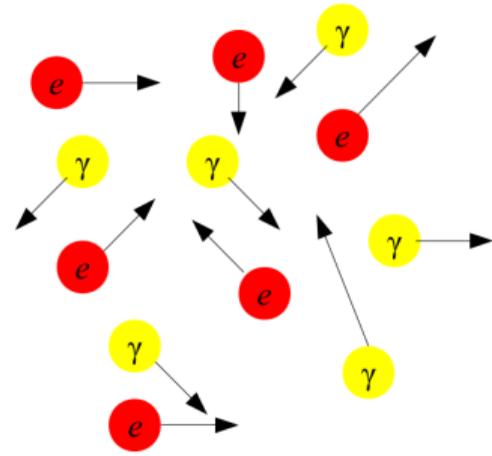
$$\delta s = \frac{g_e}{2\pi^2 T_d} \int_0^\infty dp p^2 \left(E_e + \frac{p^2}{3E_e} \right) \frac{1}{\exp(E_e/T) + 1} - s_{\text{Rel}} \approx -0.009859 s_{\text{Rel}}.$$

The change in N_{eff} is:

$$\delta N_{\text{eff}} = 3 \left(\left[1 + \frac{\delta s}{s_{\text{Rel}}} \right]^{-4/3} - 1 \right) \approx 0.039895.$$

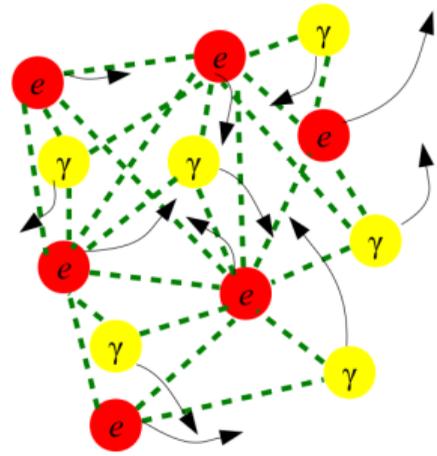
Finite-temperature QED corrections ($\delta N_{\text{eff}} \sim +0.01$)

Ideal gas



Energy = kinetic energy + rest mass
Pressure = from kinetic energy

+ EM interactions



Temperature
-dependent
dispersion relation
+
Forces

Energy = **modified** kinetic energy + **T-dependent masses** + **interaction potential** energy
Pressure = from **modified** kinetic energy + **EM forces**

From Yvonne Y. Y. Wong

 Modified QED equation of state

Finite-temperature QED corrections ($\delta N_{\text{eff}} \sim +0.01$)

see also Bennett et al. 1911.04504

More formally: QED equation of state can be computed from the grand canonical partition function.

Expanded in powers of e :

$$\ln Z = \ln Z^{(0)} + \ln Z^{(2)} + \ln Z^{(3)} + \dots$$

Pressure, energy density and entropy can be calculated as

$$P^{(n)} = \frac{T}{V} \ln Z^{(n)},$$
$$\rho^{(n)} = \frac{T^2}{V} \frac{\partial \ln Z^{(n)}}{\partial T} = -P^{(n)} + T \frac{\partial P^{(n)}}{\partial T},$$
$$s^{(n)} = \frac{1}{V} \frac{\partial [T \ln Z^{(n)}]}{\partial T} = \frac{\rho^{(n)} + P^{(n)}}{T}.$$

Finite-temperature QED corrections ($\delta N_{\text{eff}} \sim +0.01$)

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Zeroth order term gives the equations for an ideal gas.

Higher order terms come from:

$$\ln Z^{(2)} = -\frac{1}{2} \text{ (diagram: a circle with a wavy line inside and two dots on the line) }$$

$$\ln Z^{(3)} = \frac{1}{2} \left[\frac{1}{2} \text{ (diagram: a circle with a wavy line and two dots) } - \frac{1}{3} \text{ (diagram: a circle with a wavy line and three dots) } + \frac{1}{4} \text{ (diagram: a circle with a wavy line and four dots) } + \dots \right]$$

E.g. for pressure

$$P^{(2)} = \frac{T}{V} \ln Z^{(2)} = -\frac{e^2 T^2}{12\pi^2} \int_0^\infty dp \frac{p^2}{E_p} n_D - \frac{e^2}{8\pi^4} \left(\int_0^\infty dp \frac{p^2}{E_p} n_D \right)^2 + \frac{e^2 m_e^2}{16\pi^4} \iint_0^\infty dp d\tilde{p} \frac{p\tilde{p}}{E_p E_{\tilde{p}}} \ln \left| \frac{p + \tilde{p}}{p - \tilde{p}} \right| n_D \tilde{n}_D$$

Finite-temperature QED corrections ($\delta N_{\text{eff}} \sim +0.01$)

see also [Bennett et al. 1911.04504](#)

Alternative: Quasiparticle picture

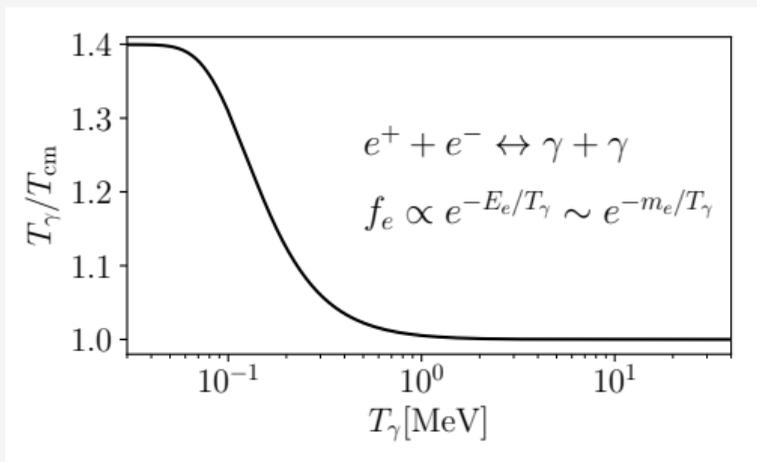
$$E_\gamma^2(p) \rightarrow E_\gamma^2(p, T) = p^2 + \delta m_\gamma^2(T),$$
$$E_e^2(p) \rightarrow E_e^2(p, T) = p^2 + m_e^2 + \delta m_e^2(p, T)$$

Works well for transport equations, but “double counts” for bulk properties.

Cannot account for collective excitations such as plasmons.

- Hence does not work beyond second order correction.

Non-instantaneous decoupling ($\delta N_{\text{eff}} \sim -0.005$)



Friedmann equation + continuity equation give T_γ .

Boltzmann equation:

$$\frac{\partial f_\nu(t, p)}{\partial t} - Hp \frac{\partial f_\nu(t, p)}{\partial p} = \mathcal{C}[f_\nu, p]$$

Collision term for $1 + 2 \rightarrow 3 + 4$:

$$\mathcal{C}[f_1, p] = \frac{1}{2E_1} \int \prod_{i=2}^4 \frac{d^3 p_i}{(2\pi)^3 2E_i} (2\pi)^4 \delta^4(P_1 + P_2 - P_3 - P_4) |M|^2 [f_3 f_4 (1 - f_1)(1 - f_2) - f_1 f_2 (1 - f_3)(1 - f_4)]$$

Phase space

E and p cons.

Matrix element

Standard Model corrections to N_{eff}

As Olga introduced, the corrections come from different effects:

Standard-model corrections to $N_{\text{eff}}^{\text{SM}}$	Leading-digit contribution
m_e/T_d correction	+0.04
$\mathcal{O}(e^2)$ FTQED correction to the QED EoS	+0.01
Non-instantaneous decoupling+spectral distortion	-0.005
$\mathcal{O}(e^3)$ FTQED correction to the QED EoS	-0.001
Flavour oscillations	+0.0005
Type (a) FTQED corrections to the weak rates	$\lesssim 10^{-4}$

Bennett et al. (2012.02726)

Final result: $N_{\text{eff}} = 3.0440 \pm 0.0002$.

Neutrino flavor oscillations

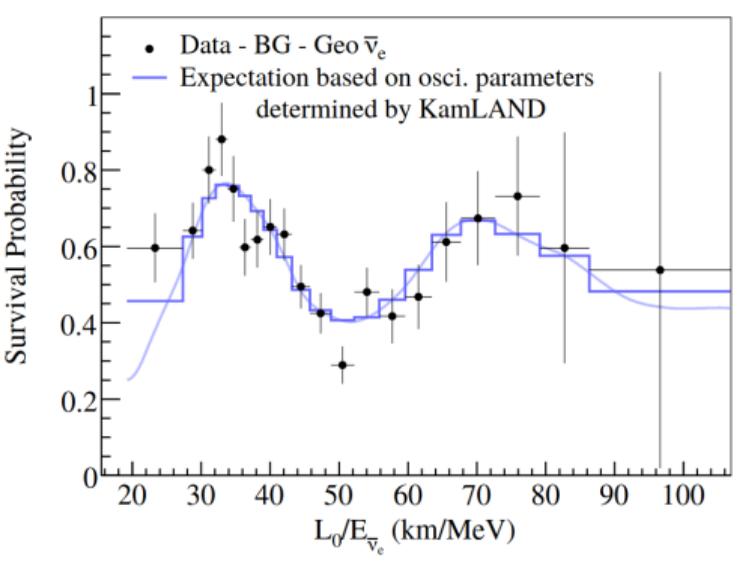
Described by the von Neumann equation
 (for pure states)

$$\frac{d\rho}{dt} = -i [\mathcal{H}, \rho] , \quad \mathcal{H}_{\text{vac}} = \frac{U\mathcal{M}^2U^\dagger}{2E}$$

ρ is the density matrix.
 Equivalent to a Schrödinger-like equation:

$$\frac{d|\psi\rangle}{dt} = -i\mathcal{H}|\psi\rangle$$

$|\psi\rangle$ - wave function.
 $\rho = |\psi\rangle \langle\psi|$ for pure states.



KamLAND (o801.4589)

Quantum Kinetic Equations - include oscillations ($\delta N_{\text{eff}} \sim +0.0005$)

For three flavors of neutrinos, $\rho = \begin{pmatrix} f_{\nu_e} & \rho_{e\mu} & \rho_{e\tau} \\ \rho_{\mu e} & f_{\nu_\mu} & \rho_{\mu\tau} \\ \rho_{\tau e} & \rho_{\tau\mu} & f_{\nu_\tau} \end{pmatrix}$.

Combine the von Neumann and Boltzmann equations: [Sigl and Raffelt, 1993](#)

$$\frac{\partial \rho(t, p)}{\partial t} - Hp \frac{\partial \rho(t, p)}{\partial p} = -i [\mathcal{H}, \rho] + \mathcal{C}[\rho, p]$$

Hamiltonian:

$$\mathcal{H}(\rho, \mathbf{p}, \mathbf{x}) = \frac{\mathcal{U} \mathcal{M}^2 \mathcal{U}^\dagger}{2E} + \sqrt{2} G_F \int \frac{d^3 \mathbf{p}'}{(2\pi)^3} (\rho(\mathbf{p}', \mathbf{x}) - \bar{\rho}(\mathbf{p}', \mathbf{x})) (1 - \mathbf{v}' \cdot \mathbf{v})$$

vacuum term asymmetric neutrino – neutrino term

$$- \frac{8\sqrt{2} G_F p}{4} \frac{\mathcal{E}_l + \mathcal{P}_l}{m_W^2}$$

symmetric matter term

Quantum Kinetic Equations - include oscillations ($\delta N_{\text{eff}} \sim +0.0005$)

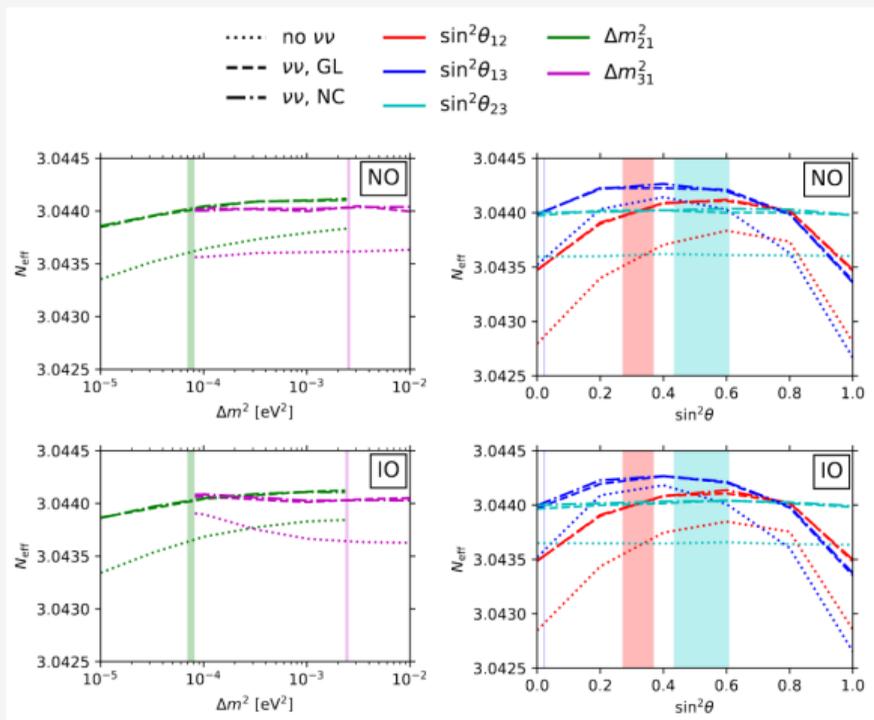
Without **neutrino-neutrino** term:

Oscillations tend to equilibrate the flavors.

ν_μ and ν_τ interact via NC.

ν_e interact via both NC and CC.

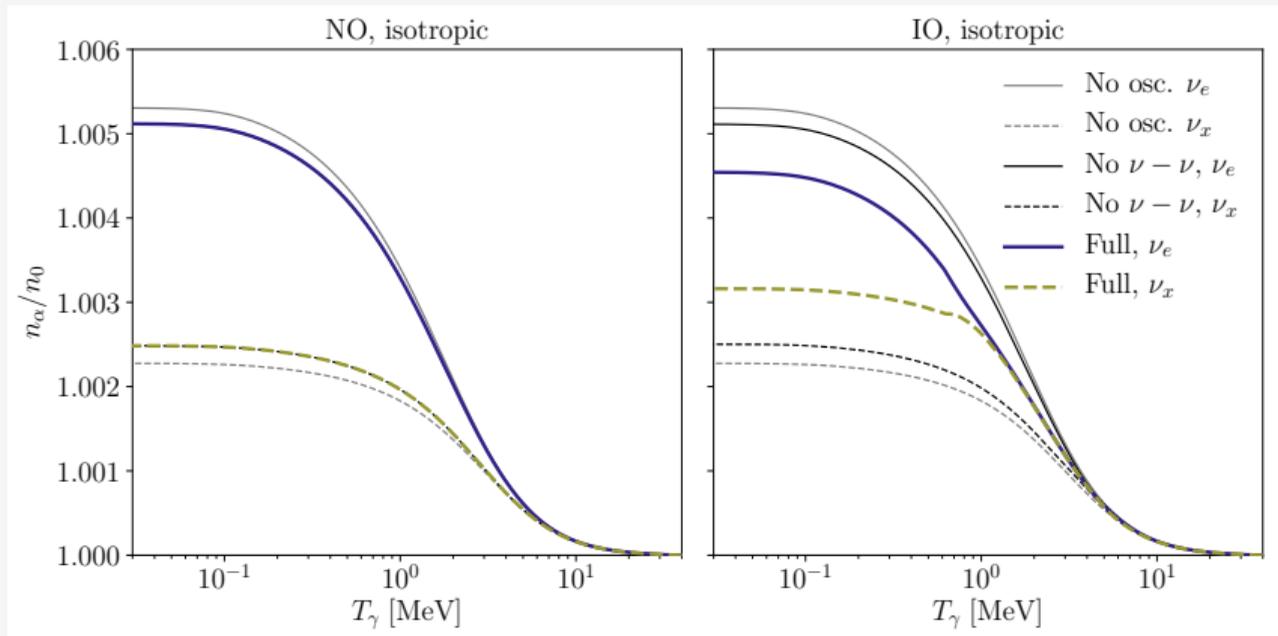
Hence, oscillations lead to higher N_{eff} .



Accounting for the neutrino-neutrino term

RSLH, Shalgar and Tamborra (2012.03948)

$$\mathcal{H}_{\nu\nu}(\rho, \mathbf{p}, \mathbf{x}) = \sqrt{2}G_F \int \frac{d^3\mathbf{p}'}{(2\pi)^3} (\rho(\mathbf{p}', \mathbf{x}) - \bar{\rho}(\mathbf{p}', \mathbf{x}))(1 - \mathbf{v}' \cdot \mathbf{v})$$



Breaking isotropy can change these results.

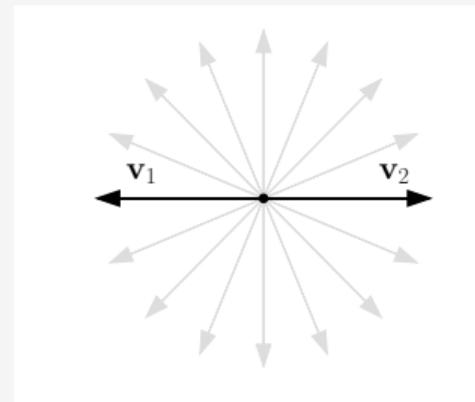
Let me try to illustrate with a bit of gymnastics.

Anisotropic neutrino oscillations

RSLH, Shalgar and Tamborra (2012.03948)

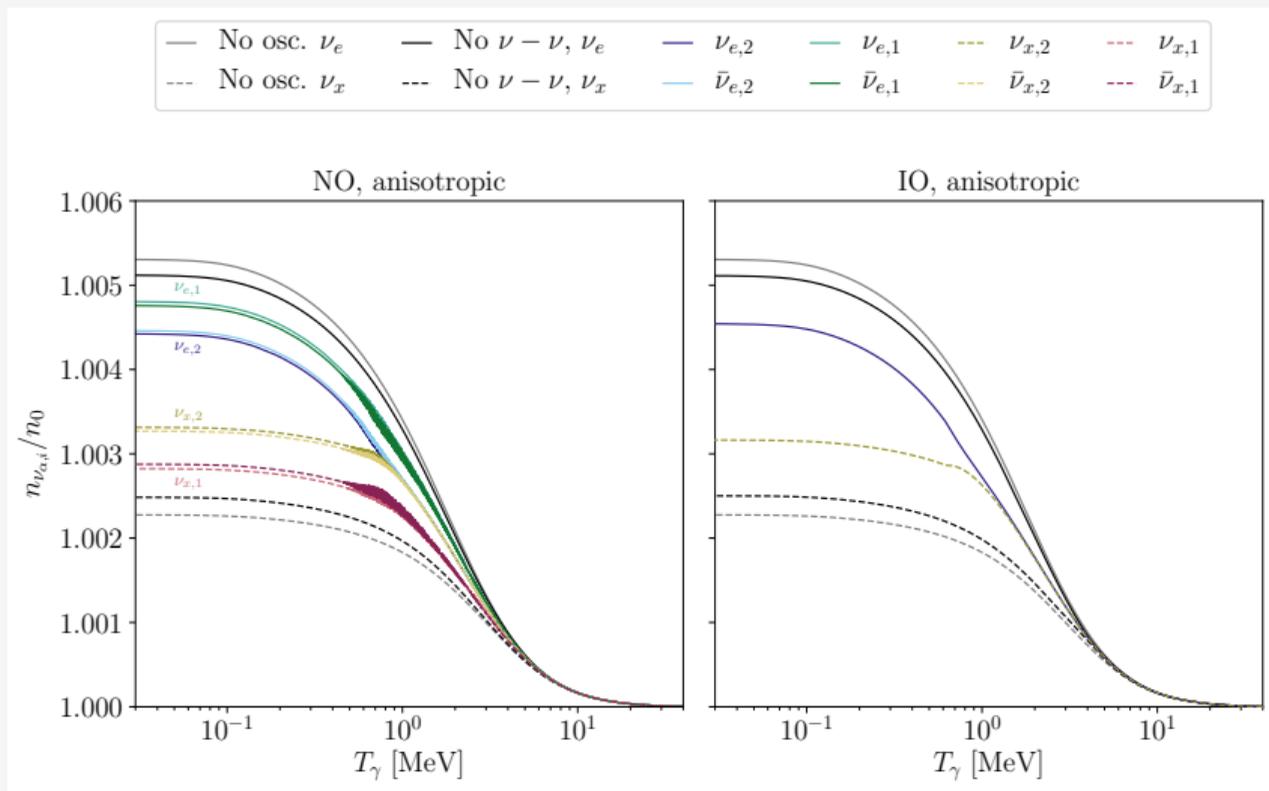
Homogeneous universe model with two angle bins:

- Universe is homogeneous.
- Angular dependence approximated with two angle bins. (left moving L and right moving R not to be confused with chirality of the particles)
- Two neutrino oscillation framework.
- Relaxation-time-like approximation for the collision term.



Anisotropic neutrino oscillations

RSLH, Shalgar and Tamborra (2012.03948)



Change in N_{eff} in the two angle bin model

RSLH, Shalgar and Tamborra (2012.03948)

For no neutrino oscillations, $N_{\text{eff}} = 3.0460$ (not very accurate).

The cases with oscillations give:

	NO, isotropic	NO, anisotropic	NO, anisotropic ($\mu_{\text{ini}} = 10^{-9}$)	IO, both
δN_{eff}	+0.0001	+0.0005	+0.0005	+0.0006

These are only indications from a simple model with an approximated collision term!

From Bennett et al. (2012.02726), $N_{\text{eff}} = 3.0440 \pm 0.0002$.

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Beyond Standard Model scenarios

- **Sterile neutrinos:** (see also Joachims lectures)

If the SM and sterile neutrinos oscillate during neutrino decoupling, anisotropies and inhomogeneities could arise.

- **Low temperature reheating:**

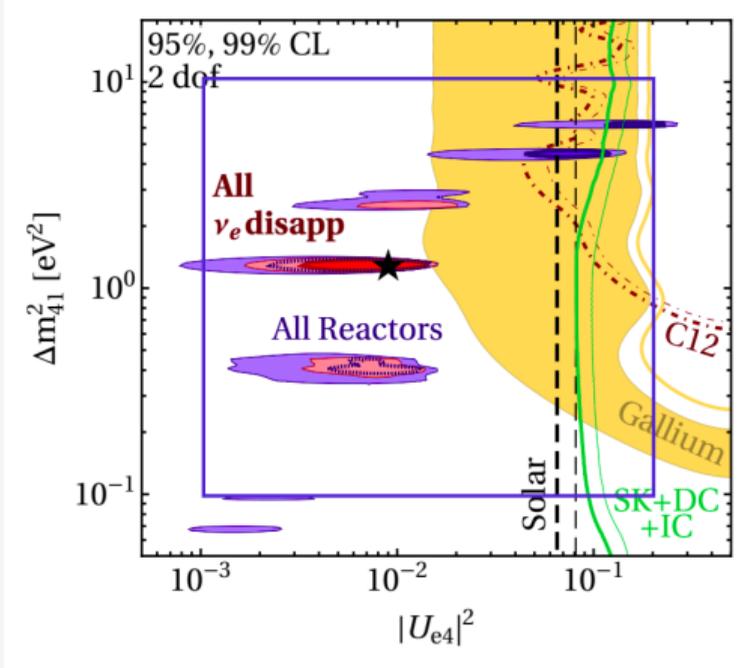
A reheating temperature around $T = 1\text{MeV}$ would lead to non-equilibrium densities of neutrinos which could allow anisotropic and inhomogeneous oscillations to take place.

- **Large lepton asymmetries:**

A large asymmetry between neutrinos and antineutrinos also provide good conditions for flavor oscillations.

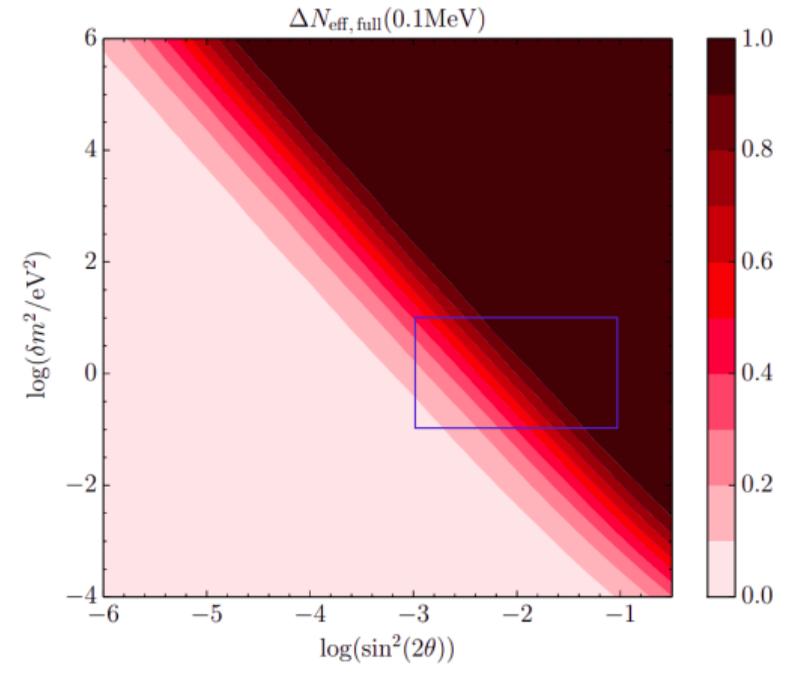
The linear stability analysis suggests that collective modes are suppressed.

Sterile neutrinos mixing with electron neutrinos ($\Delta N_{\text{eff}} \lesssim 0.3$)



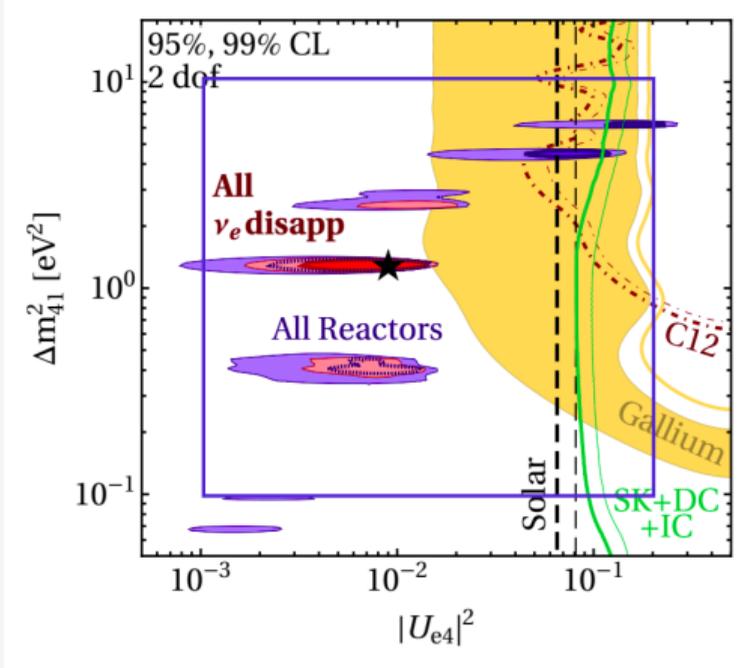
Dentler et al. (1803.10661)

Similar for mixing with muon neutrinos



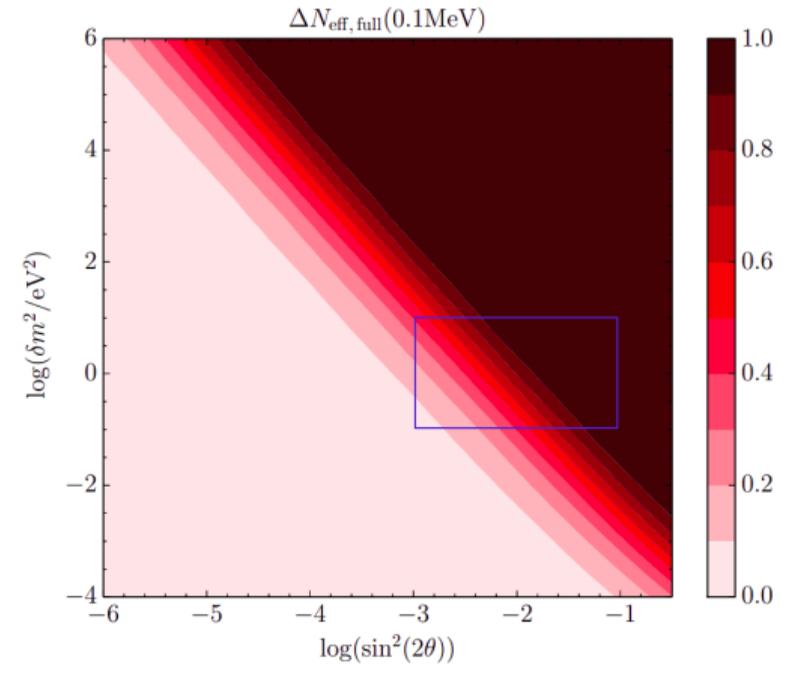
Hannestad, RSLH et al. (1506.05266)

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+ constraints on $\sum m_i$ 23

Summary

N_{eff} is a powerful probe of both Standard Model and Beyond Standard Model physics.

The Standard Model value is $N_{\text{eff}} = 3.044$.

The corrections come from:

- m_e/T_d being finite.
- Finite temperature QED.
- Non-instantaneous decoupling
- Neutrino oscillations.

Neutrino oscillations in the early Universe can be anisotropic and inhomogeneous.

Thanks for your attention