

Bjarne 50++ Symposium

# Finite–Time Thermodynamics of Endoreversible Systems

Karl Heinz Hoffmann  
TU Chemnitz  
Germany

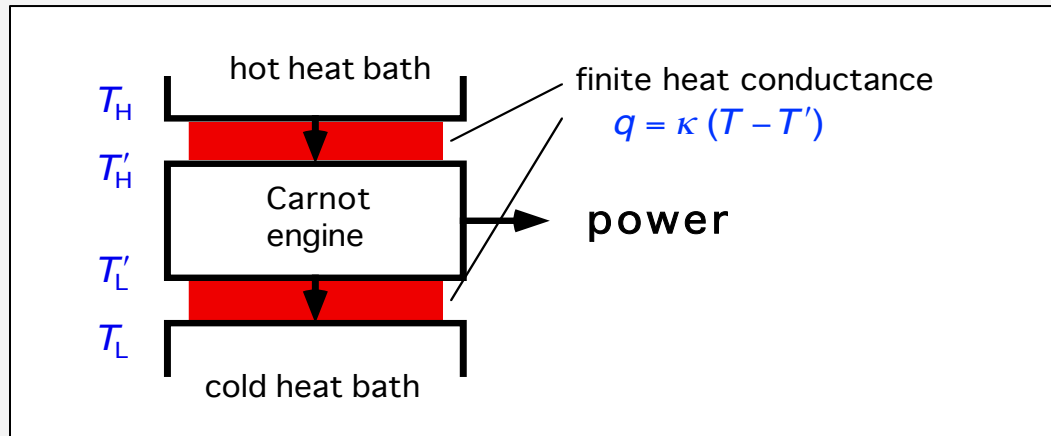
# Finite Time Thermodynamics

	Efficiency	Process Type
Classical Thermodynamics	Carnot $\eta_{\text{Carnot}} = \frac{T_H - T_L}{T_H}$	reversible, quasistatic, infinitely slowly
Reality	?	Finite rates Finite times

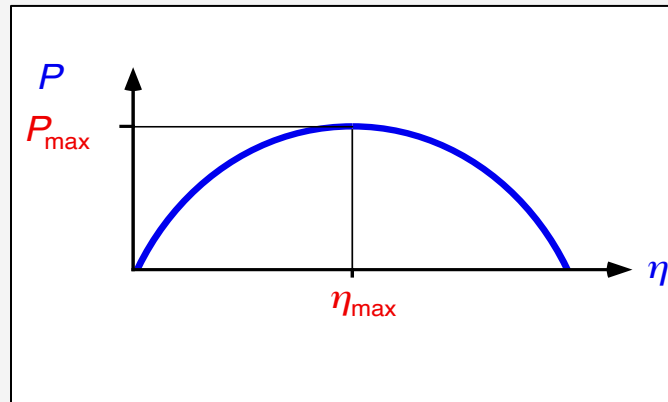
- Is there a price for finite rates ?
- How large is the price ?
- What is the process with the minimal price ?

# Maximum Power Processes

- An irreversible power station model: The Curzon-Ahlborn Engine



- Maximize power !!



$$\eta_{\text{CARNOT}} = 1 - \frac{T_L}{T_H}$$

# Maximum Power Processes

- Efficiency at maximum power:

$$\eta_{\max P} = 1 - \sqrt{\frac{T_L}{T_H}}$$

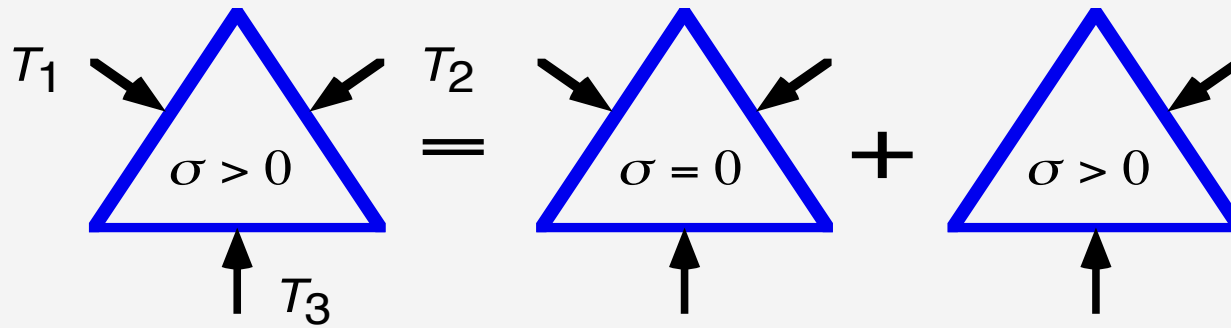
- Application to real power stations

Power station	$T_L$	$T_H$	$\eta_{\text{Carnot}}$	$\eta_{\max P}$	$\eta_{\text{real}}$
West Thurock (Coal)	25	565	0.64	0.40	0.36
CANDU (nuclear)	25	300	0.48	0.28	0.30
Laderello (geothermal)	80	250	0.32	0.17	0.16

F. L. Curzon and B. Ahlborn. Efficiency of a Carnot engine at maximum power output. Am. J. Phys., 43:22–24, 1975.

# An Early Finite Time Thermodynamics Concept

- Tricycle Formalism (B. Andresen, P. Salamon, RS Berry, 1977)



- Express the dissipative flows in terms of the reversible one



**Quantify dissipation**

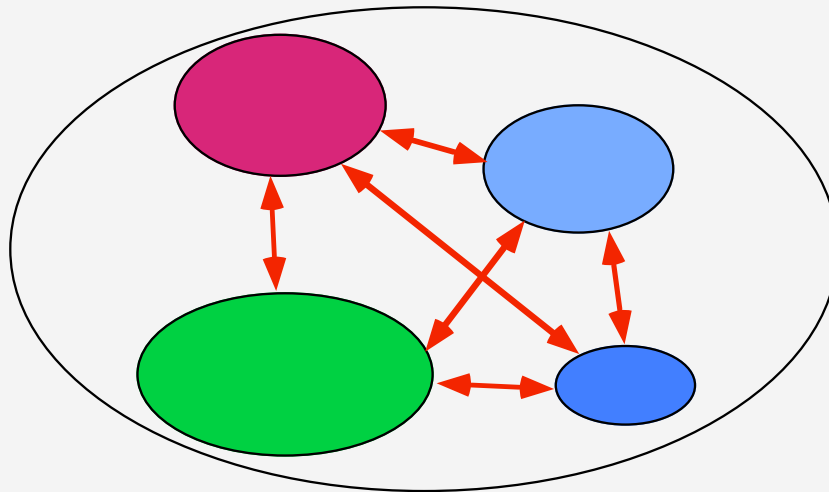
# Endoreversible Thermodynamics

A non-equilibrium  
Finite-Time  
Thermodynamics theory



# Endoreversible Thermodynamics

- Nonequilibrium  $\longrightarrow$  entropy production  $\longrightarrow$  losses



SUBSYSTEMS exchange **extensities**,  
e.g.  
volume, chemical compounds,  
charge, etc

- Subsystems reversibel (**endoreversible!**)
- Transport irreversibel

Quantifying entropy production!

# Subsystems

- Equilibrium systems described by extensivities:
  - volume
  - entropy
  - charge
  - momentum
  - angular momentum
  - particle number
  - etc
- Extensivities obey balance equations

$$\frac{dX}{dt} = I_X + \Sigma_X \quad (\text{rate of change} = \text{flux} + \text{production})$$



# Interactions

- The Gibbs equation

$$dE = T dS - p dV + \mu dn + \omega dL + v dP + \dots$$

- Influx of carrier  $\frac{dX}{dt} = J_X$  leads to influx of energy  $I_X = \frac{dE}{dt}$

energy comes  
with a carrier



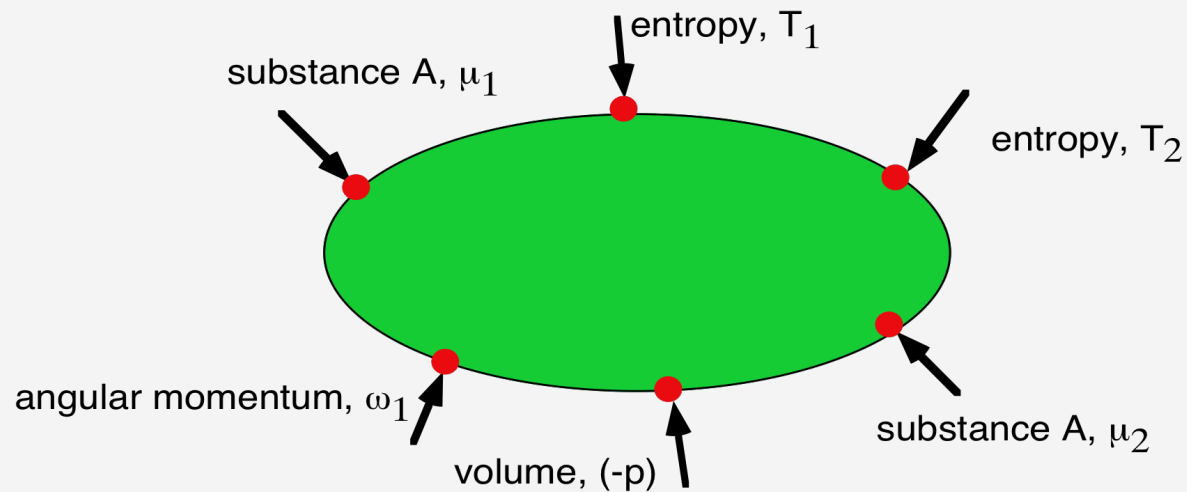
- examples: heat comes with entropy  
work comes with volume

# Subsystems

- Equilibrium systems described by extensities or intensities

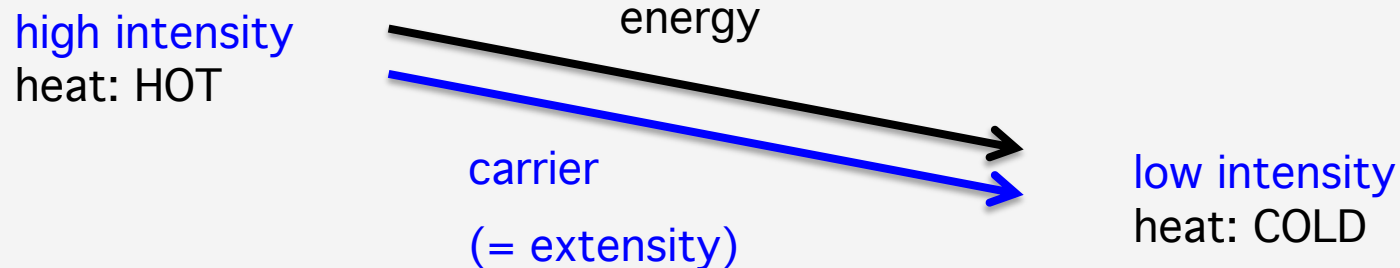
e.g. **heat bath** with temperature  $T$

- **reversible** engines, e.g, **Carnot engine** or more general



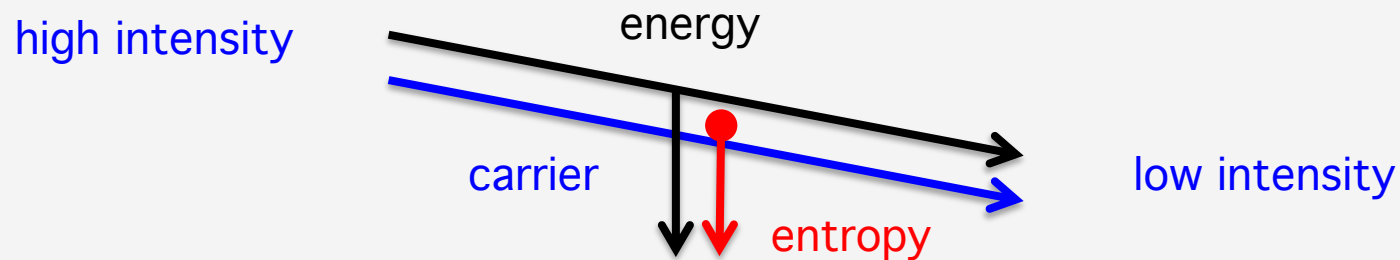
# Moving Energy

- Energy always has a carrier
  - energy                    heat, electrical energy, chemical energy
  - carrier                    entropy, charge, chemical compound
  - intensity                 temperature, electrical potential, chemical potential
- Energy moves if there is a difference in intensity



# Moving Energy is Not For Free

- Dissipative interaction: **entropy production = loss**



- Transport laws

- for carrier

example chemical compound

$$j \propto \mu_1 - \mu_2$$

- for energy

example heat

$$q \propto T_1 - T_2$$

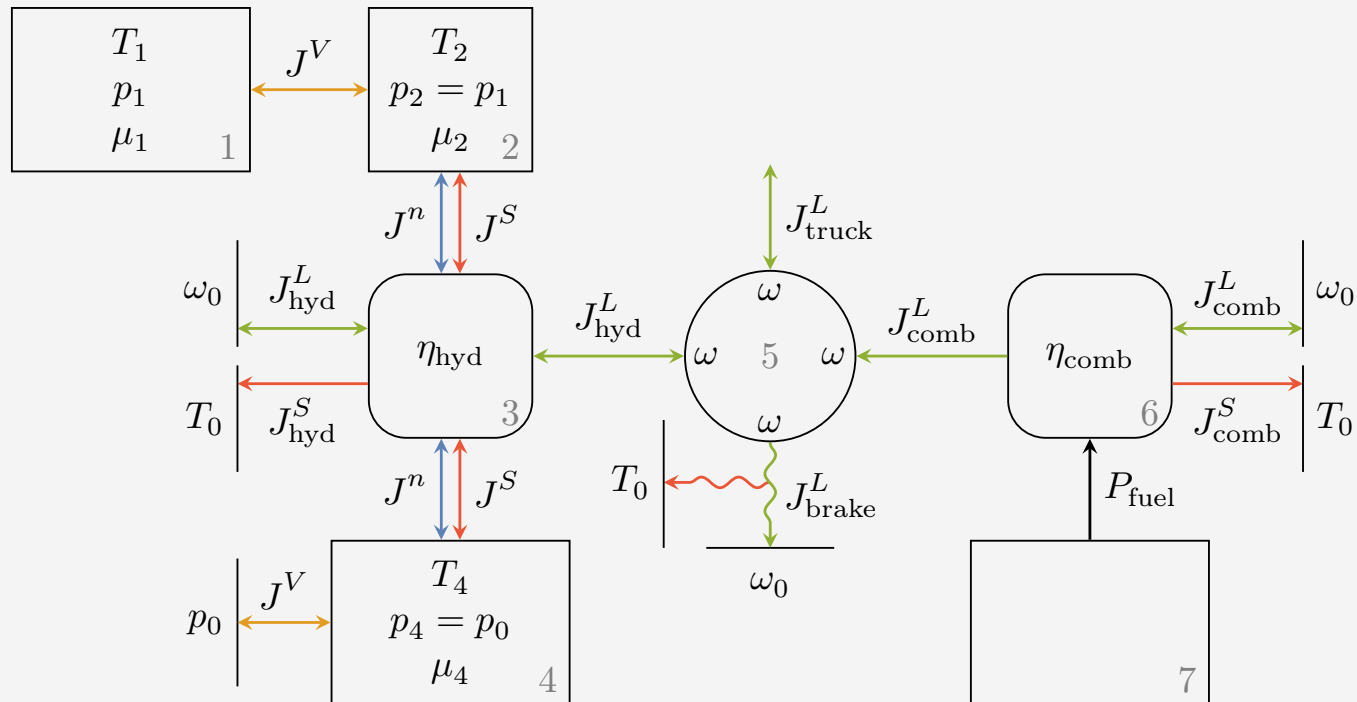
# Endoreversible Thermodynamics

- System theory for nonequilibrium systems
  - subsystems
  - interactions
- Subsystems
  - equilibrium systems: Reservoirs
  - but also Reversible Engines
  - Reactors
- Interactions
  - reversible
  - irreversible
  - for instance: regenerator

An  
Endoreversible  
Thermodynamics description  
allows to quantify  
the dissipation

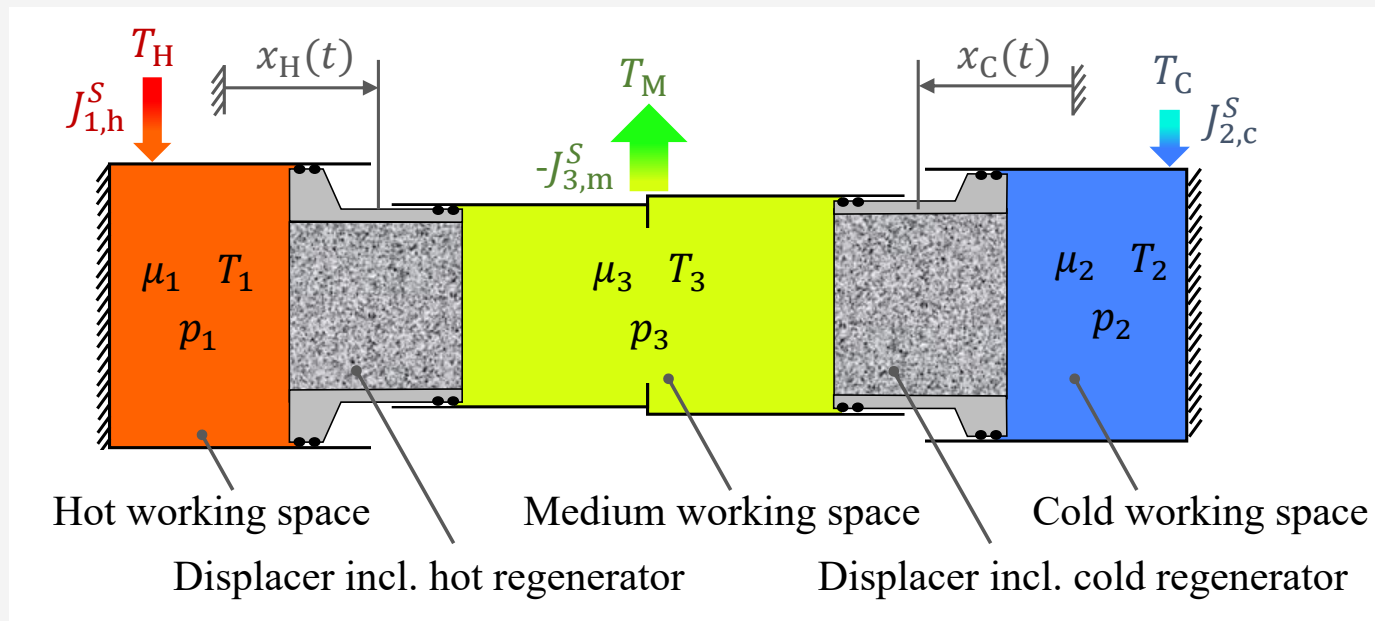
# Endoreversible Thermodynamics: Applications

- Hydraulic recuperation systems for trucks (Robin Masser, KHH)



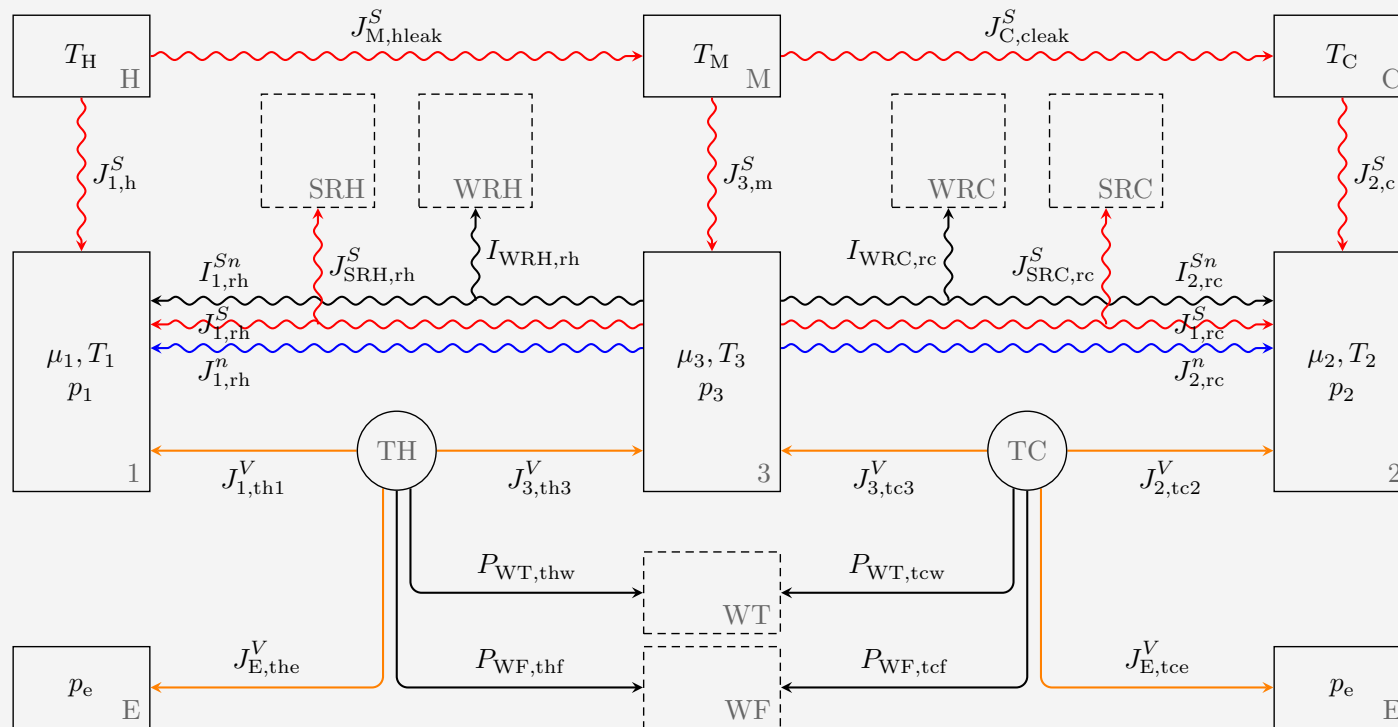
# Endoreversible Thermodynamics: Applications

- Vuilleumier refrigerators (Raphael Paul, Abdellah Khodja, Andreas Fischer, KHH)



# Endoreversible Thermodynamics: Applications

- Vuilleumier refrigerators (Raphael Paul, Abdellah Khodja, Andreas Fischer, KHH)





# Performance Measures

- Description of systems:
  - transport equations
  - state equations
  - balance equations
- Performance measures
  - maximum power
  - efficiency
  - minimum entropy production
  - maximum availability / exergy
  - etc

known dynamics !!

optimize  
performance !!

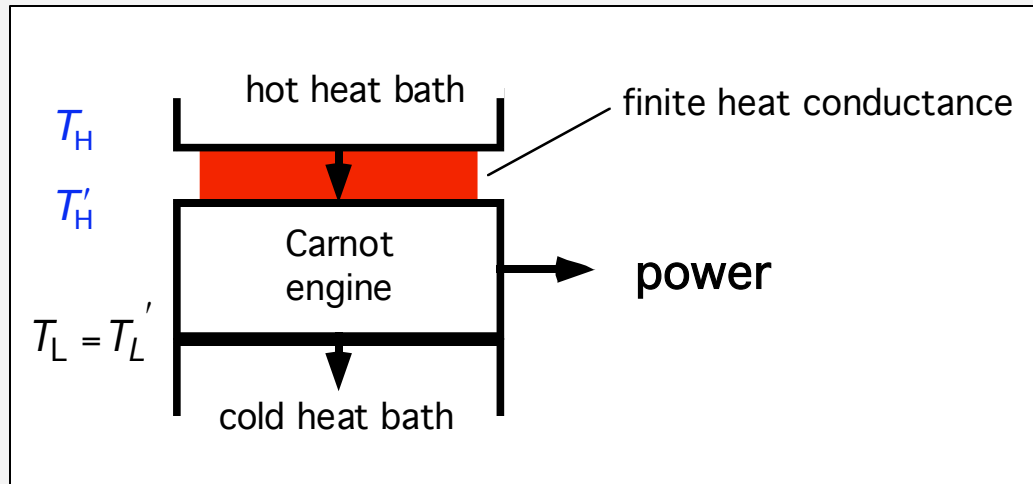
**The importance  
of  
optimization goals  
and  
interactions (transport equations)**

in an Endoreversible Thermodynamics description



# Maximum Power Processes

- An irreversible power station model: The Novikov Engine



- Newtonian heat conduction
- Fourier heat conduction

$$q = \kappa \left( \frac{1}{T} - \frac{1}{T'} \right)$$

$$\eta_N = 1 - \sqrt{\frac{T_L}{T_H}}$$

$$\eta_F = \frac{1}{2} \left( 1 - \frac{T_L}{T_H} \right) = \frac{1}{2} \eta_{Carnot}$$

# Maximum Power Processes

- Efficiency at maximum power
- Application to real power stations

Power station	$T_L$	$T_H$	$\eta_{\text{Carnot}}$	$\eta_{\text{maxP}}$	$\frac{1}{2}\eta_{\text{Carnot}}$	$\eta_{\text{real}}$
West Thurock (Coal)	25	565	0.64	0.40	0.32	0.36
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# Maximum Power vs. Minimum Entropy Production

- For some people, these are equivalent optimization goals. **Are they?**

## What Conditions Make Minimum Entropy Production Equivalent to Maximum Power Production?

Peter Salamon<sup>1</sup>, Karl Heinz Hoffmann<sup>2</sup>, Sven Schubert<sup>2</sup>, R. Stephen Berry<sup>3</sup>,  
Bjarne Andresen<sup>4</sup>

<sup>1</sup>Department of Mathematical Sciences, San Diego State University, San Diego, California, USA

<sup>2</sup>Institut für Physik, Technische Universität Chemnitz, Chemnitz, Germany

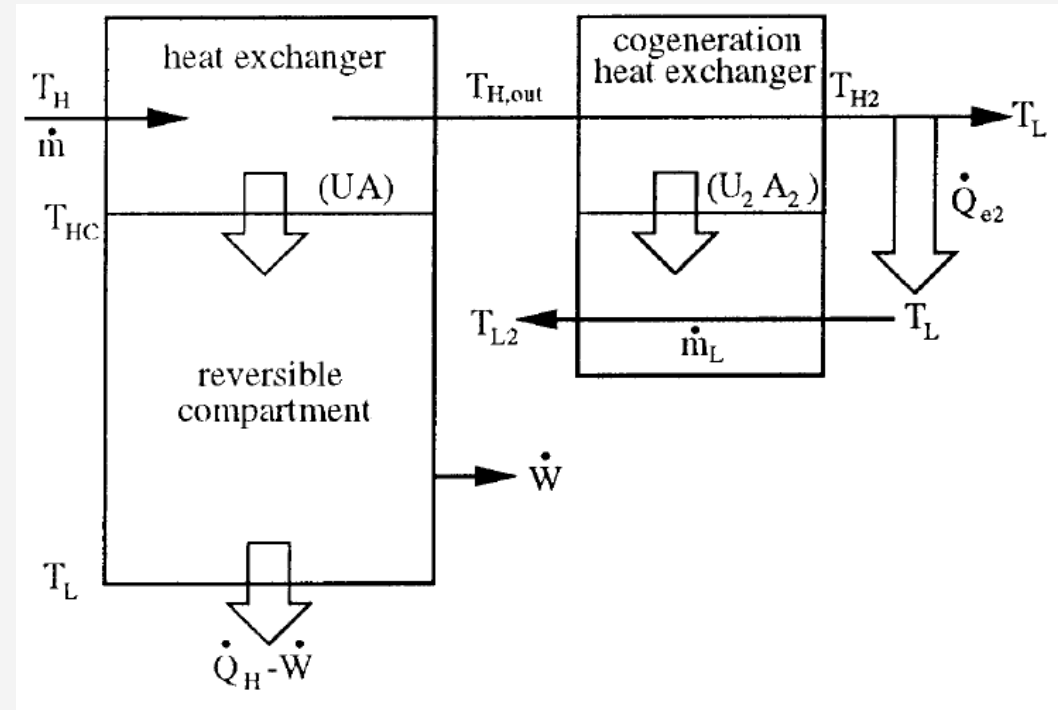
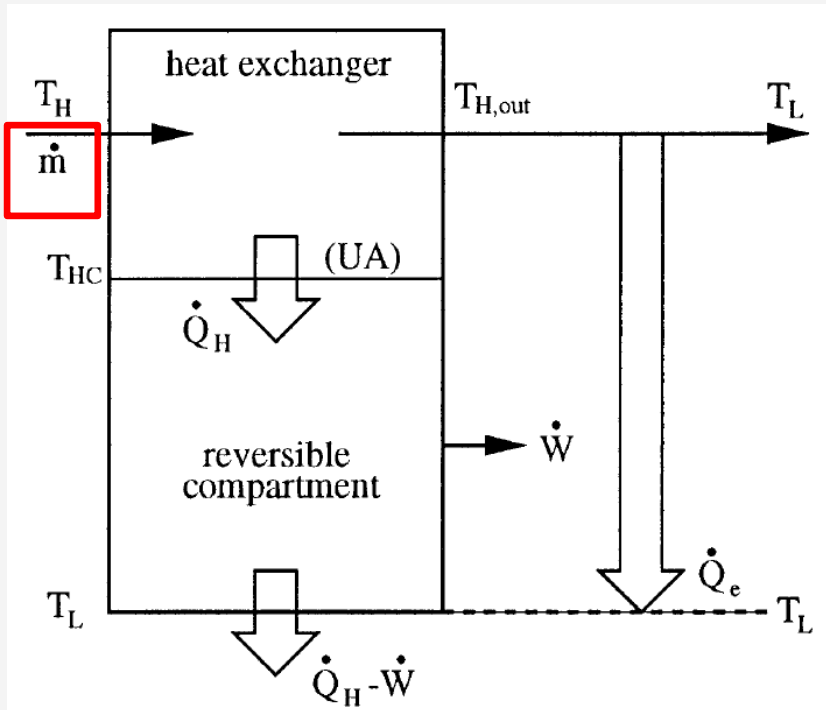
<sup>3</sup>Department of Chemistry and the James Frank Institute, The University of Chicago, Chicago, Illinois, USA

<sup>4</sup>Ørsted Laboratory, University of Copenhagen, Copenhagen, Denmark

Journal of Non-Equilibrium Thermodynamics 26, 73 (2001)

# Maximum Power vs. Minimum Entropy Production

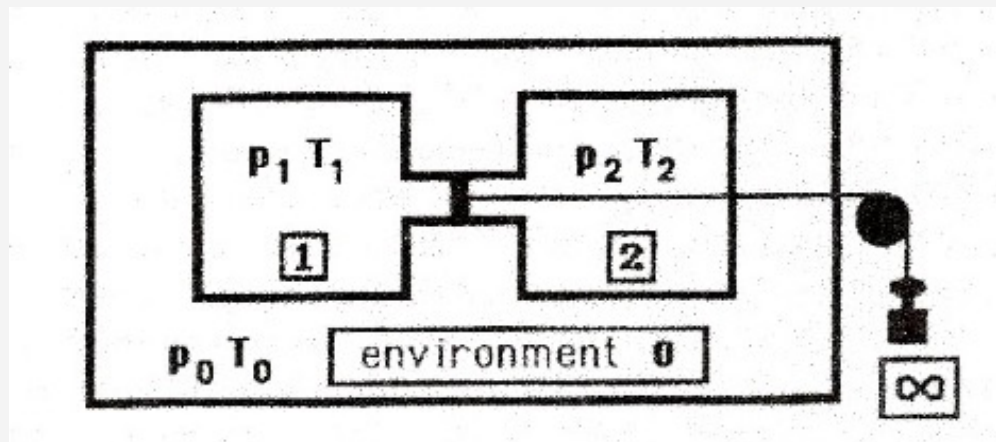
- It depends !! For instance on
  - whether the heat input is variable or
  - whether unused heat is degraded to the environment



# Work Deficiency

- Measures of Dissipation (KHH, Bjarne Andresen, Peter Salamon, 1989)
- Availability: always with respect to the environment
- Entropy production: only determined once the heat is deposited
- Work Deficiency:
  - Work dissipated to heat
  - But where does the heat go to?

$$dW^d = \frac{1}{2} \sum_{i,j} (y_i - y_j) dX_{ij}$$



# Maximum Power Processes: Solar Power Stations

Solar power station in Tabernas (Spain) (Karsten Schwalbe, KHH, 2018)

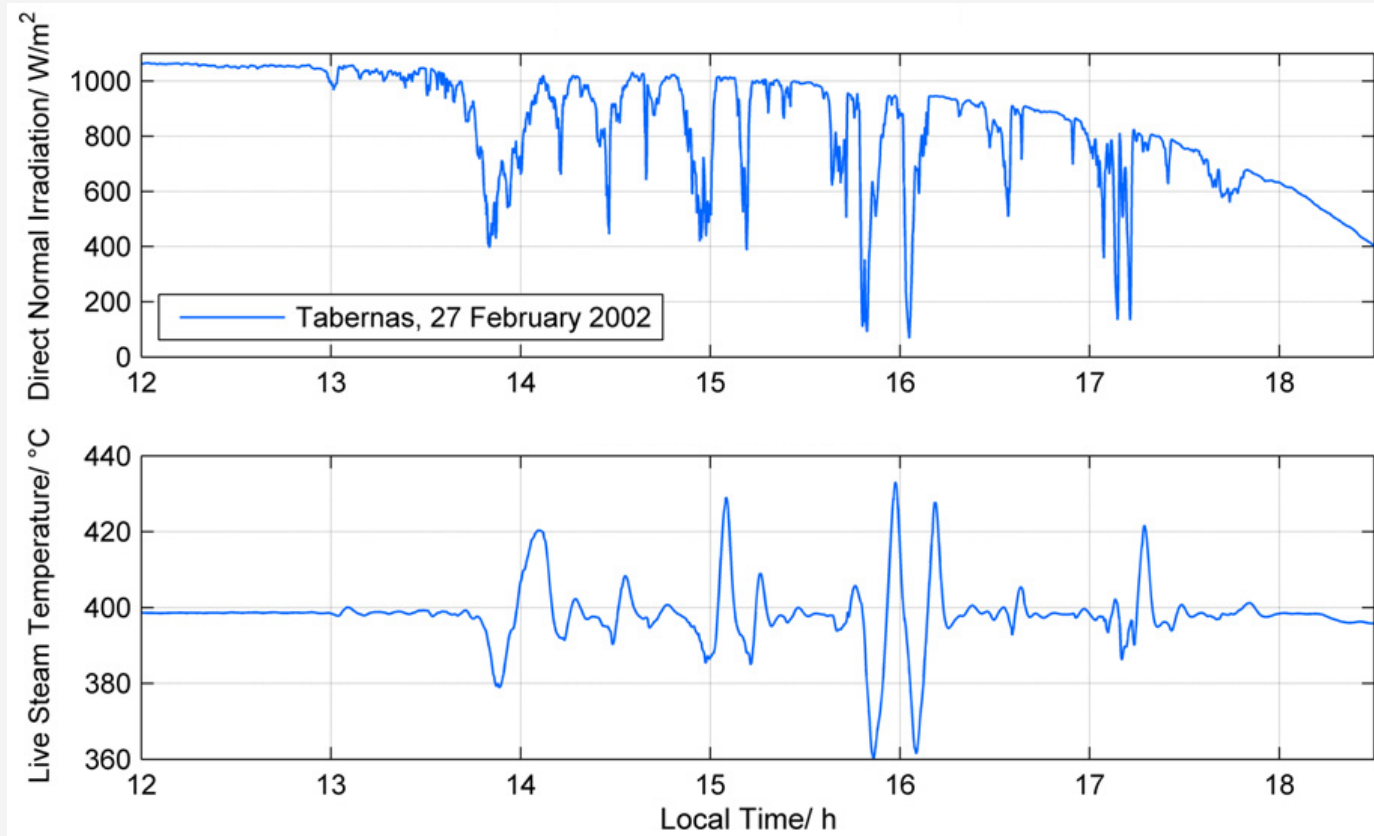


[www.dlr.de/sf/en/Portaldata/73/Resources/images/psa/DLR2007-516\\_PSA\\_Panorama\\_1.78.jpg](http://www.dlr.de/sf/en/Portaldata/73/Resources/images/psa/DLR2007-516_PSA_Panorama_1.78.jpg) [Jan 6, 2017]



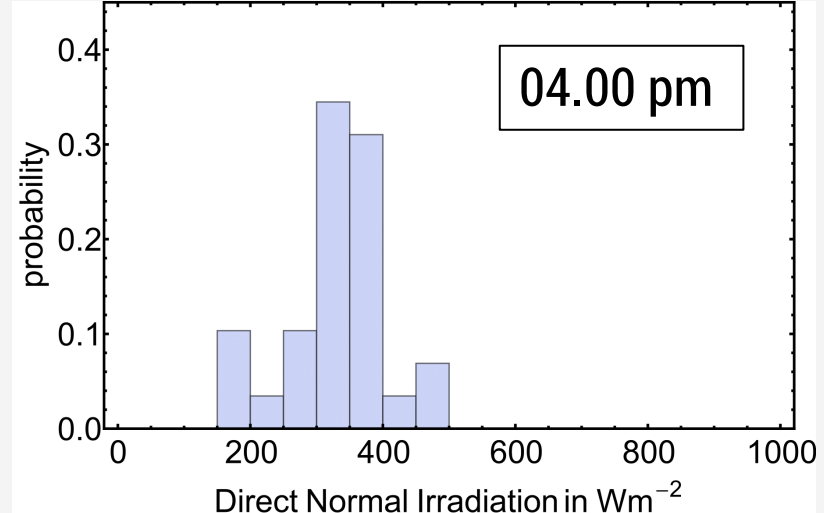
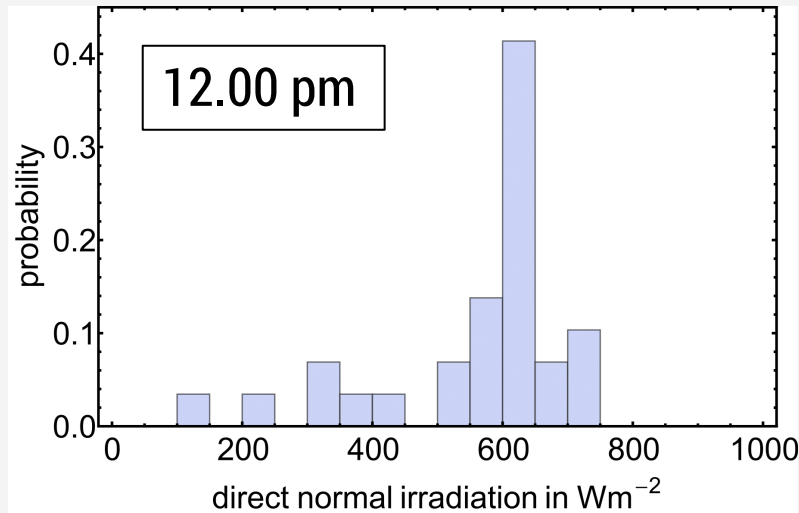
# Maximum Power Processes

Relation between irradiation and steam temperature



[www.dlr.de/sf/en/Portaldata/73/Resources/images/psa/DLR2007-516\\_PSA\\_Panorama\\_1.78.jpg](http://www.dlr.de/sf/en/Portaldata/73/Resources/images/psa/DLR2007-516_PSA_Panorama_1.78.jpg) [Jan 6, 2017]

# Time-dependent Distributions of Irradiation



Data for Tabernas in February 2004, taken from <http://www.soda-pro.com/web-services/radiation/helioclim-3-for-free> [Jan 6, 2017]

Stochastic fluctuations:

- Time dependent distributions
- Relevant for power stations

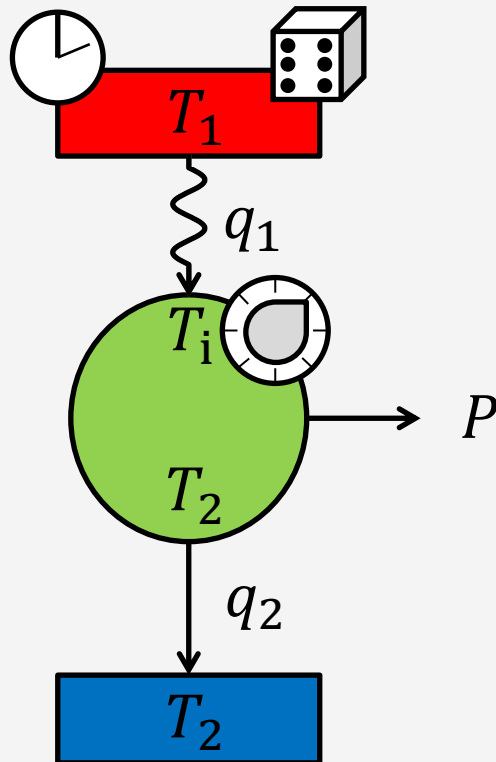
?

Performance

measures:

- Work output  $W$
- Efficiency  $\eta$

# Fluctuating Novikov Engine



Assumption:  $\rho(T_1, t)$  given

Performance measures:

$$W[T_i(T_1, t)] = \tau \langle \bar{P} \rangle \rightarrow \max$$

$$Q_1[T_i(T_1, t)] = \tau \langle \bar{q}_1 \rangle$$

$$\eta = \frac{W}{Q_1}$$

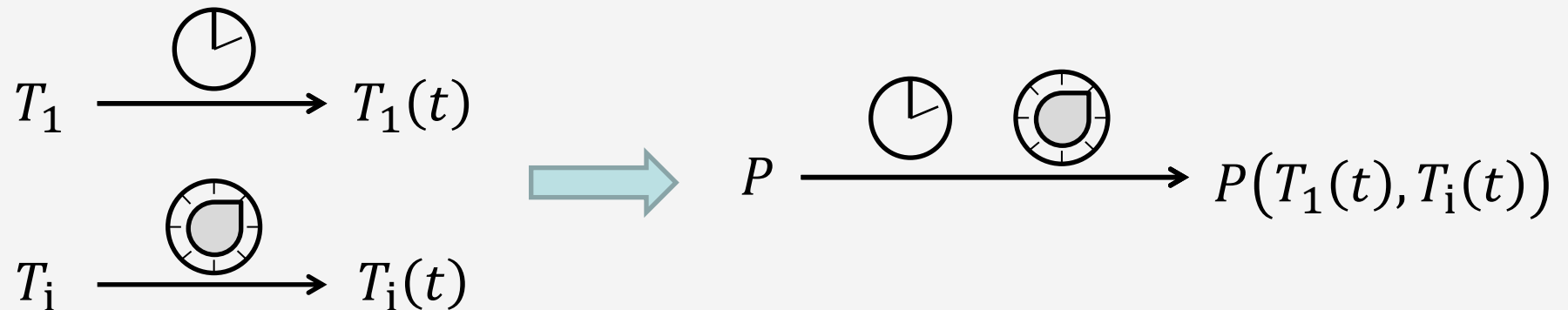
Notation:

$$\langle g \rangle = \int_0^\infty g(T_1) \rho(T_1, t) dT_1$$

$$\bar{g} = \frac{1}{\tau} \int_0^\tau g(t) dt$$

# Control Theory

Solar tower example:



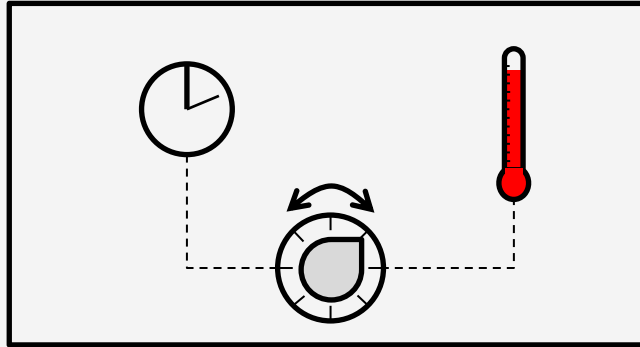
General:

$T_1(t) \longrightarrow \text{state } x(t)$        $P(T_1(t), T_i(t)) \longrightarrow \text{path benefits } \xi(u, x, t)$

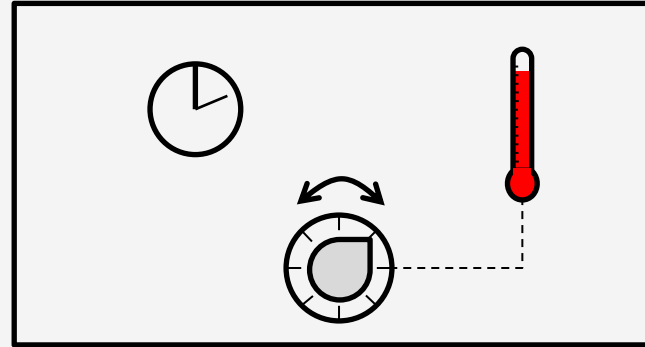
$T_i(t) \longrightarrow \text{control } u(t)$

$W \longrightarrow \text{benefit function } J$

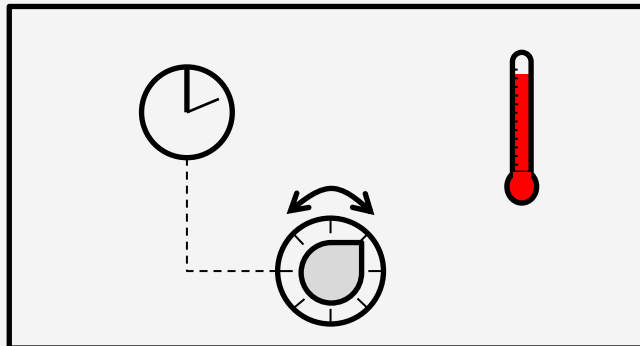
# Control Types



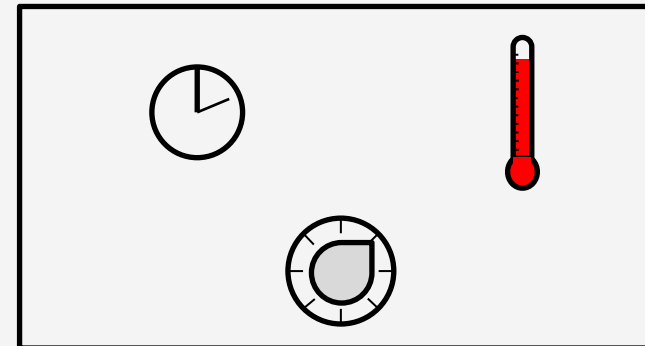
Feedback control  $u(x, t)$



State dep. control  $u(x)$



Time dep. control  $u(t)$



Single control  $u^0$

# Fluctuating Novikov Engine

	Single control	Time dep. control	Feedback control
$\hat{T}_i$	$\hat{T}_i^0 = \sqrt{T_2 \langle T_1 \rangle}$	$\hat{T}_i(t) = \sqrt{T_2 \langle T_1 \rangle(t)}$	$\hat{T}_i(t, T_1) = \sqrt{T_2 T_1}$
$\frac{\hat{W}}{\kappa T}$	$\langle T_1 \rangle - 2\sqrt{T_2} \sqrt{\langle T_1 \rangle} + T_2 \leq$	$\langle T_1 \rangle - 2\sqrt{T_2} \sqrt{\langle T_1 \rangle} + T_2 \leq$	$\langle T_1 \rangle - 2\sqrt{T_2} \langle \sqrt{T_1} \rangle + T_2$
$\hat{\eta}$	$1 - \sqrt{\frac{T_2}{\langle T_1 \rangle}}$	$1 - \frac{\sqrt{T_2} \sqrt{\langle T_1 \rangle} - T_2}{\langle T_1 \rangle - \sqrt{T_2} \sqrt{\langle T_1 \rangle}}$	$1 - \frac{\sqrt{T_2} \langle \sqrt{T_1} \rangle - T_2}{\langle T_1 \rangle - \sqrt{T_2} \langle \sqrt{T_1} \rangle}$



# Fluctuating Novikov Engine

Taylor expansion of  $\hat{\eta}$  for  $\sigma \ll \langle T_1 \rangle$

For three investigated symmetric distributions:

$$\hat{\eta} = 1 - \sqrt{\frac{T_2}{\langle T_1 \rangle}} + \frac{1}{8} \sqrt{\frac{T_2}{\langle T_1 \rangle}} \frac{\left(1 + \sqrt{\frac{T_2}{\langle T_1 \rangle}}\right)}{\left(1 - \sqrt{\frac{T_2}{\langle T_1 \rangle}}\right)} \left(\frac{\sigma}{\langle T_1 \rangle}\right)^2 + O\left(\left(\frac{\sigma}{\langle T_1 \rangle}\right)^4\right)$$

→ Extension of the Curzon-Ahlborn efficiency

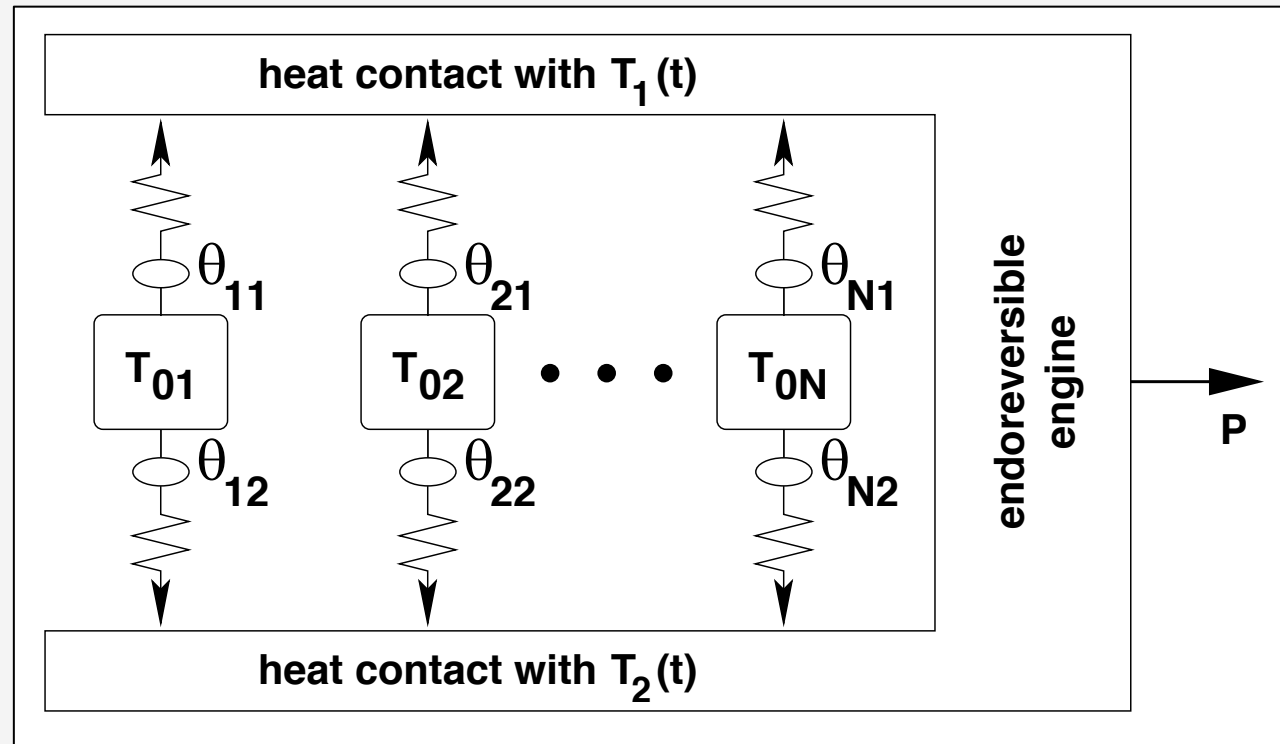


# Several Heat Baths connected to One Engine

one heat engine  
operating at MAX power

connected to  
several heat baths

which  
can be switched to  
the hot or the cold  
engine contact



S. Amelkin, B. Andresen, J. Burzler, KHH, A. Tsirlin (2004/2005)



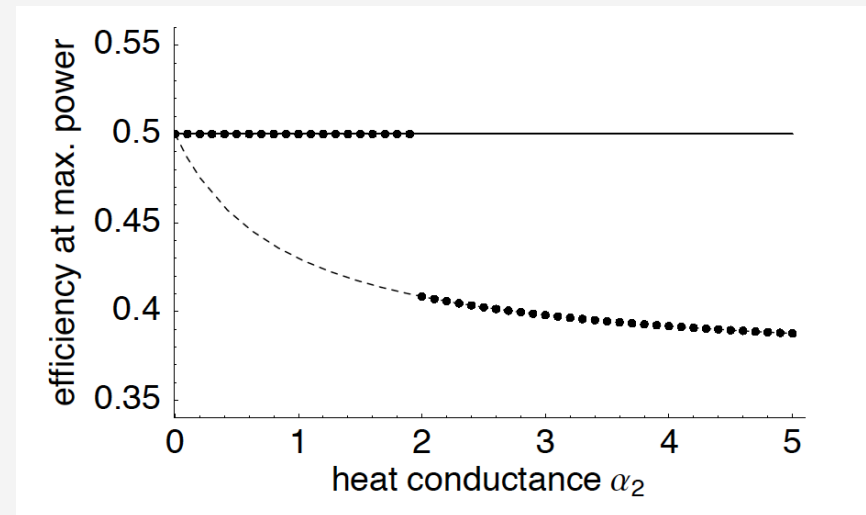
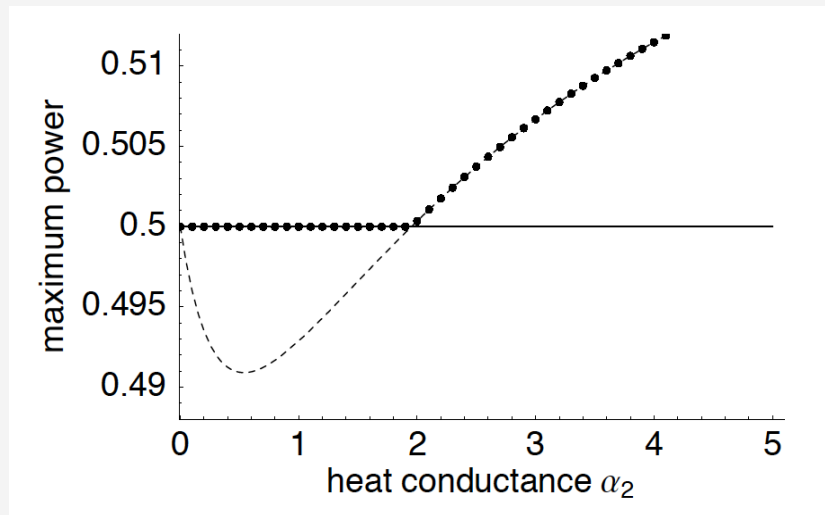
# Several Heat Baths connected to One Engine

Example: three heat baths

$$T_1 = 1 \quad T_2 = 1.6 \quad T_3 = 4$$

Newtonian heat conduction:

$$q_i = \alpha_i (T_{0i} - T)$$



New phenomenon: **UNUSED** reservoirs

# Several Heat Baths connected to One Engine

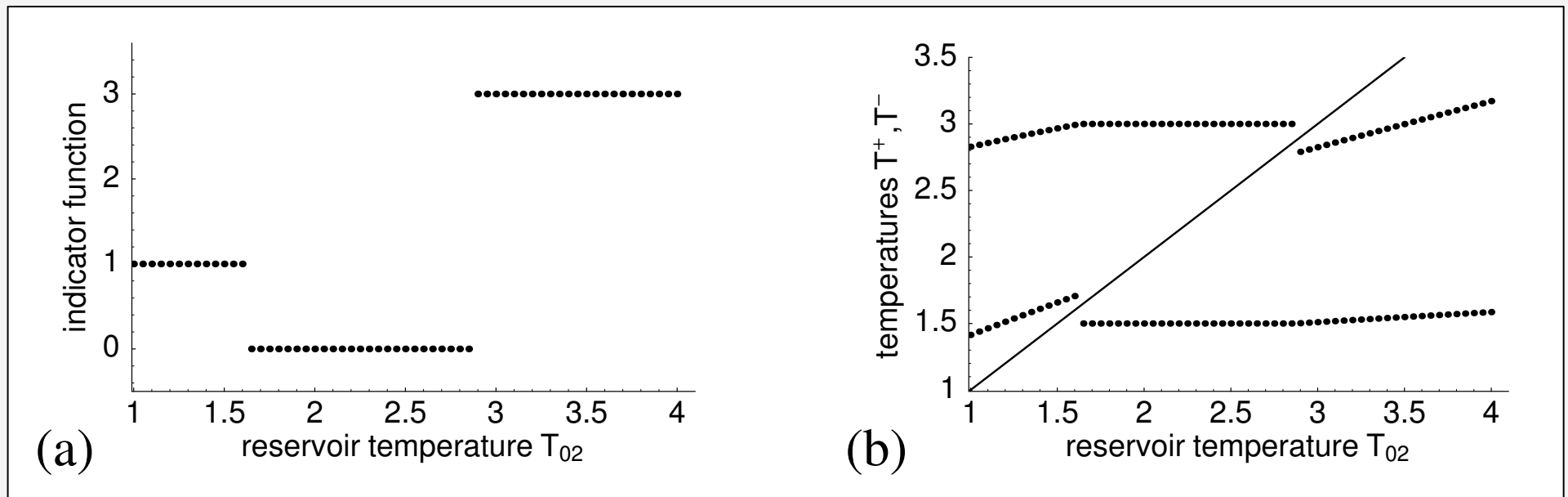
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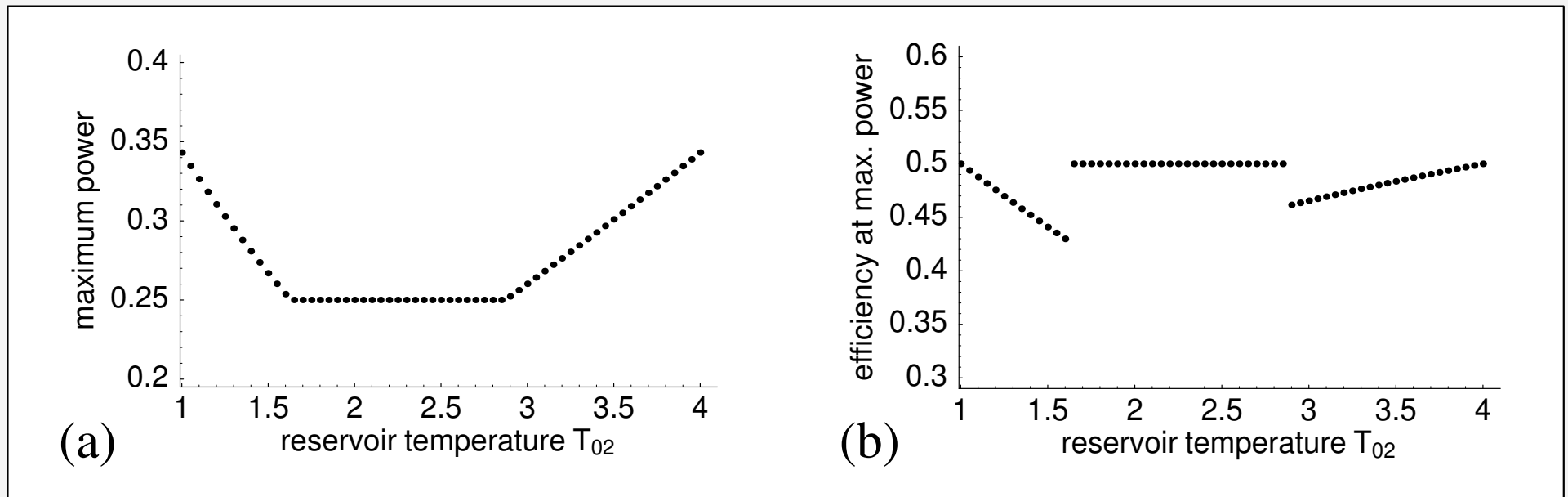
Example: three heat baths

$$T_1 = 1$$

$$T_3 = 4$$

Newtonian heat conduction:

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New phenomenon: **UNUSED reservoirs**

# Summary

- Quantifying the entropy production for **finite-time processes**
- Endoreversible Thermodynamics
  - Reversible subsystems
  - Irreversible interactions
  - A hierarchy of models
- Measures of Dissipation
- Application: **Stochastic Novikov Engine**
- Application: **Unused Reservoirs**