

New SYM phases at finite chemical potential

Óscar Dias



UNIVERSITY OF
Southampton

Based on:

OD, Prahar Mitra, Jorge Santos, 2207.07134 & 2210.xx

See also: Bhattacharyya, Minwalla, Papadodimas (2011)
Markeviciute, Santos (2016, 2018)

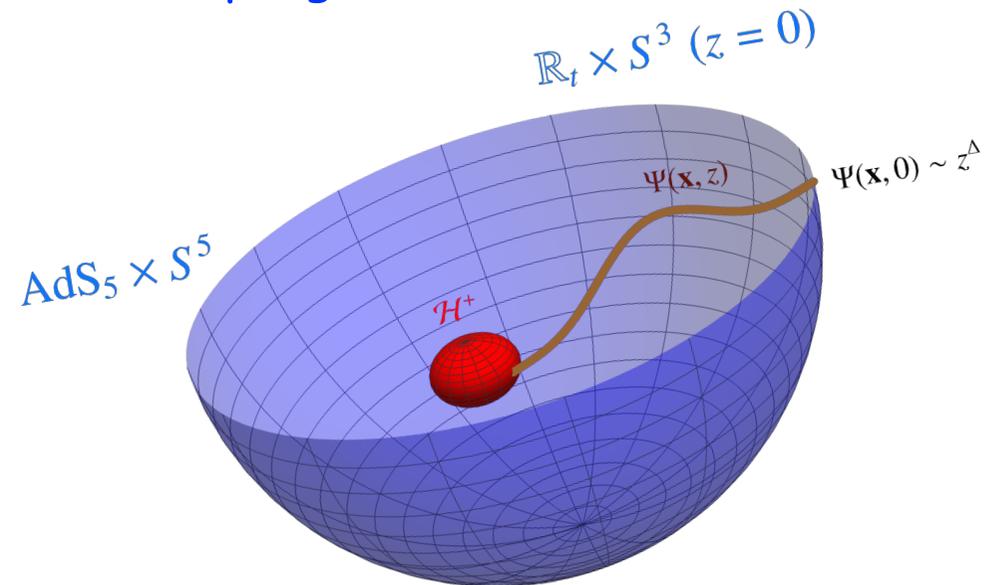
NBI mini workshop: What is new in gravity? Helsingoer, August 2022

→ AdS₅ / CFT₄ duality

Type IIB supergravity theory on AdS₅ × S⁵ with radius L and N units of F₍₅₎ flux on S⁵



Large N and strong t'Hooft coupling $\lambda = g_{\text{YM}}^2 N$ limit of $\mathcal{N} = 4$ Super Yang-Mills (SYM) theory with gauge group SU(N) & YM coupling g_{YM}



Thermal states of $\mathcal{N} = 4$ SYM

with temperature T, chemical potentials μ_j and energies $O(N^2)$

living on the Einstein static Universe $\mathbb{R}_t \times S^3$



Asymptotically global AdS₅ × S⁵ BHs of IIB supergravity with Hawking temperature T and chemical potentials μ_j

→ Motivations

- We should find all the BHs and map them into thermal states in the dual SYM
=> identify the dominant phases (as saddle points) in the thermodynamic ensembles.
- Necessary to reproduce microscopically the Bekenstein-Hawking entropy of AdS BHs.
[See Benini's review talk at Strings 2022]

- Contribute to understand a puzzle of $SO(6)$ gauged supergravity: its most general SUSY BH known so far — Kunduri-Lucietti-Reall BH — has only 4 independent parameters.

However, asymptotically $AdS_5 \times S^5$ BHs are characterized by 6 conserved charges with the BPS relation constraint $E = Q_1 + Q_2 + Q_3 + J_1 + J_2$

=> the most general SUSY BH should be a 5-parameter solution.

From dual CFT perspective, most general SUSY states also expected to be characterized by 5 parameters.

[Gutowski, Reall '04]

So, what is the missing gravitational parameter?

[Kunduri, Lucietti, Reall '06]

- AIM of this Talk: identify new thermal phases with a finite chemical potential that can dominate some thermodynamic ensembles.

→ Known SYM phases

- The massless bosonic fields of type IIB supergravity:

metric tensor g_{ab} , dilaton Φ , axion C , NS-NS antisymmetric 2-tensor $B_{(2)}$, RR 2-form potential $C_{(2)}$, and RR 4-form $C_{(4)}$ with a 5-form field strength $F_{(5)} = dC_{(4)}$ satisfying a self-duality condition.

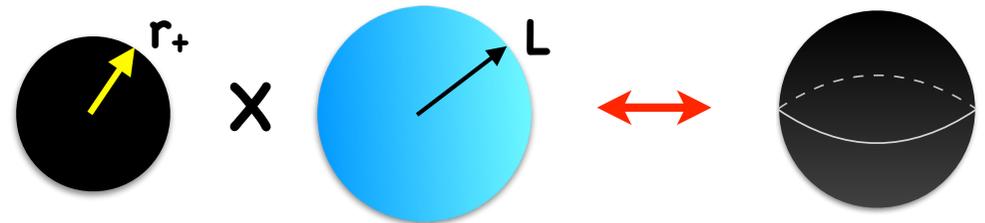
Fermionic superpartners: complex Weyl gravitino & complex Weyl dilatino.

- Known solutions of IIB supergravity with only $\{g_{ab}, F_{(5)}\}$:

- Global $AdS_5 \times S^5$ Schwarzschild & its rotating black hole (BH) partners.

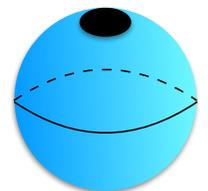
Everywhere (not only at bdry) the direct product of two base spaces $M_5 \times S^5$ and have horizon topology $S^3 \times S^5$.

[Witten '98]



- Asymptotically globally $AdS_5 \times S^5$ BHs that break the $SO(6)$ symmetry of S^5 down to $SO(5)$:

- lumpy BHs with polar deformations along the S^5 and
- localized BHs on the S^5 (with S^8 horizon topology).



[OD, Santos, Way 1501.06574 & 1605.04911]

→ Towards finding more SYM phases

- Explore even further the phase space of thermal states (to identify all the relevant saddle points for the thermodynamic partition functions of the theory).
- Useful: **dimensional reduction of IIB along S^5 yields 5d N=8 gauged supergravity.**
It's believed (not proven) to be a consistent reduction of IIB on $AdS_5 \times S^5$.

Gunaydin, Romans, Warner (1986)

- 10d fields $g_{ab}, \Phi, C, B_{(2)}, C_{(2)}, C_{(4)}$ are equivalently encoded in the 5d field content of **gauged N =8 SUGRA**: graviton g_{ab} , **fifteen** $SO(6)$ gauge fields A^{ij} , **twelve** 2-form gauge potentials in the $6 + \bar{6}$ representations of $SO(6)$, **42** scalars in the $1 + 1 + 20' + 10 + \bar{10}$ representations of $SO(6)$ & the fermionic superpartners.
- But IIB with only $g_{ab}, F_{(5)}$ (relevant for AdS/CFT: source D3's) can be consistently dim reduced along the S^5 to yield **5d $SO(6)$ gauged supergravity.**

Cvetic-Lü-Pope-Sadrzadeh-Tran [hep-th/0003103]

This is itself is a consistent truncation of **gauged N =8 SUGRA** where **we set the $1 + 1 + 10 + \bar{10}$ scalars and the $6 + \bar{6}$ 2-form potentials to zero.** The bosons that survive descend from $\{g_{ab}, F_{(5)}\}$ of IIB:

the graviton g_{ab} , the 15 gauge fields A^{ij} & the $20'$ scalars.

→ $U(1)^3$ gauged supergravity:

[OD, Mitra, Santos '22]

Consistent truncation of $SO(6)$ gauged SUGRA down to the Cartan subgroup of $SO(6)$ with associated gauge fields $\{A_{(1)}^K\}$ ($K=1,2,3$): $U(1)^3$ gauged supergravity.

The field content:

Graviton g_{ab} + 2 neutral scalars $\{\varphi_1, \varphi_2\}$ + 3 $U(1)$'s gauge fields $\{A_{(1)}^K\}$,

+ 3 complex scalar fields $\{\Phi_K\}$ minimally coupled to $\{A_{(1)}^K\}$ with charge $q_L = 2$.

All 5 scalars have mass $m^2 L^2 = -2 \Rightarrow$ saturate AdS_5 Breitenlöhner-Freedman (BF) bound.

$$S = \frac{1}{16\pi G_5} \int dx^5 \sqrt{-g} \left\{ R - V(\varphi_{\tilde{K}}, \lambda_K) - \frac{1}{2} \sum_{\tilde{K}=1}^2 (\nabla \varphi_{\tilde{K}})^2 - \frac{1}{4} \sum_{K=1}^3 \frac{(F_{(2)}^K)^2}{X_K^2(\varphi_{\tilde{K}})} \right. \\ \left. - \frac{1}{8} \sum_{K=1}^3 \left[(D_a \Phi_K)(D^a \Phi_K)^\dagger - \frac{(\nabla \lambda_K)^2}{4(4 + \lambda_K)} \right] \right\} - \frac{1}{16\pi G_5} \int F_{(2)}^1 \wedge F_{(2)}^2 \wedge A_{(1)}^3$$

$$D_a \Phi_K \equiv \partial_a \Phi_K - i \frac{2}{L} A_a^K \Phi_K, \quad F_{ab}^K \equiv \partial_a A_b^K - \partial_b A_a^K, \quad \lambda_K \equiv \Phi_K \Phi_K^\dagger$$

$$V(\varphi_{\tilde{K}}, \Phi_K) = \frac{1}{2L^2} \left[\sum_{K=1}^3 X_K^2(\varphi_{\tilde{K}}) \lambda_K - \sum_{I \neq J \neq K=1}^3 \frac{\sqrt{4 + \lambda_J} \sqrt{4 + \lambda_K}}{X_I(\varphi_{\tilde{K}})} \right]$$

- EoM of $U(1)^3$ gauged SUGRA are complicated.

- Three special cases of $U(1)^3$ gauged supergravity where the EoM simplify:

1. Truncation with **three equal charges** $\Rightarrow A^1 = A^2 = A^3 \equiv A, \Phi_1 = \Phi_2 = \Phi_3 \equiv \Phi$

$$\varphi_1 = \varphi_2 = 0.$$

Liu,Lü,Pope,Vazquez-Poritz '07

Bhattacharyya, Minwalla, Papadodimas (2011)

Markeviciute, Santos (2016, 2018)

2. Truncation with a **single charge** $\Rightarrow A_{(1)}^1 = A_{(1)}^2 \equiv 0, A_{(1)}^3 \equiv A_{(1)}$ and $\Phi_1 = \Phi_2 \equiv 0, \Phi_3 \equiv \Phi$

$$\varphi_1 \equiv \varphi, \varphi_2 = 0$$

This talk

[OD, Mitra, Santos '22]

3. Truncation with **two equal charges** $\Rightarrow A^1 = A^2 \equiv A, A^3 \equiv 0$ and $\Phi_1 = \Phi_2 \equiv \Phi, \Phi_3 \equiv 0$

$$\varphi_1 \equiv \varphi, \varphi_2 = 0$$

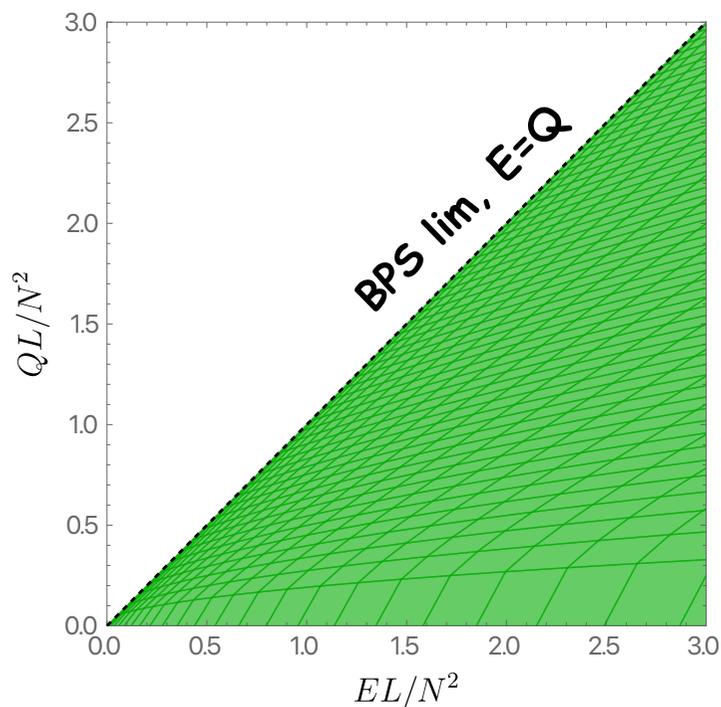
This talk

[OD, Mitra, Santos '22]

→ 'Bald' BHs (Cvetič-Lü-Pope 2004): $\Phi_K = 0$ ($K=1,2,3$) $\Rightarrow \langle \Phi_K \rangle = 0$

- Parameterized by an energy E and three electric charges $\{Q_1, Q_2, Q_3\} \leftrightarrow A_{(1)}^K$
Can be viewed as the "Reissner-Nordström-AdS₅" (RNAdS) BHs of the theory.
But, also have non-trivial neutral scalar fields $\{\varphi_1, \varphi_2\}$ supporting them.

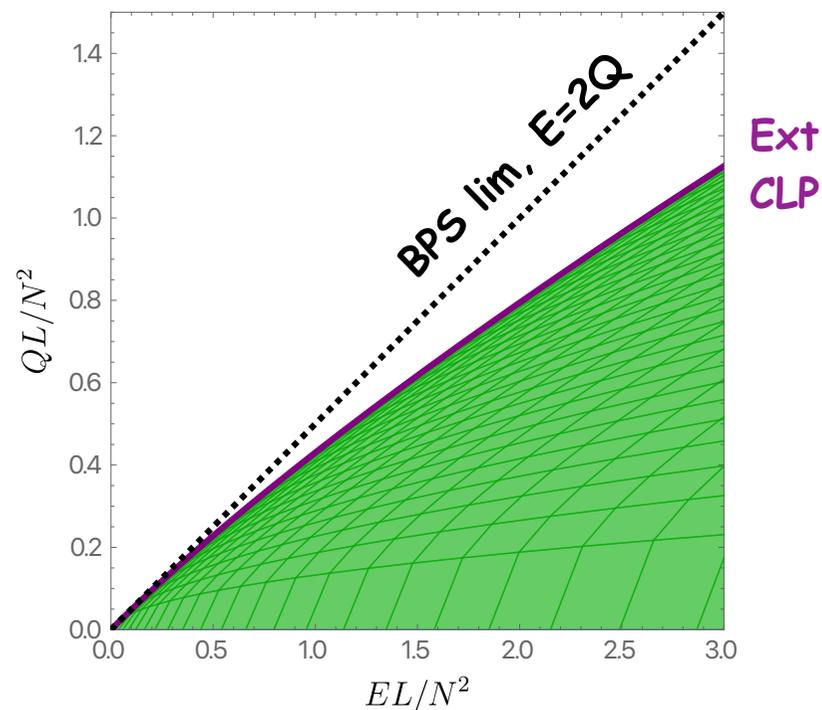
- Single charge truncation



Smooth CLP: $E > Q$

CLP are singular ($S=0$) at $E = Q$

- Two equal charge truncation
(equal 3-charge truncation is similar)



Smooth CLP: $E > Q_{\text{ext}} < 2Q$

CLP are singular ($S=0$) at $E = Q_{\text{ext}}$

→ Truncation of $U(1)^3$ gauged supergravity with a single charge

$$A_{(1)}^1 = A_{(1)}^2 \equiv 0, A_{(1)}^3 \equiv A_{(1)} \text{ and } \Phi_1 = \Phi_2 \equiv 0, \Phi_3 \equiv \Phi$$

$$\varphi_1 \equiv \varphi, \varphi_2 = 0$$

→ Truncation of $U(1)^3$ gauged supergravity with a single charge

$$LQ/N^2 = 1$$

- **Scalar condensation instability** of 'Bald' CLP BHs ($\langle \Phi \rangle = 0$):

Perturb CLP BH with charged

scalar field $\Phi_3 = \Phi$: $\Phi(t, r) = \hat{\Phi}_\omega(r) e^{-i\omega t}$

& solve the associated "Klein-Gordon" eqn with appropriate BCs.

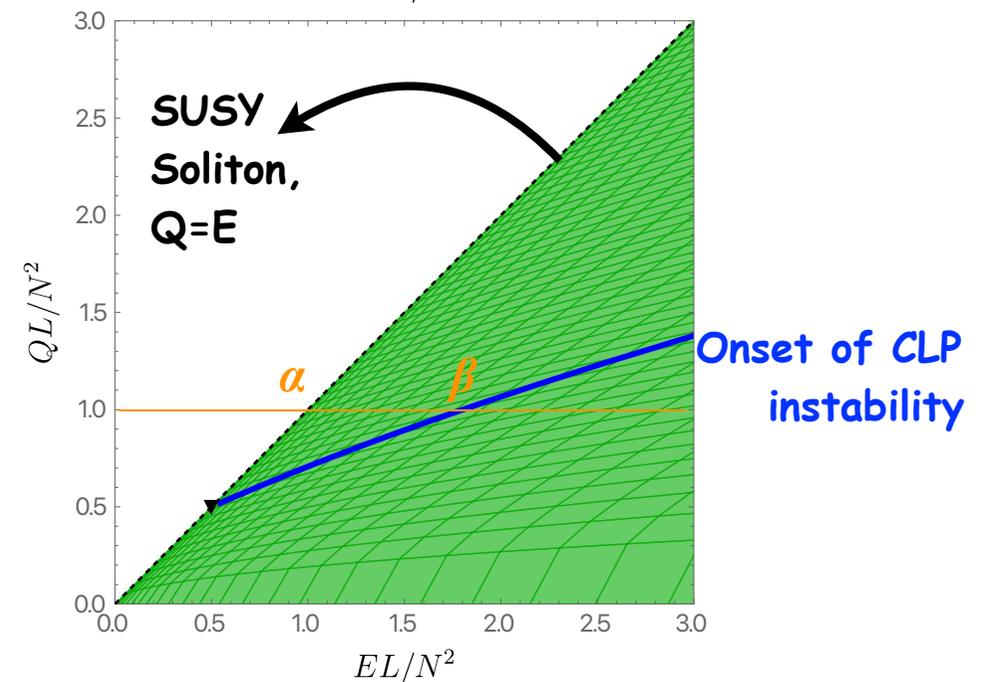
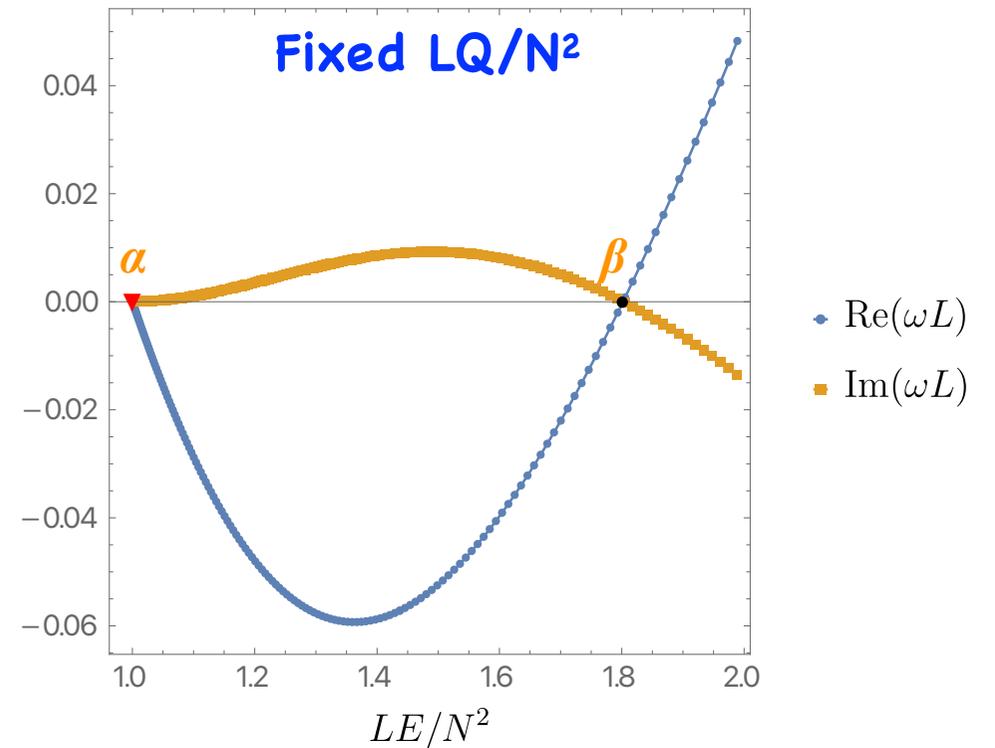
⇒ **Unstable when $\text{Im}(\omega L) > 0$**

- We can also solve directly for the onset of the instability, $Q_o(E)$, where $\omega = 0$. **CLP unstable above onset.**

- There is also a **supersymmetric Soliton** with $Q=E$ and $\langle \Phi \rangle \neq 0$ (analytical soln)

[Liu, Lü, Pope, Vazquez-Poritz '07]

[OD, Mitra, Santos '22]



- CLP BHs are linearly unstable to condensation of charged scalar Φ .
 => beyond leading order in perturbation theory,
 there should exist **hairy BHs** with charged hair Φ
 bifurcating (in a 2nd order phase transition) from the **onset of the CLP instability**,
 in a **phase diagram of static solutions**.
- Solve EoM (5 coupled nonlinear ODEs) either **numerically** (at full nonlinear level) or within **perturbation theory** (matched asymp expansion) with boundary conditions:
 - **Regularity at horizon** (hairy BH) or at origin (supersymmetric soliton)
 - **Asymp global AdS₅ BCs** for metric: bdry is Einstein Static Universe

$$ds_{\partial\mathcal{M}}^2 = -dt^2 + L^2 d\Omega_3^2$$

Leave the **homogeneous chemical potential** (source of U(1) gauge field) free :

$$\lim_{z \rightarrow 0} A^K = \mu_K dt \quad (z \text{ is FG coordinate with bdry at } z=0)$$

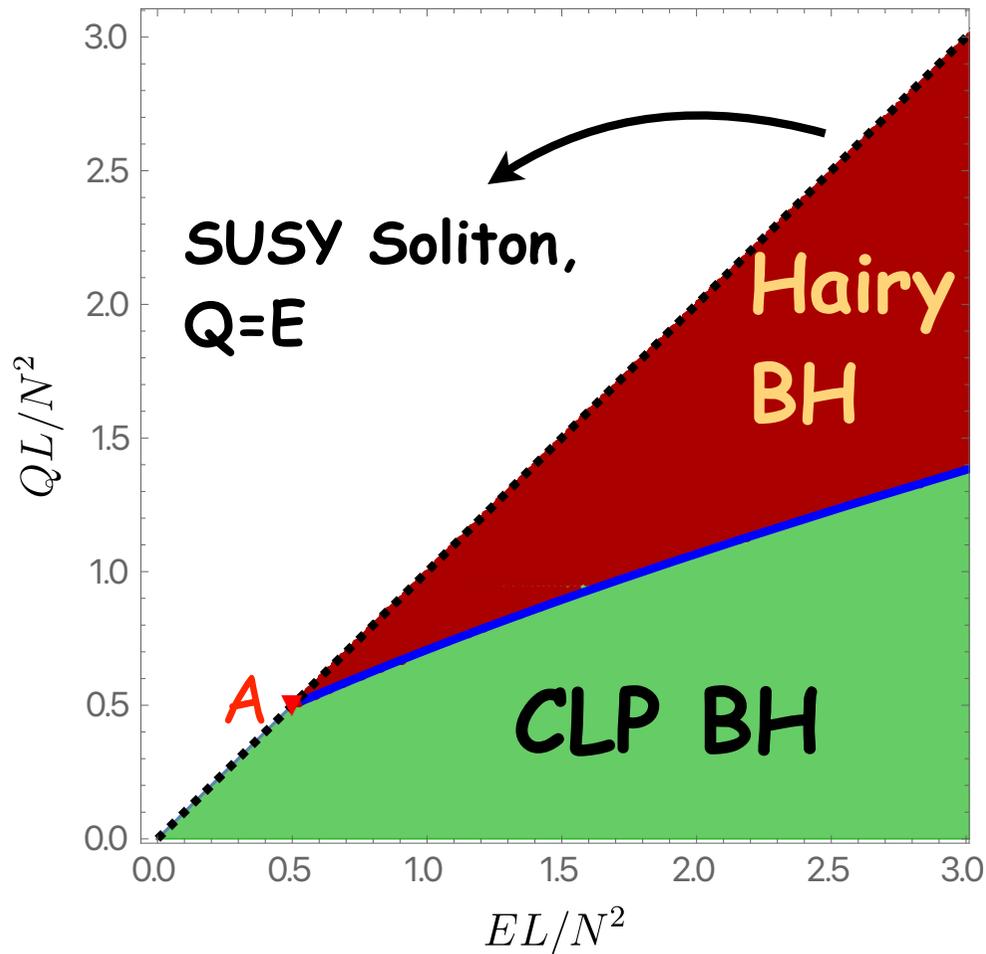
NO sources for the charged & neutral scalar fields (all saturate the BF bound).

$$\begin{array}{ccc} \varphi_{\tilde{K}} \xrightarrow{z \rightarrow 0} V_{\tilde{K}} z^2 \log z + \langle \mathcal{O}_{\varphi_{\tilde{K}}} \rangle z^2 + \dots & \Rightarrow & \varphi_{\tilde{K}} \xrightarrow{z \rightarrow 0} \langle \mathcal{O}_{\varphi_{\tilde{K}}} \rangle z^2 + \dots \\ \Phi_K \xrightarrow{z \rightarrow 0} V_K z^2 \log z + \langle \mathcal{O}_{\Phi_K} \rangle z^2 + \dots & & \Phi_K \xrightarrow{z \rightarrow 0} \langle \mathcal{O}_{\Phi_K} \rangle z^2 + \dots \end{array}$$

- **Thermodynamic quantities computed using Holographic Renormalization**

→ Microcanonical phase diagram (of truncation with a single charge)

Fix energy E and charge Q : dominant phase is the one with highest entropy S



Onset of
CLP instability

CLP BHs exist for $0 \leq Q < E$
Hairy BHs exist for $\text{Onset} < Q < E$
(Both Singular — $S=0$ — in BPS lim)

- Hairy BHs always have higher S than CLP BH with same E, Q
- Hairy BH temperature approaches $T L=1/\pi$ (& $\mu=1$) in the singular ($s=0$) BPS lim !!
This is also min T that CLP can reach (at A) in the singular BPS limit.

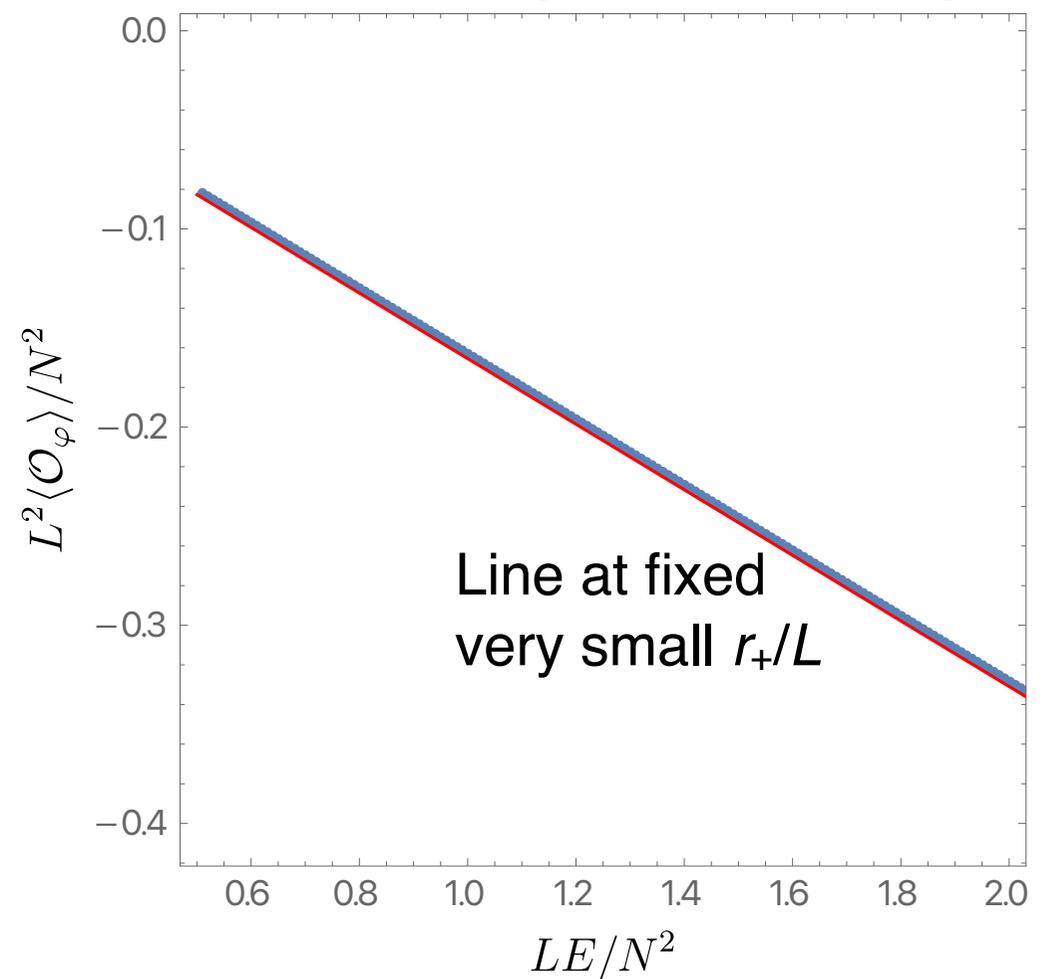
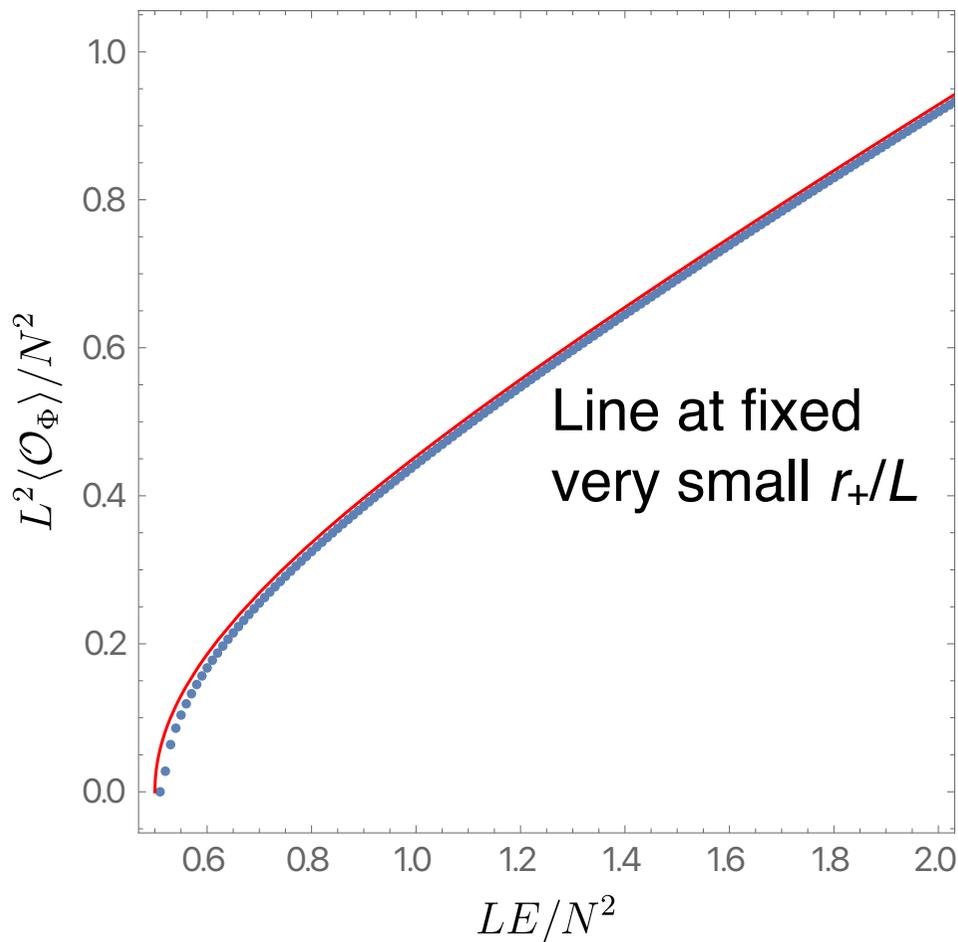
→ Microcanonical phase diagram (of truncation with a single charge)

Limit $E \rightarrow Q$ of Hairy BHs ? Singular limit ($S=0$) coincides with soliton at $E=Q$.

Charged and neutral scalar field VEVs for the line of Hairy BH solutions closest to the supersymmetric bound (blue disks)

Solid red lines are analytical results for for the SUSY soliton.

[Liu, Lü, Pope, Vazquez-Poritz '07]
[OD, Mitra, Santos '22]



→ Truncation of $U(1)^3$ gauged supergravity with two equal charges

$$A^1 = A^2 \equiv A, A^3 \equiv 0 \text{ and } \Phi_1 = \Phi_2 \equiv \Phi, \Phi_3 \equiv 0$$

$$\varphi_1 \equiv \varphi, \varphi_2 = 0$$

Physics of equal three charge truncation is similar
(General arbitrary charge case should be similar)

→ Truncation of $U(1)^3$ gauged supergravity with two equal charges

- **Scalar condensation instability**

of 'Bald' CLP BHs ($\Phi = 0$):

Perturb CLP BH with charged

scalar field $\Phi_{1,2} = \Phi$: $\Phi(t, r) = \hat{\Phi}_\omega(r) e^{-i\omega t}$

& solve the associated "Klein-Gordon" eqn

in the CLP BH with appropriate BCs.

=> Unstable when $\text{Im}(\omega L) > 0$

- We can also solve directly for the

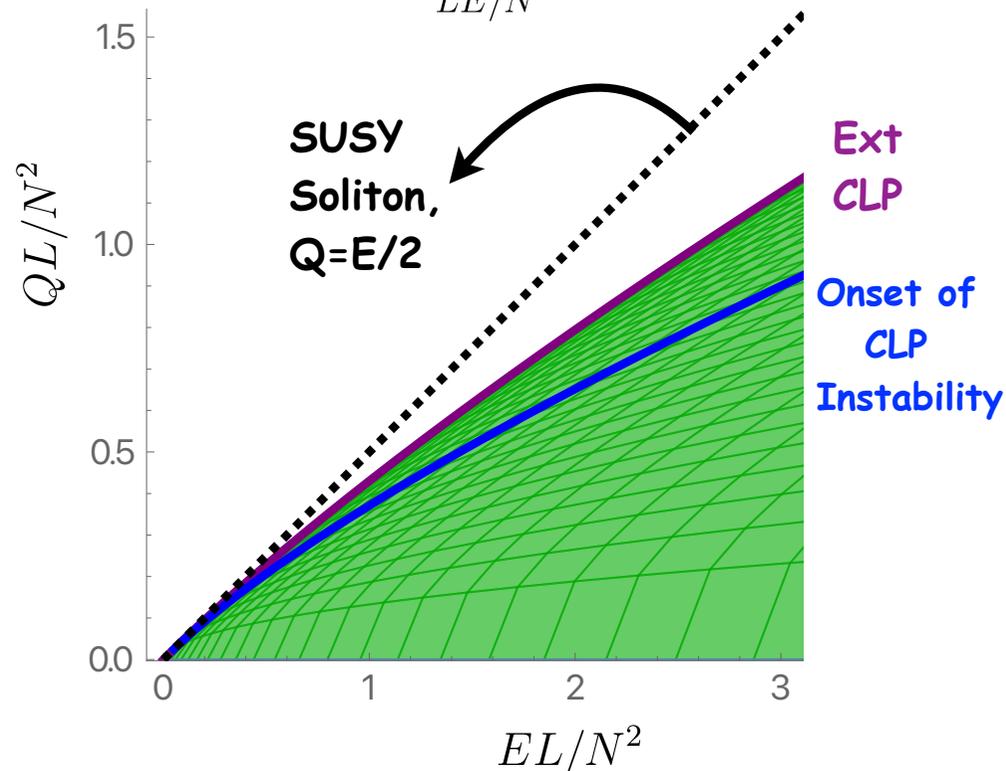
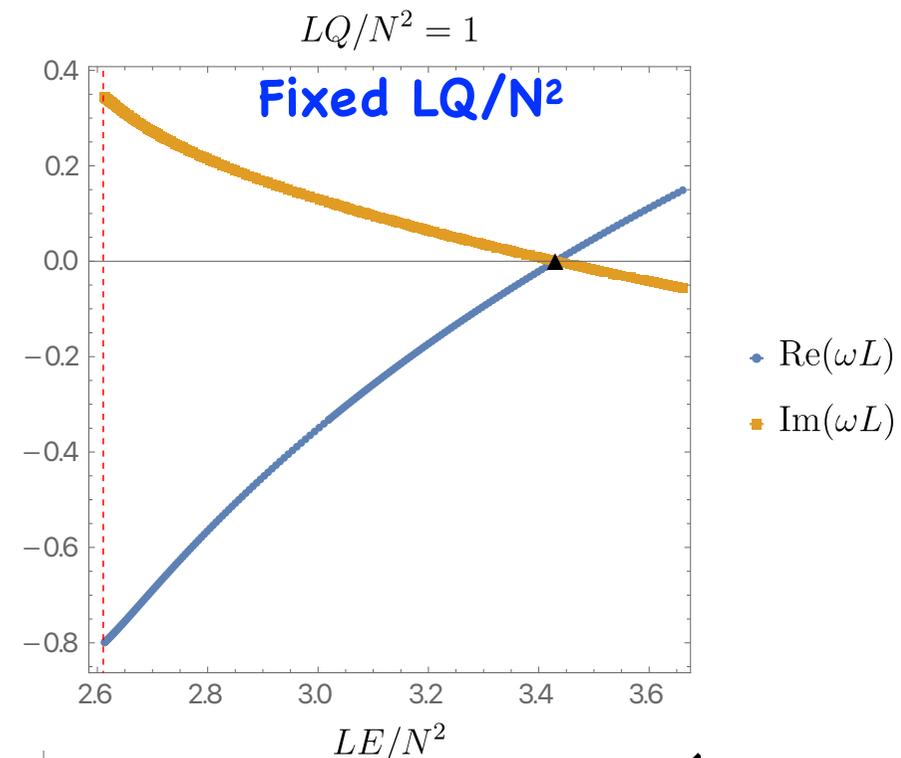
onset of instability, $Q_o(E)$, where $\omega = 0$.

CLP unstable above onset.

- There is also a **supersymmetric Soliton** with $Q=E/2$ & $\langle \Phi \rangle \neq 0$ (numerical soln)

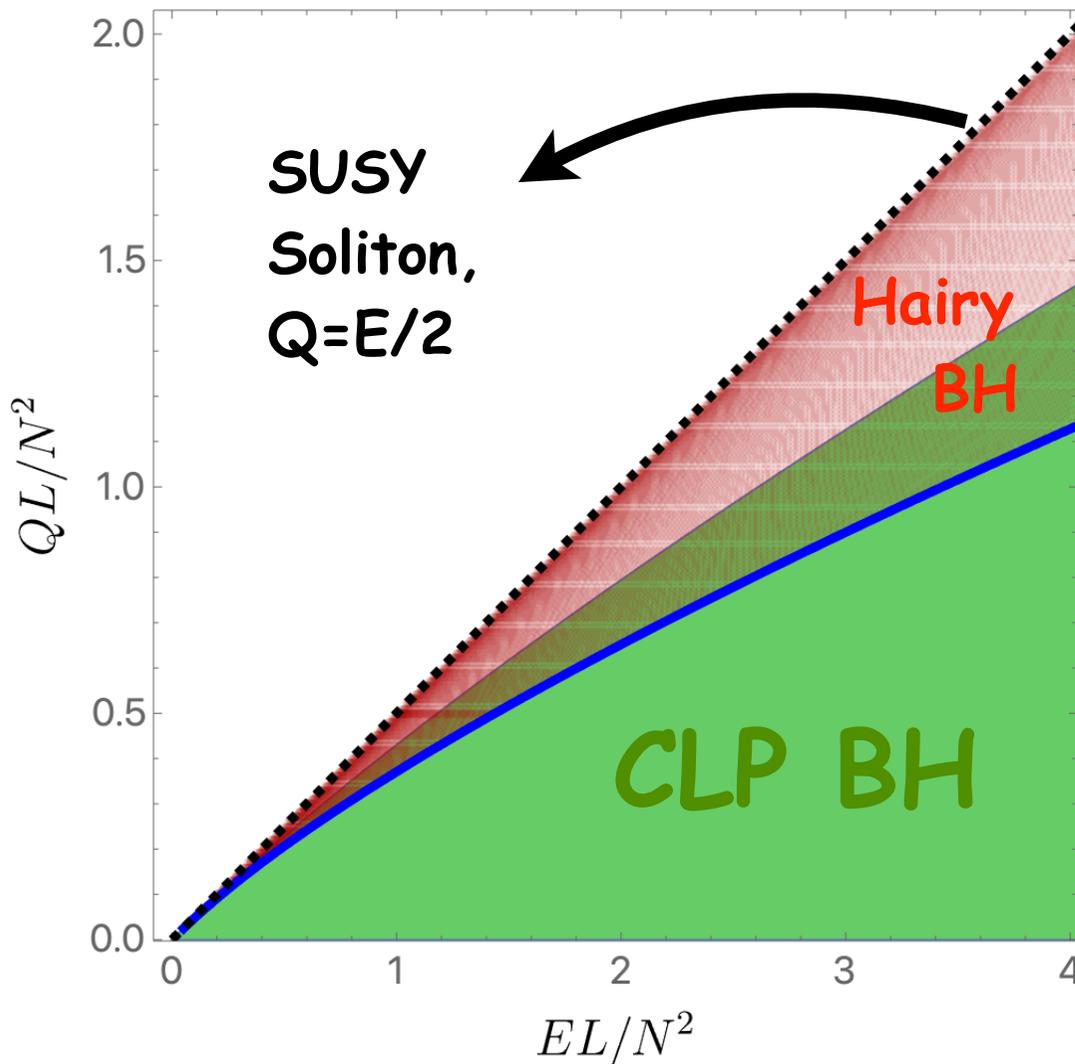
[Liu, Lü, Pope, Vazquez-Poritz '07]

[OD, Mitra, Santos '22]



→ Microcanonical phase diagram (of truncation with two equal charges)

Fix energy E and charge Q : dominant phase is the one with highest entropy S



Physics of equal three charge truncation is similar
 Bhattacharyya, Minwalla, Papadodimas (2011)
 Markeviciute Santos (2016, 2018)

Singular ($S=0$) Extremal CLP

Onset of CLP instability

CLP BHs exist for $0 < Q < Q_{\text{ext}}$
 Hairy BHs exist for $\text{Onset} < Q < E/2$
 (Singular — $S=0$ — in BPS lim)

- Hairy BHs always have higher entropy S than CLP BH with same E, Q
- Hairy BH temperature approaches $T L=1/(2\pi)$ & $\mu=1$ in the singular ($s=0$) BPS lim !!
 This is also min T that CLP can reach in the singular extremal limit.

→ Microcanonical phase diagram (of truncation with two equal charges)

Limit $E \rightarrow 2Q$ of Hairy BHs ? Singular limit ($S=0$) coincides with soliton at $E=2Q$ that we constructed numerically.

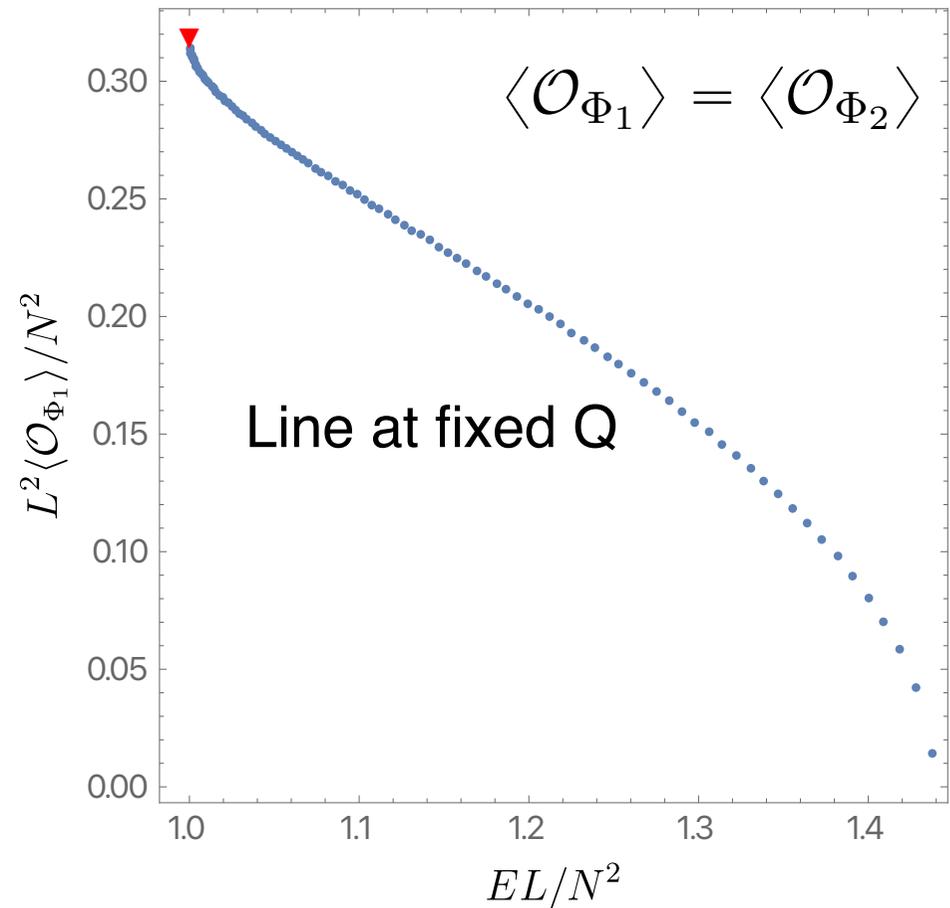
Charged scalar VEVs for the line of Hairy BH at fixed Q $L/N^2=0.5$ (blue disks).

Red triangle is the SUSY soliton result at $E=2Q=1$.

- Soliton \exists for any $E = 2Q \geq 0$!

Unlike when $Q_1=Q_2=Q_3$,
where soliton \exists for $E < E_{\max}$

- The $Q_1=Q_2=Q_3$ behaviour is believed to be generic.



Liu, Lü, Pope, Vazquez-Poritz '07
Bhattacharyya, Minwalla, Papadodimas (2011)
Markeviciute Santos (2016, 2018)

[Liu, Lü, Pope, Vazquez-Poritz '07]
[OD, Mitra, Santos '22]

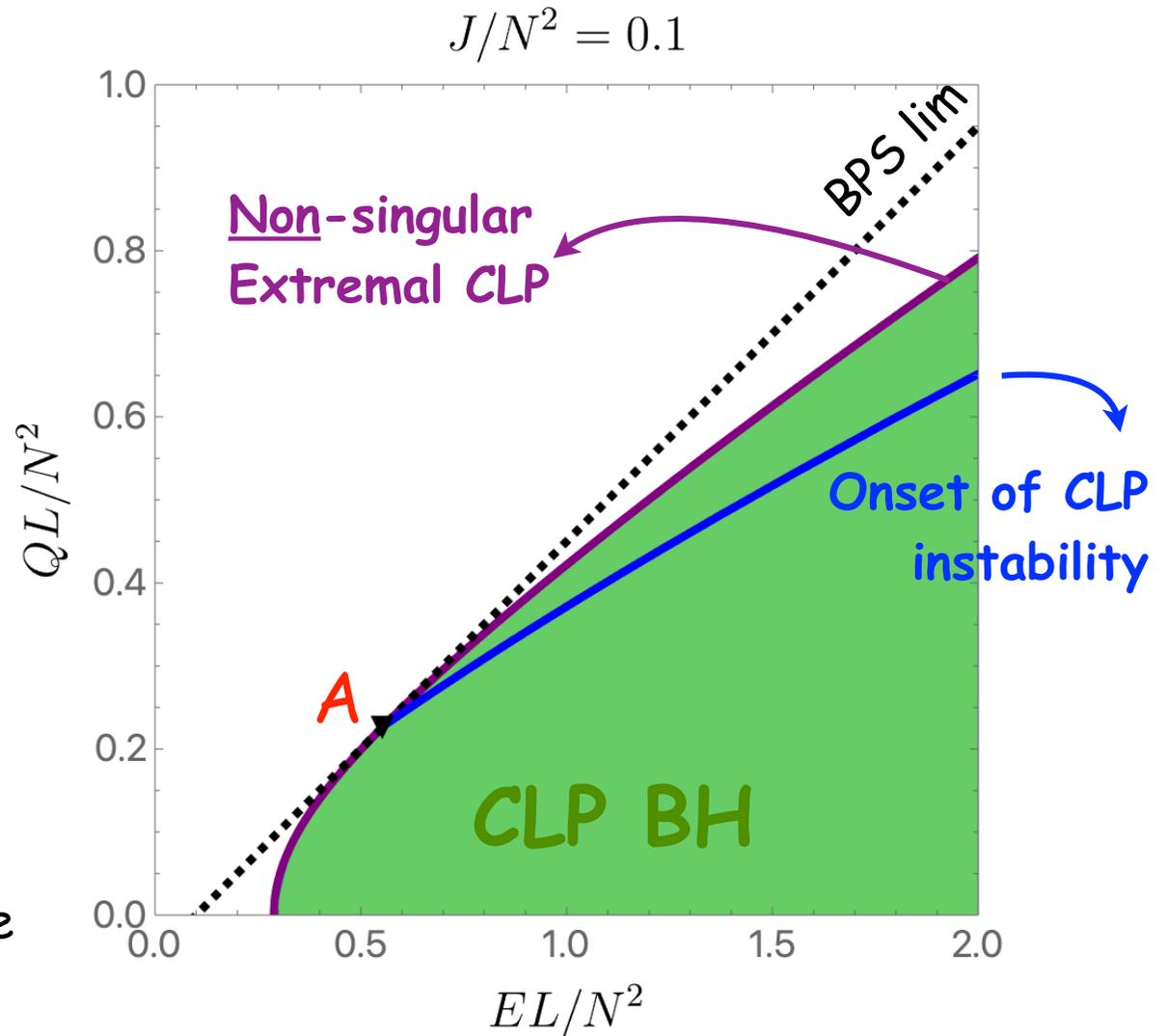
→ What happens when we add rotation?

Example: $U(1)^3$ gauged supergravity with two equal charges

→ Microcanonical phase diagram (of truncation with two equal Q's): adding rotation

- When $J_1=J_2 = J$, we can keep co-homogeneity one (10 coupled nonlinear ODEs)
- BPS relation is now $E = 2Q + 2J$.
- Work at **finite temperature** and approach $T \rightarrow 0$: want to find novel SUSY BHs!

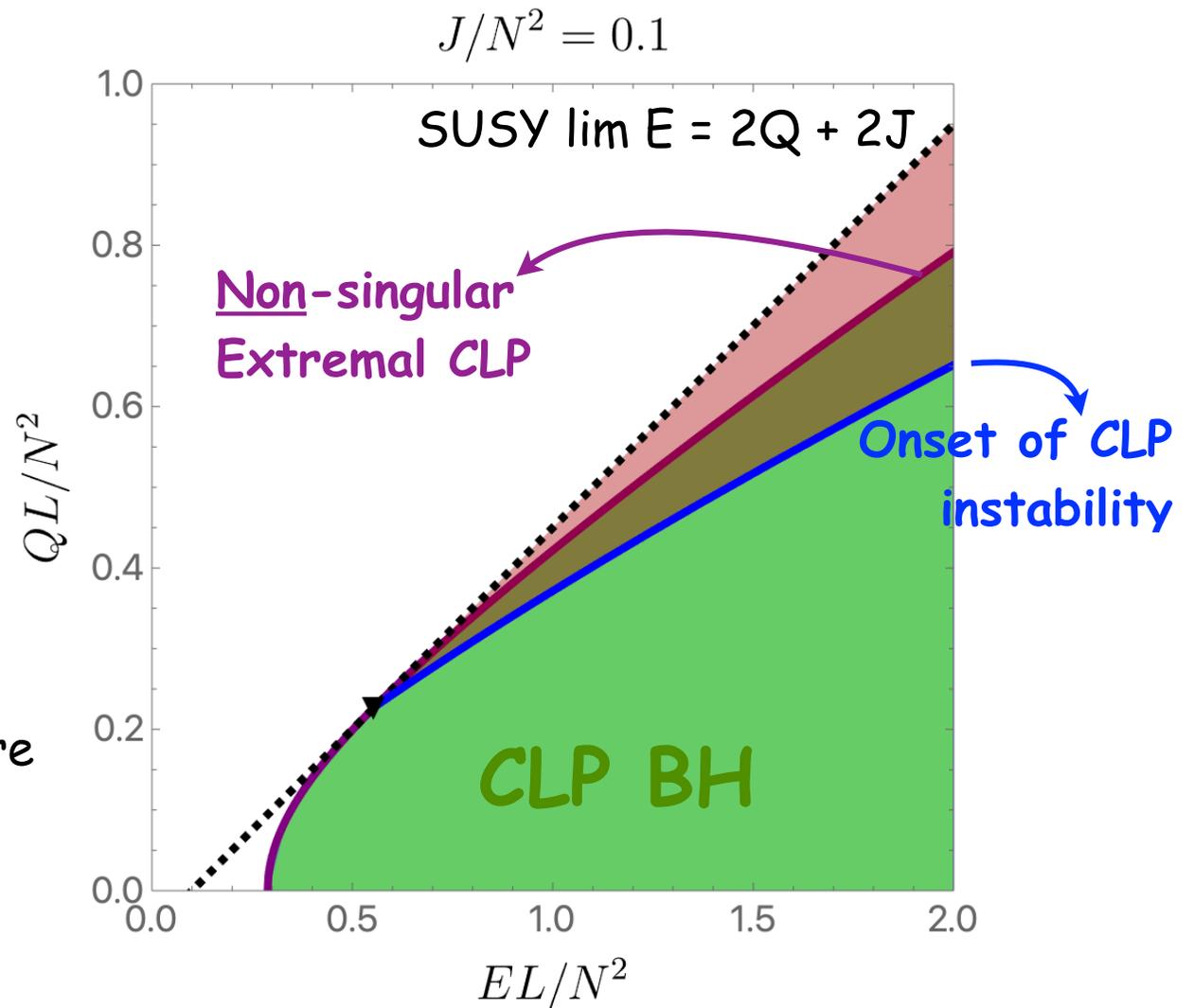
- \exists **CLP** also with $J_1=J_2 = J$
- **Smooth CLP**: $E \geq Q_{\text{ext}}(E, J)$.
- CLP are non-singular and extremal at $E = Q_{\text{ext}}(E, J)$.
- At fixed J , \exists a single point **A** where **extremal CLP** is SUSY:
the (1-parameter) **Gutowski, Reall '04 BH**.



(Kunduri-Lucietti-Reall BH: arbitrary $Q_{1,2,3}, J_{1,2}$)

→ Microcanonical phase diagram (of truncation with two equal Q's): adding rotation

- Work at **finite temperature** and approach $T \rightarrow 0$: want to find novel SUSY BHs!



- At fixed J , \exists a single point where **extremal CLP** is SUSY: the **Gutowski, Reall '04 BH**.

- **Hairy BHs** condense with $\Phi_1 = \Phi_2$ (as in the static case) & **have higher entropy S than CLP** for given $\{E, Q, J\}$!
- **Hairy BHs** have a **non-singular lim** (where $T \rightarrow 0$, $\mu \rightarrow 1$) in the BPS lim: **novel SUSY BHs (this time with hair)! \Rightarrow can be missing grav parameter!**

→ Conclusions & Future work

→ Our hairy BHS with $O(N^2)$ entropy are dominant in the microcanonical ensemble
=> should be important for the microstate counting of the entropy of SUSY BHs:

[See Benini's review talk at Strings 2022]

- Static hairy BHs do not have a (smooth) BPS limit with $O(N^2)$ entropy.
- However, **rotating** hairy BHs have smooth BPS limit with $O(N^2)$ entropy.
- Microstate counting should compute the entropy of bald and hairy BHs!

→ Future work:

- Complete Rotation study.
- In the absence of charged scalar hair ($\Phi_K = 0$), all SUSY BHs are **1/16-BPS**.
However, recent computations of a twisted SYM index in the so-called Macdonald limit ($Q_3 + J_2 = 0$) suggest an $O(N^2)$ entropy for 1/8-BPS states

[Choi, Kim, Kim, Nahmgoong, 1810.12067]

It is unclear what solutions this index corresponds to in gauged supergravity.

Can we have 1/8-BPS hairy BHs ?

- Classification scheme for SUSY BHs ?
- Generalizes to $AdS_4 \times S^7$?