

# The orbital evolution of EMRI during self force resonance with alternative regularization procedure

---

Takafumi Takehi

collaborator Takahiro Tanaka

July 4, 2023

Yukawa Institute for Theoretical Physics, Kyoto University  
Kyoto univ.

# Table of Contents

Motivation

Method

Result

**Motivation**

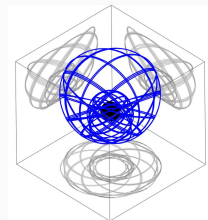
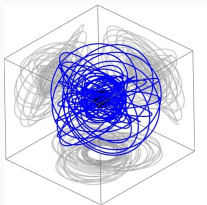
Method

Result

# Motivation

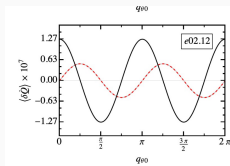
- Self-Force Resonance occurs when  $\omega_r$  and  $\omega_\theta$  are in rational ratio (Flanagan&Hinderer, 2012).
  - $j_r \Omega^r = j_\theta \Omega^\theta = \Omega \quad j_r, j_\theta \in \mathbb{Z}$
- The impact is  $\sqrt{\eta^{-1}}$  and can be larger than 1PA
  - $\phi = \eta^{-1} \phi^{\text{Adiabatic}} + \eta^{-\frac{1}{2}} \phi^{\text{Resonance}} + \phi^{\text{1PostAdiabatic}} + \dots$
- Most EMRI systems will experience the large resonances (Ruangsri&Hughes, 2014).
- Integrability is initially destroyed at the resonance.

→ Self-Force Resonance is a very important phenomenon



## Motivation2 Conservative Part

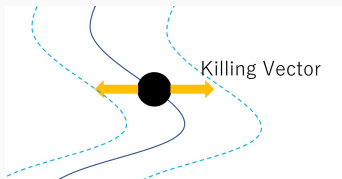
- Separable into dissipative and **conservative** parts.
  - $G^{\text{sym}}[z, z'] = \frac{1}{2}(G^{\text{ret}}[z, z'] + (G^{\text{adv}}[z, z']))$
- Contribution of the conservative part during resonance is pointed out (Isoyama et al. 2013& 2019).
- Verified by Nasipak 2022 for scalar case.



- GR case??
- Regularization is necessary for the conservative part.
  - $\lim_{z' \rightarrow z} G^{\text{sym}}[z, z'] \rightarrow \infty$

# Motivation3 New Regularization Procedure

- Isoyama2013 proposed an alternative regularization method.
  - very simple
  - reduce computational cost?
- Shift the orbit in the direction of the killing vector
- There have been no implementation of this method.
  - It is a point of concern how well it works...
- important to try independent methods for the same problem



# Resonance and the Significance of This Study

## Final Goal

- Calculate conservative part of resonance effect in GR .
- Expecting the alternative regularization method to work well.

## This work

- First, try it out with the [scalar field](#).
- [Conservative part](#) of Hamiltonian
- Verify how well the [new regularization method](#) works.

Motivation

**Method**

Result



We can take action-angle variable

$$\dot{q}^\alpha = \frac{\partial H}{\partial J_\alpha} = \omega^\alpha + \frac{\partial H_{\text{int}}}{\partial J_\alpha}$$

$$\dot{J}_\alpha = -\frac{\partial H}{\partial q_\alpha} = -\frac{\partial H_{\text{int}}}{\partial q_\alpha}$$

- GR case

$$H_{\text{int}} = \frac{1}{2} h_{\mu\nu} u^\mu u^\nu \quad \text{Metric reconstruction is necessary}$$

- Scalar toy model case

$$H_{\text{int}} = \phi = \int d\tau' G(x, x(\tau'))$$

- G is a Green function for Teukolsky equation.
- We can obtain it straightforwardly

# Orbital Averaging

$$\begin{cases} \dot{q}^\alpha = \omega^\alpha \\ \langle \dot{J}_\alpha \rangle = \left\langle \frac{\partial H_{\text{int}}}{\partial q^\alpha} \right\rangle \end{cases}$$

$$\langle A \rangle = \lim_{T \rightarrow \infty} \frac{1}{2T} \int_{-T}^T d\tau A(x(\tau))$$

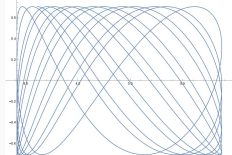
$$\neq \int_{\text{Region}} A dq^\alpha \quad \text{for resonant orbit}$$

Resonance (& conservative) case

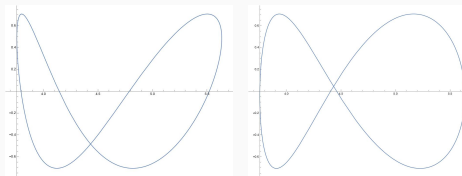
$$\left\langle \frac{\partial H}{\partial q^\alpha} \right\rangle = \frac{1}{2} \frac{\partial \langle H \rangle}{\partial q_{\text{ini}}^\alpha}$$

initial phase dependence appears!

Non-resonance orbit



resonant orbit



## descritization of $\omega$

As the source  $z(\tau)$  is periodic,  $\tau$  integral makes  $\omega$  descritized.

$$\int d\tau e^{i\omega\omega_t\tau - (n_r\omega^r + n_\theta\omega^\theta + m\omega^\phi)\tau}$$
$$\rightarrow \frac{1}{\omega_t} \delta\left(\omega - n_r\Omega^r - n_\theta\Omega^\theta - m\Omega^\phi\right)$$

$$\Omega^\alpha = \frac{\omega^\alpha}{\omega^t}$$

For non-resonant orbit,  $\omega$  is characterized by  $(n_r, n_\theta, m)$

For resonant case,

$$n_j r \Omega^{r-1} = n_j \theta \Omega^{\theta-1} = n \Omega^{-1}$$

Then,  $\omega$  is characterized by  $(n, m)$

$$\omega_{n,m} = n\Omega + m\Omega_\phi$$

# Point Splitting Regularization (Alternative Regularization)

$G^{\text{sym}}[z(\lambda), z(\lambda')]$  and  $G^S[z(\lambda), z(\lambda')]$  diverge at  $z(\lambda) \rightarrow z(\lambda')$ , so we have to regularize them to calculate separately.

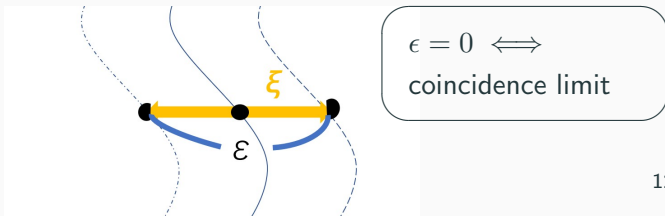
Point Splitting Regularization (Isoyama et al. 2013)

$$z^\mu(\lambda) \rightarrow z_+^\mu(\lambda) \equiv z^\mu(\lambda) + \frac{\epsilon}{2} \xi^\mu,$$

$$z^\mu(\lambda) \rightarrow z_-^\mu(\lambda) \equiv z^\mu(\lambda) - \frac{\epsilon}{2} \xi^\mu$$

with

$$\xi^\mu(\zeta) \equiv \cos \zeta \xi_{(t)}^\mu + (\Omega_\phi \cos \zeta - \Omega \sin \zeta) \xi_{(\phi)}^\mu$$



# Alternative Regularization Procedure

$G^{\text{sym}}$  is given by

$$G_{\omega,l,m}^{\text{sym}}[z, z'] = e^{-i\omega(t-t') + im(\phi-\phi')} S_{\omega,l,m}(\theta) S_{\omega,l,m}(\theta') \text{Radial}(r, r').$$

due to the killing direction, we can extract the regularized expression very easily,

$$G_{\omega,l,m}^{\text{sym}}[z_+, z_-] = e^{-i\omega\epsilon \cos \zeta + im\epsilon(\Omega_\phi \cos \zeta - \Omega \sin \zeta)} \times G_{\omega,l,m}^{\text{sym}}[z, z'].$$

$\epsilon, \zeta$  do not depend on  $\tau$ . So Hamiltonian is given by

$$H_{\omega,l,m}(\epsilon) = e^{-i\omega\epsilon \cos \zeta + im\epsilon(\Omega_\phi \cos \zeta - \Omega \sin \zeta)} \times H_{\omega,l,m}(\epsilon = 0)$$

$\epsilon$  dependence is calculated very easily!

# Final Expression

Finally

$$H(\epsilon) = \sum_{n,l,m} e^{in\epsilon \cos \zeta + im\epsilon \sin \zeta} H_{\omega_{nm},l,m}(\epsilon = 0)$$

Explicit Expression

$$\begin{aligned} \langle H \rangle &= \sum_{n,l,m} \langle H \rangle_{n,l,m} e^{in\epsilon_1 + im\epsilon_2} \\ &= \sum_{n,l,m} e^{in\epsilon_1 + im\epsilon_2} \int d\tau d\tau' e^{-i\omega_{n,m}(\Delta t - \Delta t') + im(\Delta\phi - \Delta\phi')} \\ &\quad \times \Theta_{\omega_{nm},l,m}(\theta) \Theta_{\omega_{nm},l,m}(\theta') R_{\omega_{nm},l,m}(r, r') \end{aligned}$$

We need not decompose the Spheroidal Harmonics into the Spherical Harmonics.

# S-part decomposition

S-part is also decomposed into  $(N, m)$  modes

$$H^S = \int d\tau' G^S[z^+, z^-(\tau')]$$

Fourier Transformation

$$G_{N,m}^S = \int d\epsilon_1 d\epsilon_2 G^S(\epsilon_1, \epsilon_2) e^{-iN\Omega\epsilon_1 - im\epsilon_2\Omega\phi}$$

$$H^S = \sum_{N,m} e^{iN\epsilon \cos \zeta + im\epsilon \sin \zeta} H_{N,m}^S$$

- $\langle H^{(S)} \rangle$  has no periodicity with  $\epsilon$ .
- This causes discontinuous at the boundary.

Therefore, apply a window function to smooth at the boundary.

$$W(\epsilon) = \cos^4(\alpha\Omega\epsilon) \cosh^4(\alpha\Omega\epsilon)$$

# Regularization

$l$  mode summation first

$$H_{N,m} = \sum_{l=|m|}^{\infty} H_{N,l,m} \quad (1)$$

S-part subtraction

$$\begin{aligned} H^R &= \lim_{\epsilon \rightarrow 0} H(\epsilon) - H^S(\epsilon) \\ &= \lim_{\epsilon \rightarrow 0} e^{iN\epsilon \cos \zeta + im\epsilon \sin \zeta} \left( \sum_{N,m} H_{N,m}(\epsilon = 0) - \sum_{N,m} H_{N,m}^S(\epsilon = 0) \right) \\ &= \sum_{N,m} H_{N,m}(\epsilon = 0) - H_{N,m}^S(\epsilon = 0) \end{aligned} \quad (2)$$

Regularization parameter  $\epsilon$  does not appear in actual computations.



Motivation

Method

Result

# Outline

1. Calculating  $\langle H \rangle_{n,l,m}$  to integrate the mode functions.
2. Sum up  $\langle H \rangle_{n,l,m}$  over  $l$

$$H_{n,m} = \sum_l \langle H \rangle_{n,l,m}$$

3. regularization for  $(n, m)$

$$\langle H^{(R)} \rangle = \sum_{n,m} \langle H \rangle_{n,m} - \langle H^{(S)} \rangle_{n,m}$$

set up

$a$	$p$	$e$	$x$
0.9	4.51	0.2	$\frac{\pi}{4}$

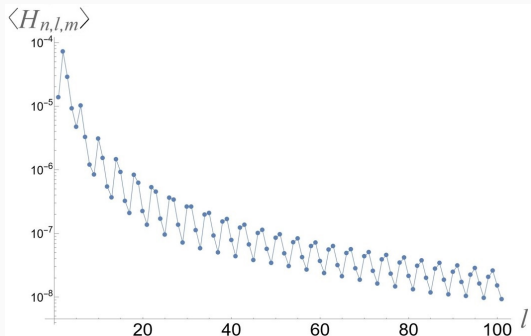
$$\Omega_r : \Omega_\theta = 1 : 2$$

$$\Delta\lambda = 0.3$$

$$\Lambda = 4.6$$

4. Do the same procedure for different initial phase  $\Delta\lambda$

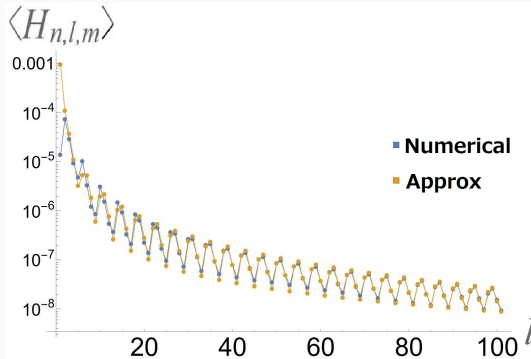
$$\sum_l \langle H \rangle_{n,l,m} \quad (3)$$



- $H \propto \frac{1}{l^2}$  too slow
- Hamiltonian is oscillating for  $l$
- The oscillation makes fitting difficult

## $l$ -sum2: WKB aprox

- WKB-approximation  $R_{\omega,l,m}(r)$  and  $\Theta_{\omega,l,m}(\theta)$  for  $l$
- We derive analytical asymptotic expressions for  $\langle H \rangle_{n,l,m}, l \rightarrow \infty$  (up to subleading order)

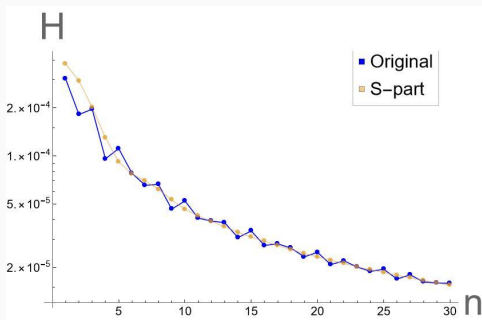


→ we can sum over  $l$  mode

# Regularization

$$\langle H_{n,m}^R \rangle = \left( \sum_l \langle H^{\text{int}} \rangle_{n,l,m} \right) - \langle H^{(S)} \rangle_{n,m}$$

- Fitting is necessary for computational cost reasons



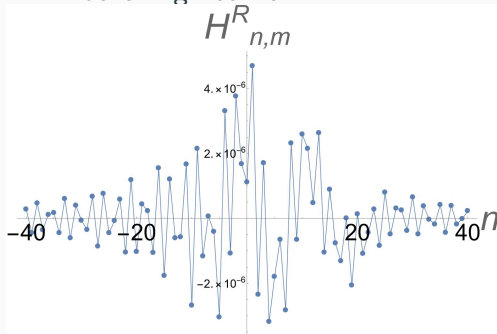
- Canceling divergent part
- Regularization procedure looks working well...

# Regularization

$$\langle H_{n,m}^R \rangle = \left( \sum_l \langle H^{\text{int}} \rangle_{n,l,m} \right) - \langle H^{(S)} \rangle_{n,m}$$

- Fitting is necessary for computational cost reasons

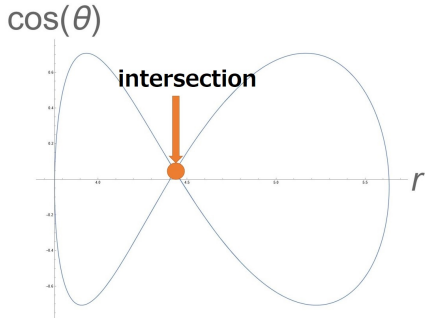
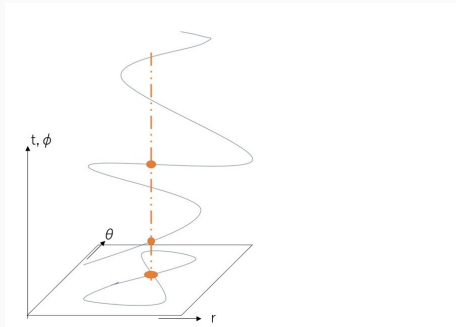
$H^R$  behaving not well...



- Strong oscillation requires careful fitting

# Cause of the Oscillation (Orbital Crossing)

$H_{n,m}$  is the Fourier transform in  $t$  and  $\phi \rightarrow$  It can be projected onto the  $r$ - $\theta$  plane

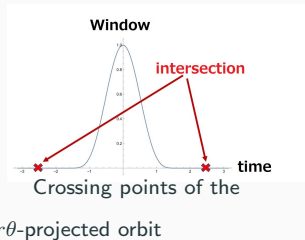
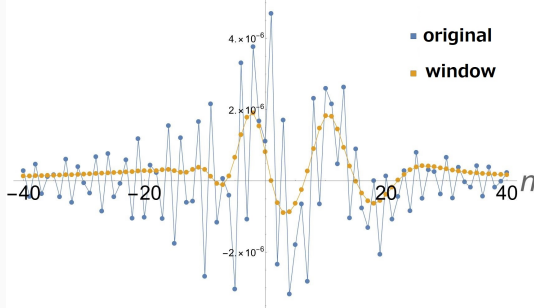


When projected, the singularity(intersection) appears.

# Removing the Oscillation

- Identify the cause of oscillation (orbit crossing)
- Apply a physically appropriate window function

$$\langle \tilde{H}_{n,m}^R \rangle = \sum_{n',m'} W_{n-n',m-m'} \langle H^R \rangle_{n',m'}$$
$$H_{n,m}^R$$



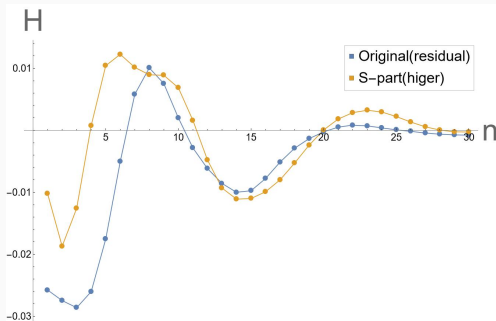
succeeding in eliminating oscillatory behavior!

Convergence is not so fast → Higher order S-part



# Accelerating convergence

- To accelerate the convergence further, we use the higher order S-part(Heffernan 2022)



- not matching well...

This is the problem we are working on now.

## Summary

- We numerically calculate the conservative part during resonance for scalar field
- We encountered several challenges and addressed them to some extent

## Future Work

- Successful cancellation with higher S-part
- Extend the model to include gravitational interactions

Thank you for listening.

$$H_{n,l,m}(\tau) = \frac{1}{l(l+1)} \int d\tau f(\tau)^2 g(\tau) \cos^2[\sqrt{l(l+1)}\theta(\tau)] \quad (4)$$

$$= f(\tau)^2 g(\tau) \frac{1}{2} (1 + \cos[2\sqrt{l(l+1)}\theta(\tau)]) \quad (5)$$

$$H_{n,l,m}(\tau) = H_{n,l,m}^{\text{avr}}(\tau) + H_{n,l,m}^{\text{osc}}(\tau) \quad (6)$$

- $H$  can be decomposed into not-oscilating avaraged part  $H_{n,l,m}^{\text{avr}}(\tau)$  and oscilating part  $H_{n,l,m}^{\text{osc}}(\tau)$
- $H_{n,l,m}^{\text{osc}}(\tau)$  is the cause of oscilation.

## 4: S-part

Calculating  $\langle H^{(S)} \rangle$  corresponding  $G^{(S)}$ (S-part)

$$\langle H^{(S)} \rangle = \int d\tau \int d\tau' G^{(S)}(x_+(\tau), x_-(\tau')) \quad (7)$$

$$= \frac{\psi_{-1}(\zeta)}{\epsilon} + \psi_1(\zeta)\epsilon + O(\epsilon^3) \quad (8)$$

- $x_{\pm}$  is shifted  $\epsilon$  in the Killing direction.
- $\epsilon = \sqrt{\epsilon_1^2 + \epsilon_2^2}$ ,  $\zeta = \arctan\left(\frac{\epsilon_2}{\epsilon_1}\right)$

Fourier transformation for  $\epsilon_1, \epsilon_2$ ,

$$\langle H^{(S)} \rangle_{n,m} = \frac{\Omega^2}{4\pi^2} \int d\epsilon_1 \int d\epsilon_2 e^{-i\Omega(n\epsilon_1 + m\epsilon_2)} \langle H^{(S)} \rangle \quad (9)$$

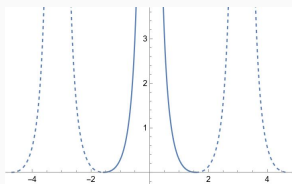
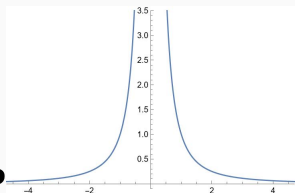
## 4:S-part(Window function)

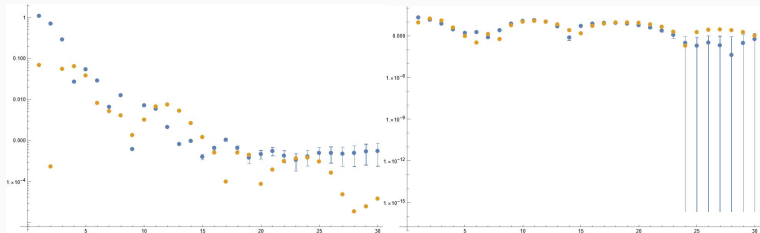
$$\langle H^{(S)} \rangle = \frac{\psi_{-1}(\zeta)}{\epsilon} + \psi_1(\zeta)\epsilon + O(\epsilon^3) \quad (10)$$

- $\langle H^{(S)} \rangle$  has no periodicity with  $\epsilon$ .
- This causes discontinuous at the boundary.

Therefore, apply a window function to smooth at the boundary.

$$W(\epsilon) = \cos^4 \alpha\Omega\epsilon \cosh^4 \alpha\Omega\epsilon \quad (11)$$





(a)  $m = 1$

(b)  $m = 9$

In practice, it is convenient to use Mino variable  $\lambda$

We can show

$$\left\langle \frac{dQ}{d\tau} \right\rangle = -\frac{\partial Q}{\partial J_r} \left\langle \frac{\partial(\Sigma H)}{\partial \Gamma_r} \right\rangle_\lambda - \frac{\partial Q}{\partial J_\theta} \left\langle \frac{\partial(\Sigma H)}{\partial \Gamma_\theta} \right\rangle_\lambda \quad (12)$$

$$= -\frac{\partial Q}{\partial J_r} \frac{\partial \langle \Sigma H \rangle_\lambda}{\partial \Delta \Gamma_r} - \frac{\partial Q}{\partial J_\theta} \frac{\partial \langle \Sigma H \rangle}{\partial \Delta \Gamma_\theta} \quad (13)$$

$$(14)$$

$$\Gamma = \Upsilon \lambda + \Delta \Gamma \quad (15)$$

To obtain the evolution of the orbital elements, we have to calculate  $\langle \Sigma H^{\text{sym}} \rangle_\lambda$

## 実装 5: 正則化 (振動の原因)

- before averaging  $H_{n,m} - H_{n,m}^{(S)}$

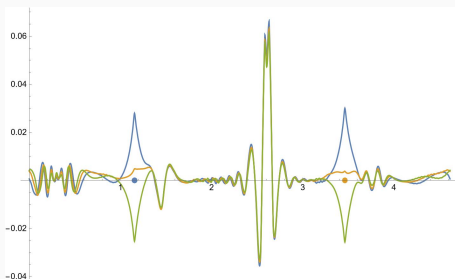


Figure 2:  $n = 3$  に固定し、横軸  $\lambda$ (時間)。青が

- $\lambda = 1.1, 3.5$  に  $m$  を変えたときの振動が見られる
- この時刻は  $r - \theta$  が交差する時刻に対応



## 実装 3: detail of WKB

mode function  $R(r)$  follows the equation below.

$$-\mathcal{R}'' + \left[ \frac{\lambda}{\Delta} - U(r) + \frac{1}{4} \left( \frac{\Delta'}{\Delta} \right)^2 + \frac{1}{2} \left( \frac{\Delta'}{\Delta} \right)' \right] \mathcal{R} = 0 \quad (16)$$

$$\mathcal{R} = R\sqrt{\Delta} \quad (17)$$

$$U(r) = \frac{1}{\Delta} \frac{\omega^2(r^2 + a^2)^2 - 4ram\omega + m^2a^2}{\Delta} - \omega^2a^2 \quad (18)$$

$$\lambda = l(l+1) + \dots \quad (19)$$

$l, \lambda$  dominates for large  $l$

### R の WKB 近似 (リーディング)

$$R_{\text{in/up}} \approx \left( \frac{1}{l(l+1)\Delta} \right)^{\frac{1}{4}} \exp \left( \pm \sqrt{l(l+1)} \int^r \sqrt{\frac{1}{\Delta}} dr \right),$$

## radial part of green function

radial part is

$$R(r, r') = \frac{1}{W} (R_{\text{in}}(r)R_{\text{up}}(r')U(r' - r) + R_{\text{in}}(r')R_{\text{up}}(r)U(r - r')) \quad (22)$$

W is Wronskian.

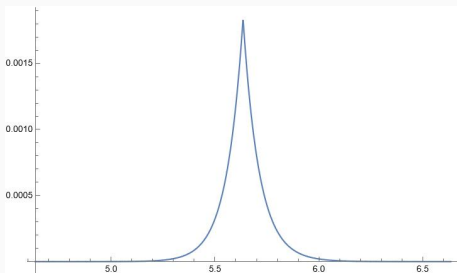


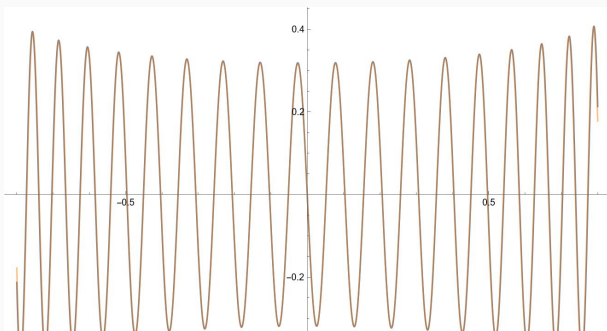
Figure 3: y-axis Radial,x-axis  $r', r = 5.64$

### 実装 3: angle WKB

同様に  $\theta$  のモード関数  $S_{\omega,l,m}$  も WKB 近似して

$$S(\theta) \approx \begin{cases} \frac{k}{\sqrt[4]{1 - \cos^2 \theta}} \cos \left[ \sqrt{l(l+1)} \arcsin[\cos \theta] \right], & (l+m = \text{even}) \\ \frac{k}{\sqrt[4]{1 - \cos^2 \theta}} \sin \left[ \sqrt{l(l+1)} \arcsin[\cos \theta] \right], & (l+m = \text{odd}) \end{cases} \quad (23)$$

$k$  は規格化定数



## 実装 3: approximation of green function

To combine these WKB-approximated mode functions,

$$G(x(\tau), x(\tau')) = f(x(\tau))f(x(\tau')) \cos(\sqrt{l(l+1)}\theta) \cos(\sqrt{l(l+1)}\theta') \\ \exp \left[ -\sqrt{l(l+1)} \left| \int_{r(\tau)}^{r(\tau')} \frac{1}{\sqrt{\Delta}} dr \right| \right]$$

### Another Approximation

For large  $l$ , large  $r - r'$  is decaying  $\rightarrow \tau \sim \tau'$

$$\delta\tau = \tau' - \tau \ll \tau$$

$$\int_{r(\tau)}^{r(\tau')} \frac{1}{\sqrt{\Delta}} dr \sim \frac{\dot{r}}{\sqrt{\Delta}} \delta\tau \quad (24)$$

$$G(\tau, \tau + \delta\tau) \sim [f(x(\tau))^2 + f' \delta\tau] e^{-\sqrt{l(l+1)} \frac{\dot{r}}{\sqrt{\Delta}} \delta\tau} \quad (25)$$

$$H_{n,l,m}(\tau) = \frac{1}{l(l+1)} \int d\tau f(\tau)^2 g(\tau) \cos^2[\sqrt{l(l+1)}\theta(\tau)] \quad (26)$$

$$= f(\tau)^2 g(\tau) \frac{1}{2} (1 + \cos[2\sqrt{l(l+1)}\theta(\tau)]) \quad (27)$$

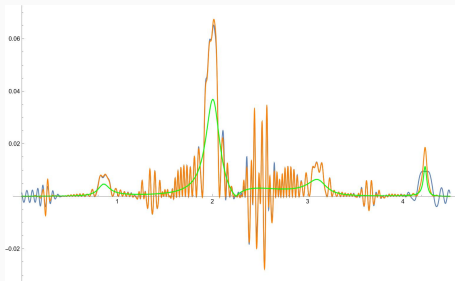
$$H_{n,l,m}(\tau) = H_{n,l,m}^{\text{avr}}(\tau) + H_{n,l,m}^{\text{osc}}(\tau) \quad (28)$$

- $H$  can be decomposed into not-oscilating avaraged part  $H_{n,l,m}^{\text{avr}}(\tau)$  and oscilating part  $H_{n,l,m}^{\text{osc}}(\tau)$
- $H_{n,l,m}^{\text{osc}}(\tau)$  is the cause of oscilation.

## 実装 3: Result(numerical)

$$H(x(\tau)) = \int d\delta\tau G(x(\tau), x(\tau + \delta\tau)) \quad (29)$$

Is the approximation correct?



**Figure 5:** y-axis  $\langle H \rangle_{n,l,m}$ , x-axis  $\lambda$ , Blue : numerical, Orange : WKB

### 実装 3: cause of $l$ -oscillation

$H_{n,l,m}^{\text{osc}}(x(\tau))$  is the cause of  $l$ -oscillation

stationary approximation

$$\begin{aligned} H_{n,l,m}^{\text{osc}}(x(\tau)) &= (x(\tau)) \cos[\sqrt{l(l+1)}\theta(\tau)] \\ &\simeq f(x(\tau)) \cos \left[ \sqrt{l(l+1)} \left( \theta(\tau_0) + \ddot{\theta}(\tau_0) \frac{\tau^2}{2} \right) \right] \end{aligned} \quad (30)$$

- $\tau_0$  is the stationary point.

$$\langle H_{n,l,m}^{\text{osc}} \rangle \simeq F(x(\tau)) \cos \left[ 2\sqrt{l(l+1)}\theta(\tau_0) - \frac{\pi}{4} \right] \quad (31)$$

This is the cause of  $l$ -oscillation