

Neutrino physics
(theory & phenomenology)

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ν_I

HIDDe
 hunting Unobservable Dark sectors, Dark matter and Neutrinos

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Why ν 's ?

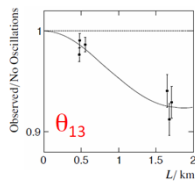
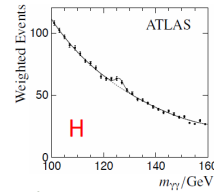
Neutrinos are so far the **ONLY** evidence beyond the SM

Neutrinos are rewarding

- Fermi: formulation of β decay theory
- Reines: detection electron antineutrinos
- Lederman, Schwartz and Steinberger: ν_μ
- Davis and Koshiba: solar ν interactions
- Kajita and McDonald: ν oscillations

~~two~~ 2012 ~~is~~ One major discovery in particle physics

- A SM-like Higgs boson (ATLAS, CMS)
The key to EWSB and a possible window to



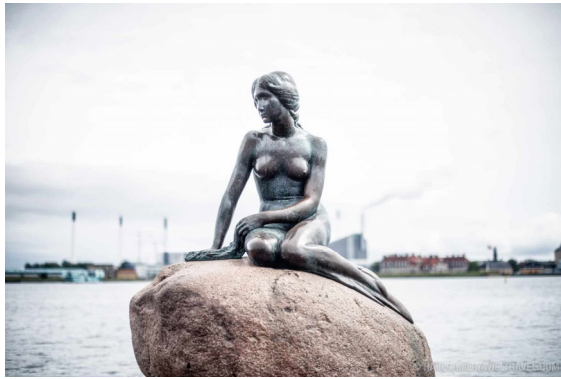
- $\theta_{13} \sim 10^\circ$ (T2K, MINOS, Daya Bay, RENO)
about as large as it could have been !
The door to CP Violation in the leptonic sector

Is The Whole Universe made of—
Electrons Protons Neutrons ?

NO!
Electrons Protons Neutrons
are rareties!

For every one of them, the universe contains a
billion neutrinos ν !

Within each cubic centimeter of space
~ 360 neutrinos from the Big Bang



8 million
neutrinos !!!!

Passing through each person on earth every second:
One hundred trillion neutrinos from the sun.

The sun shines because of nuclear fusion in its core.

This fusion produces—

- Energy, including visible light
- Neutrinos
- The atoms more complicated than hydrogen



Almost all neutrinos zipping through us do nothing at all.

Typically, a solar neutrino would have to zip through 10,000,000,000,000,000 people before doing anything.

The probability that a particular solar neutrino will interact as it zips through one of us is $1 / 10,000,000,000,000,000$.

Are Neutrinos Important to Our Lives?

If there were no ν s, the sun and stars would not shine.

- No energy from the sun to keep us warm.
- No atoms more complicated than hydrogen.
No carbon. No oxygen. No water.
No earth. No moon. No us.

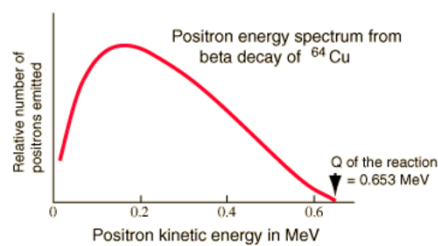
No ν s is very **BAD** news.

Summer Schools (if existed) were VERY short



$$E_e = \frac{m_n^2 + m_e^2 - m_p^2}{2 m_n}$$

Studies of β decay revealed a continuous energy spectrum.



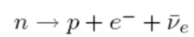
Another anomaly was the fact that the nuclear recoil was not in the direction opposite to the momentum of the electron.

The emission of another particle was a probable explanation of this behaviour, but searches found no evidence of either mass or charge.



...desperate remedy to save the law of conservation of energy...

Neutron Decay:



Fermi postulated a theory for β decay in terms of spinors

$$H_{ew} = \frac{G_F}{\sqrt{2}} \bar{\Psi}_p \gamma_\mu \Psi_n \bar{\Psi}_e \gamma^\mu \Psi_\nu$$

A Dirac field is described by a four component spinor

$$\begin{pmatrix} e_L \\ e_R \\ \hat{e}_L \\ \hat{e}_R \end{pmatrix}$$

Standard Model of Particle Physics

Gauge Theory based on the group:

$$SU(3) \times SU(2) \times U(1)$$

$SU(3) \Rightarrow$ Quantum Chromodynamics

Strong Force (Quarks and Gluons)

$SU_L(2) \times U(1) \Rightarrow$ ElectroWeak Interactions broken to $U_{EM}(1)$

by HIGGS

$$\underline{SU_L(2) \times U_Y(1) \Rightarrow U_{EM}(1)}$$

Force Carriers: W^\pm, Z^0 and γ masses: 80, 91 and 0 GeV

quark, SU(2) doublets: $\begin{pmatrix} u \\ d \end{pmatrix}_L, \begin{pmatrix} c \\ s \end{pmatrix}_L, \begin{pmatrix} t \\ b \end{pmatrix}_L$

up-quark, SU(2) singlets: u_R, c_R, t_R

down-quark, SU(2) singlets: d_R, s_R, b_R

lepton, SU(2) doublets: $\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$

neutrino, SU(2) singlets: — — —

charge lepton, SU(2) singlets: e_R, μ_R, τ_R

Electron mass

comes from a term of the form

$$\bar{L}\phi e_R$$

Absence of ν_R

forbids such a mass term (dim 4)

for the Neutrino

Therefore in the SM neutrinos are massless
and hence travel at speed of light.

Interactions:

Charge Current (CC)

$W^- \rightarrow l_\alpha^- + \bar{\nu}_\alpha$

Neutral Current (NC)

$Z^0 \rightarrow \nu_\alpha + \bar{\nu}_\alpha$

$Z^0 \rightarrow l_\alpha^- + l_\alpha^+$

$$\Gamma(Z^0 \rightarrow f + \bar{f}) = K \frac{g_Z^2 M_Z}{48\pi} [|c_V^f|^2 + |c_A^f|^2]$$

$\alpha = e, \mu, \text{ or } \tau$

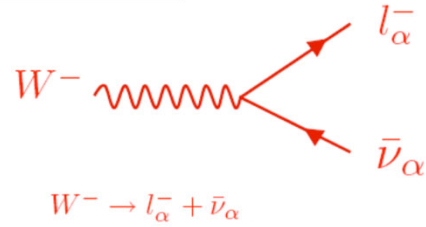
Invisible width of Z plus other data from LEP:

$Z^0 \rightarrow \nu\bar{\nu}$

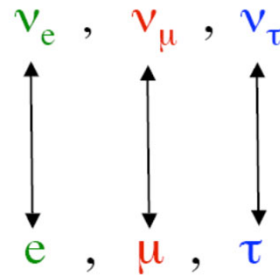
Implies $N_\nu = 2.99 \pm 0.01$

Three Active Neutrinos!!! Sterile Neutrinos don't couple to Z^0

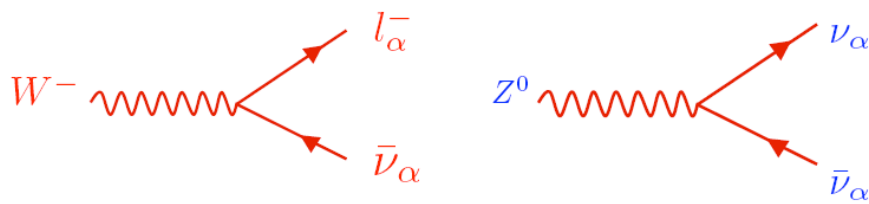
Note That



Implies



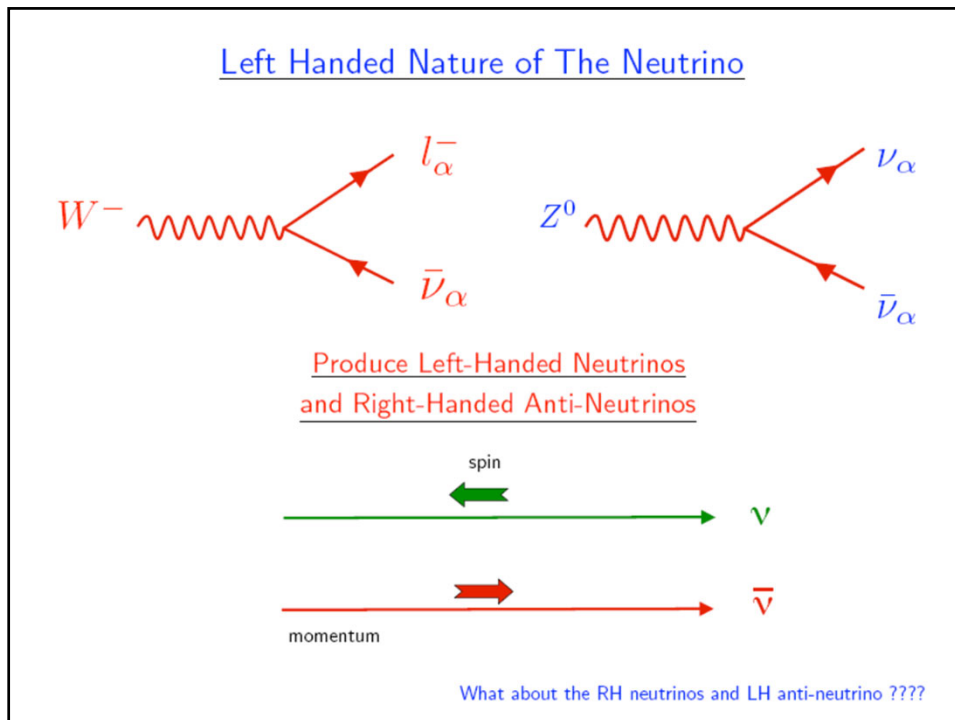
Standard Model



couplings conserve the **Lepton Number L**
 defined by—

$$L(\nu) = L(l^-) = -L(\bar{\nu}) = -L(l^+) = 1.$$

Actually $L_e, L_\mu,$ and L_τ
 separately



There exist three fundamental and discrete transformations in nature:

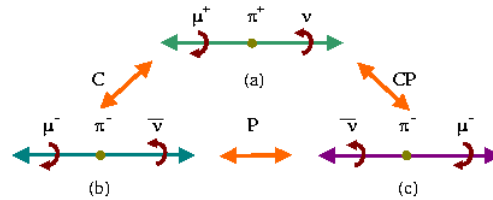
- Parity \mathcal{P} $\vec{x} \rightarrow -\vec{x}$
- Time reversal \mathcal{T} $t \rightarrow -t$
- Charge conjugation \mathcal{C} $q \rightarrow -q$

\mathcal{P} , \mathcal{T} and \mathcal{C} are conserved in the classical theories of mechanics and electrodynamics!

$CPT \leftrightarrow$ Lorentz invariance \oplus unitarity: is an essential building block of field theory

CPT : L particle \leftrightarrow R antiparticle

Neutrinos in the MSM are massless and exist only in two states: particle with negative helicity and antiparticle with positive one: **Weyl fermion**



\mathcal{P} : L particle \leftrightarrow R particle

Parity violation is nowhere more obvious than in the neutrino sector: the reflection of a left-handed neutrino in a mirror is nothing !

Summary of ν 's in SM:

Three flavors of massless neutrinos

$$W^- \rightarrow l_\alpha^- + \bar{\nu}_\alpha$$

$$W^+ \rightarrow l_\alpha^+ + \nu_\alpha$$

$$\alpha = e, \mu, \text{ or } \tau$$

Anti-neutrino, $\bar{\nu}_\alpha$, has +ve helicity, Right Handed

Neutrino, ν_α , has -ve helicity, Left Handed

ν_L and $\bar{\nu}_R$ are CPT conjugates

massless implies helicity = chirality

Beyond the SM

What if Neutrino have a MASS?

speed is less than c therefore time can pass

and

Neutrinos can change character!!!

What are the stationary states?

How are they related to the interaction states?

NEUTRINO OSCILLATIONS:

Two Flavors

flavor eigenstates \neq mass eigenstates

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

W's produce ν_μ and/or ν_τ 's

but ν_1 and ν_2 are the states
that change by a phase over time, mass eigenstates.

$$|\nu_j\rangle \rightarrow e^{-ip_j \cdot x} |\nu_j\rangle \quad p_j^2 = m_j^2$$

$\alpha, \beta \dots$ flavor index

$i, j \dots$ mass index

Production:

$$|\nu_\mu\rangle = \cos\theta|\nu_1\rangle + \sin\theta|\nu_2\rangle$$

Propagation:

$$\cos\theta e^{-ip_1 \cdot x}|\nu_1\rangle + \sin\theta e^{-ip_2 \cdot x}|\nu_2\rangle$$

Detection:

$$|\nu_1\rangle = \cos\theta|\nu_\mu\rangle - \sin\theta|\nu_\tau\rangle$$

$$|\nu_2\rangle = \sin\theta|\nu_\mu\rangle + \cos\theta|\nu_\tau\rangle$$

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = |\cos\theta(e^{-ip_1 \cdot x})(-\sin\theta) + \sin\theta(e^{-ip_2 \cdot x})\cos\theta|^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = |\cos\theta(e^{-ip_1 \cdot x})(-\sin\theta) + \sin\theta(e^{-ip_2 \cdot x})\cos\theta|^2$$

$$\text{Same } E, \text{ therefore } p_j = \sqrt{E^2 - m_j^2} \approx E - \frac{m_j^2}{2E}$$

$$e^{-ip_j \cdot x} = e^{-iEt} e^{-ip_j L} \approx e^{-i(Et - EL)} e^{-im_j^2 L/2E}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2\theta \cos^2\theta |e^{-im_2^2 L/2E} - e^{-im_1^2 L/2E}|^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

$$\delta m^2 = m_2^2 - m_1^2 \text{ and } \frac{\delta m^2 L}{4E} \equiv \Delta \text{ kinematic phase:}$$

$$P(\nu_\mu \rightarrow \nu_\tau) = |\cos\theta(e^{-ip_1 \cdot x})(-\sin\theta) + \sin\theta(e^{-ip_2 \cdot x})\cos\theta|^2$$

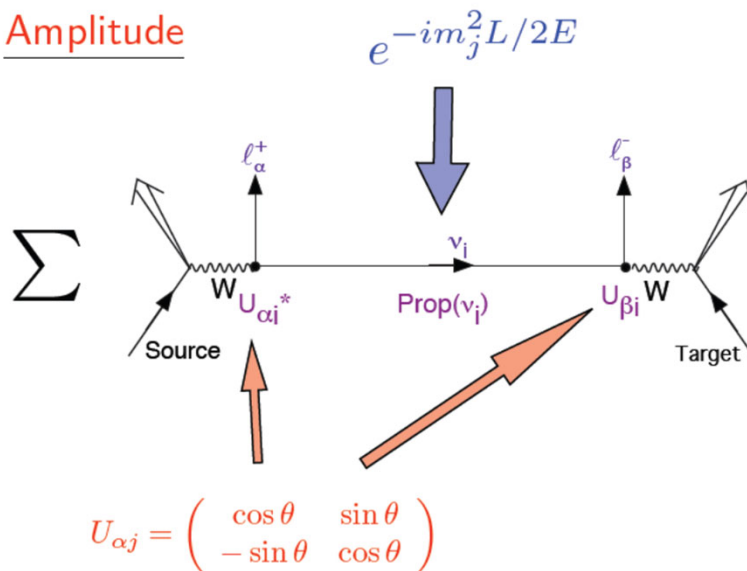
Same E, therefore $p_j = \sqrt{E^2 - m_j^2} \approx E - \frac{m_j^2}{2E}$

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$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2\theta \cos^2\theta |e^{-im_2^2 L/2E} - e^{-im_1^2 L/2E}|^2$$

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2\left(\frac{\delta m^2 L}{4E} \frac{c^4}{hc}\right)$$

Amplitude



Appearance:

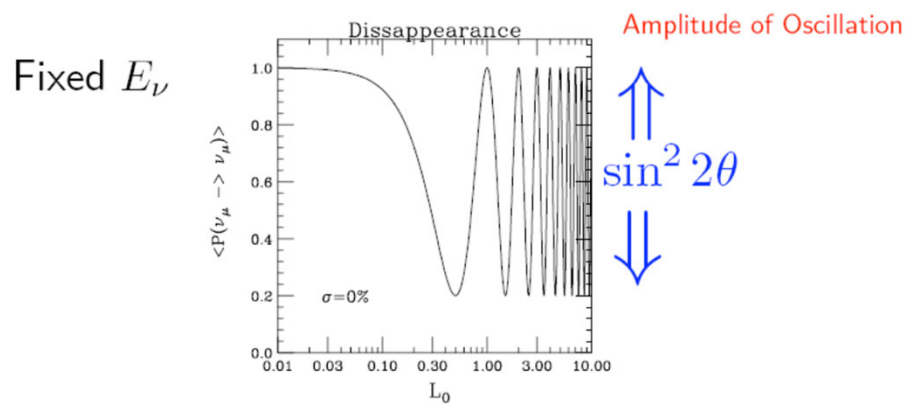
$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

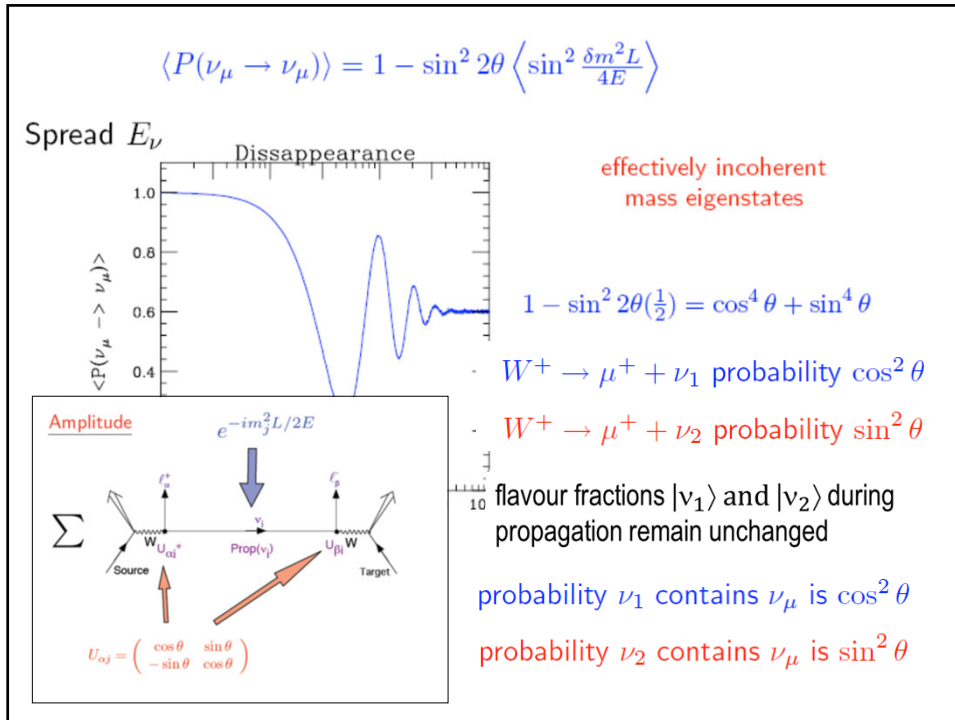
Disappearance:

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \frac{\delta m^2 L}{4E}$$

Oscillation Length $L_0 = 4\pi E / \delta m^2$





$$x = 0 \quad |\nu_\alpha\rangle = \sum_j V_{\alpha j} |\nu_j\rangle = \sum_j V_{\alpha j} \int \frac{d^3 k}{(2\pi)^3} f_j(k) |\nu_j(k)\rangle$$

where $k_0^j = \vec{k}^2 + m_j^2$ and $|\nu_j(k)\rangle = e^{-ik_0^j} |\nu_j\rangle$

The wave packets $f_j(k)$ depend on the production process (uncertainty in momentum of the initial states, kinematics), but we do not need to know the specific form:

$$f_i(k) \sim e^{-(\vec{k}-\vec{k}^i)^2/(2\sigma_i^2)}$$

We expect that

$$f_i(k) \sim f(k) + O(m_i/|\vec{k}|) \sim e^{-(\vec{k}-\vec{k}^i)^2/(2\sigma^2)}$$

$$\int d^3 k |f(k)|^2 = 1$$

We have a detector located at some distance down the beam line
 $x = L$:

$$x = L \quad |\nu_\alpha(x)\rangle = \sum_j \int \frac{d^3k}{(2\pi)^2} e^{-i\sqrt{m_j^2 + \vec{k}^2}t} e^{i\vec{x}\vec{k}} f_j(\vec{k}) V_{\alpha j} |\nu_j\rangle$$

where neutrino can interact producing a given flavour. The probability that the flavour we measured is β is: $|\langle \nu_\beta | \nu_\alpha(x) \rangle|^2$:

We can safely neglect terms of $O(m_i/|\vec{k}|)$ except in the phase factor because they are enhanced by L :

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= \sum_{i,j} V_{\beta j}^* V_{\alpha j} V_{\beta i} V_{\alpha i}^* \int d^3k e^{-i\frac{\Delta_{ij}L}{2|\vec{k}x|}} |f(\vec{k})|^2 \\ &\simeq \sum_{i,j} V_{\beta j}^* V_{\alpha j} V_{\beta i} V_{\alpha i}^* e^{-i\frac{\Delta_{ij}L}{2|\vec{k}x|}} \end{aligned}$$

with $\Delta_{ij} = m_j^2 - m_i^2$.

There is an intrinsic limit to coherence, because $\sigma \neq 0$!

$$\left| \frac{\Delta_{ij}L_D}{2} \left(\frac{1}{|\vec{k}|} - \frac{1}{|\vec{k}| + \sigma} \right) \right| \sim 2\pi \Rightarrow L_D \sim L_{osc} \frac{|\vec{k}|}{\sigma}$$

If $L \gg L_D$:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_i |V_{\alpha i} V_{\beta i}|^2 = 2 \cos^2 \theta \sin^2 \theta = \frac{1}{2} \sin^2 2\theta$$

In practice, the smearing in L and E_ν produces the same effect when $L \gg L_{osc}$: **averaged oscillations**

$$\langle P(\nu_\alpha \rightarrow \nu_\beta) \rangle = \frac{1}{2} \sin^2 2\theta$$

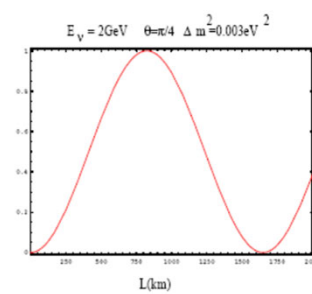
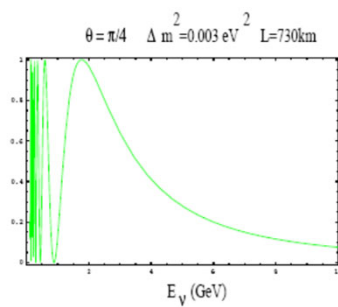
Using the unitarity of the mixing matrix: ($W_{\alpha\beta}^{jk} \equiv [V_{\alpha j} V_{\beta j}^* V_{\alpha k}^* V_{\beta k}]$)

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{k>j} \text{Re}[W_{\alpha\beta}^{jk}] \sin^2 \left(\frac{\Delta m_{jk}^2 L}{4E_\nu} \right) \\ \pm 2 \sum_{k>j} \text{Im}[W_{\alpha\beta}^{jk}] \sin \left(\frac{\Delta m_{jk}^2 L}{2E_\nu} \right)$$

For 2 families: $V_{MNS} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$

$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E_\nu} \right) \rightarrow \text{appearance}$$

$$P_{\alpha\alpha} = 1 - P_{\alpha\beta} < 1 \rightarrow \text{disappearance}$$



Oscillation probabilities show the expected **GIM** suppression of any flavour changing process: they vanish if the neutrinos are degenerate

Probability for Neutrino Oscillation in Vacuum

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\text{Amp}(\nu_\alpha \rightarrow \nu_\beta)|^2 =$$

$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E\nu} \right) \rightarrow \text{appearance}$$

$$P_{\alpha\alpha} = 1 - P_{\alpha\beta} < 1 \rightarrow \text{disappearance}$$

Probability for Neutrino Oscillation in Vacuum

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$$P_{\alpha\beta} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right) \rightarrow \text{appearance}$$

$$P_{\alpha\alpha} = 1 - P_{\alpha\beta} \rightarrow \text{disappearance}$$

$$\left(1.27 \frac{\Delta m^2 (eV^2) L(km)}{E(GeV)} \right)$$

L/E becomes crucial !!!

Evidence for Flavor Change:

*** Atmospheric and Accelerator Neutrinos with $L/E = 500 \text{ km/GeV}$

*** Solar and Reactor Neutrinos with $L/E = 15 \text{ km/MeV}$

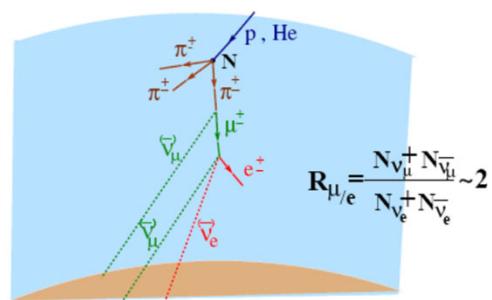
Neutrinos from Stopped muons $L/E = 2 \text{ m/MeV}$ (Unconfirmed)

Atmospheric neutrinos

- Atmospheric neutrinos are produced by the interaction of *cosmic rays* (p, He, \dots) with the Earth's atmosphere:

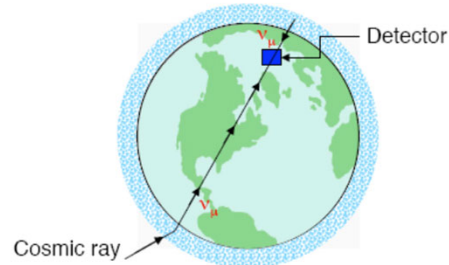
- 1 $A_{\text{cr}} + A_{\text{air}} \rightarrow \pi^{\pm}, K^{\pm}, K^0, \dots$
- 2 $\pi^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu}$,
- 3 $\mu^{\pm} \rightarrow e^{\pm} + \nu_e + \nu_{\mu}$;

- at the detector, some ν interacts and produces a **charged lepton**, which is observed.



A deficit was observed in the ratio μ/e events: **Soudan2, IMB, Kamiokande**

Atmospheric Neutrinos



Isotropy of the ≥ 2 GeV cosmic rays + Gauss' Law + No ν_μ disappearance

$$\Rightarrow \frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 1.$$

But Super-Kamiokande finds for $E_\nu > 1.3$ GeV

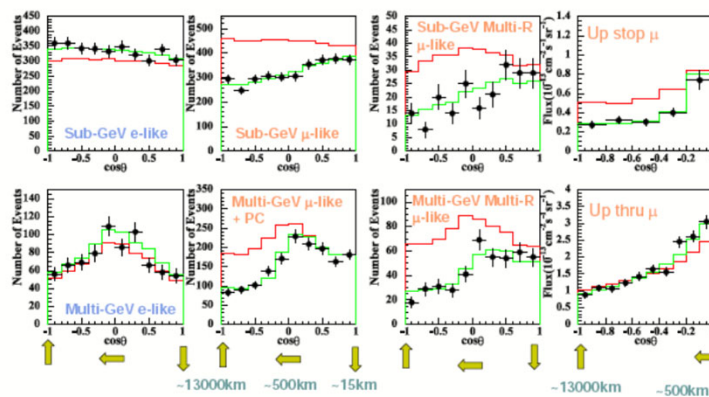
$$\frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 0.54 \pm 0.04.$$



Zenith angle distributions

$\nu_\mu \leftrightarrow \nu_\tau$
2-flavor oscillations

Best fit
 $\sin^2 2\theta = 1.0, \Delta m^2 = 2.0 \times 10^{-3} \text{ eV}^2$
Null oscillation



Half of the upward-going, long-distance-traveling ν_μ are disappearing.

Voluminous atmospheric neutrino data are well described by —

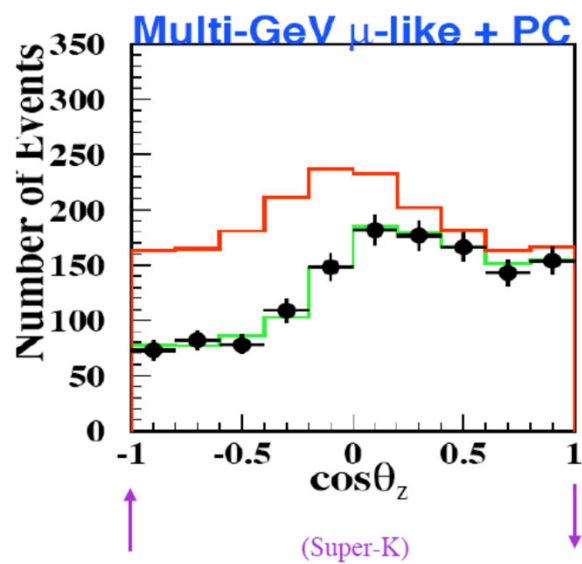
$$\nu_\mu \longrightarrow \nu_\tau$$

with —

$$\Delta m_{\text{atm}}^2 \cong 2.4 \cdot 10^{-3} \text{ eV}^2$$

and —

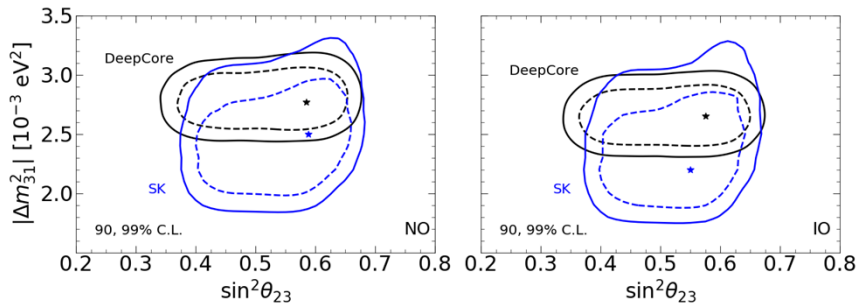
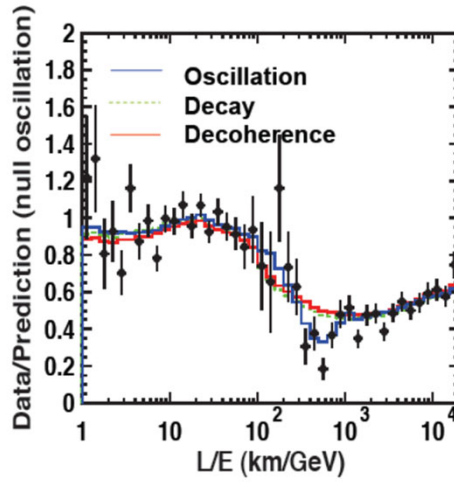
$$\sin^2 2\theta_{\text{atm}} \cong 1$$



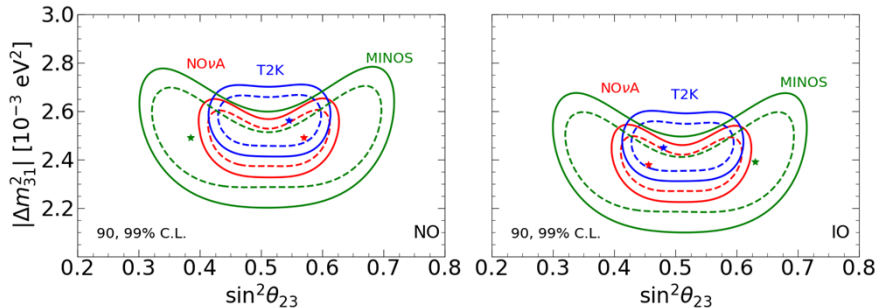
L/E Analysis

❖ Oscillation, decay and decoherence models tested

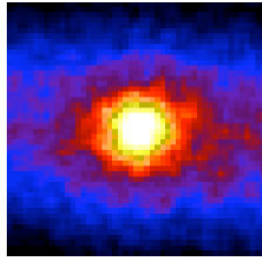
$\chi^2_{osc} = 83.9/83$
 $\chi^2_{dcy} = 107.1/83, \Delta\chi^2 = 23.2(4.8\sigma)$
 $\chi^2_{dec} = 112.5/83, \Delta\chi^2 = 27.6(5.3\sigma)$



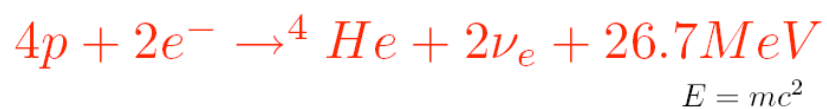
<https://globalfit.astroparticles.es/>



Solar δm^2



Solar Engine:

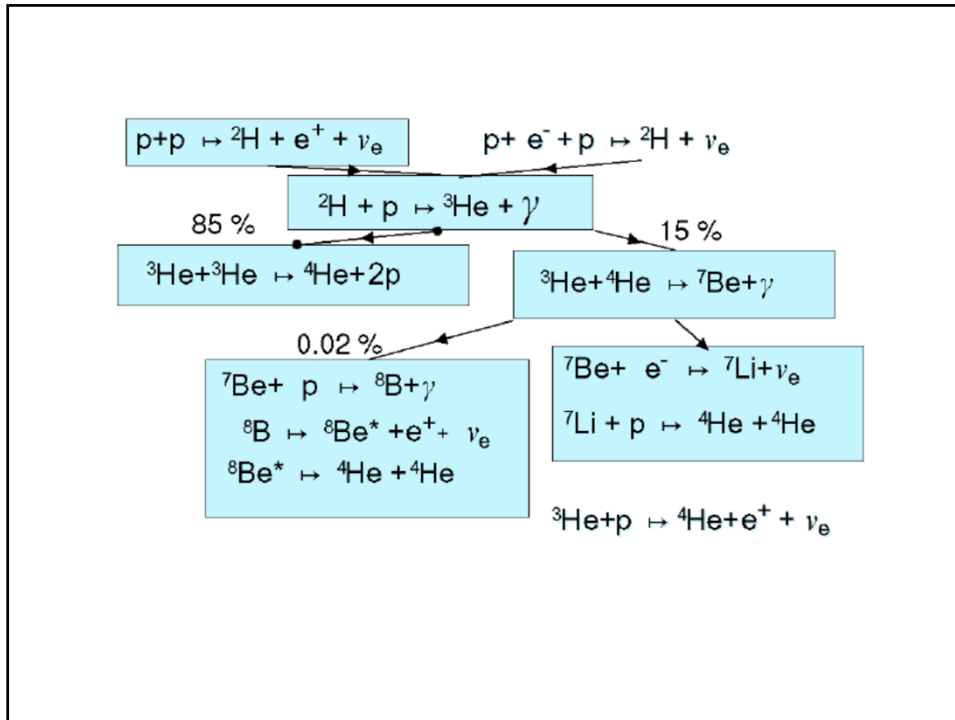


1 ν_e for every 13.4 MeV ($=2.1 \times 10^{-12}$ J)

\mathcal{L}_\odot at earth's surface 0.13 watts/cm²

$$\phi_\nu = \frac{0.13}{2.1 \times 10^{-12}} = 6 \times 10^{10} / \text{cm}^2 / \text{sec}$$

This corresponds to an average of 2 ν 's per cm³
since they are going at speed c .



Solar Spectrum:

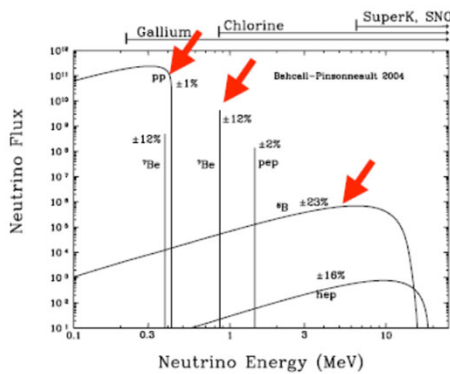
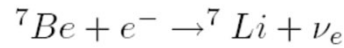


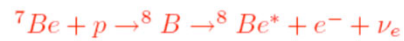
Figure 1. The predicted solar neutrino energy spectrum. The figure shows the energy spectrum of solar neutrinos predicted by the BP04 solar model [22]. For continuum sources, the neutrino fluxes are given in number of neutrinos $\text{cm}^{-2} \text{s}^{-1} \text{MeV}^{-1}$ at the Earth's surface. For line sources, the units are number of neutrinos $\text{cm}^{-2} \text{s}^{-1}$. Total theoretical uncertainties taken from column 2 of table 1 are shown for each source. To avoid complication in the figure, we have omitted the difficult-to-detect CNO neutrino fluxes (see table 1).



$$\phi_{pp} = 5.94(1 \pm 0.01) \times 10^{10} \text{cm}^{-2} \text{sec}^{-1}$$



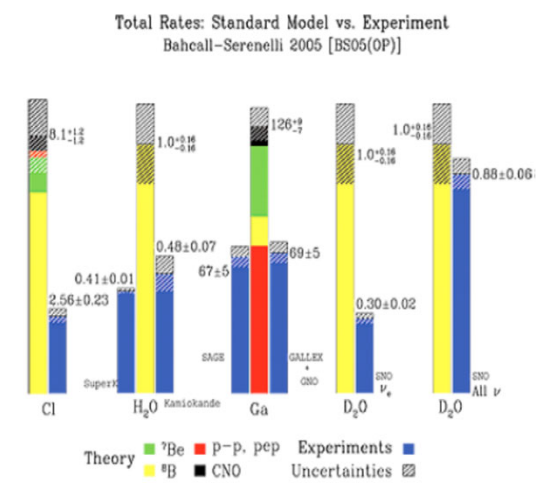
$$\phi_{{}^7\text{Be}} = 4.86(1 \pm 0.12) \times 10^9 \text{cm}^{-2} \text{sec}^{-1}$$



$$\phi_{{}^8\text{B}} = 5.82(1 \pm 0.23) \times 10^6 \text{cm}^{-2} \text{sec}^{-1}$$



Ray Davis & John Bahcall



Theory v Exp.

Neutrino Flavor Transitions!!!

Kinematical Phase:

$$\delta m_{\odot}^2 = 8.0 \times 10^{-5} eV^2$$

$$\sin^2 \theta_{\odot} = 0.31$$

$$\Delta_{\odot} = \frac{\delta m_{\odot}^2 L}{4E} = 1.27 \frac{8 \times 10^{-5} eV^2 \cdot 1.5 \times 10^{11} m}{0.1-10 MeV}$$

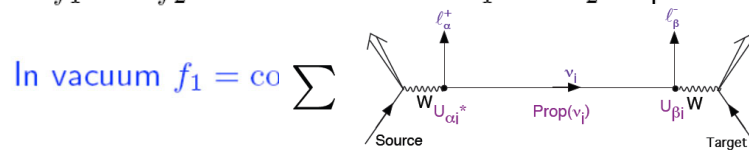
$$\Delta_{\odot} \approx 10^{7 \pm 1}$$

Effectively Incoherent !!!

Vacuum ν_e Survival Probability:

$$\langle P_{ee} \rangle = f_1 \cos^2 \theta_{\odot} + f_2 \sin^2 \theta_{\odot}$$

where f_1 and f_2 are the fraction of ν_1 and ν_2 at production.

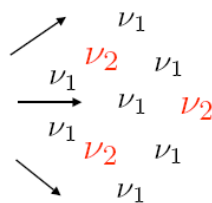
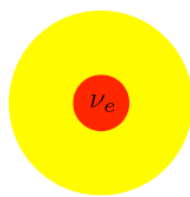


$$\langle P_{ee} \rangle = \cos^4 \theta_{\odot} + \sin^4 \theta_{\odot} = 1 - \frac{1}{2} \sin^2 2\theta_{\odot}$$

for pp and ${}^7\text{Be}$ this is approximately THE ANSWER.

$$f_1 \sim 69\% \text{ and } f_2 \sim 31\% \text{ and } \langle P_{ee} \rangle \approx 0.6$$

pp and ${}^7\text{Be}$



$$f_1 \sim 69\%$$

$$f_2 \sim 31\%$$

$$\langle P_{ee} \rangle \approx 0.6$$

$$f_3 = \sin^2 \theta_{13} < 4\%$$

What about 8B ?

SNO's CC/NC

CC: $\nu_e + d \rightarrow e^- + p + p$

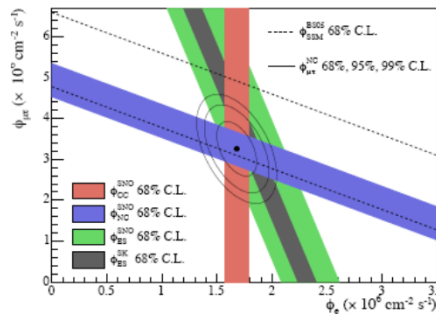
NC : $\nu_x + d \rightarrow \nu_x + p + n$

ES: $\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$

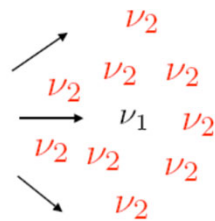
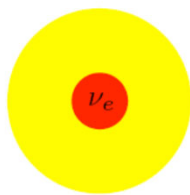
$$\frac{CC}{NC} = \langle P_{ee} \rangle = f_1 \cos^2 \theta_\odot + f_2 \sin^2 \theta_\odot$$

$$f_1 = \left(\frac{CC}{NC} - \sin^2 \theta_\odot \right) / \cos 2\theta_\odot$$

$$= (0.35 - 0.31) / 0.4 \approx 10 \%$$



8B



$$f_2 \sim 90\%$$

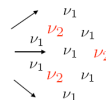
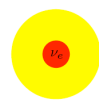
$$f_1 \sim 10\%$$

$$\langle P_{ee} \rangle = \sin^2 \theta + f_1 \cos 2\theta_\odot \approx \sin^2 \theta_\odot = 0.31$$

Wow!!! How did that happen???

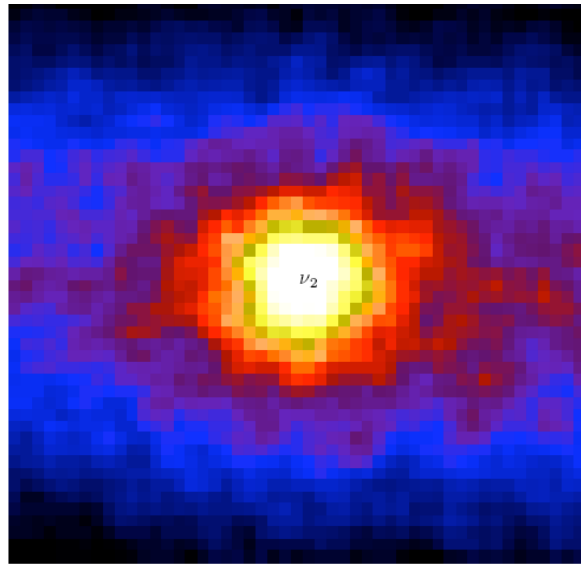
energy dependence!!!

pp and 7Be



$$f_1 \sim 69\%$$

$$f_2 \sim 31\%$$



These are ν_2 Neutrinos !!!