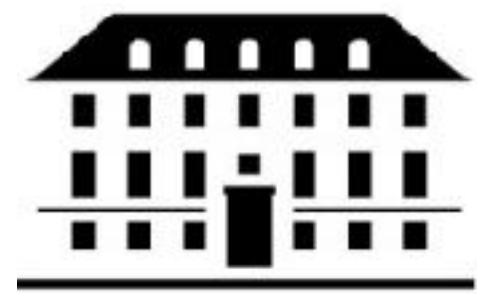
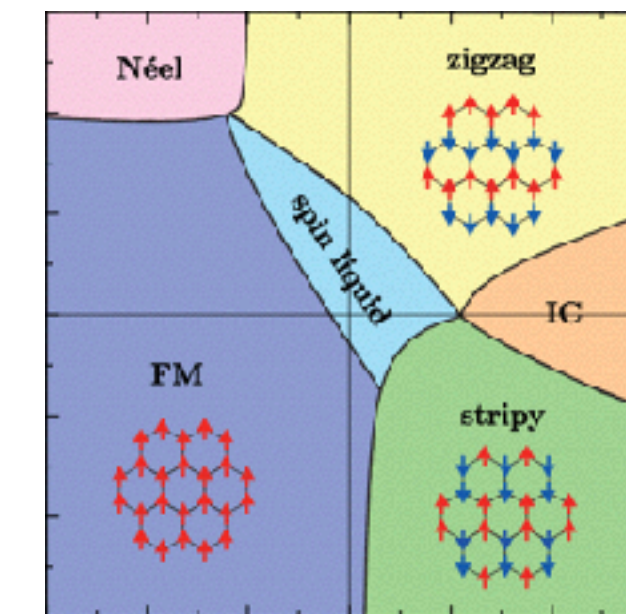
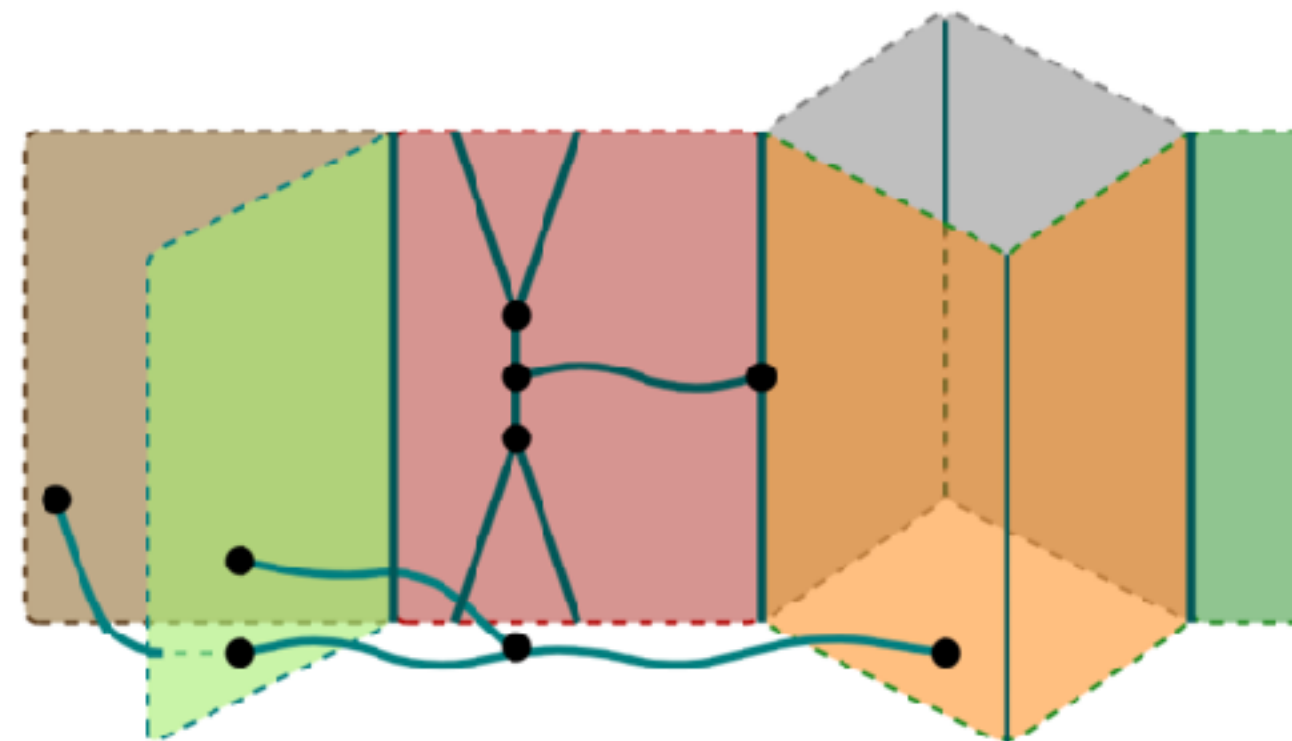
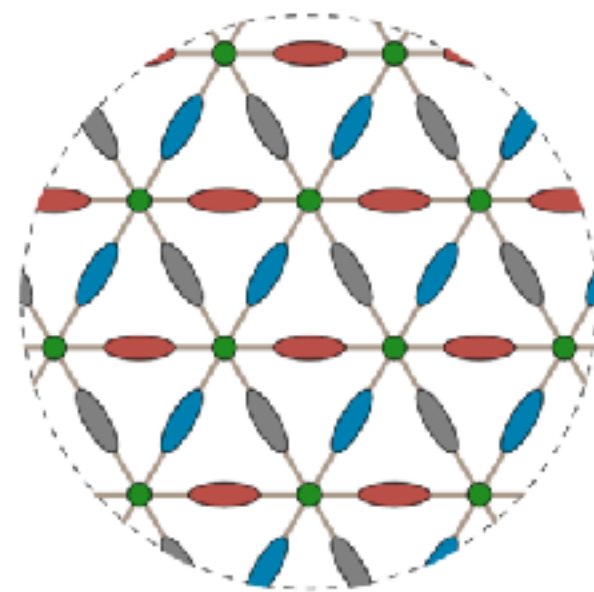


# Global symmetries, dualities and universal properties of quantum matter

**Apoorv Tiwari**



Niels Bohr Institute, University of Copenhagen



## **Goal:**

**Non-perturbative methods to organize/predict universal properties of interacting quantum matter.**

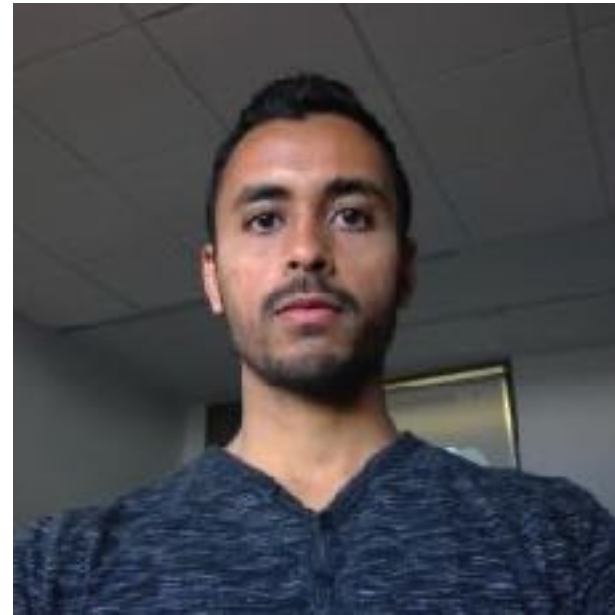
## **Toolbox:**

~~**Symmetry & Topology**~~

**Symmetry  $\simeq$  Topology**

# In collaboration with

---



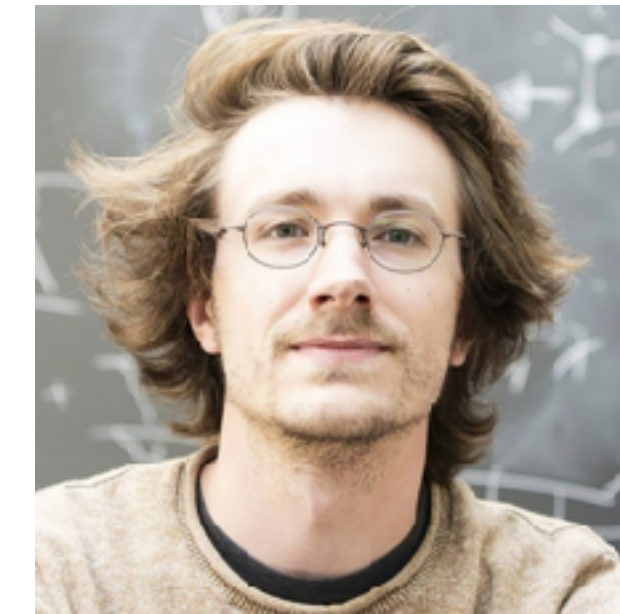
Lakshya Bhardwaj,  
Oxford



Lea Bottini,  
Oxford



Sakura Schäfer Nameki,  
Oxford



Clement Delcamp,  
IHES

[arXiv:2301.01259](https://arxiv.org/abs/2301.01259)

[SciPost Phys. Core 6, 066 \(2023\)](https://arxiv.org/abs/2307.01266)  
[arXiv:2307.01266](https://arxiv.org/abs/2307.01266)



Faroogh Moosavian,  
Oxford



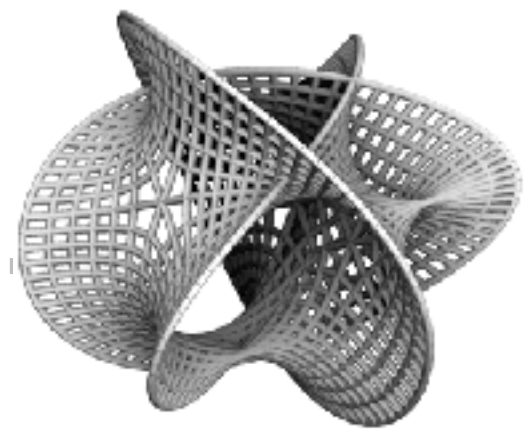
Heidar Moradi  
Kent



Ömer Aksoy  
MIT

[arXiv:2308.00743](https://arxiv.org/abs/2308.00743)

# **Motivation and Background**

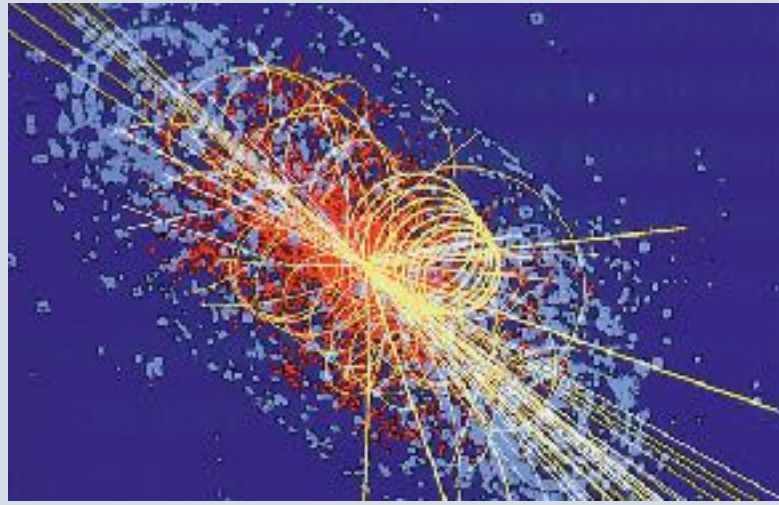


# Universality

## Physical Universe

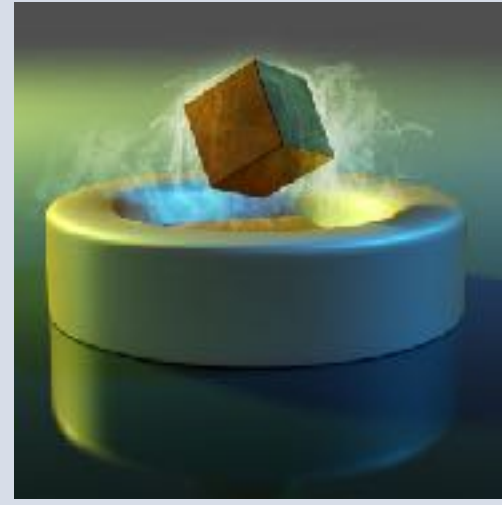


Outer space

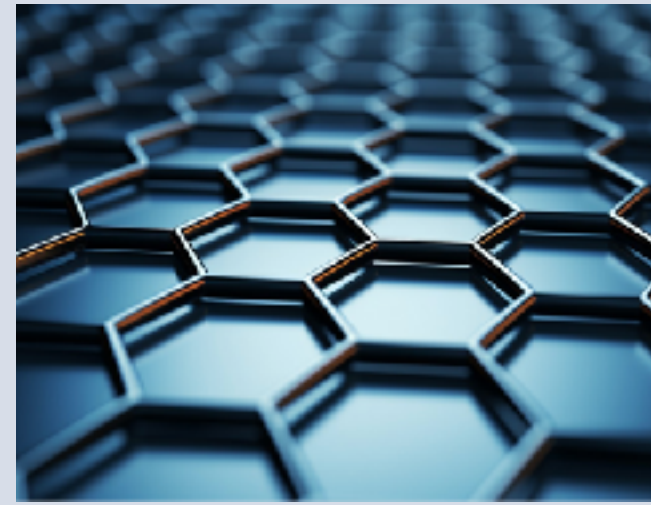


Fundamental particles

## Material (tunable) universe

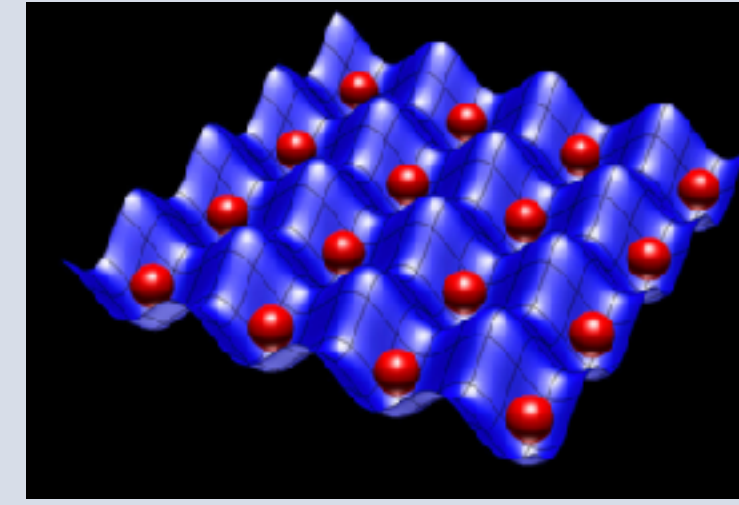


High-Temperature superconductor

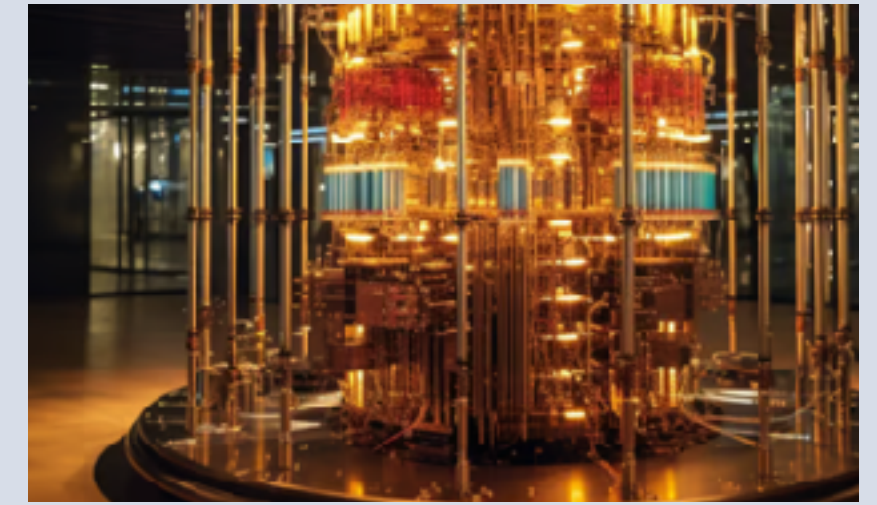


Graphene

## Artificial (programmable) universe

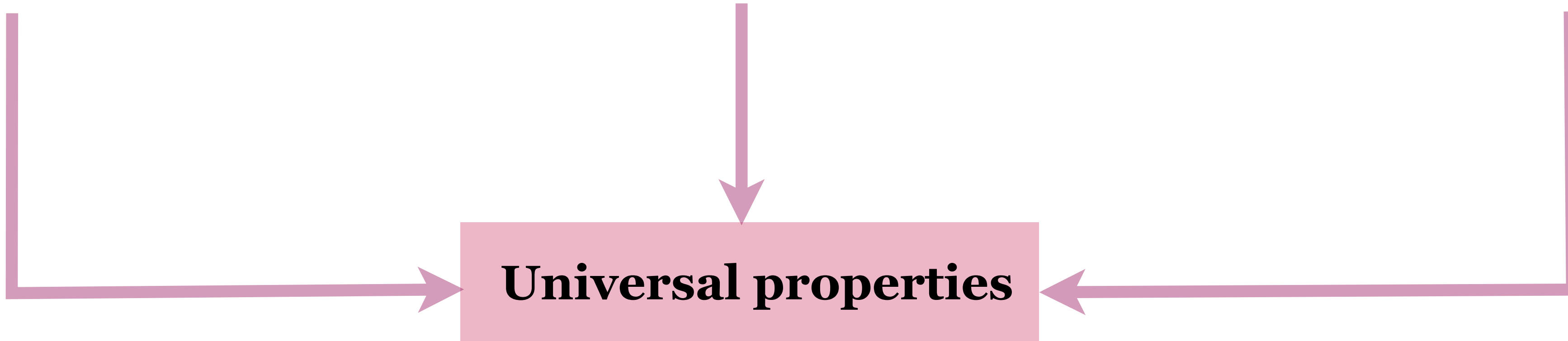


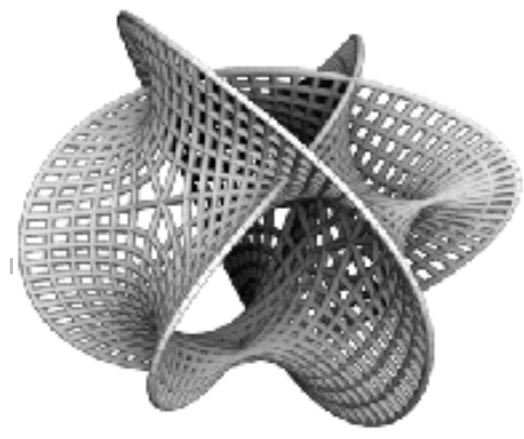
Cold atom Experiments



Quantum Computers

**Universal properties**





# Emergence and Universality

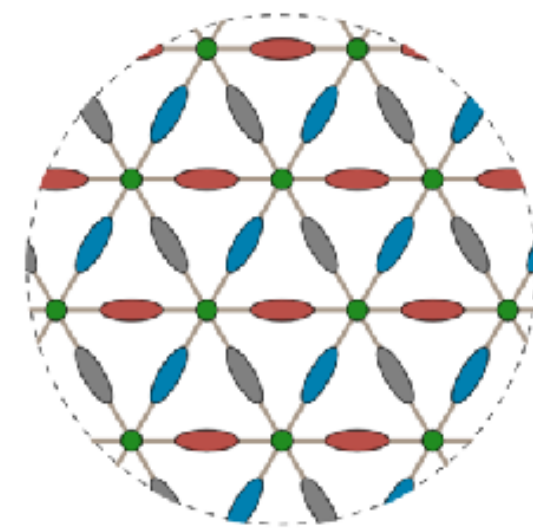


Mona Lisa, Leonardo Da Vinci, ~ 1505

Zoom out



Seated Woman, Pablo Picasso, 1927



Many-Body Quantum System

Zoom out



Universal properties

# Emergence and Universality



UV/short-distance

IR/long-distance

**Microscopic  
lattice model**

**Effective descriptions**

**Renormalization group  
fixed-points**

e.g. spin models,  
Hubbard model, etc.

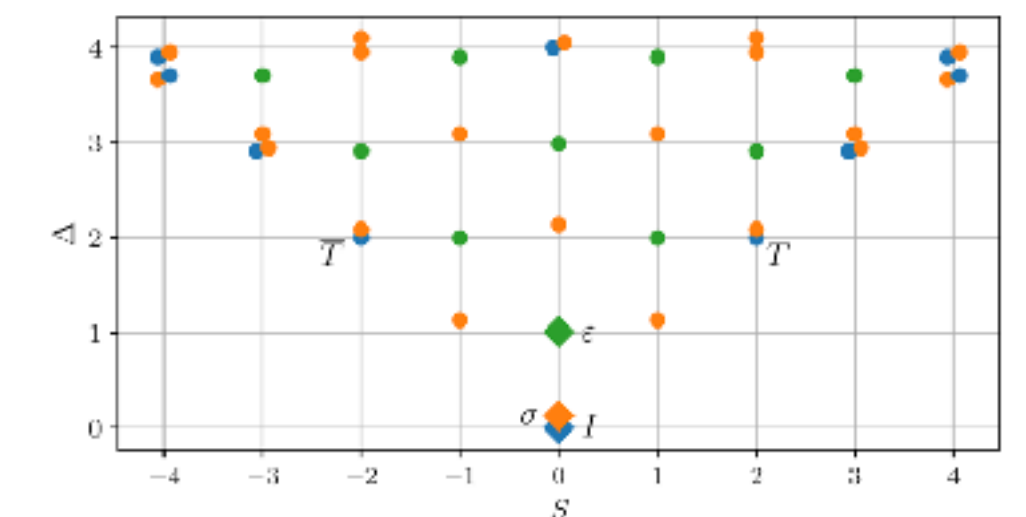
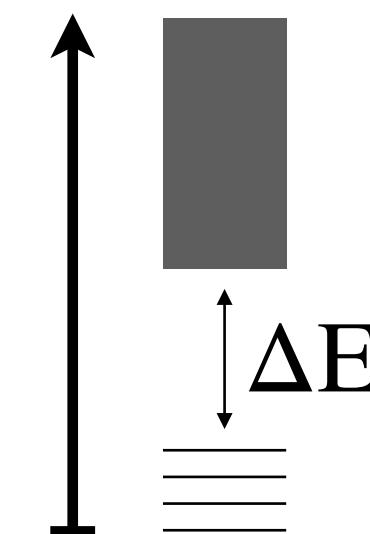
e.g. Effective field theory,  
gauge systems, dimer models, etc.

**Gapped**

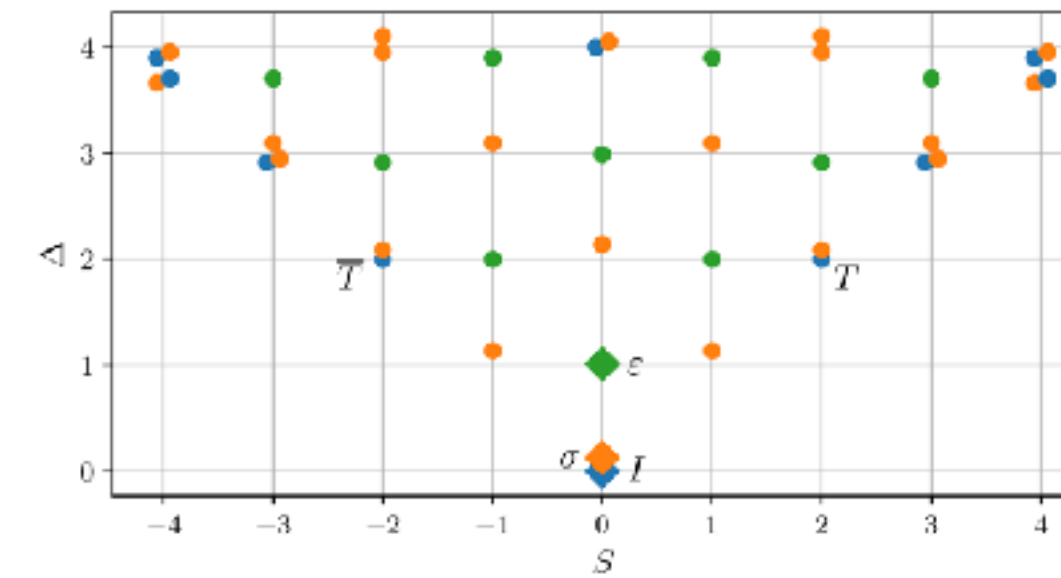
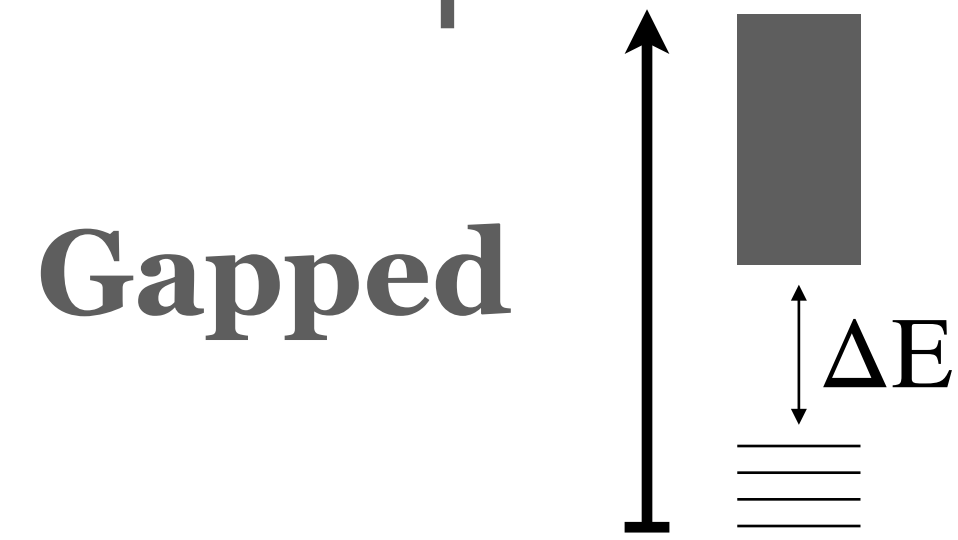
**Gapless**

**Tensor decomposable  
Hilbert space**

**Possibly not  
Tensor decomposable  
Hilbert space**



# Renormalization group fixed points



**Gapless**

**Topological  
quantum field theory  
(TQFT)**

**Conformal  
field theory  
(CFT)**

**Describe universal properties of ground states/low-lying states.**

**Classification of  
(\*\*\*)-gapped phases of matter**

112

**Classification of  
(\*\*\*)-TQFTs**



**Hard problem:**

**Classify and characterise all phases & phase transitions**

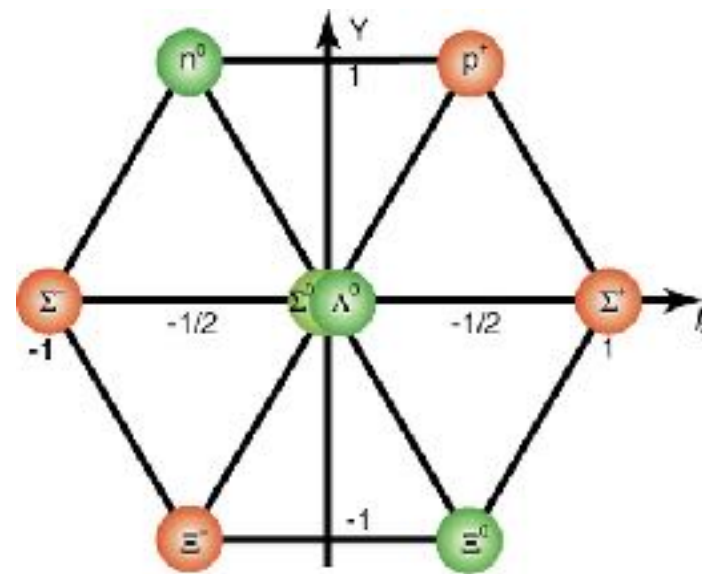
**Easier problem:**

**Classify and characterise **symmetric** phases & phase transitions**

# Global symmetries are very useful

## Organisation of matter

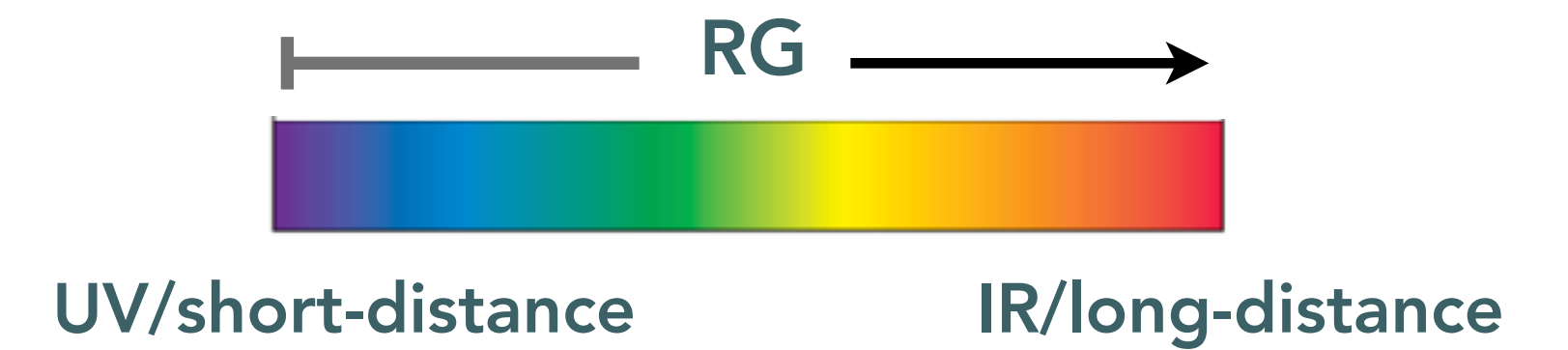
[Representation Theory]



Eight-fold way

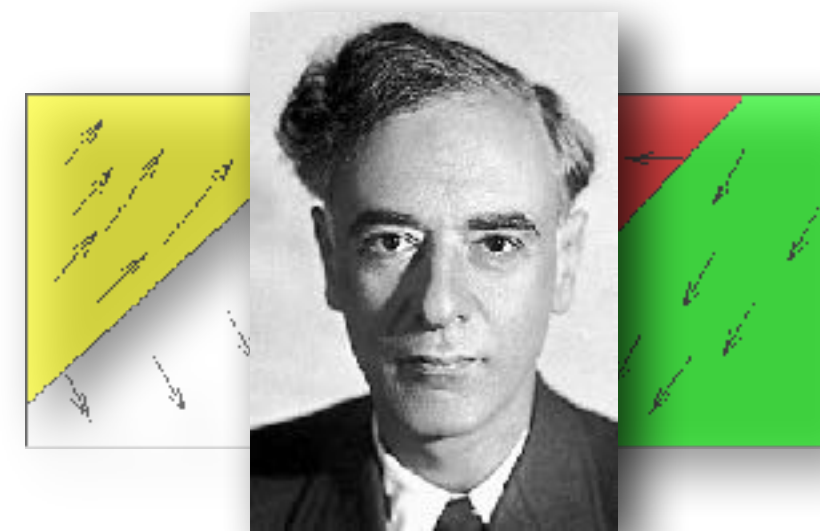
## Constraints on dynamics

[’t Hooft anomalies]



## Classification of phases

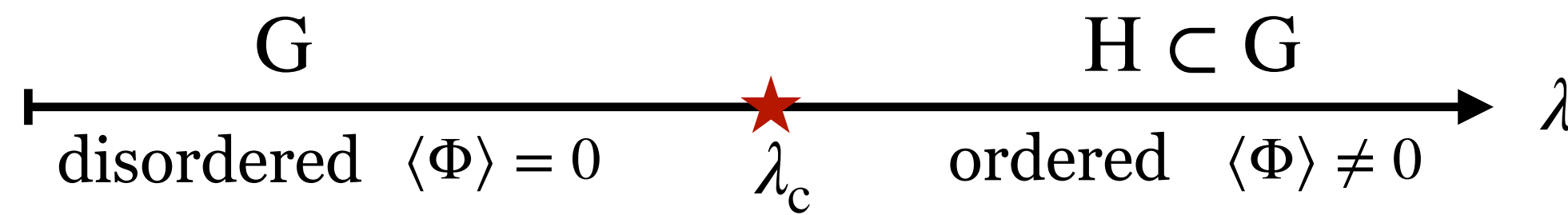
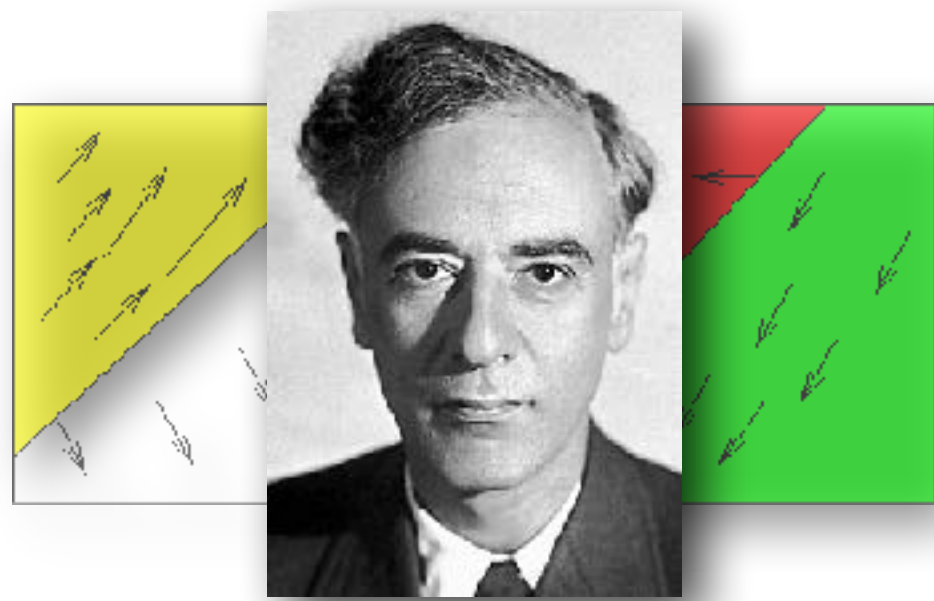
[Spontaneous Symmetry breaking]



Landau paradigm

# Classification of symmetric phases.

**Landau Paradigm:** Phases distinguished by symmetry breaking patterns.

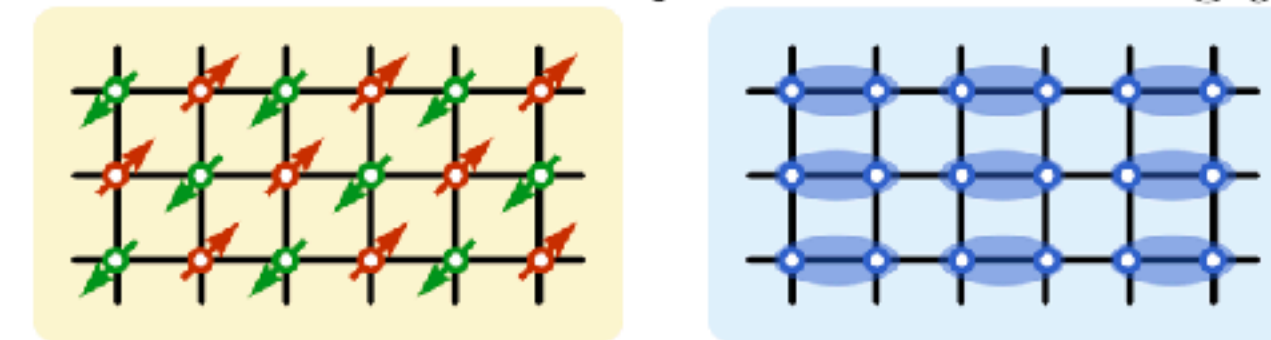
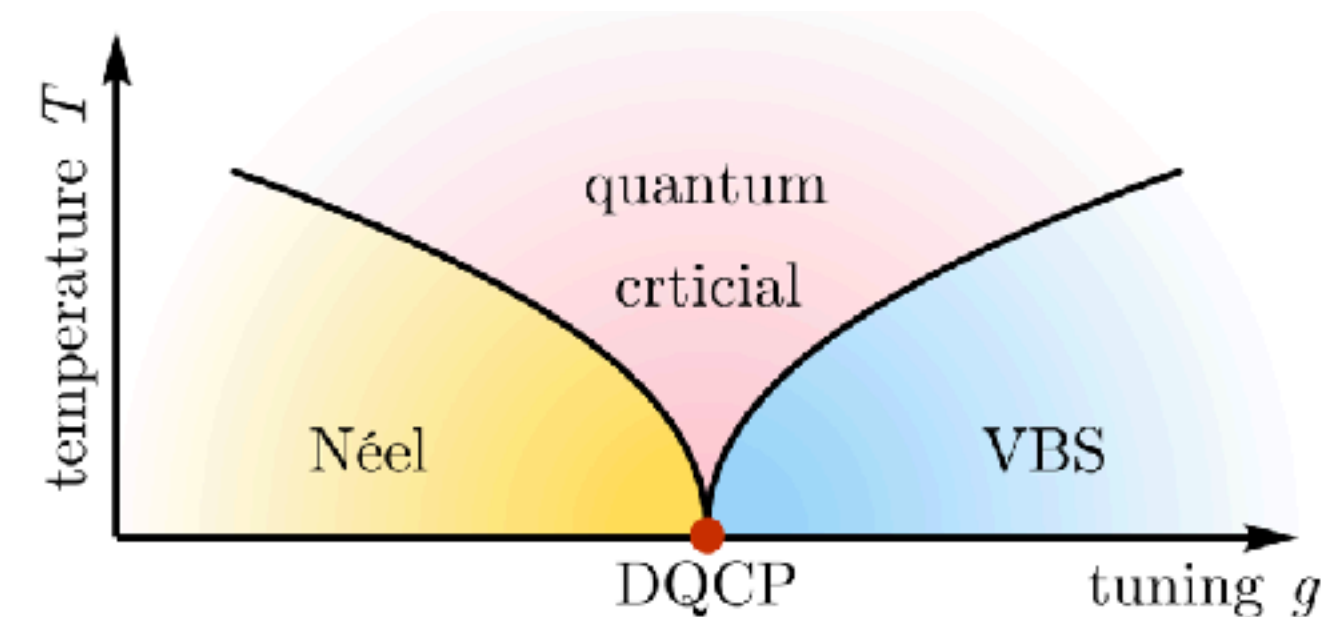
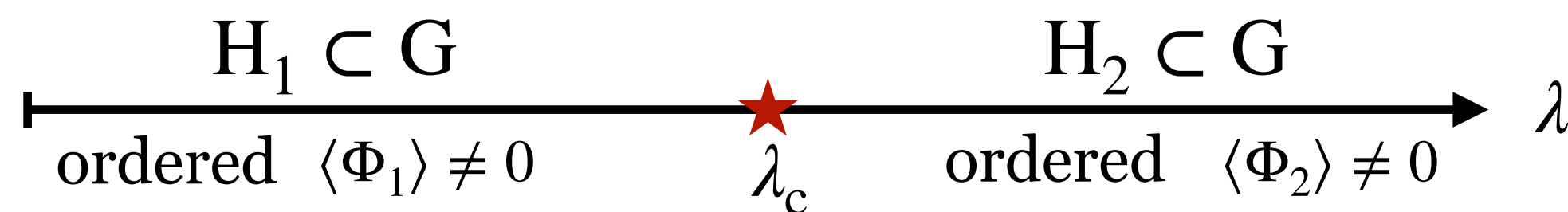


Examples:  
Magnets, superconductors,...

## Beyond-Landau Paradigm:

### I. Deconfined quantum criticality:

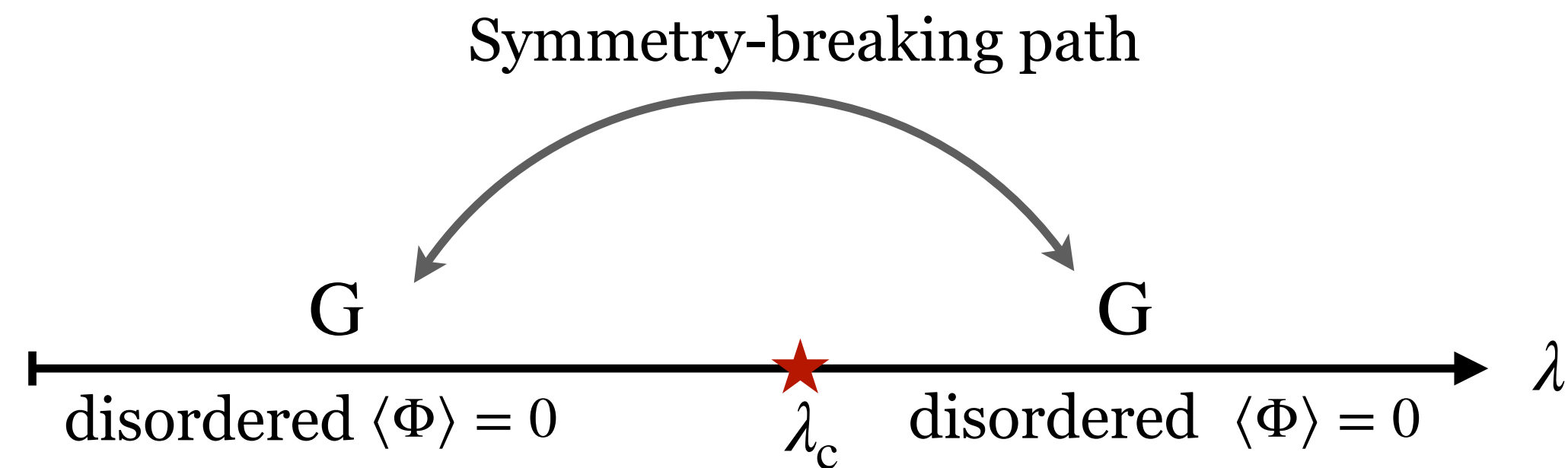
$$H_1 \not\subset H_2, H_2 \not\subset H_1$$



$$\text{blue oval} = \frac{1}{\sqrt{2}} (\uparrow\downarrow - \downarrow\uparrow)$$

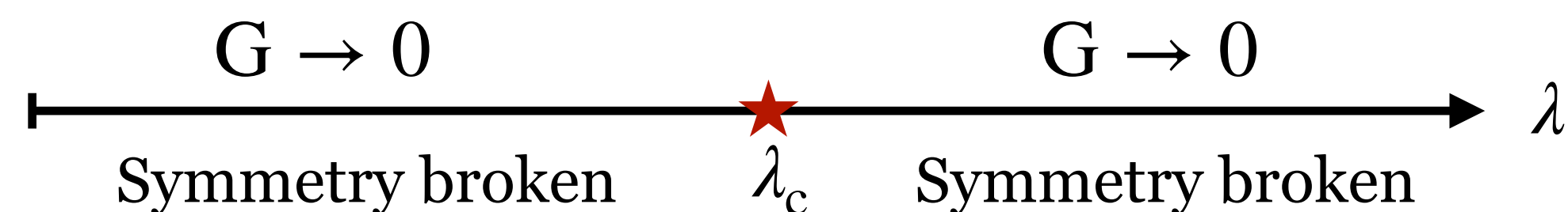
# Classification of symmetric phases. **Beyond-Landau Paradigm:**

## II. Symmetry protected topological phases



- **Examples: Topological Insulators and superconductors.**
- **Distinguished by topological response.**
- **TQFT based classification.**

## III. Topologically ordered phases



- **Examples: Toric code/deconfined finite gauge theory.**
- **Distinguished by topological correlation functions.**
- **TQFT based classification.**



**Generalized Symmetry :**  
A new perspective unifying  
Landau and beyond physics  
**(Generalized Landau Paradigm)**

# Global symmetry: a new perspective

**Central insight:** Symmetry operators are topological (inside correlation functions)!

[Gaiotto-Kapustin-Seiberg-Willet '14]

Conventional global symmetry is defined via a collection of operators  $\{U_g\}$ .

## Properties of symmetry operators

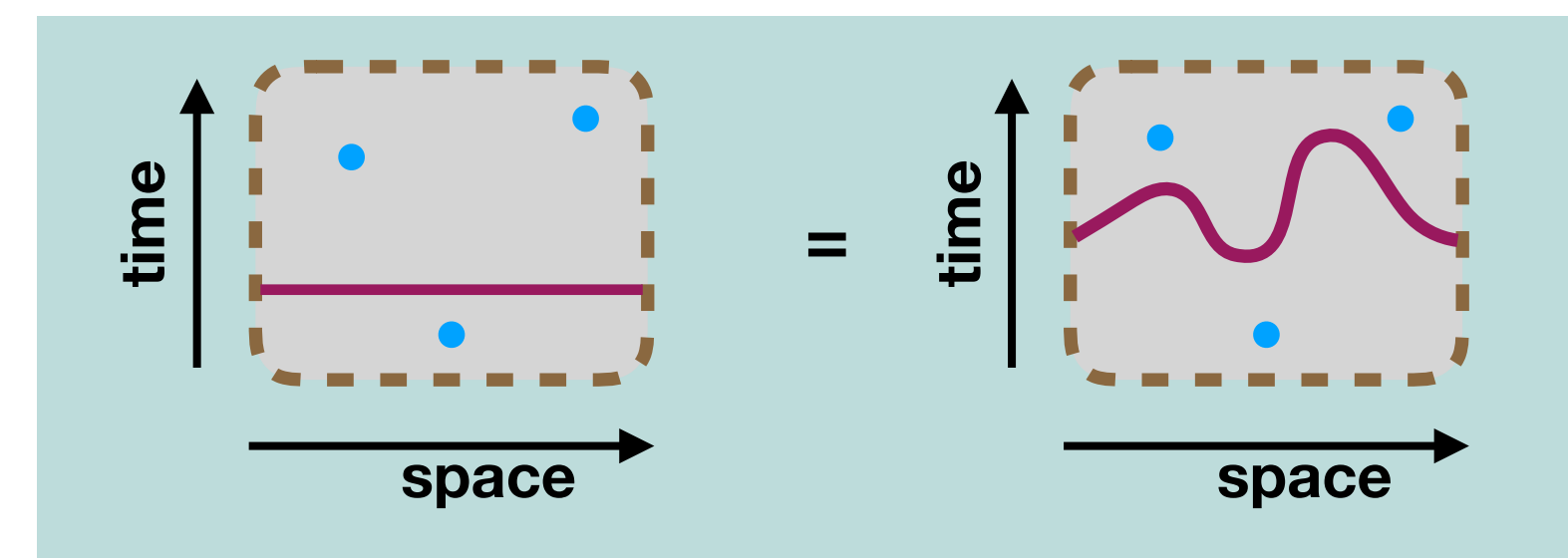
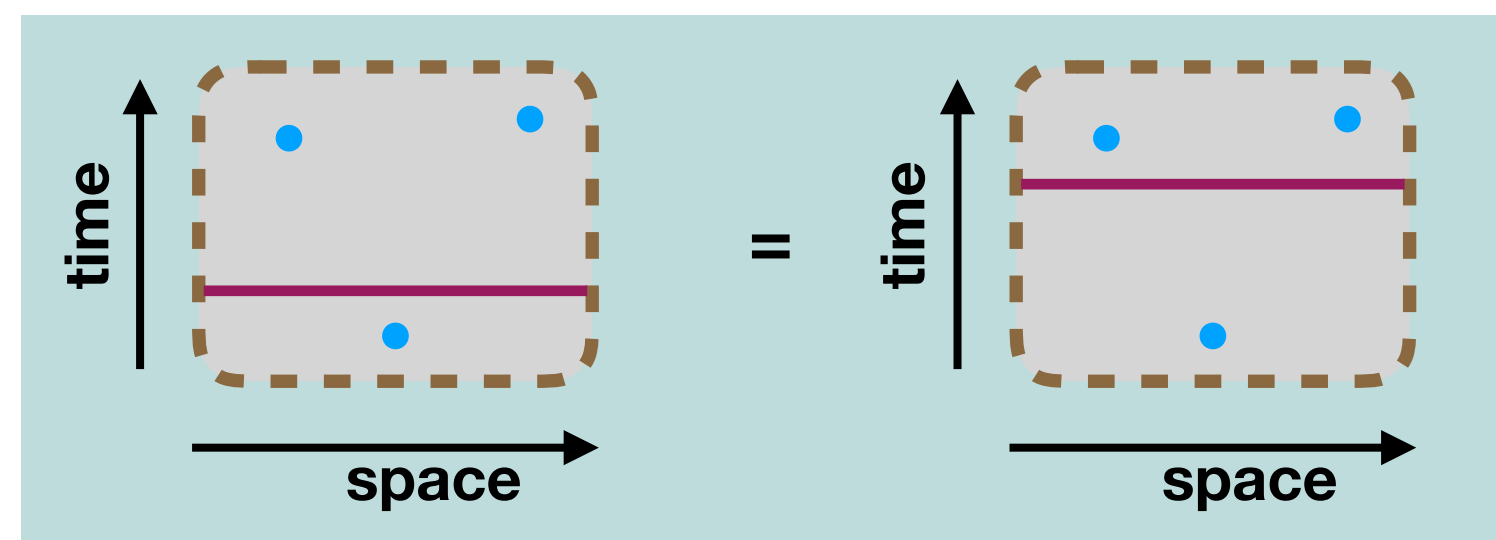
## Re-statement

1. Act on all of space

1. Co-dimension-1 in spacetime

2. Commute with the Hamiltonian  $[U_g, H] = 0$

2. Topological



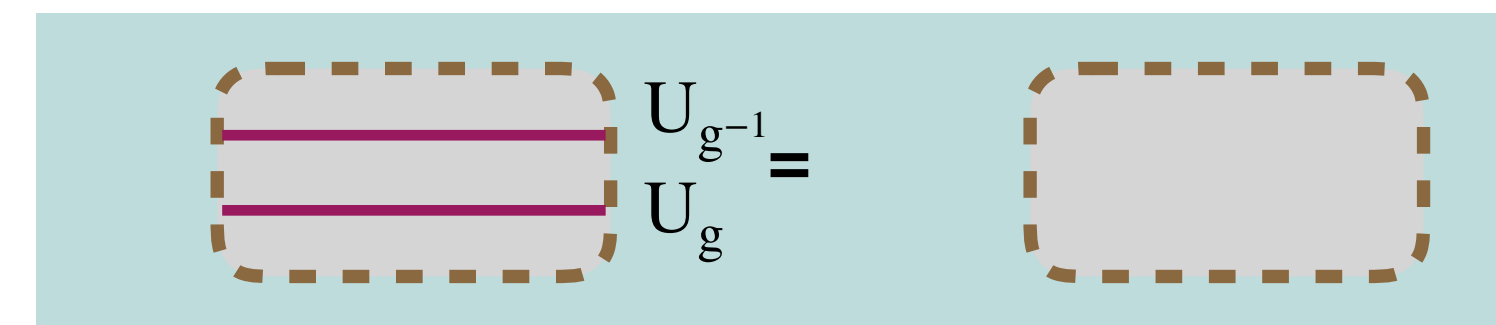
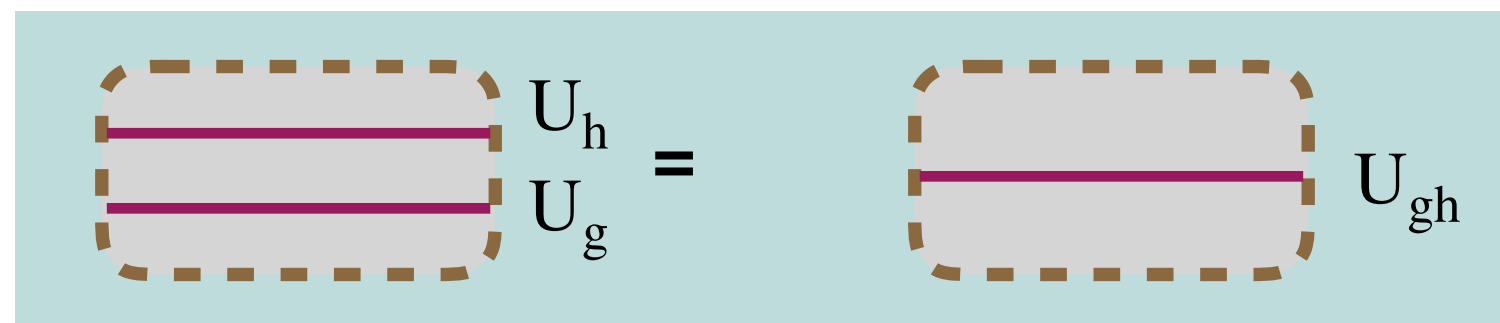
# Generalized symmetries

## Properties of symmetry operators

## Re-statement

3. Compose as a group  $U_g U_h = U_{g \cdot h}$

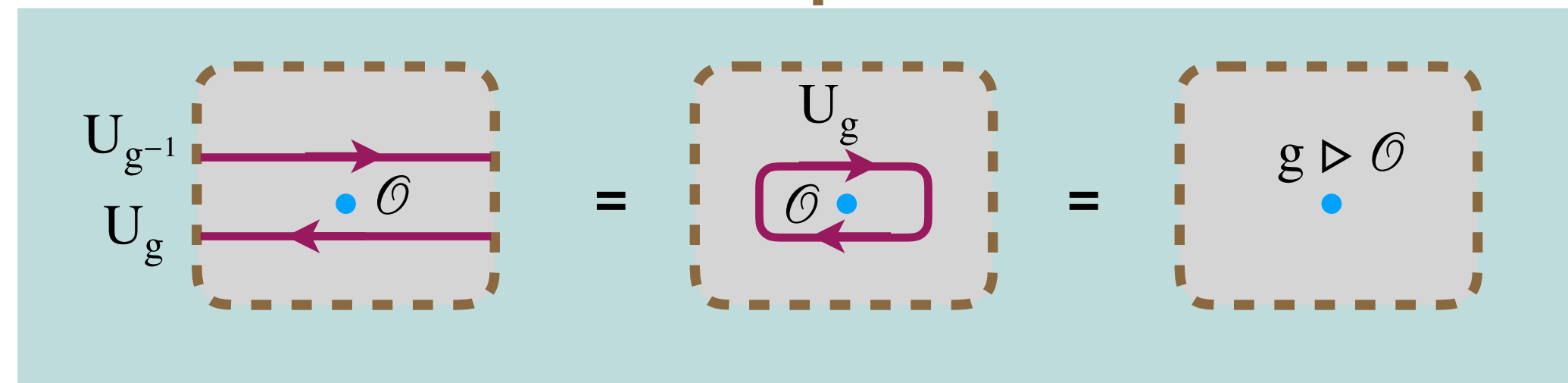
3. Invertible  $U_g U_{g^{-1}} = 1$



4. Act on operators via conjugation

4. Action via linking

$$U_g : \mathcal{O} \longrightarrow U_g \mathcal{O} U_g^{-1}$$



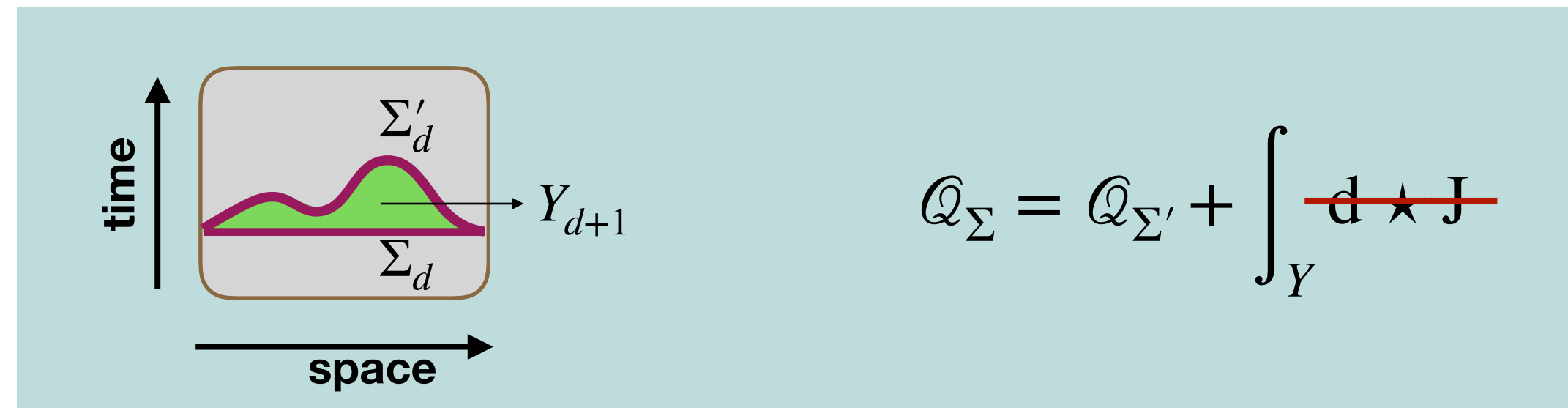
# Examples: Global symmetry via topological operators

## 1. U(1) particle number conservation :

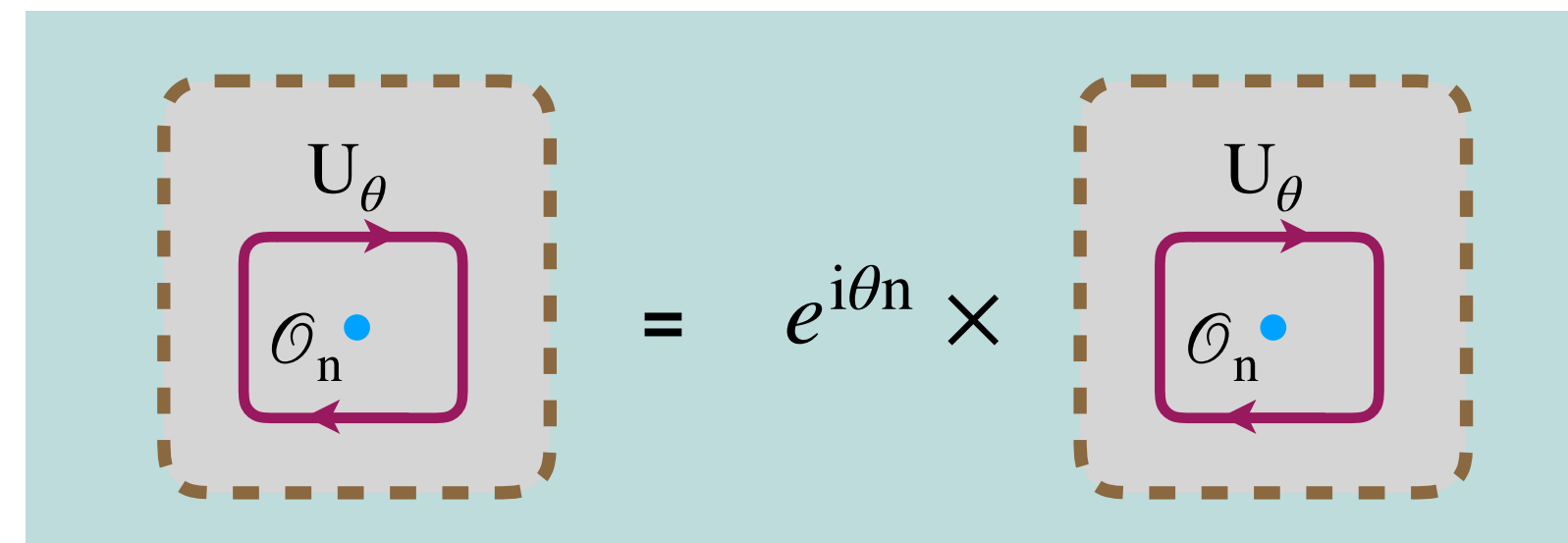
- **Symmetry operator :**

$$U_g[\Sigma] = \exp \{ ig Q_\Sigma \} , \quad Q_\Sigma = \int_\Sigma \star J, \quad d \star J = 0$$

- **Current conservation implies topological invariance.**



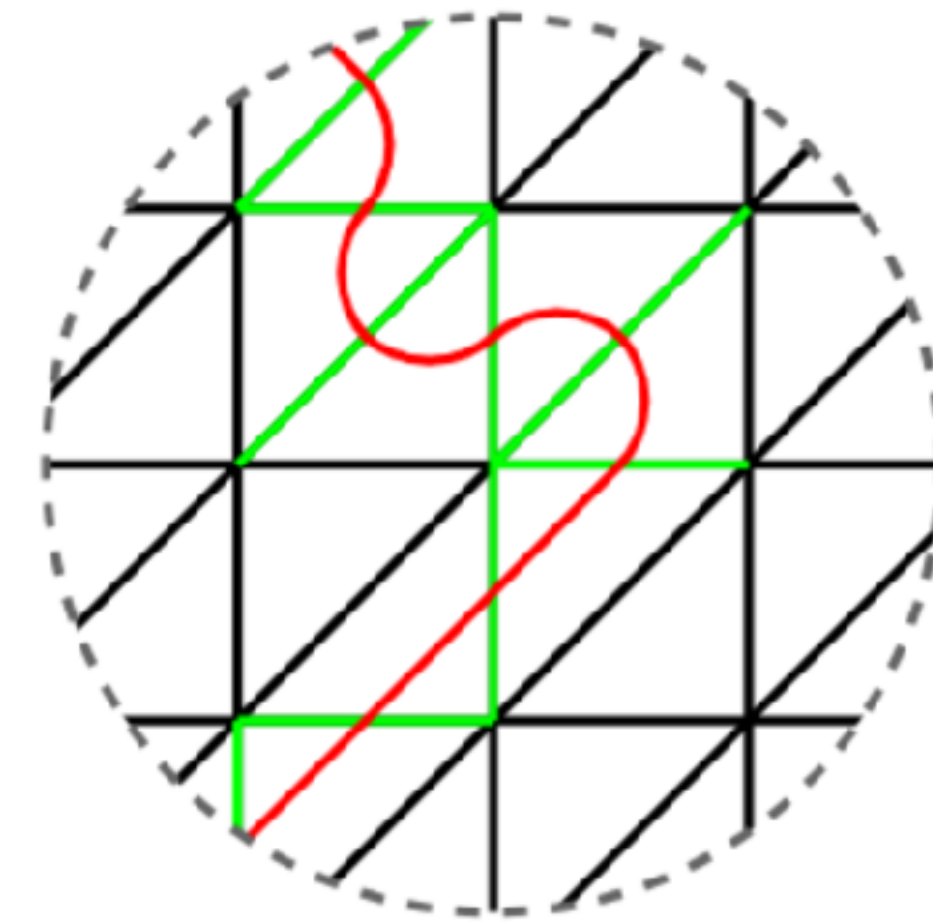
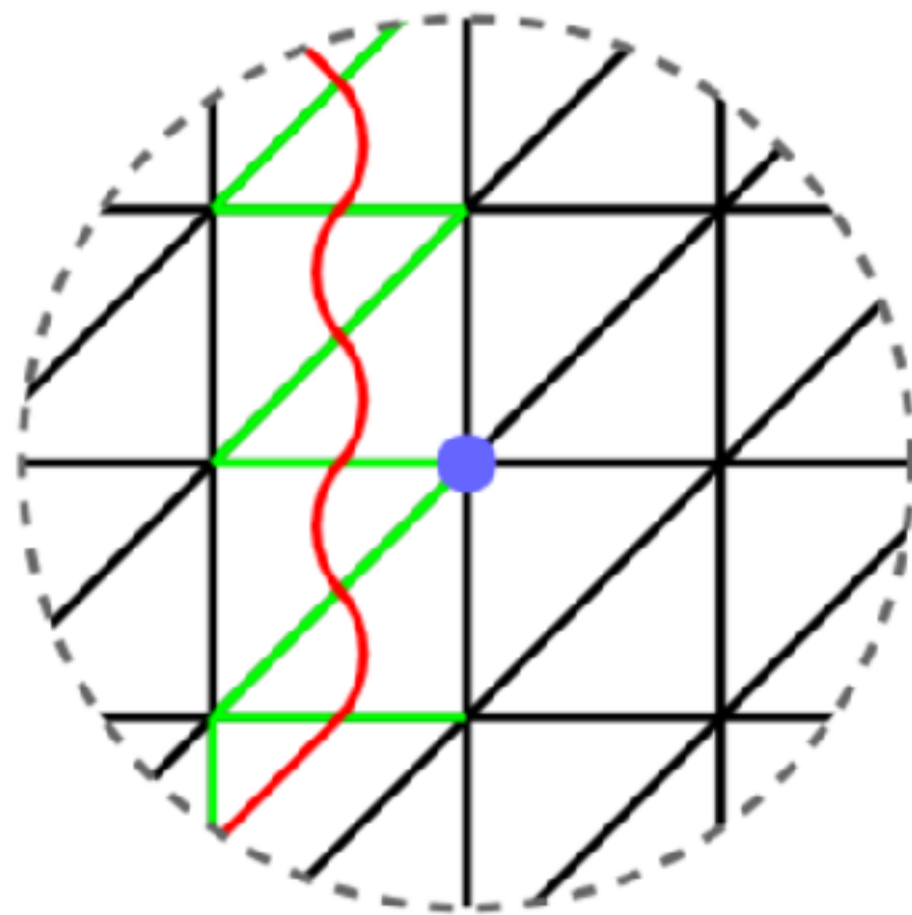
- **Action via linking**





## 2. $Z_2$ global symmetry :

- Invariance under background gauge transformations implies topological invariance of symmetry operator



— Symmetry operator

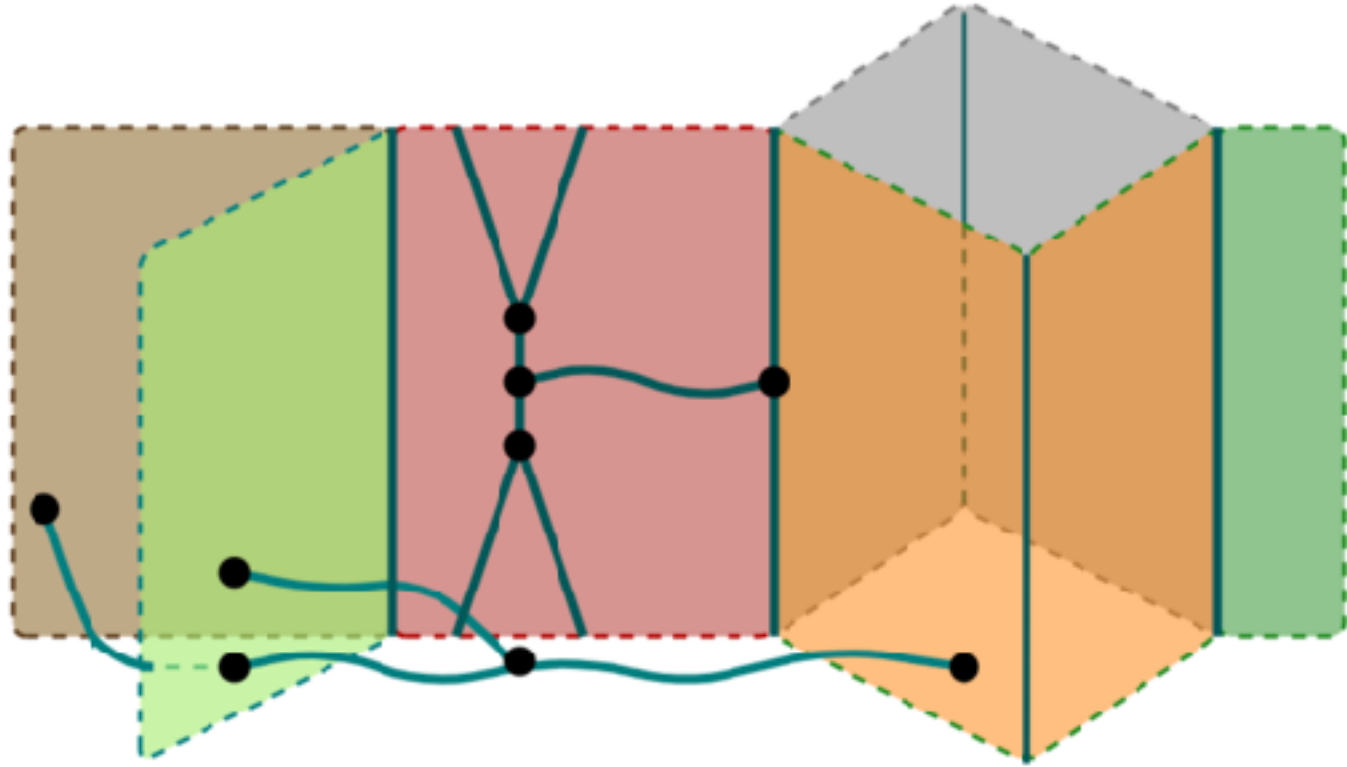
— Background gauge field

● gauge transformation

# Generalized global symmetries

Properties of symmetry operators	Conventional symmetries	Higher group symmetries	Non-invertible
<b>co-dimension</b>	<b>1</b>	<b><math>\leq q</math></b>	<b>General</b>
<b>Fusion rules</b>	<b>Group like</b>	<b><math>\sim</math> Group like</b>	<b>Non-group like</b>

**Mathematical Language:**



**Higher- fusion categories:**

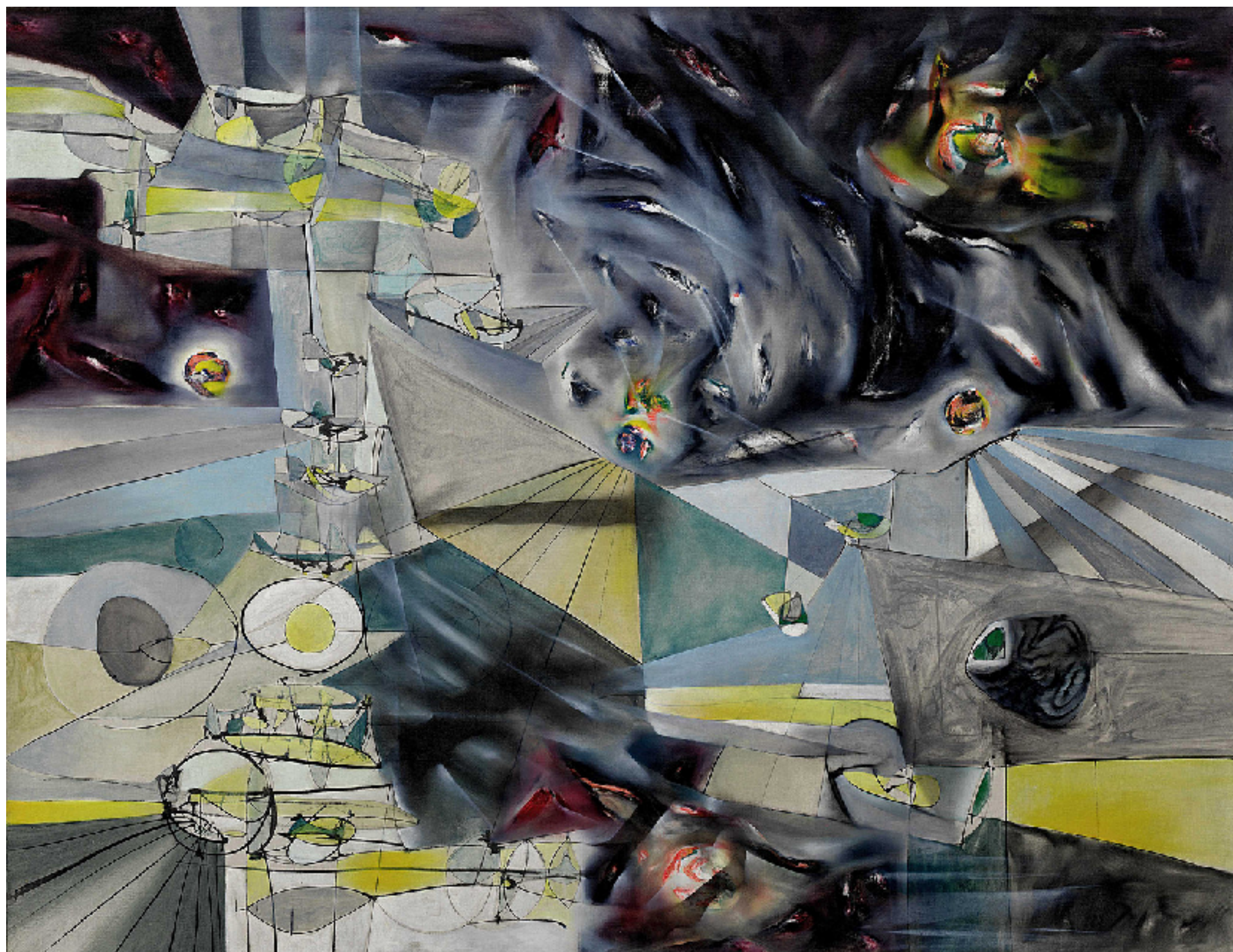
A framework to organise the collection of topological defects/operators of all (co-)dimensions along with their fusions + ....

Symmetry structure of  $(d+1)$ -dimensional quantum system  $\cong$   $d$ -Fusion category

# Generalized Landau Paradigm

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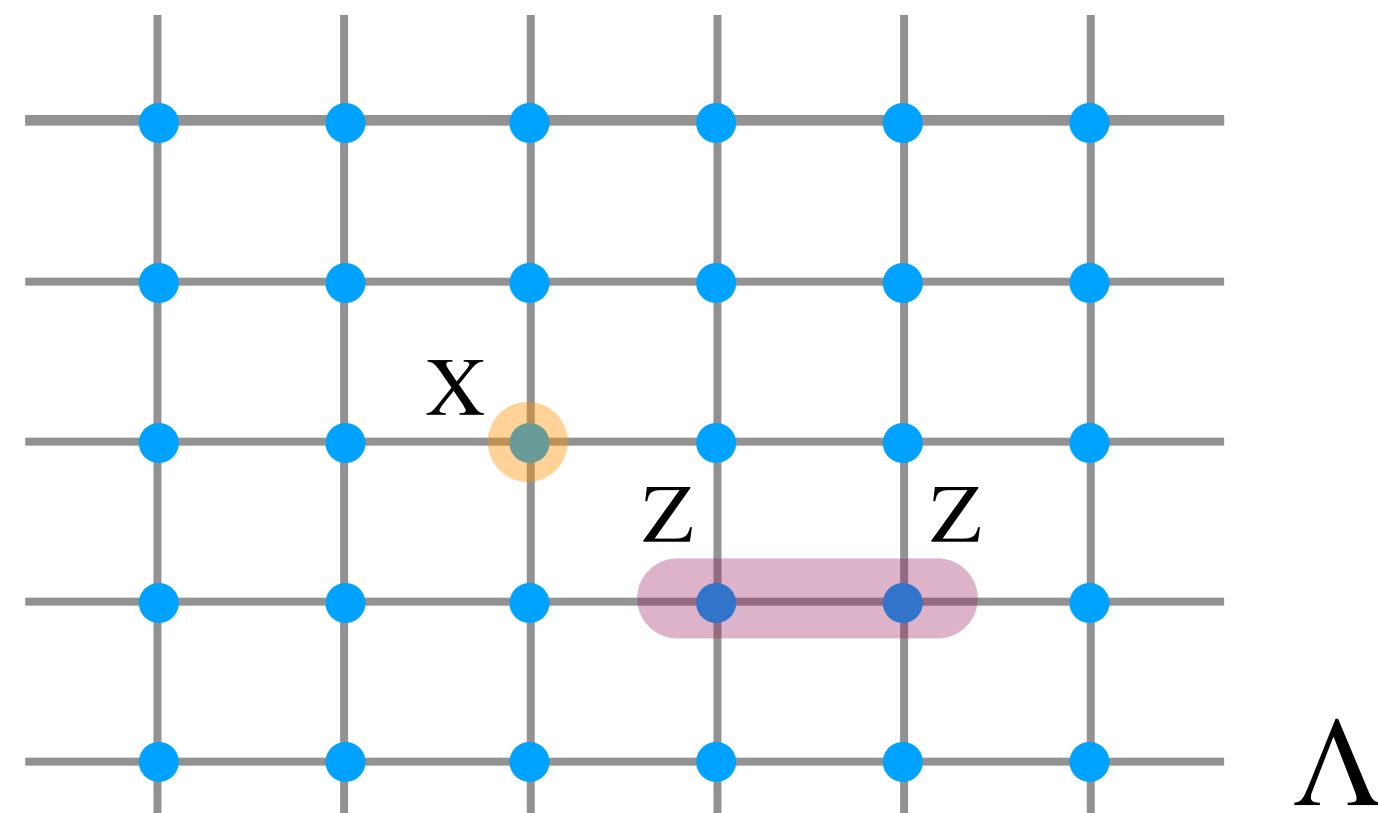
- Incorporating generalized symmetries and their symmetry breaking.
- Generalized charges of generalized symmetries are **Order Parameters**.
- **Upshot**: Can be used to unify several beyond-Landau phases and phase transitions.
- **Example**: Topological order = Generalized symmetry breaking phase.



**Space of symmetric  
quantum systems  
and  
Gauging-related  
dualities**

# Space of $Z_2$ symmetric quantum systems

- Consider a lattice  $\Lambda$ , with each vertex equipped with 2-dimensional local Hilbert space.



- $V_j = \mathbb{C}_j^2 \curvearrowright X_j, Y_j, Z_j$

$$X_j = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Z_j = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Global  $Z_2$  symmetry generated by  $\prod_j X_j$ .
- Space of symmetric operators is a **bond algebra**  $\mathcal{B}_{Z_2}(\Lambda) = \langle X_j, Z_j Z_{j'} \rangle$ .

# Quantum dualities

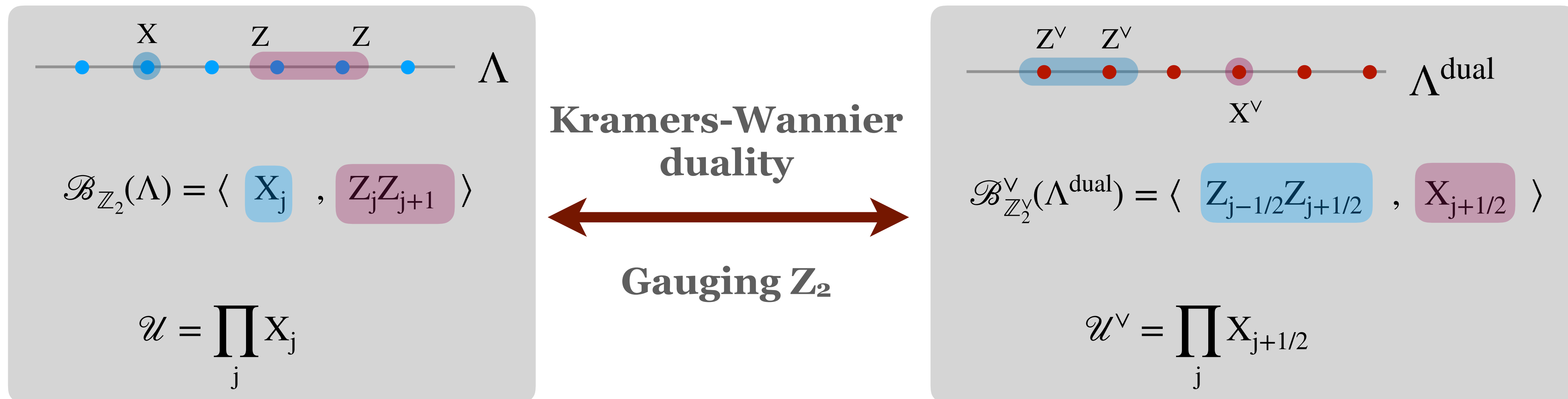
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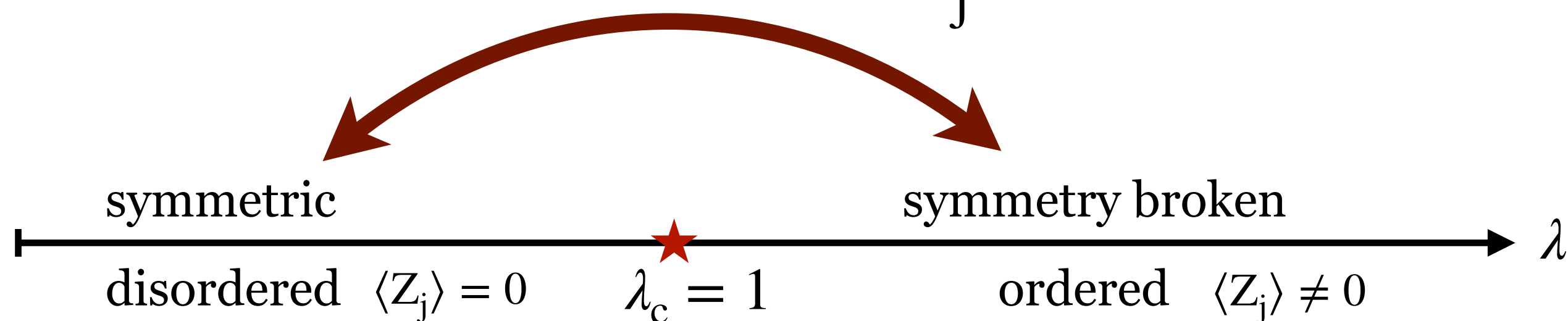
- **Non-trivial map between two quantum systems**
- **Imposes many constraints**
- **Relate strongly and weakly coupled systems.**

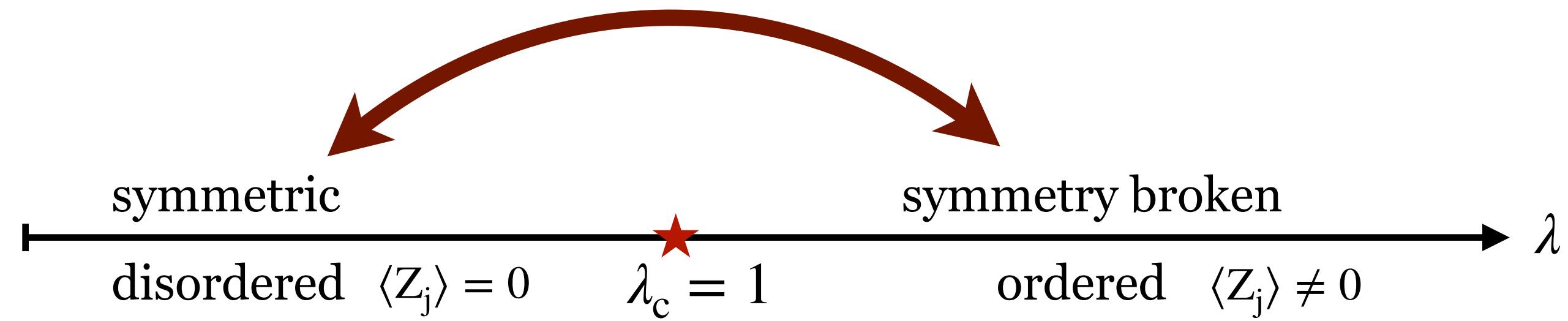
# Duality and gauging

- Bond algebra isomorphisms are realised as dualities



- Transverse Field Ising model :  $\mathcal{H} = - \sum_j [X_j + \lambda Z_j Z_{j+1}]$





- **Kramers Wannier self dual systems have emergent non-invertible symmetries.**



- All Kramers Wannier self dual deformations have **Ising Fusion Category symmetry**

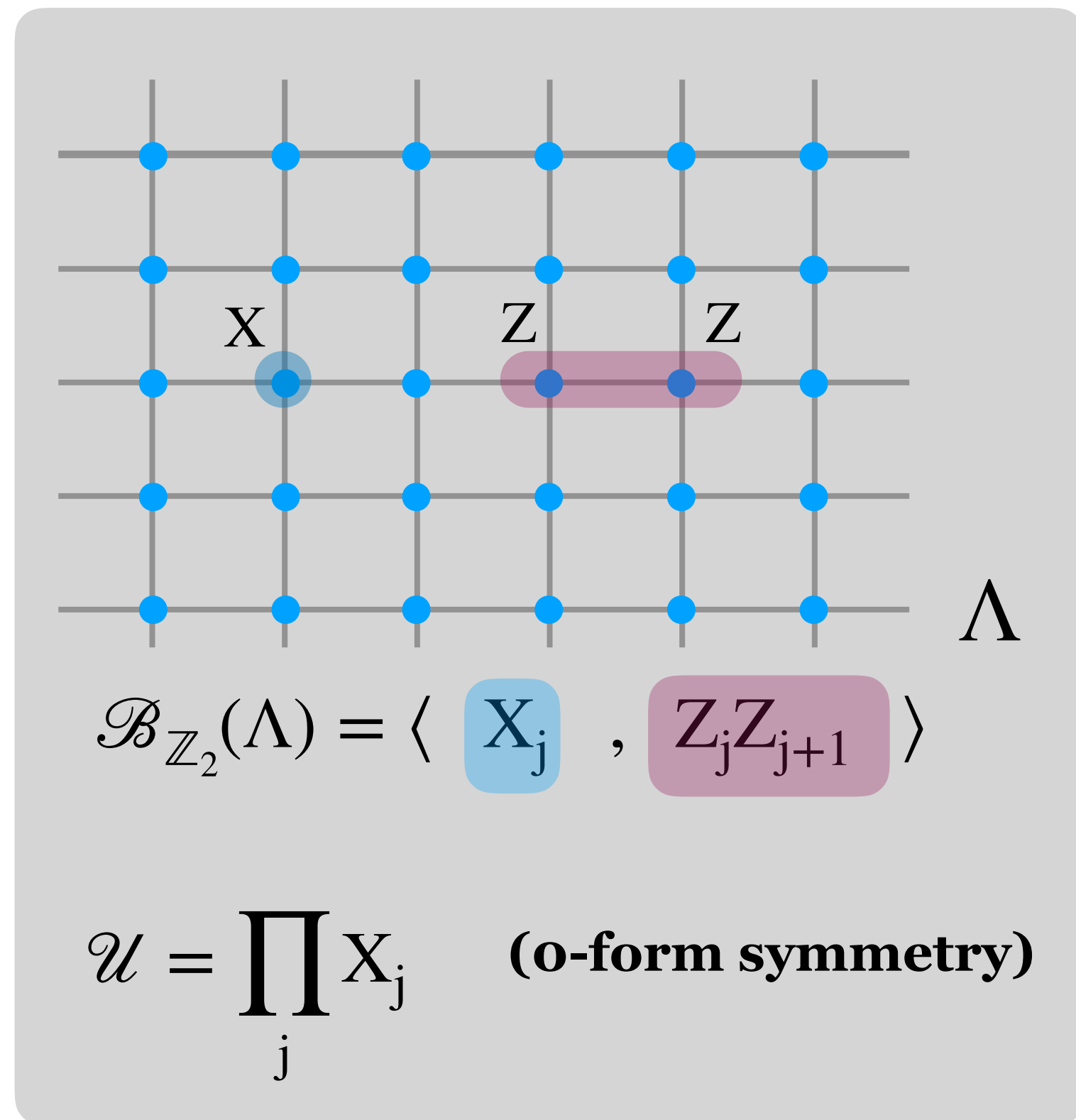
$$\mathcal{H} = - \sum_j [X_j + \lambda Z_j Z_{j+1}] + \dots$$

- **Ising Category symmetric phases can be classified.**

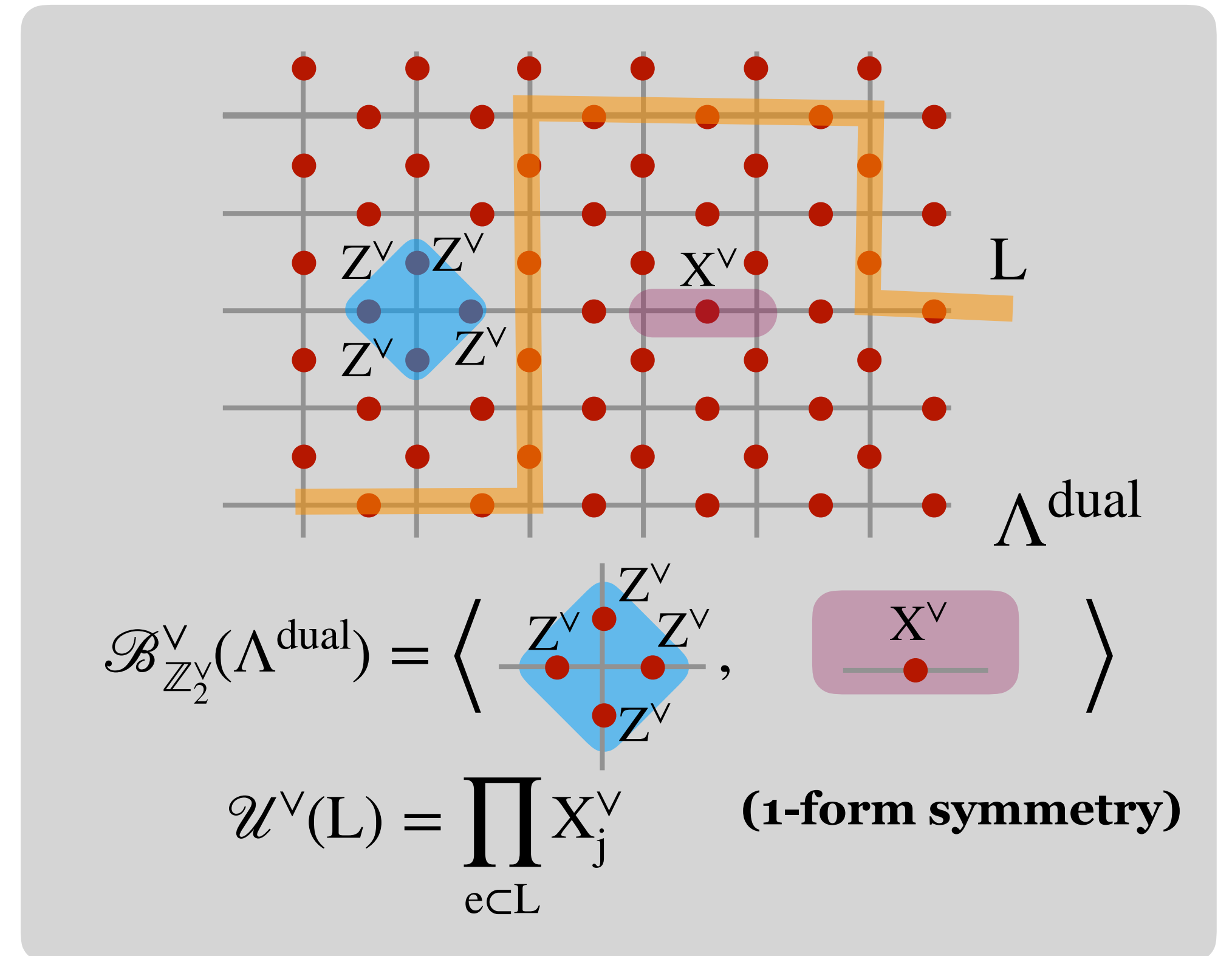
$$\begin{aligned} \mathcal{U} \times \mathcal{U} &= 1, \\ \mathcal{U} \times D &= D, \\ D \times D &= 1 + \mathcal{U} \end{aligned}$$



# A 2+1 dimensional example.



Gauging  $\mathbb{Z}_2$



## • Mapping of phases:

- Symmetric (trivially disordered)
- Symmetry protected topological
- Symmetry broken

- Topological (deconfined)  $\mathbb{Z}_2$  gauge theory
- Topological (twisted)  $\mathbb{Z}_2$  gauge theory
- Symmetric/ confined  $\mathbb{Z}_2$  gauge theory

# Generalizations

---

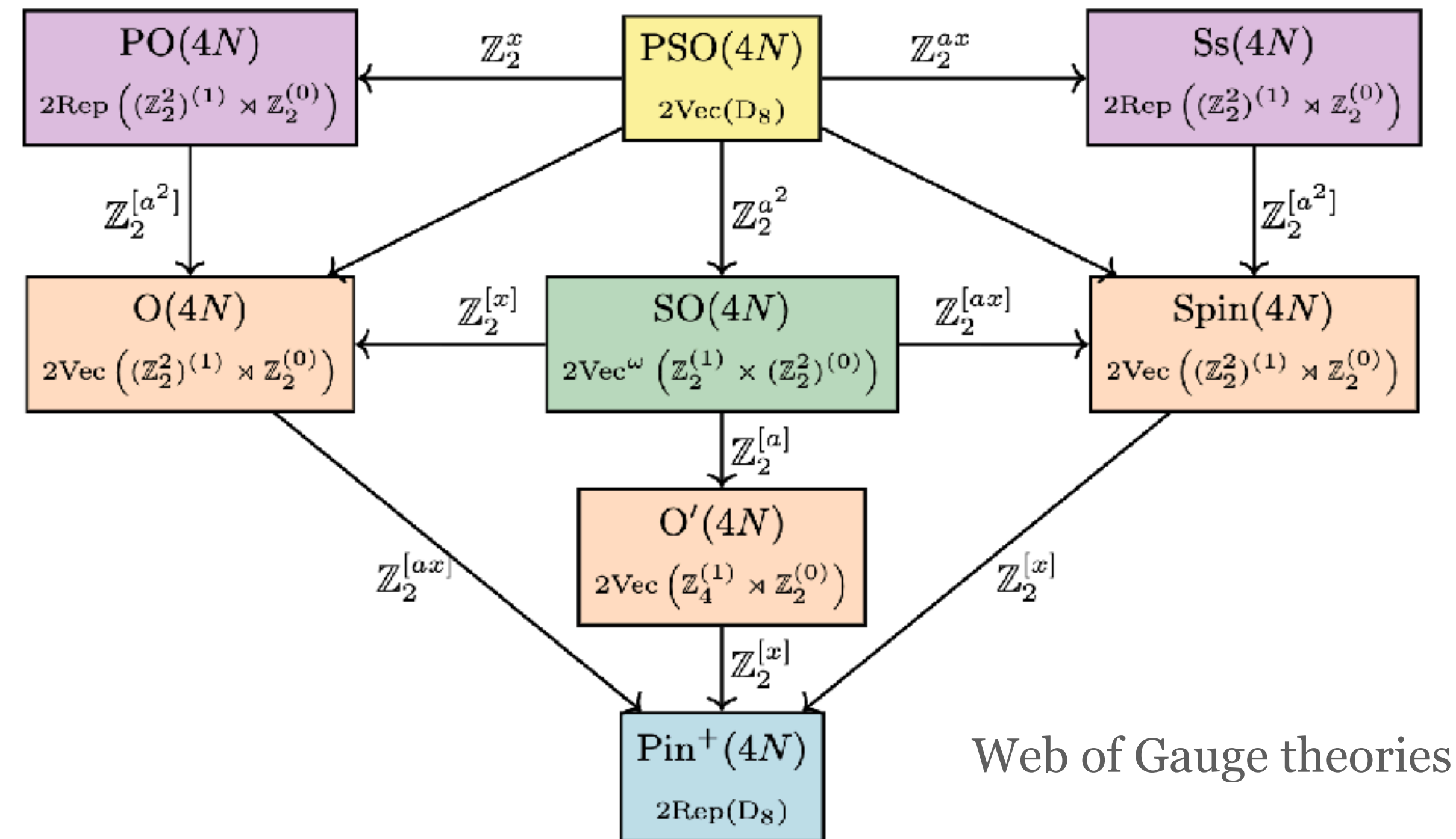
- **In 1+1 dimensions :**
  - ▶ **Gauging non-trivial central extensions  $\implies$  Mixed 't Hooft anomalies.**
  - ▶ **Gauging a non-Abelian group  $G \implies$  Non-invertible symmetry  $\text{Rep}(G)$ .**
- **In 2+1 dimensions :**
  - ▶ **Gauging normal subgroup  $\implies$  Higher groups**
  - ▶ **Gauging central extensions  $\implies$  Mixed 't Hooft anomalies**
  - ▶ **Gauging non-normal subgroups  $\implies$  Non-invertible symmetries**
- **In 3+1 dimensions : .... Many more rich examples**

# Generalizations

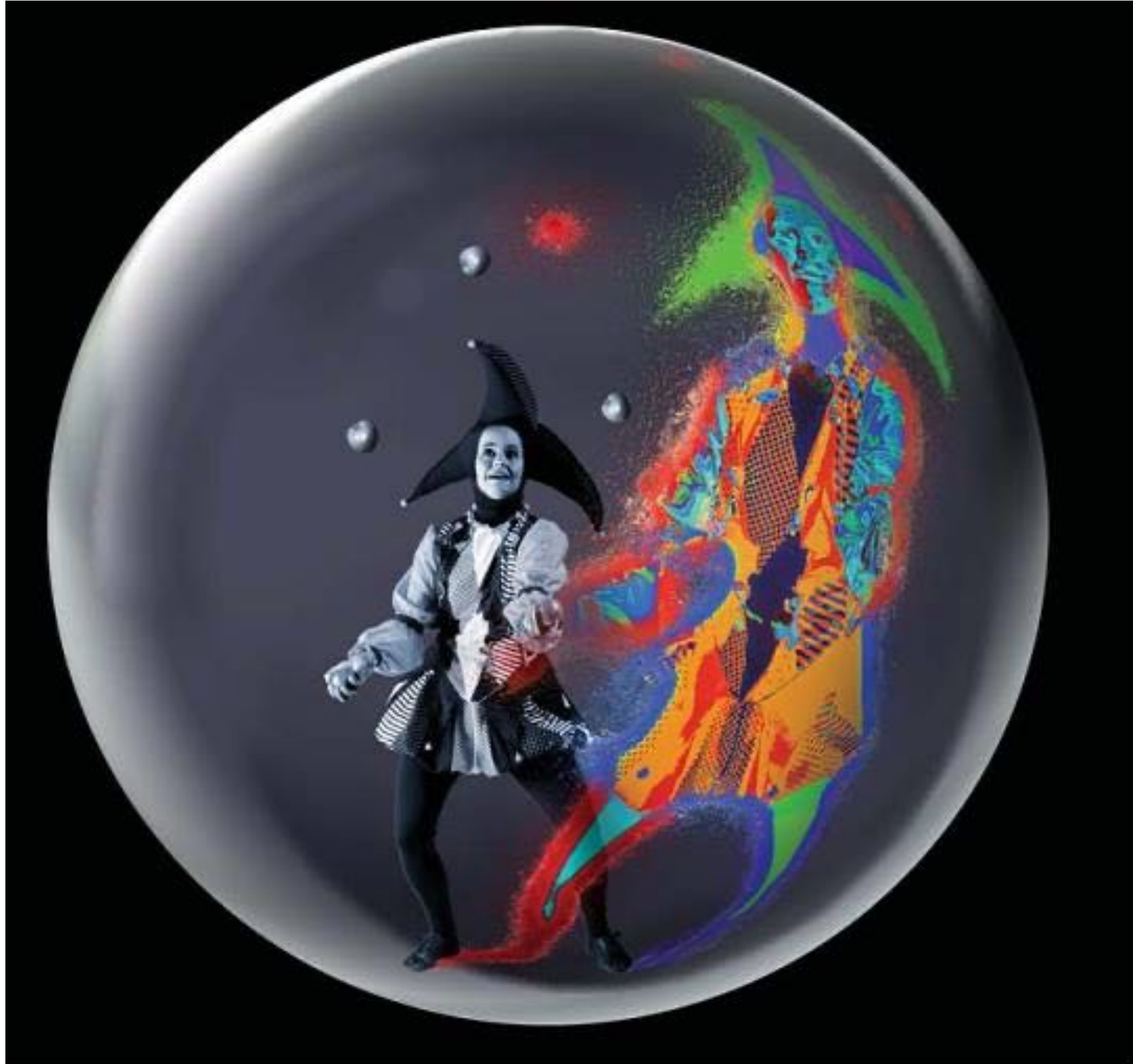
## Message:

Gauging is a rich source of dualities and therefore a systematic exploration of phase diagrams.

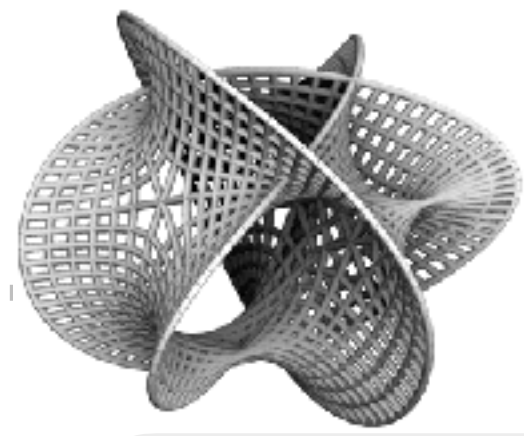
## Webs of quantum dualities



{ , , ,  } = Different symmetries



# Topological Holography



# Topological Holography

**Main idea :**

**Finite symmetry in  
D dimensions**



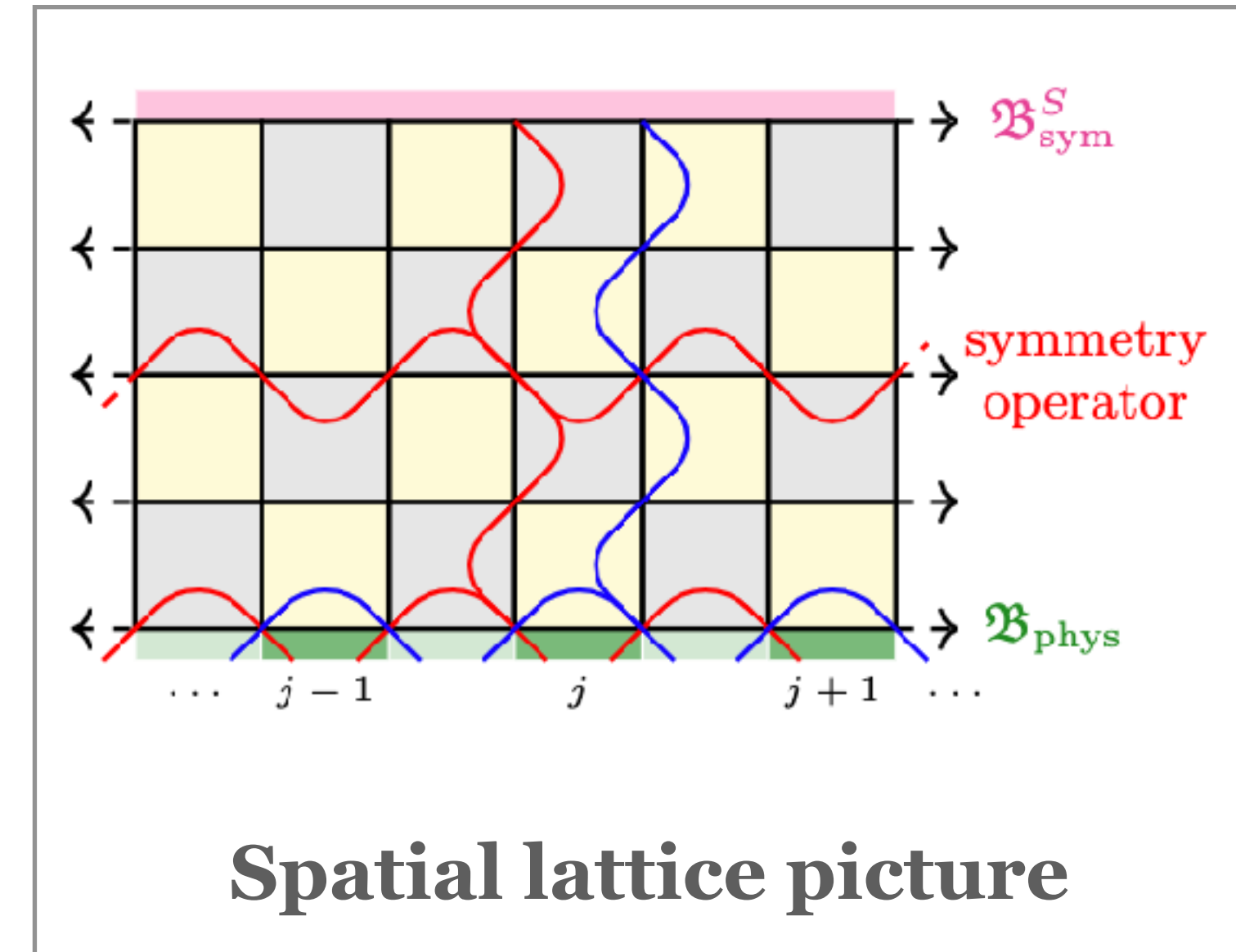
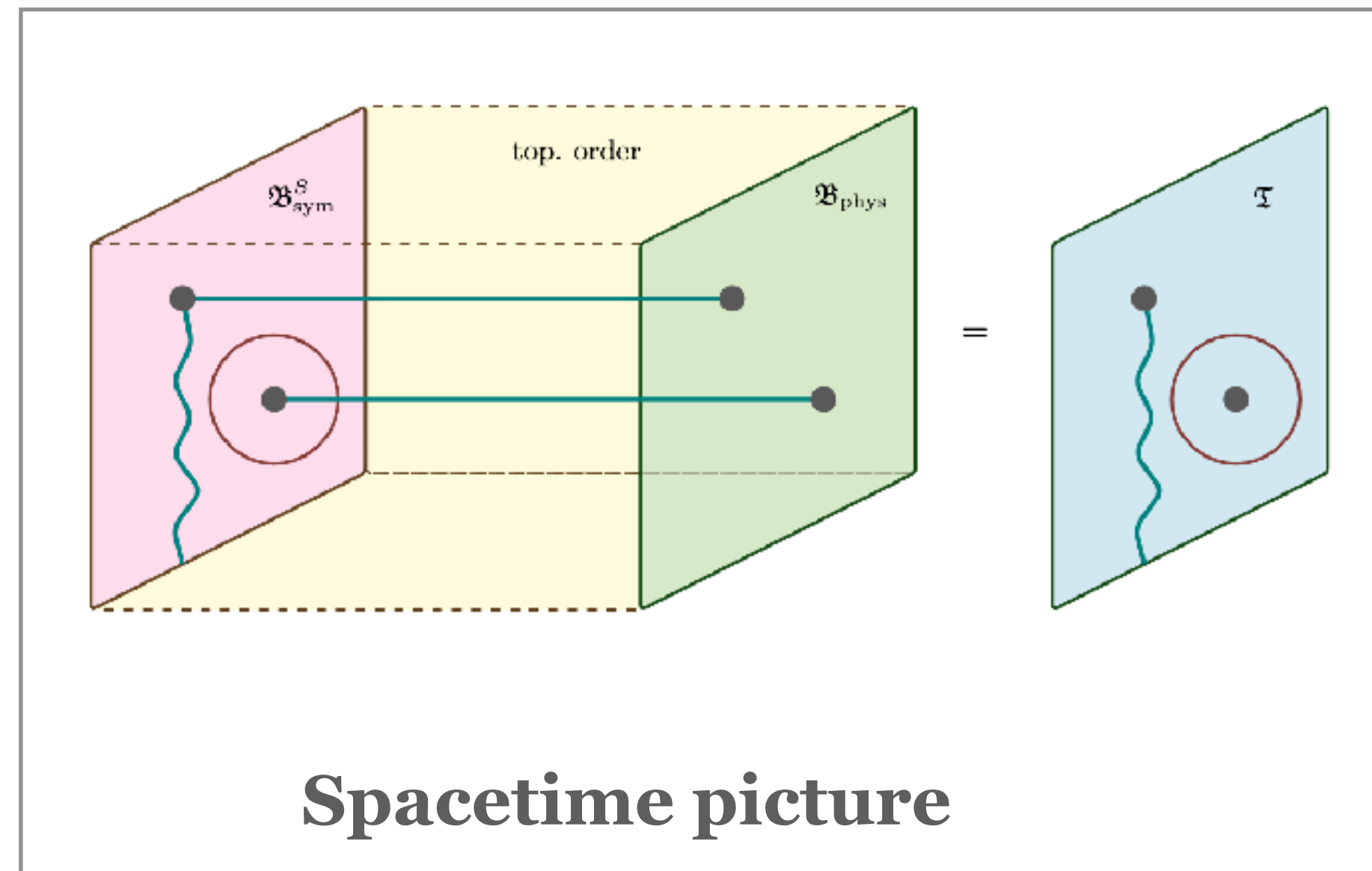
=

**Topological Order in  
D+1 dimensions**



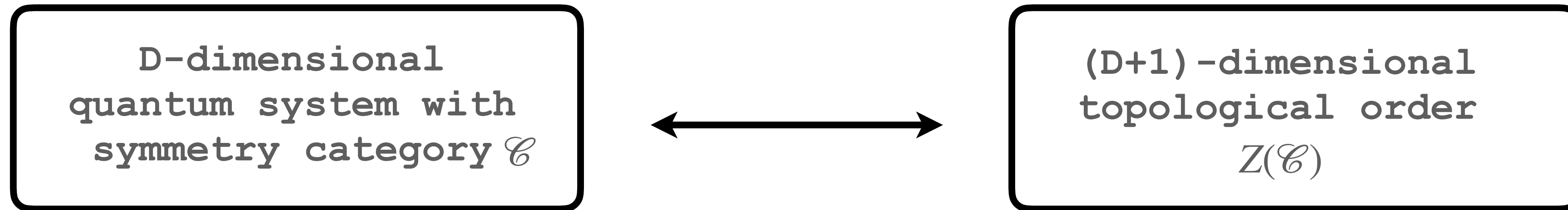
**Convenient and powerful :**

- (i) Classification of phases,
- (ii) Properties of excitations,
- (iii) Phase transitions,
- (iv) Universal dualities.



**Holographic “bulk” only knows about universal physics!**

# Holographic dictionary



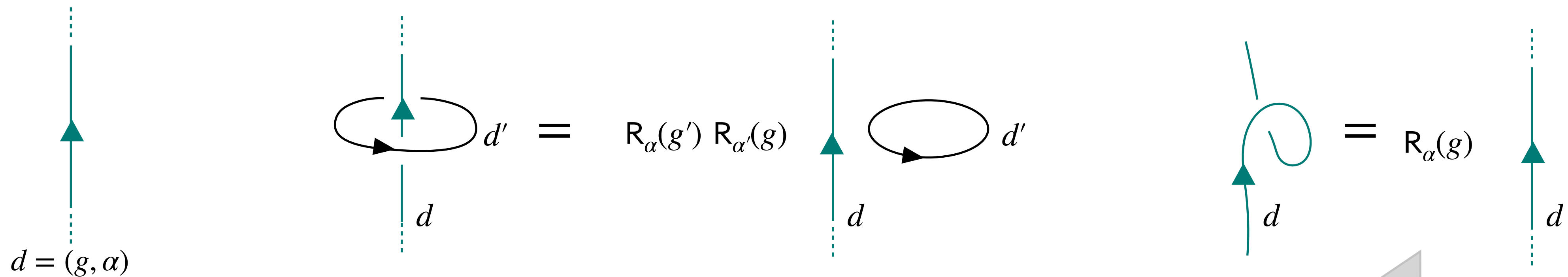
Dictionary when  $G$  is a finite abelian group :

quantum system (D-dim)	Topological order (D+1)
$G$ 0-form symmetry	$G$ -topological gauge theory
Symmetry operators	Magnetic (D-1) surface operators
Charged operators	Electric line operators
Gapped phases	Gapped boundaries
Dualities	0-form symmetries
't Hooft anomaly	Dijkgraaf-Witten Topological action

# An example: 1+1d, finite group symmetry

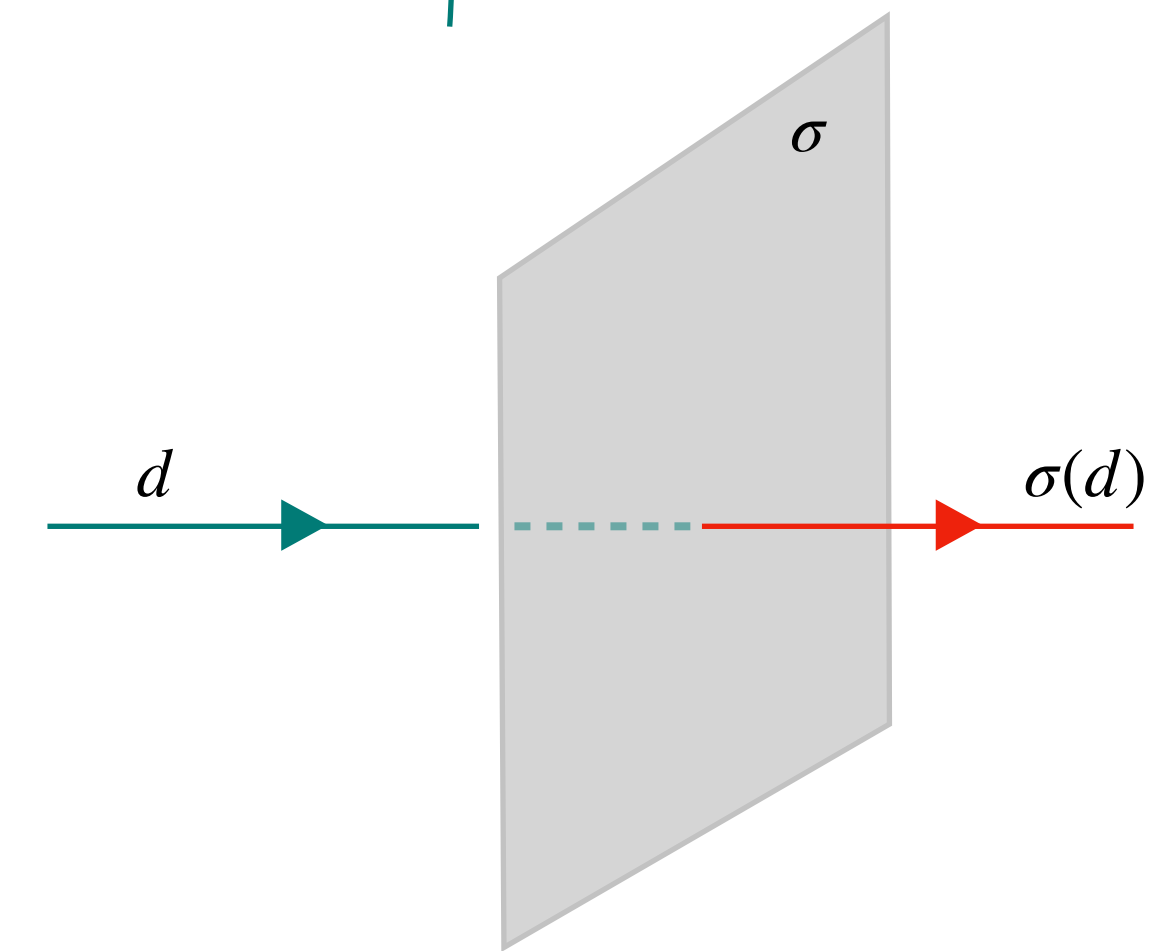
- **Quantum system:** 1+1 dimensional with  $G$  (finite Abelian) symmetry.
- **Holographic bulk:**  $G$  topological gauge theory.

- **Line operators (1-form symmetries):**  $\mathcal{A}[G] = G \times \text{Rep}(G) \simeq G \times G$



- **0-form symmetries:** "braided auto-equivalences"

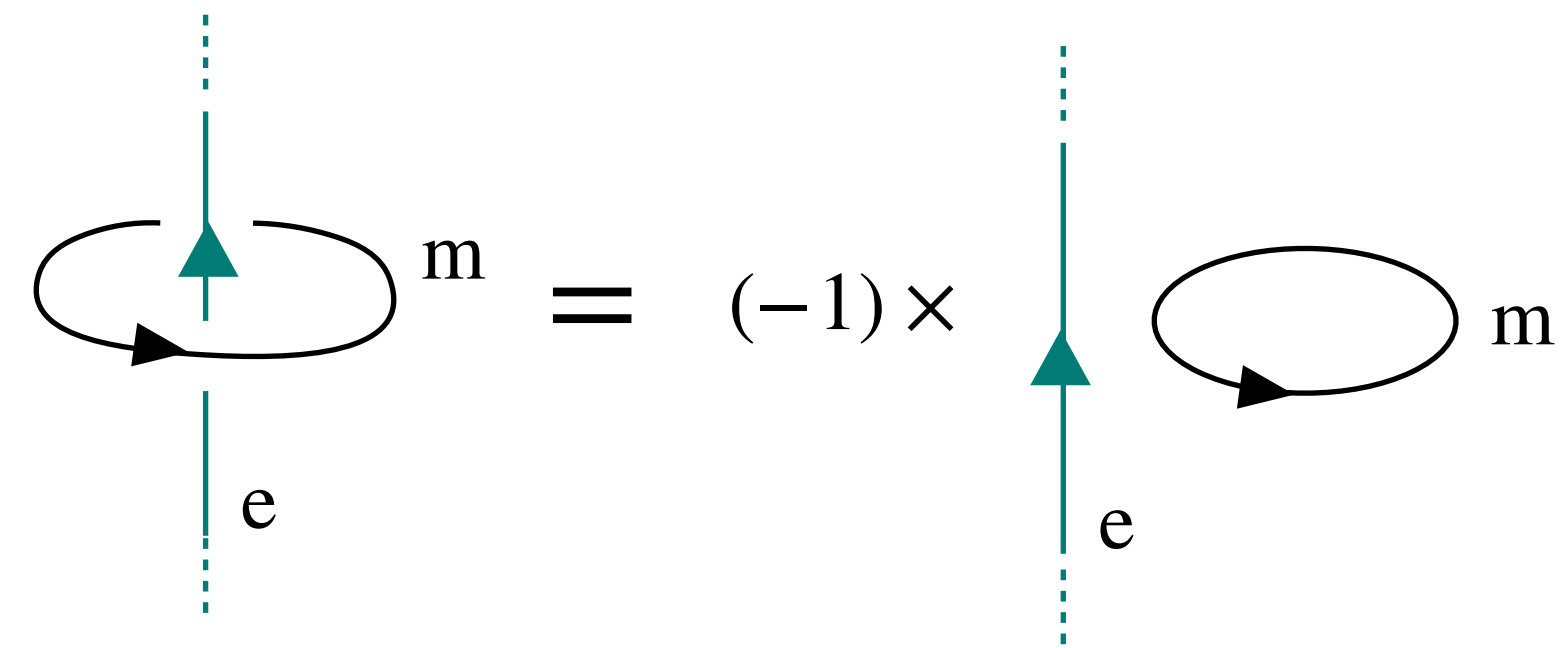
[Fuchs-Priel-Schweigert-Valentino], [Barkeshli-Bonderson-Cheng-Wang], [Bombin], [Teo-Hughes-Fradkin]



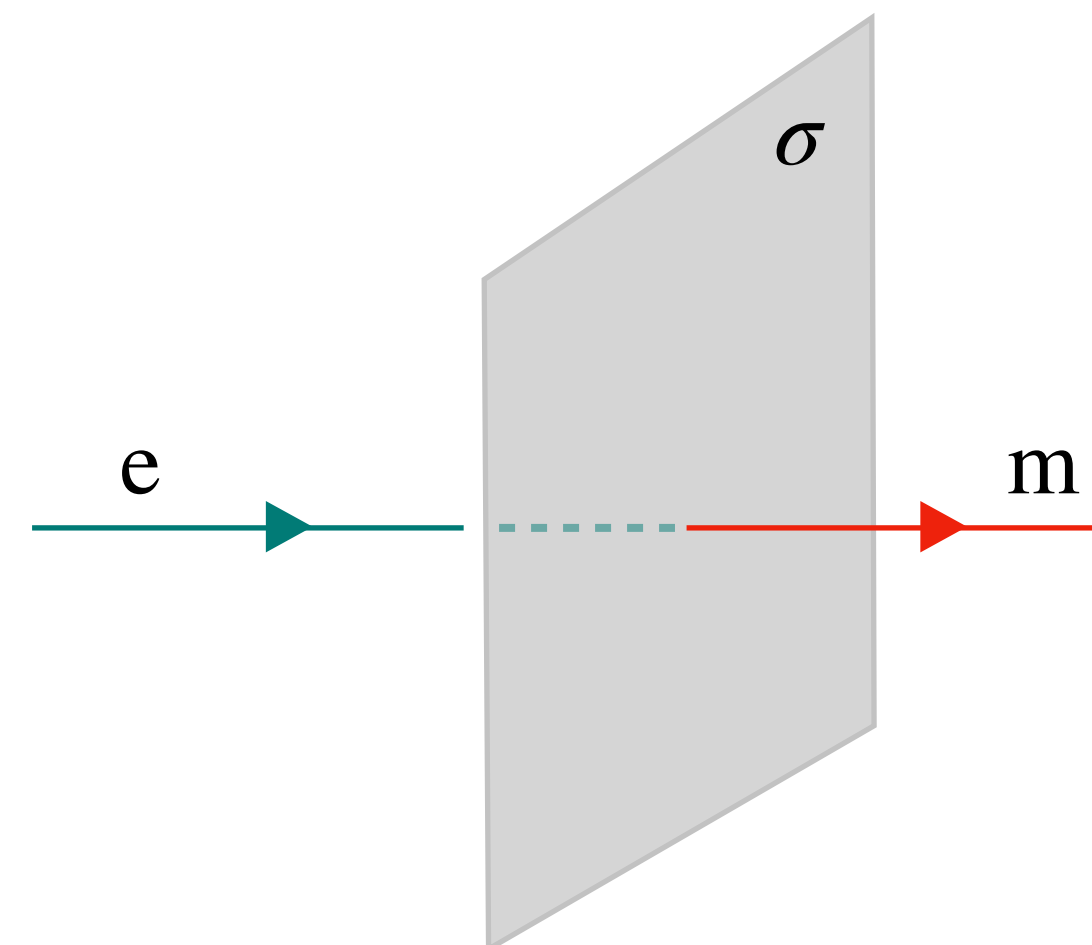
# $G=Z_2$

- **Quantum system:** 1+1 dimensional with  $Z_2$  symmetry.
- **Holographic bulk:**  $Z_2$  topological gauge theory/toric code.

- **Line operators**  $\{1, e, m, f = e \times m\}$



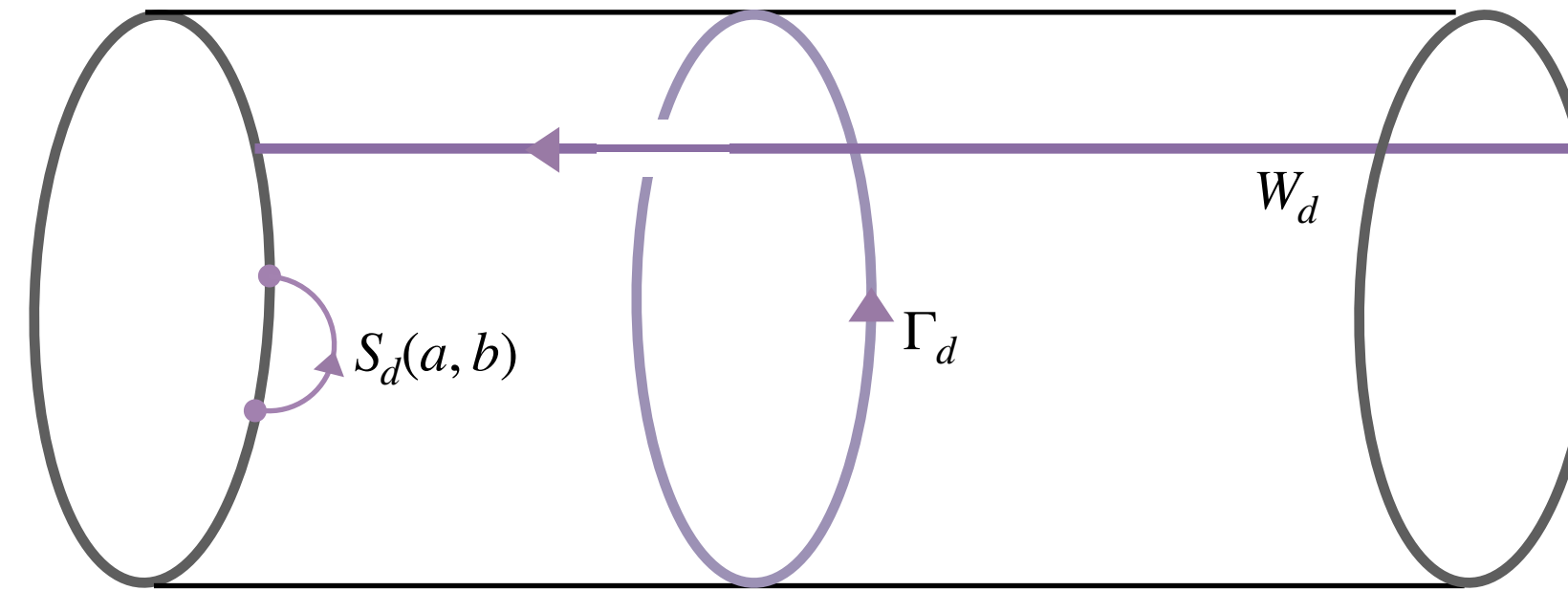
- **0-form symmetry:** e-m duality





# Effective spin chains from holographic bulk

- Define bulk on a spatial cylinder.



- Algebra of line operators

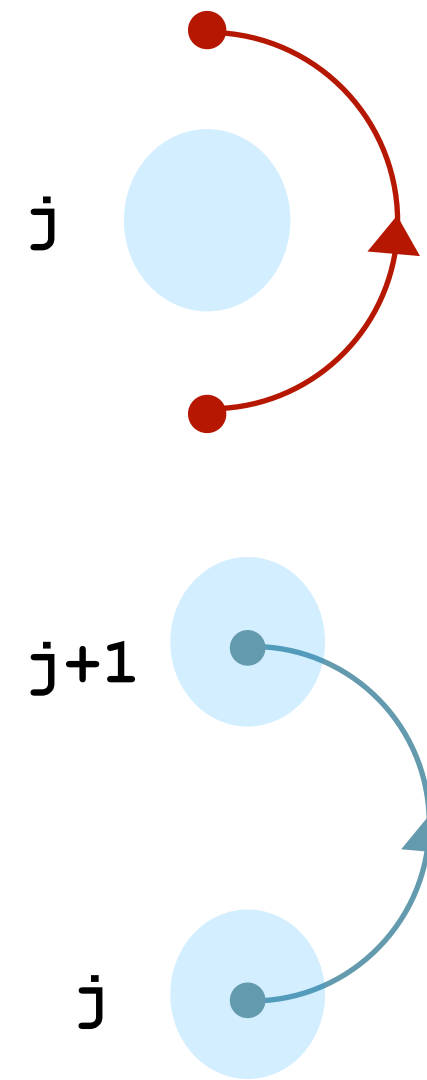
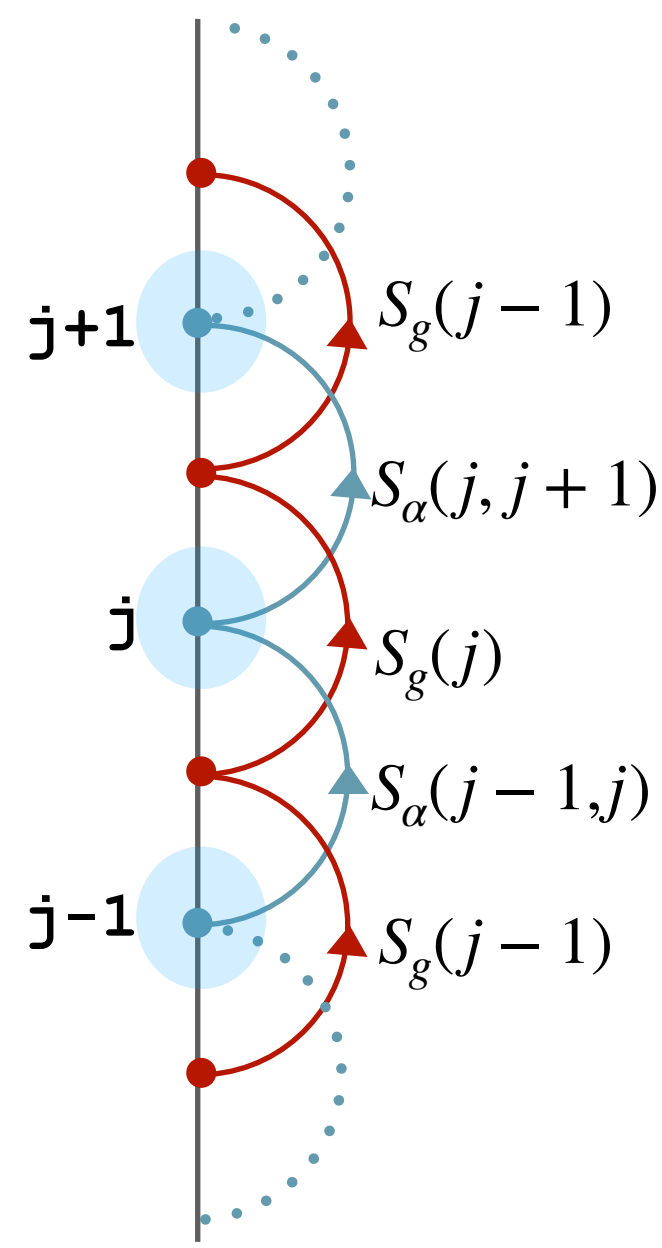
$$\begin{array}{c} d \\ \diagdown \\ \diagup \\ \diagdown \\ \diagup \end{array} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} d' \\ \nearrow \\ \searrow \end{array} = R_\alpha(g') R_\alpha(g) \begin{array}{c} d \\ \diagdown \\ \diagup \\ \diagdown \\ \diagup \end{array} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} d' \\ \nearrow \\ \searrow \end{array}$$

- Boundary Hilbert space: decomposition into super-selection sectors:  $\mathcal{H}^\partial = \bigoplus_{d'=(g',\alpha')} \mathcal{H}_{d'}^\partial$  labelled by  $\Gamma_d$  eigenvalues.

$$\begin{array}{c} d' \\ | \\ \bullet \\ | \\ \bullet \\ | \end{array} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} d \\ \nearrow \\ \searrow \end{array} = \begin{array}{c} d' \\ | \\ \bullet \\ | \\ \bullet \\ | \end{array} \begin{array}{c} \nearrow \\ \searrow \end{array} \begin{array}{c} d \\ \nearrow \\ \searrow \end{array}$$

**symmetry twisted sectors**  
 +  
**symmetry eigenspaces**

# Bulk line operators to Boundary operators



$$S_g(j) \longrightarrow \mathcal{O}_{g,j}$$

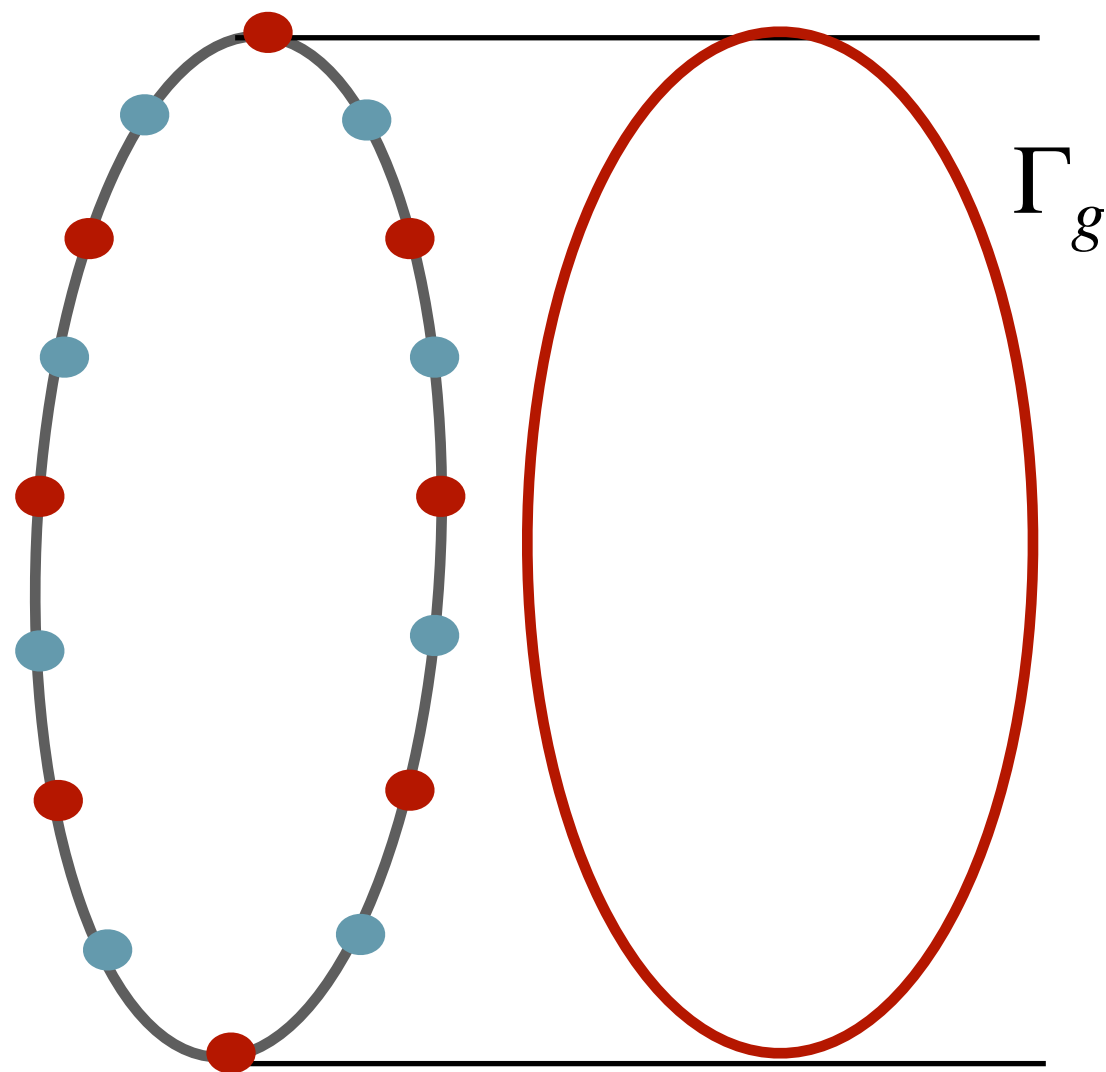
$G=Z_2$

$$\longrightarrow X_j$$

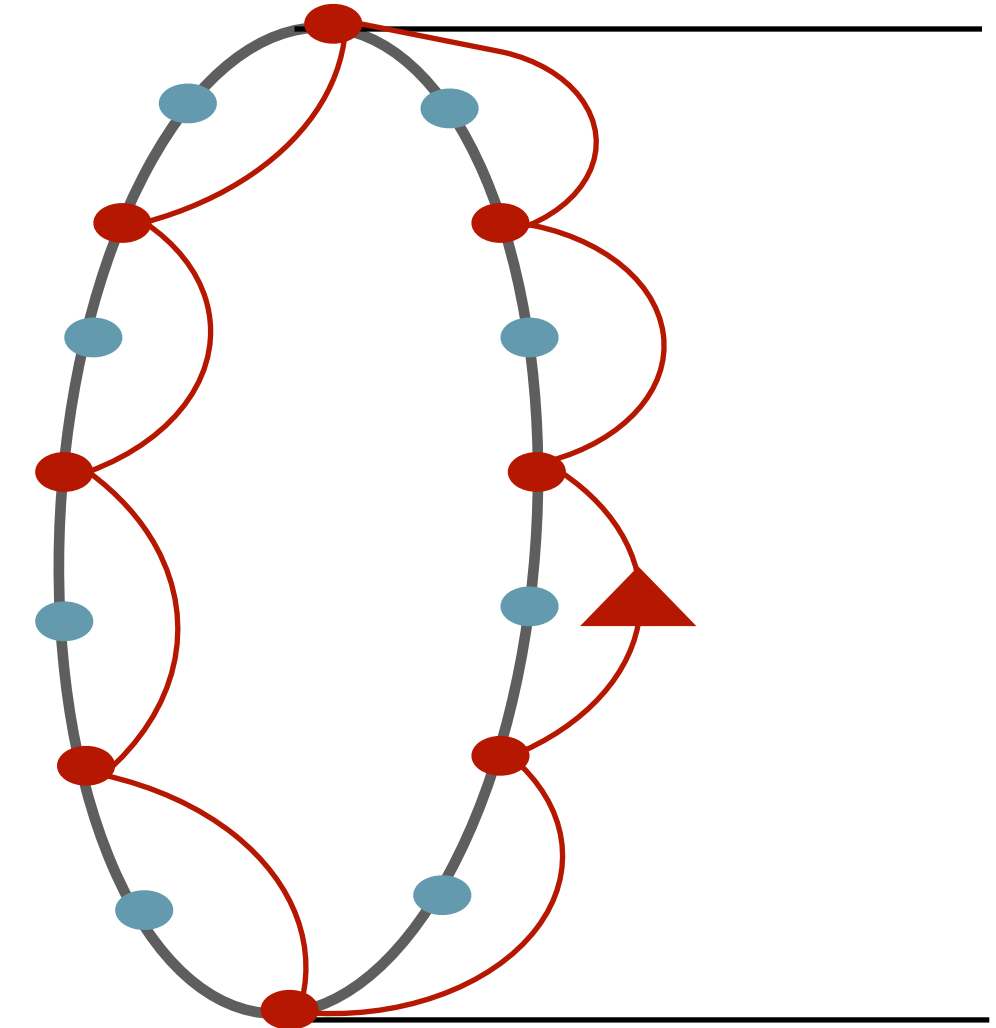
$$S_\alpha(j, j+1) \longrightarrow \mathcal{O}_{\alpha,j} \mathcal{O}_{\alpha,j+1}^\dagger$$

$$\longrightarrow Z_j Z_{j+1}$$

• Symmetry operator:

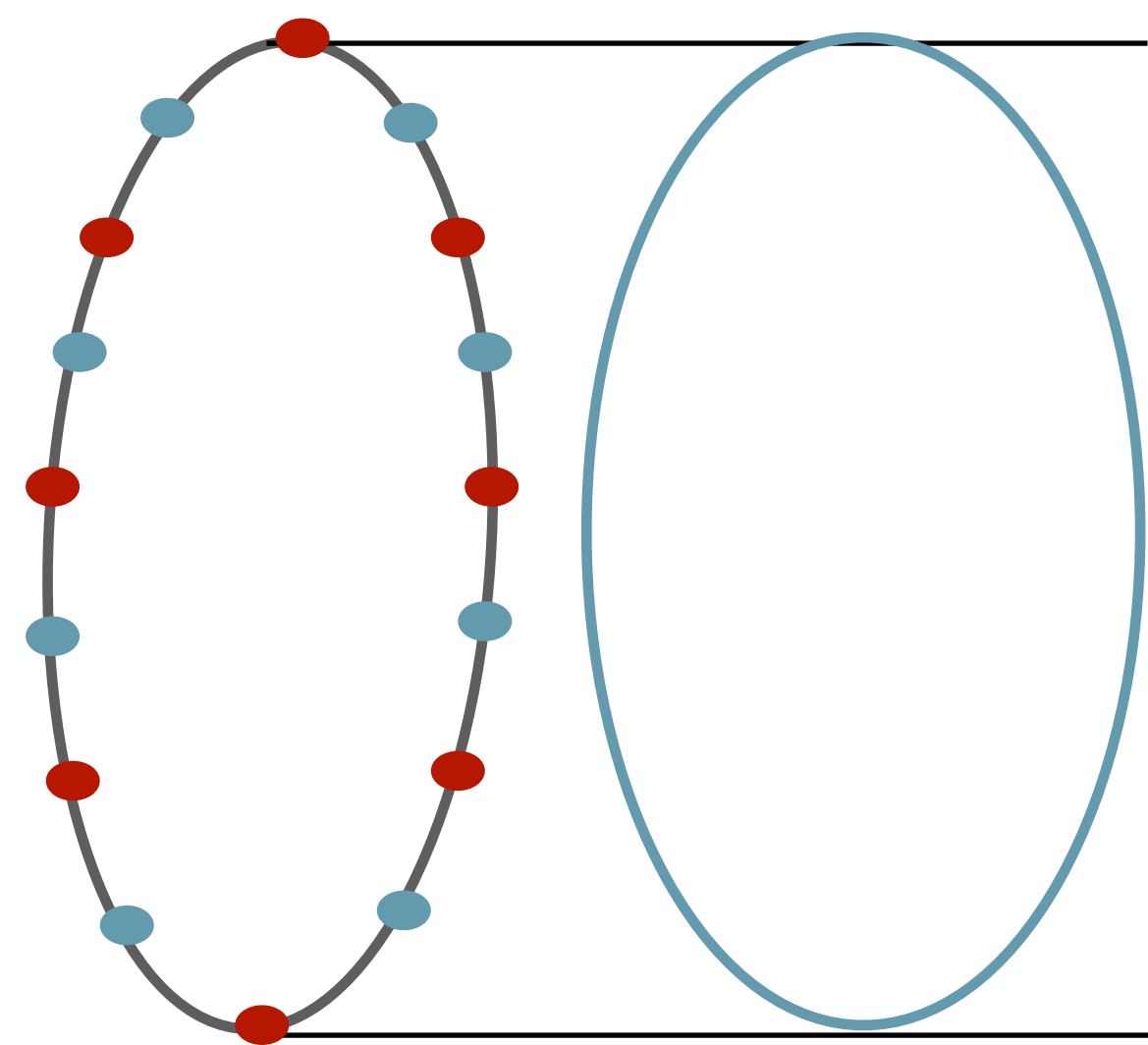


$$\Gamma_g = \prod_j S_g(j) \longrightarrow \mathcal{U}_g = \otimes_i \mathcal{O}_{g,j}$$



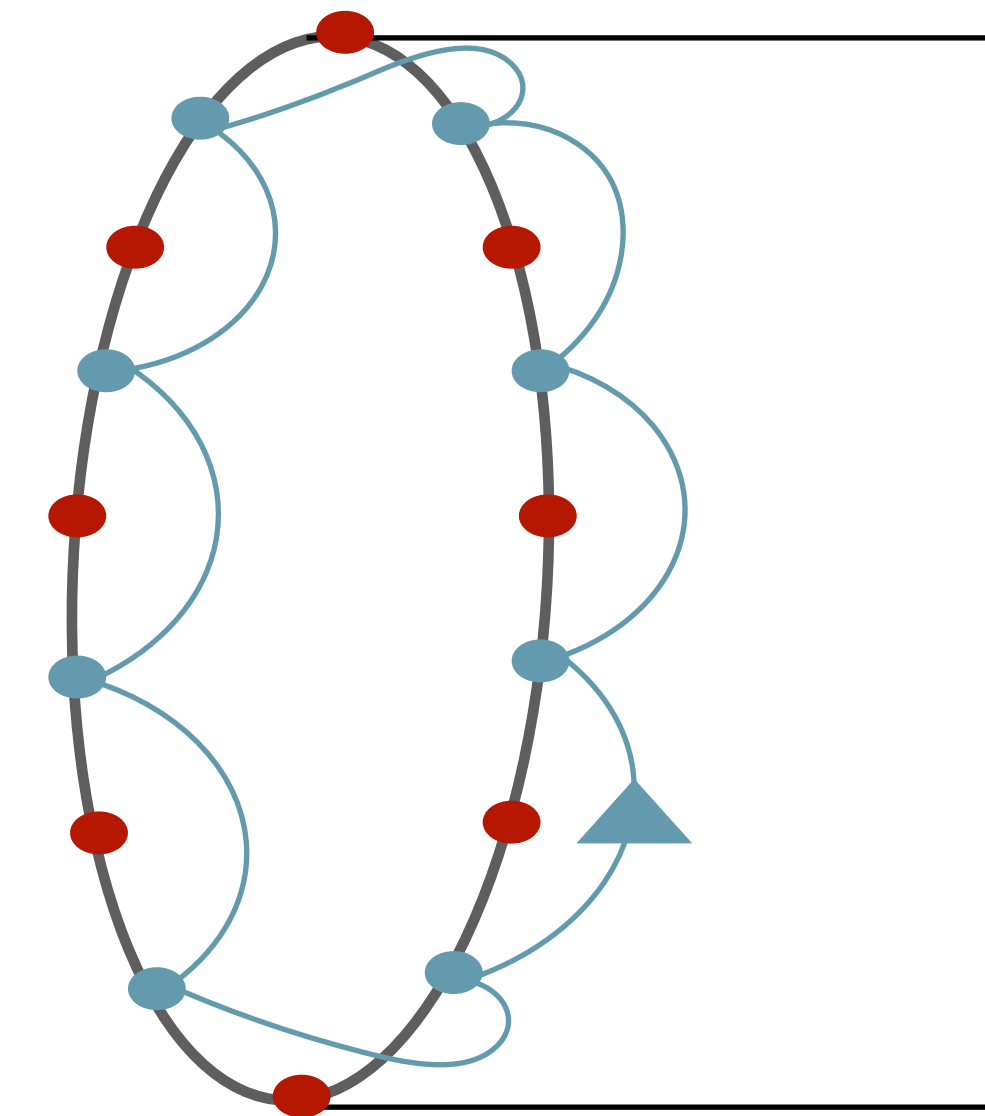
# Bulk line operators to Boundary operators

- Symmetry twist operator



$\Gamma_\alpha$

$$\Gamma_\alpha = \prod_j S_\alpha(j, j+1) \longrightarrow \mathbb{T}_\alpha = \otimes_j \mathcal{O}_{\alpha,j} \mathcal{O}_{\alpha,j+1}^\dagger$$



$G=\mathbb{Z}_2$

$$\mathbb{T}_\alpha = \prod_j Z_j^\alpha Z_{j+1}^\alpha, \quad \alpha = 0, 1$$

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetric quantum systems

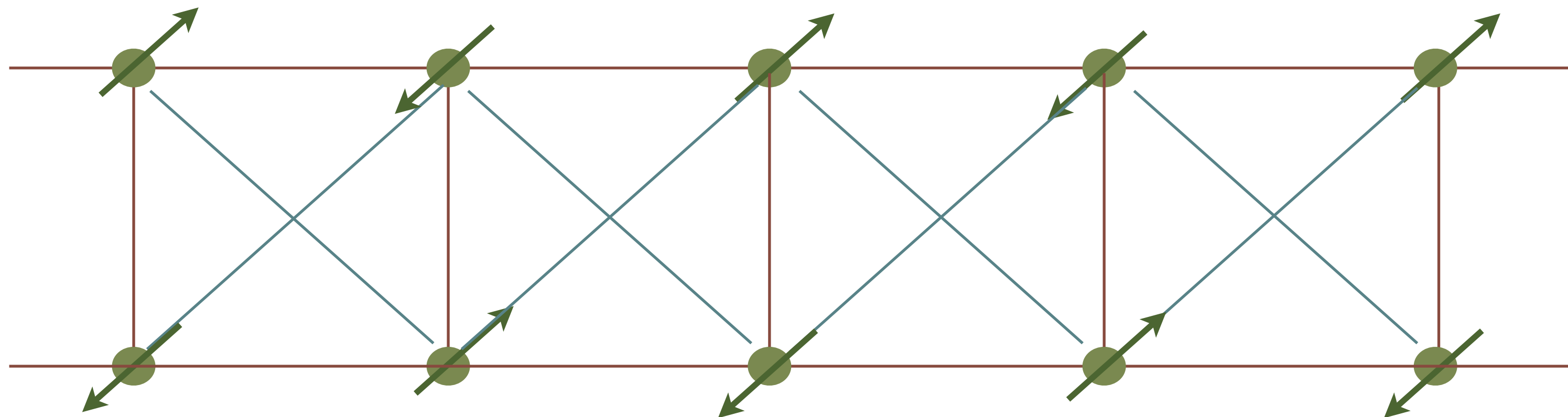
- 2+1d Topological gauge theory:

→ 1-form and 0-form symmetries

$$\mathcal{A}[G] = \mathbb{Z}_2 \times \mathbb{Z}_2 = \langle e_L, e_R, m_L, m_R \rangle$$

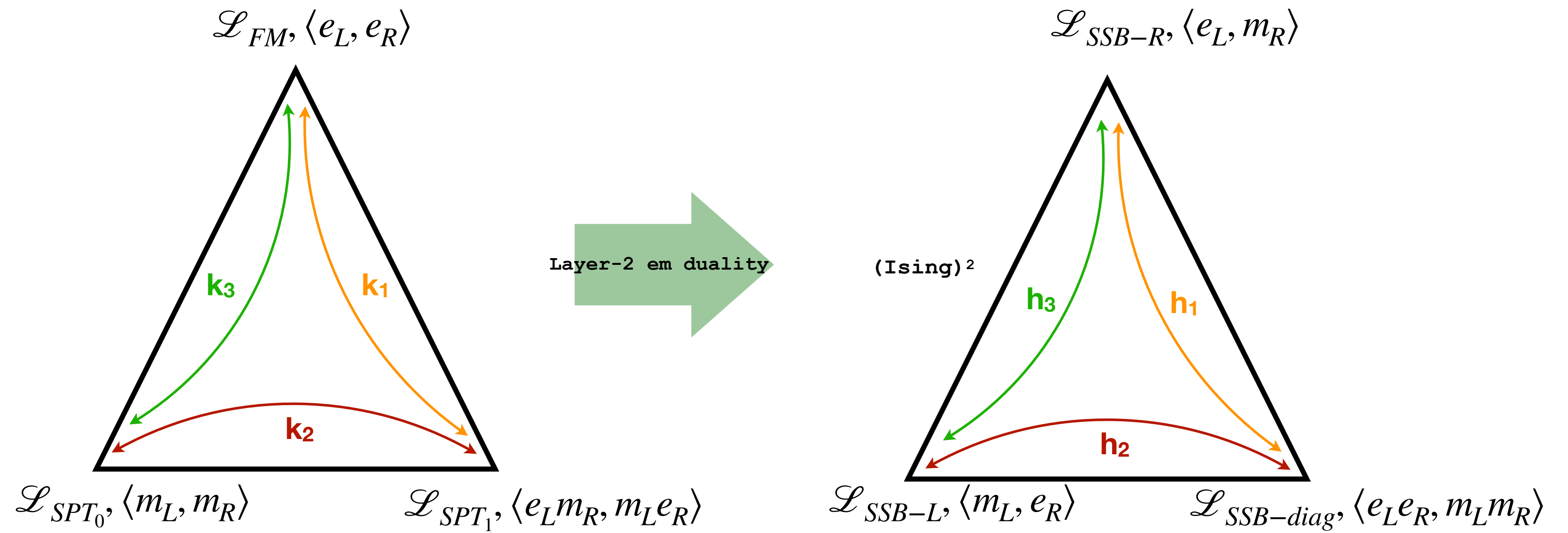
$$\mathcal{D}[G] = (S_3 \times S_3) \rtimes \mathbb{Z}_2$$

→ 6 Gapped boundaries/6 gapped phases.

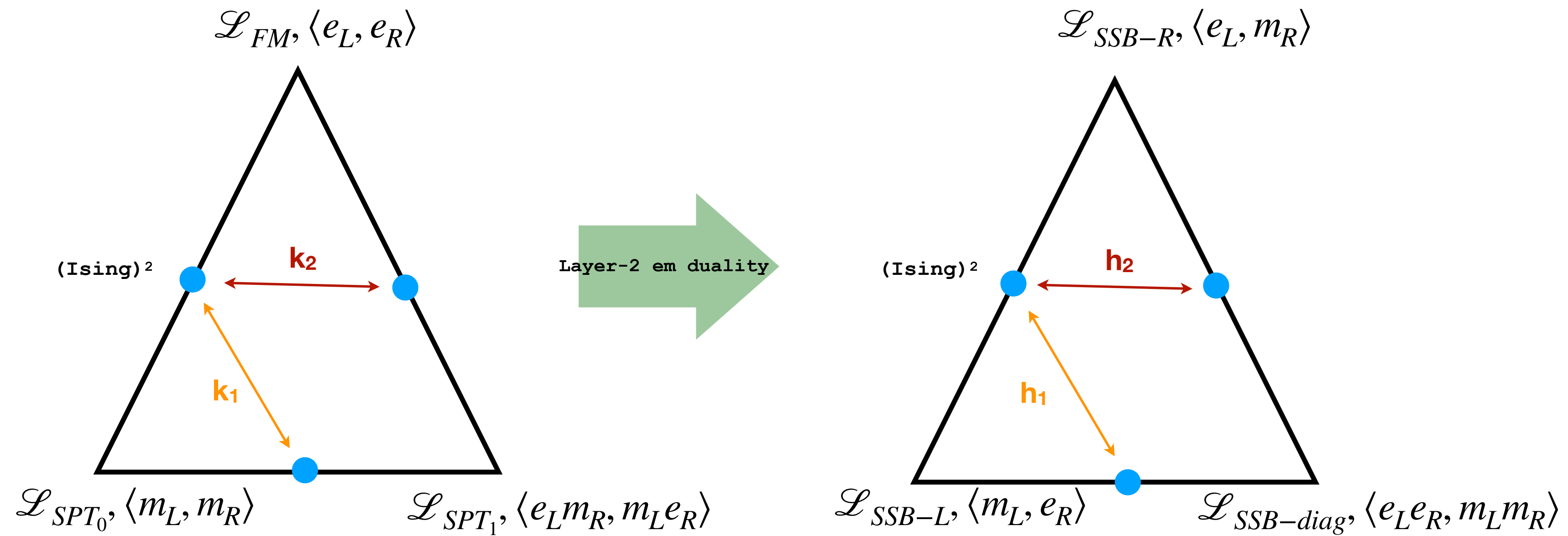


2-leg spin Ladder

→ Action on gapped phases:

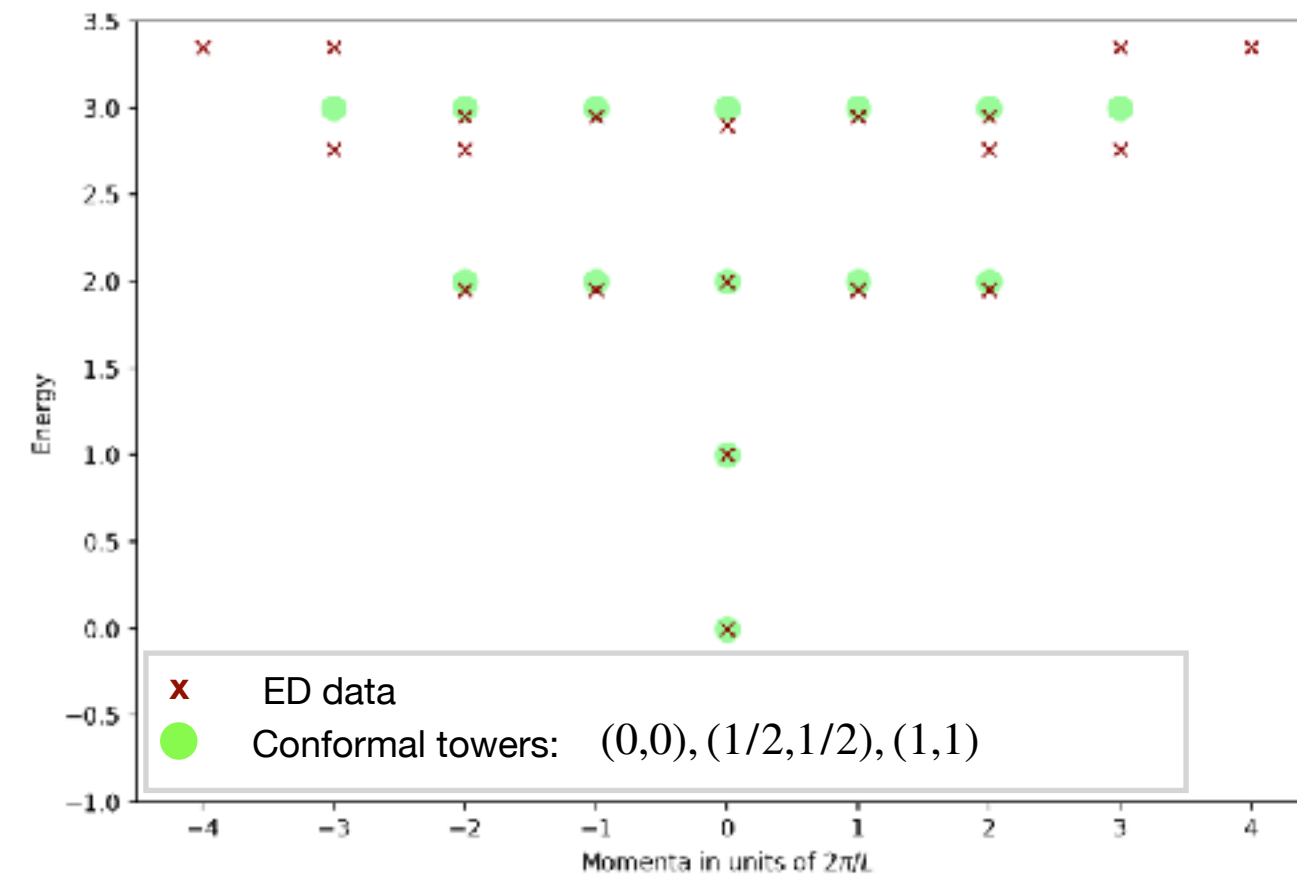


→ Action on critical points:

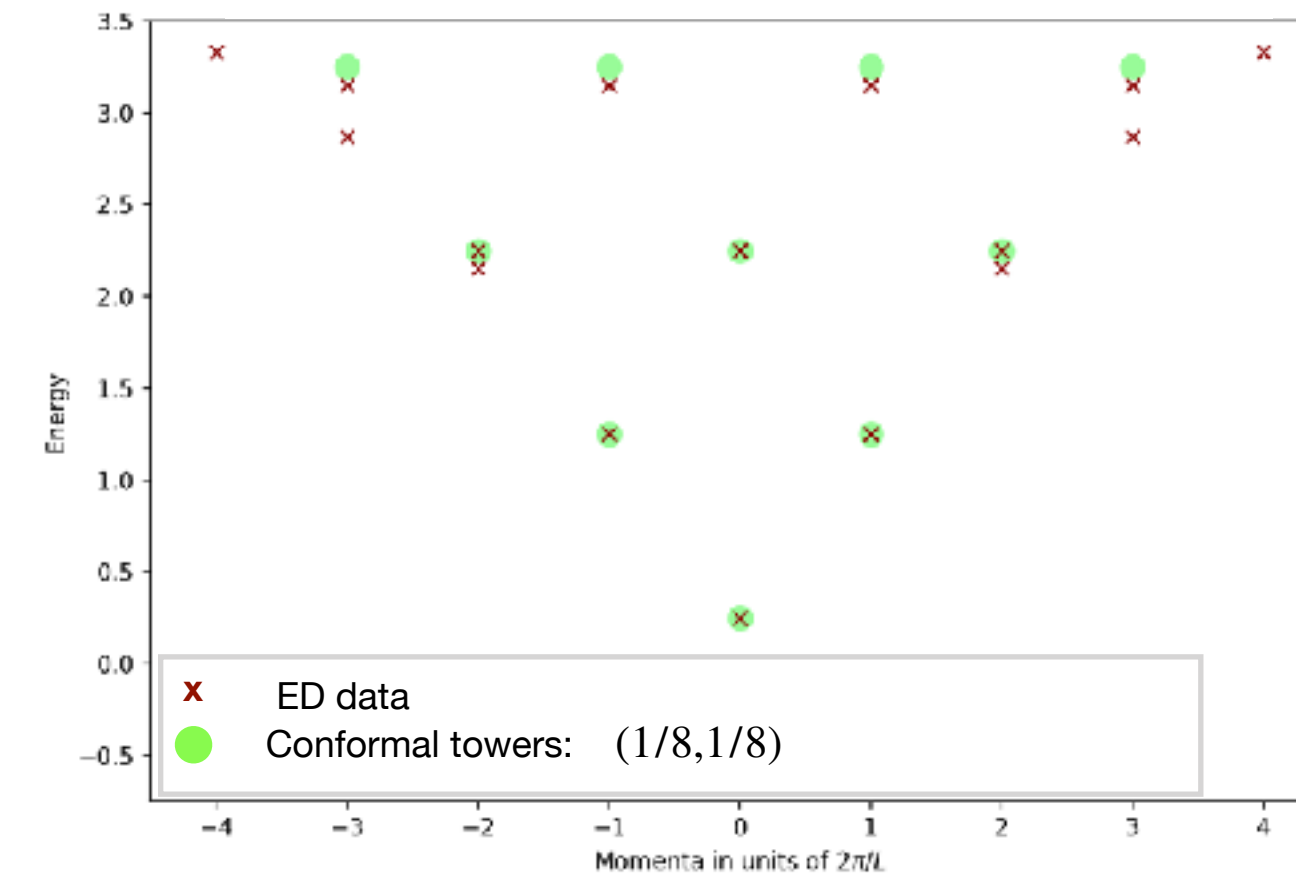


# Orbifold CFT between $SPT_0$ and $SPT_1$ (using $k_1$ duality):

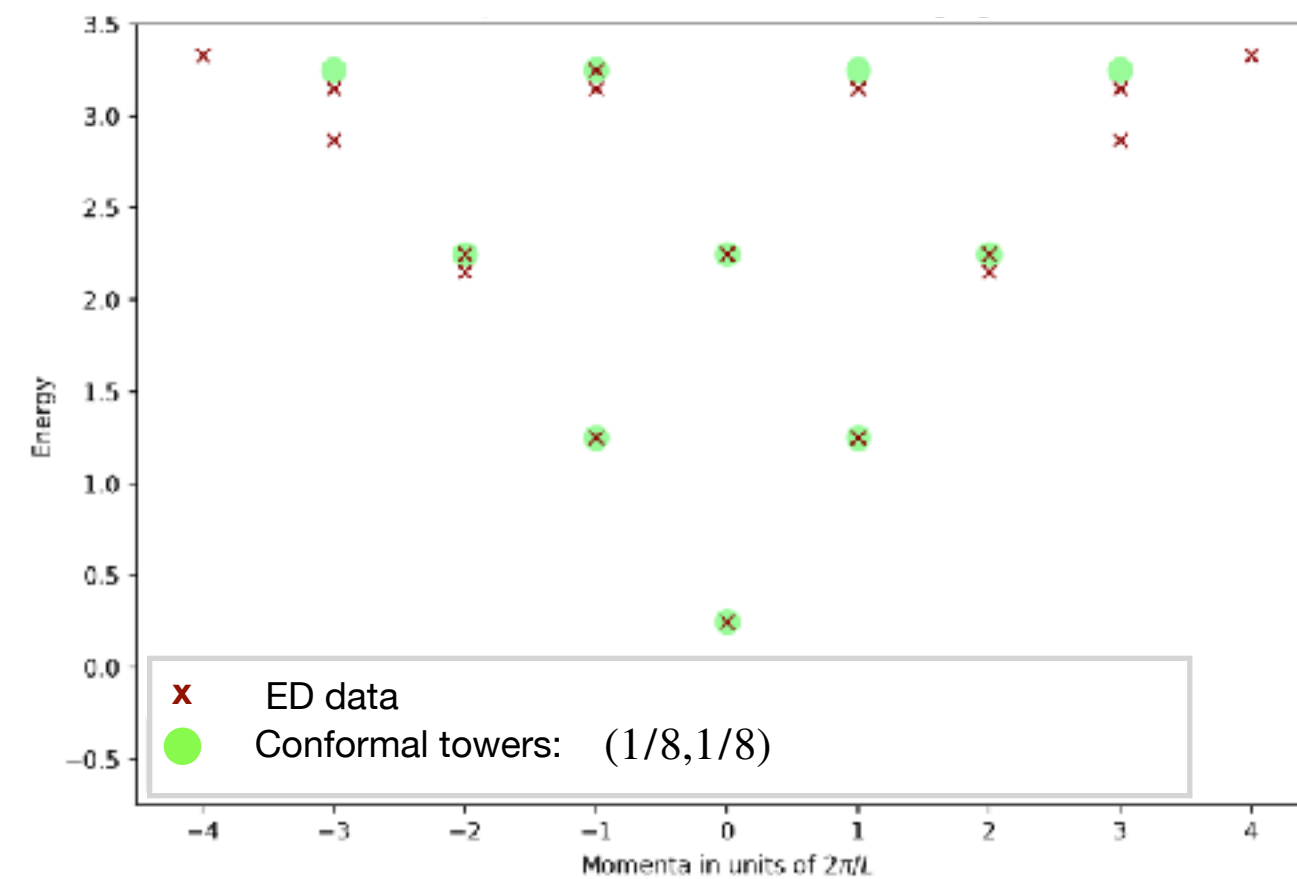
→ ED vs conformal spectrum



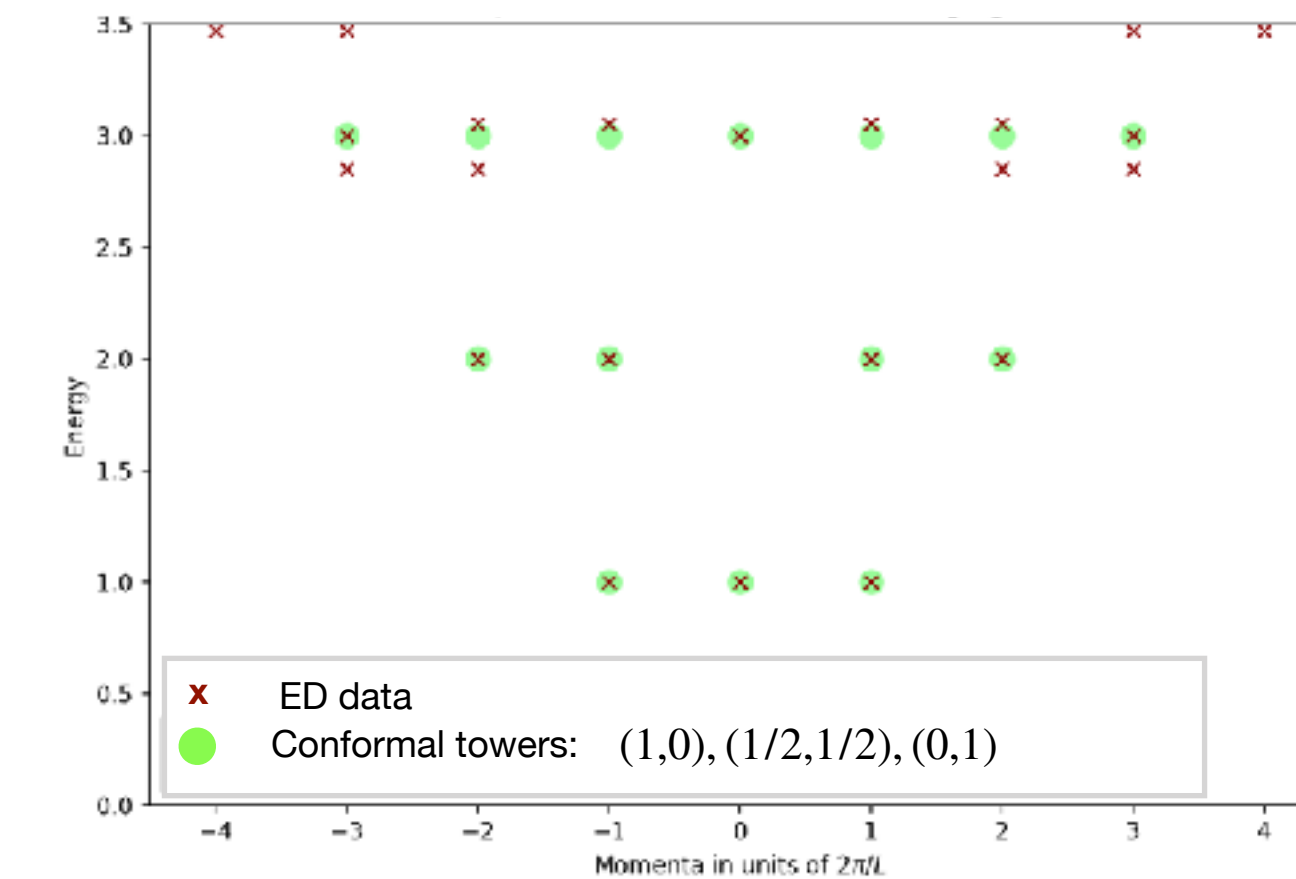
$1_L 1_R \longrightarrow 1_L 1_R$



$e_R \longrightarrow m_L e_R$

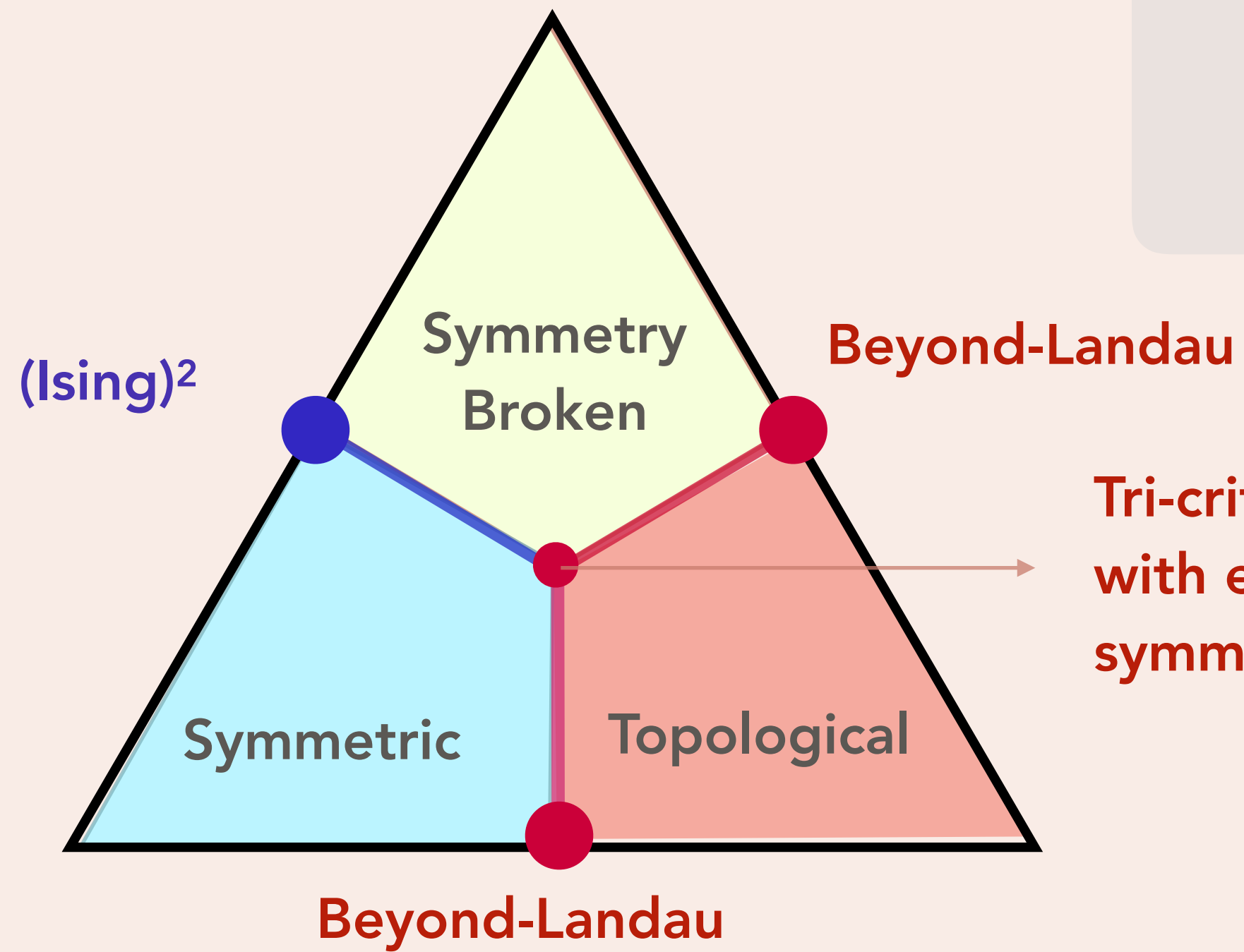


$e_L \longrightarrow e_L m_R$

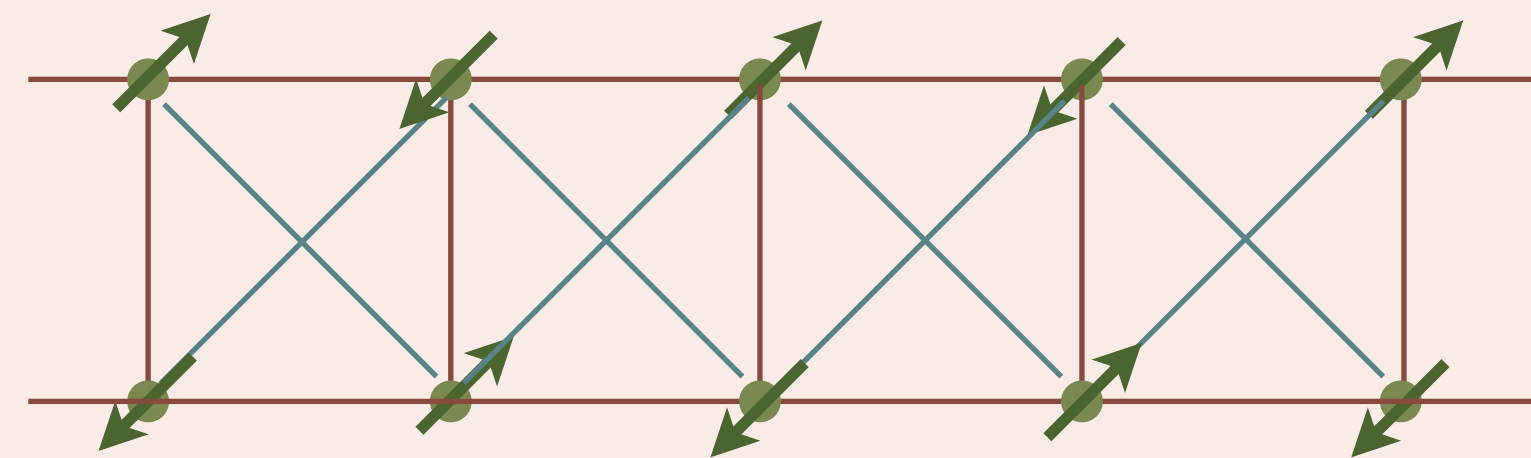


$e_L e_R \longrightarrow f_L f_R$

A phase diagram from  
**Topological holography**



Phase diagram:  
 $Z_2 \times Z_2$  symmetric systems



2-leg spin Ladder

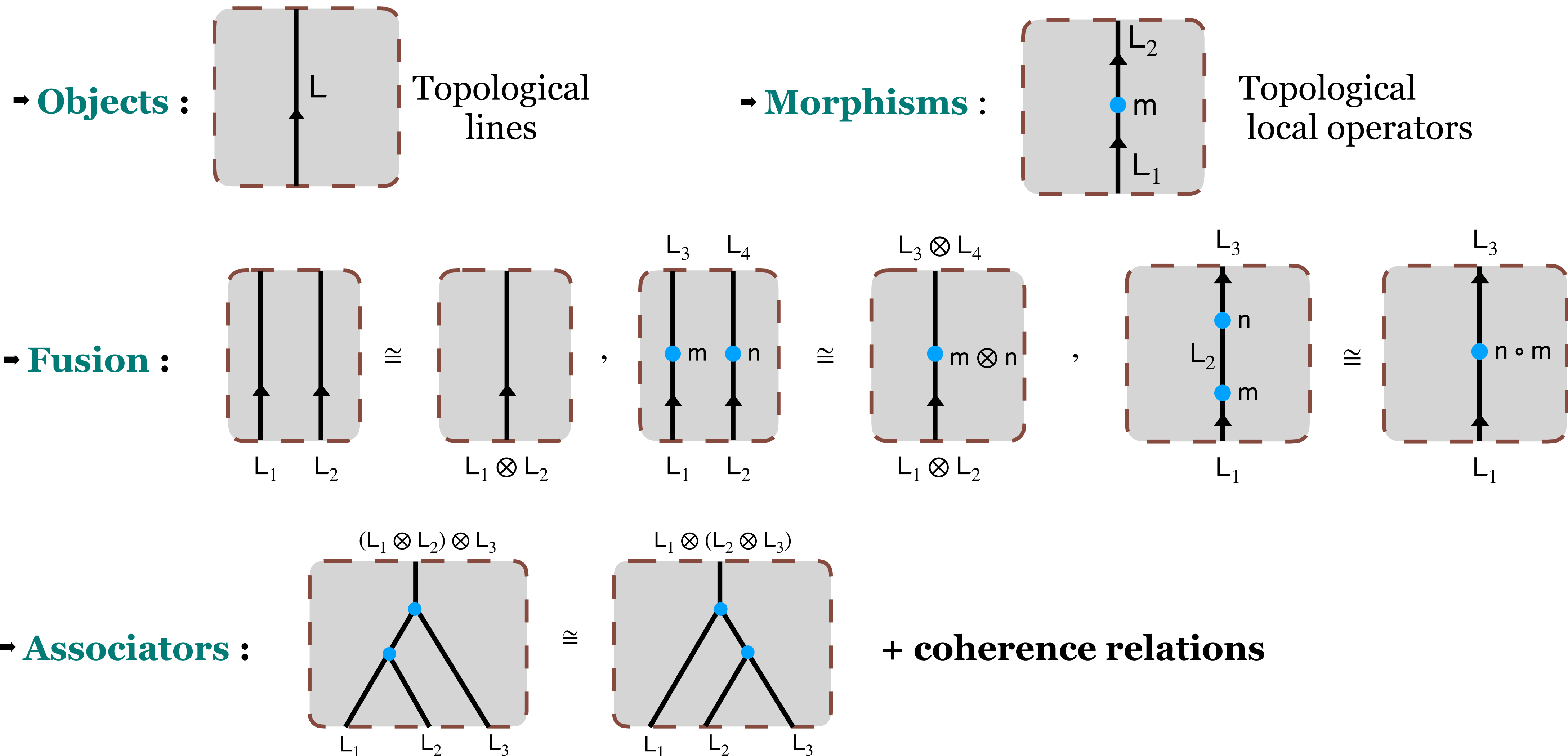


# **Higher Category of Symmetric Gapped phases**



# Fusion 1-category symmetries

→ Describe finite symmetries of 1+1d systems.



# Examples of Fusion 1-categories

• **Vec(G)** :

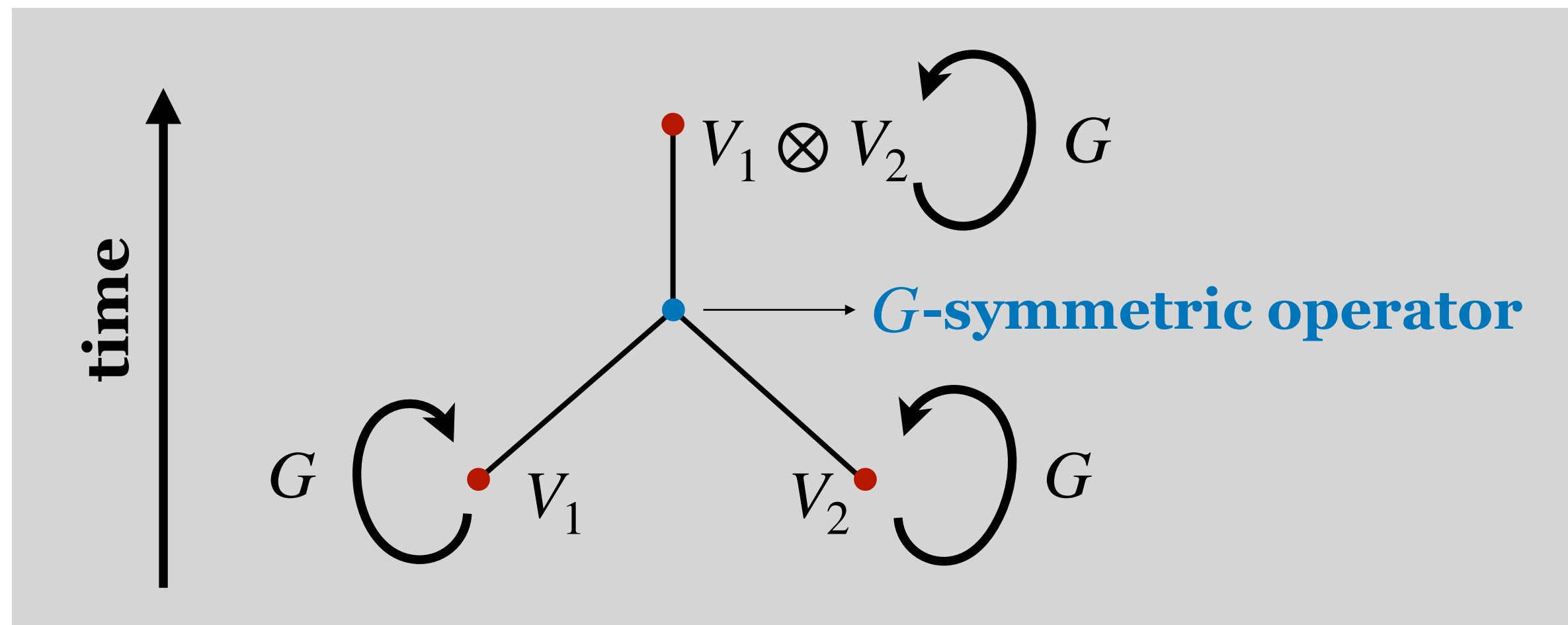
$$\uparrow L_g \quad \uparrow L_h = \uparrow L_{g \cdot h}$$

Conventional G symmetry

• **Rep(G)** :

$$\uparrow \rho_1 \quad \uparrow \rho_2 = \uparrow \rho_1 \otimes \rho_2$$

Rep(G) symmetry

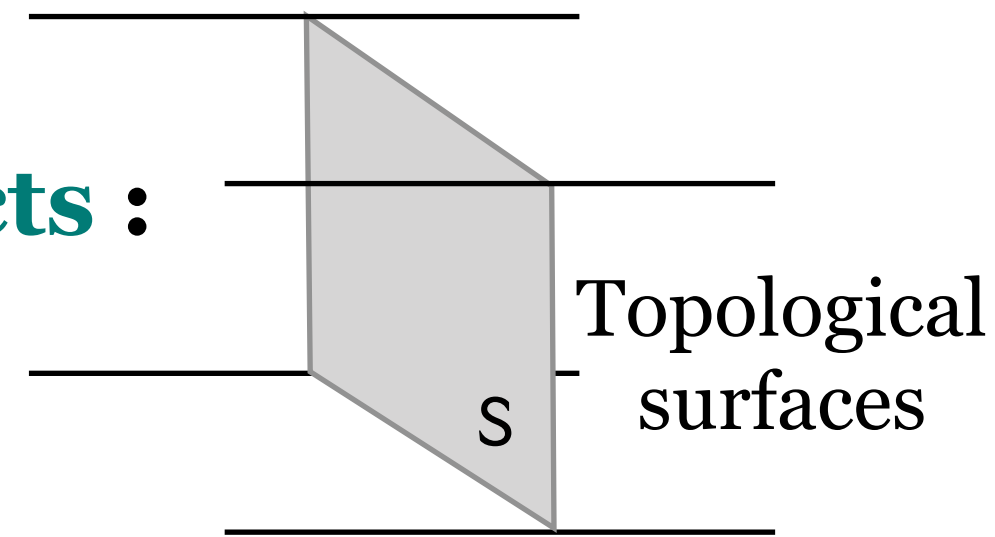


$\cong$

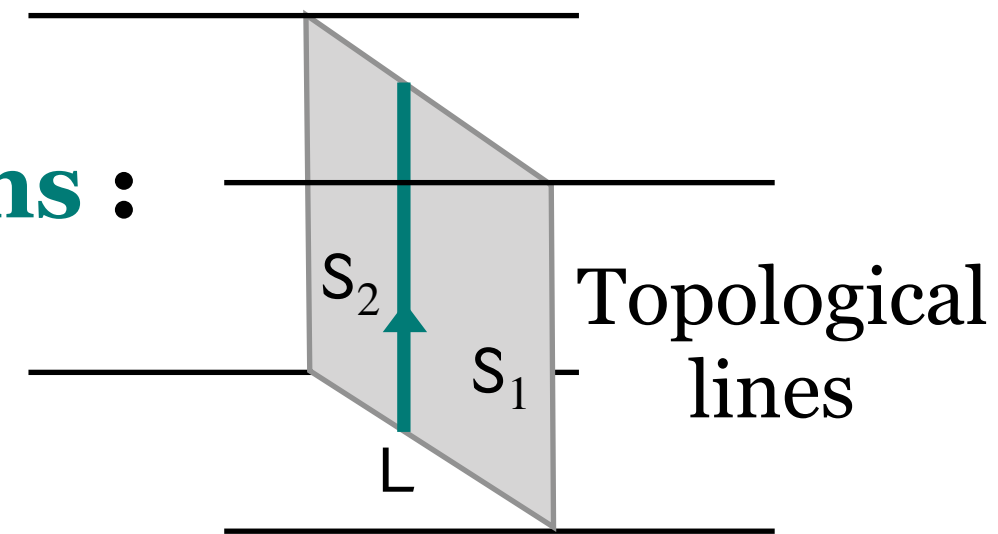
G-symmetric (topological) quantum mechanics

# Fusion 2-categories: Symmetries in 2+1d

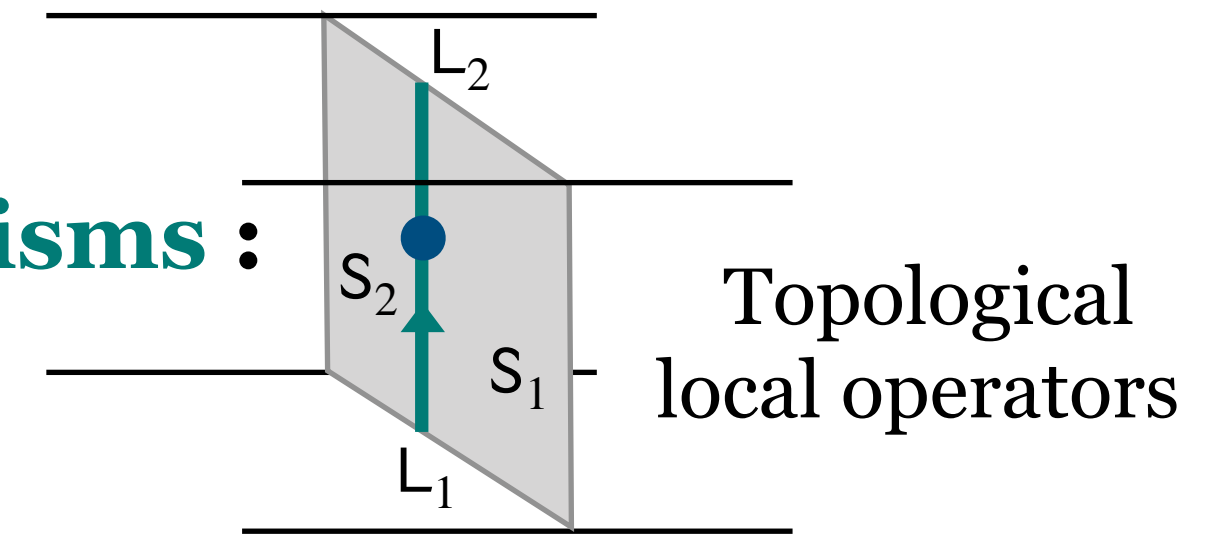
→ **Objects :**



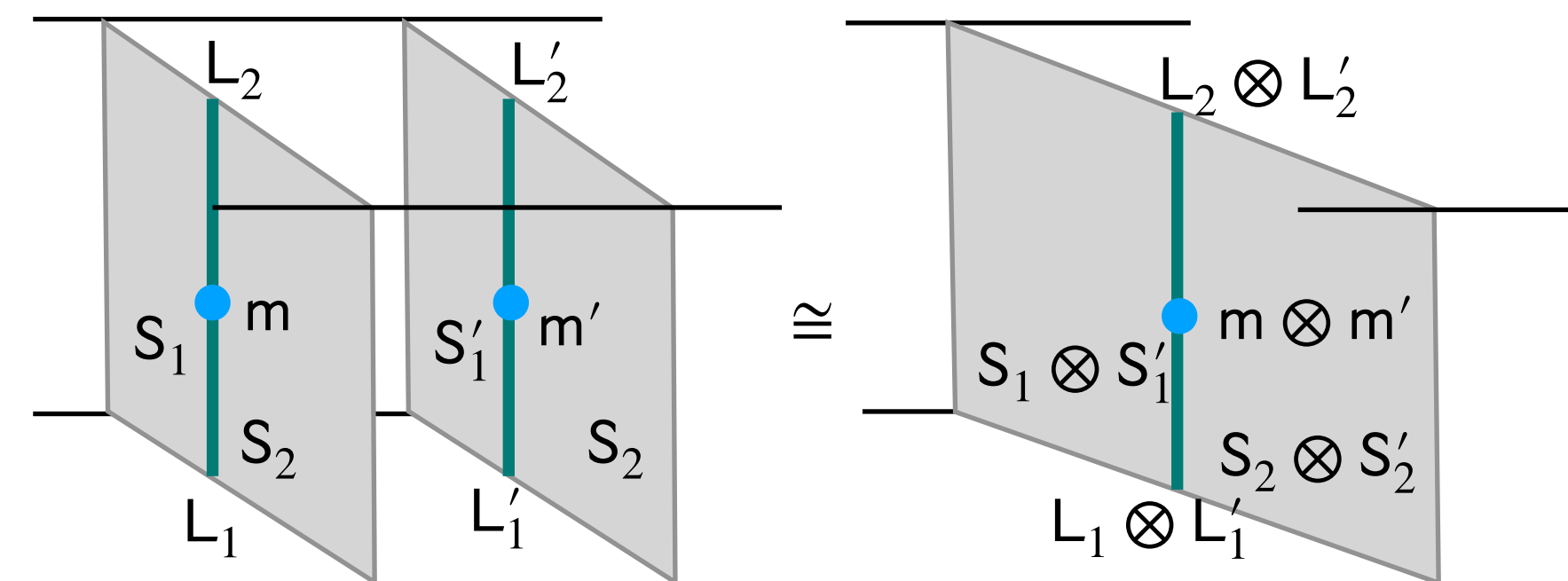
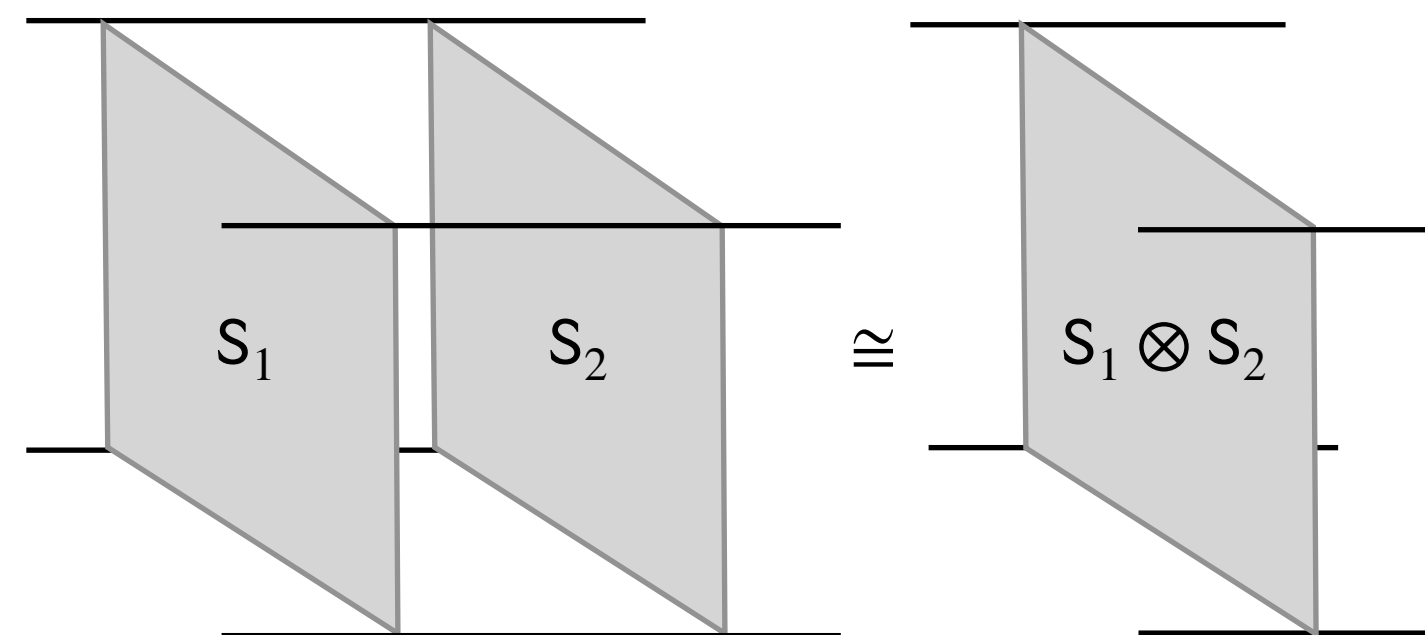
**1-morphisms :**



**2-morphisms :**



→ **Fusions and compositions:**

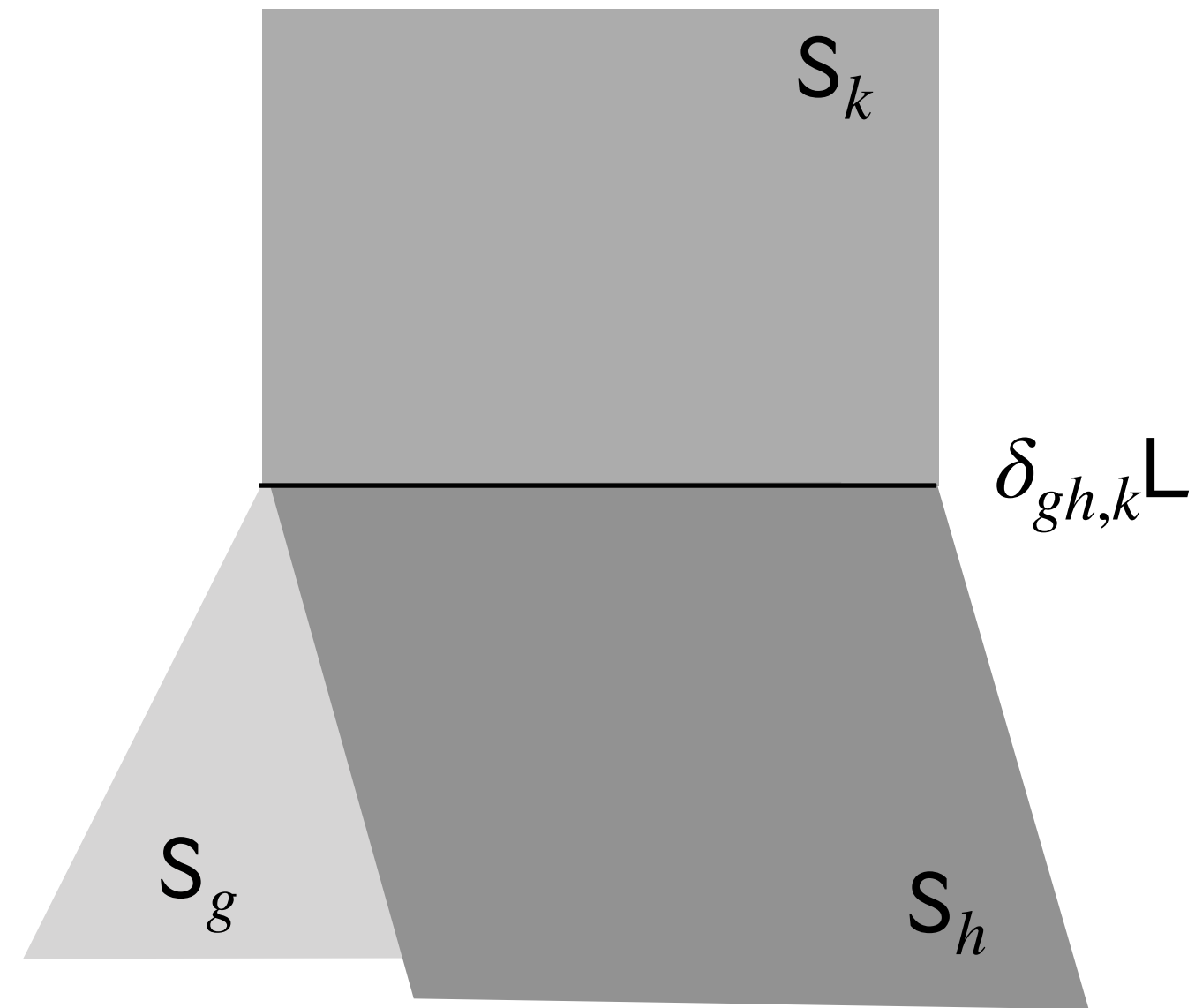


→ **Many compatibility conditions** [Douglas-Reutter '18]

# Examples of fusion 2-categories

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## I. Group 2-categories ( $2\text{Vec}G$ ):



**Conventional G symmetry**

# Examples of fusion 2-categories

## II. Group representation 2-categories (2Rep(G)):

Recall a representation on  $V$  is a map  $\rho : G \rightarrow \text{End}(V)$ .

Rep(G) is the 1-category of functors.

$$BG \longrightarrow \text{Vec}$$

$G$   $\text{End}(V)$

2Rep(G) is the categorification:

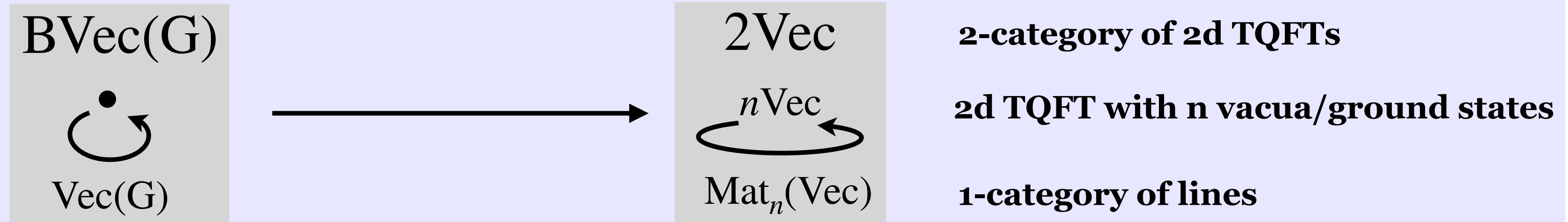
$$\begin{array}{ccc}
 BG & \longrightarrow & \text{Vec} \\
 \vdots & & \vdots \\
 B(\text{Vec}(G)) & \longrightarrow & 2\text{Vec}
 \end{array}$$

$$\begin{array}{ccc}
 B(\text{Vec}(G)) & & 2\text{Vec} \\
 \text{Vec}(G) & \xrightarrow{n\text{Vec}} & \text{Mat}_n(\text{Vec})
 \end{array}$$

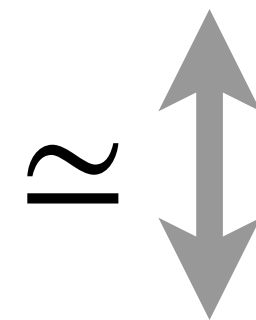
A 2-representation is a monoidal functor :  $\text{Vec}(G) \longrightarrow \text{Mat}_n(\text{Vec})$

# Examples of fusion 2-categories

$2\text{Rep}(G)$  is the 2-category of functors :



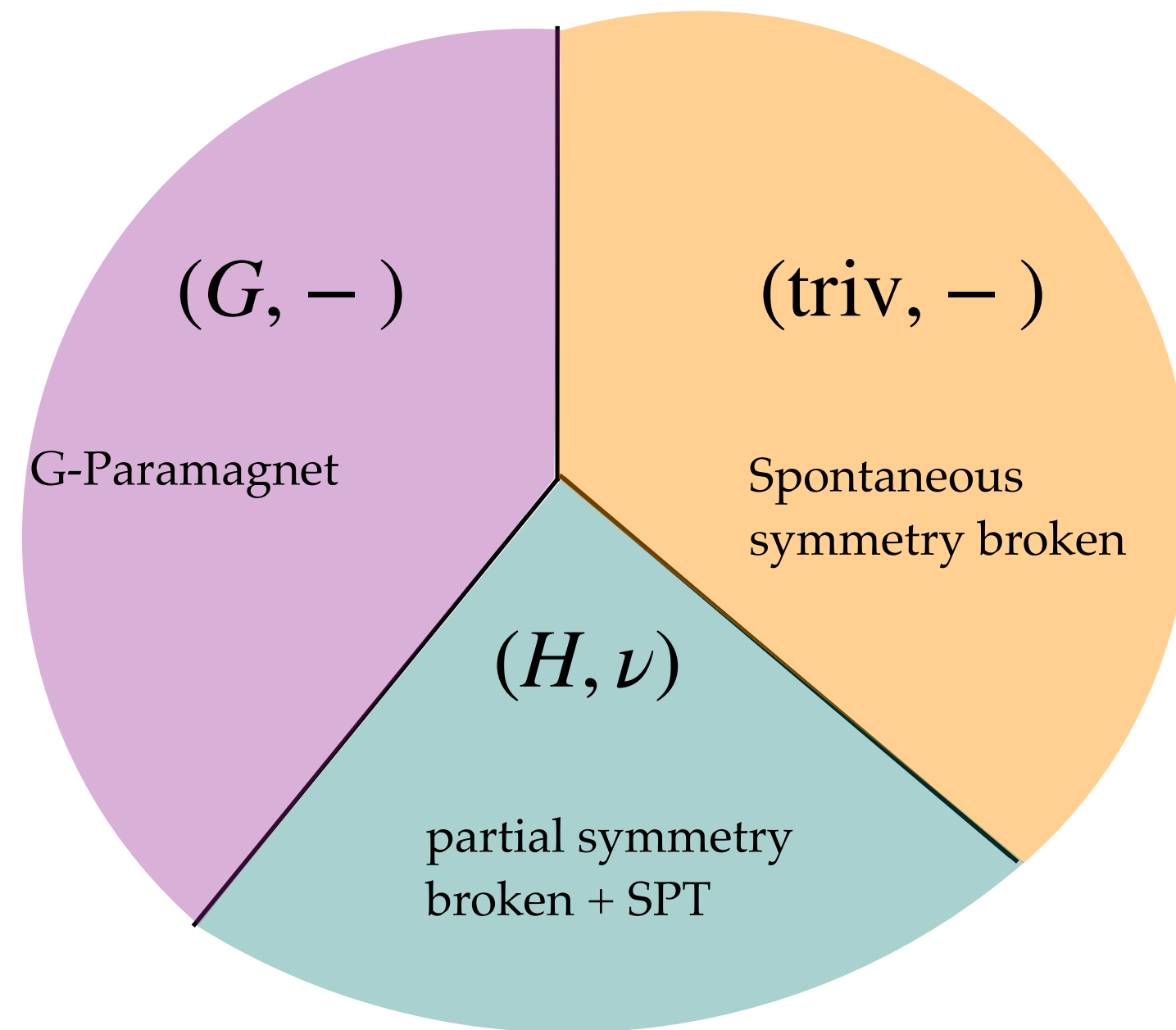
A 2-representation is a monoidal functor :  $\text{Vec}(G) \longrightarrow \text{Mat}_n(\text{Vec})$



1+1d gapped phase with  $G$ -symmetry and  $n$  vacua / GSs.

# Examples of fusion 2-categories

## II. Group representation 2-categories ( $2\text{Rep}(G)$ ):



### PHYSICS INTERPRETATION

**Objects:** Gapped phases of matter with  $G$  symmetry.

**1-Morphisms:** Gapped boundaries between gapped phases.

**2-Morphisms:** Gapped junctions.

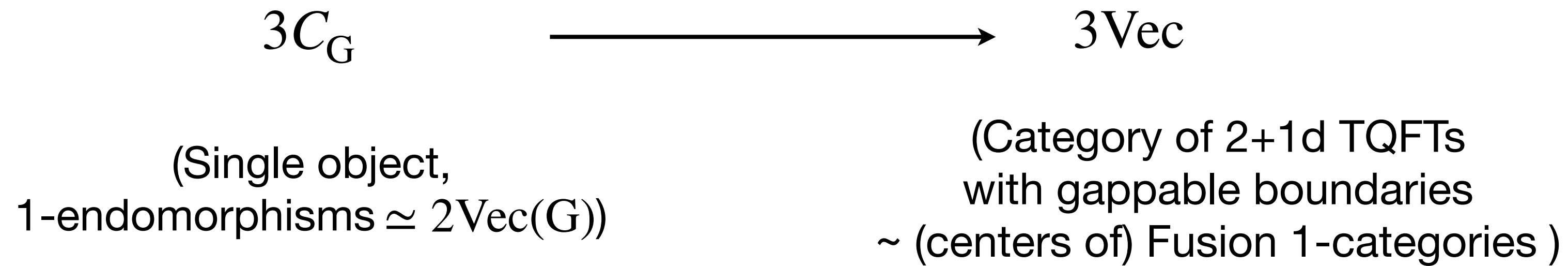
$H \subset G$  : Spontaneous symmetry breaking  $G \rightarrow H$

$\nu \in H^2(H, U(1))$  :  $H$  symmetry protected topological phase

# Group representation 3-categories ( $3\text{Rep}(G)$ ):

---

3 category of monoidal functors from:



Physically corresponds to possible ways in which non-anomalous topological orders can be made  $G$ -symmetric.

SPT and SSB phases are recovered by making the trivial topological order  $G$ -symmetric.

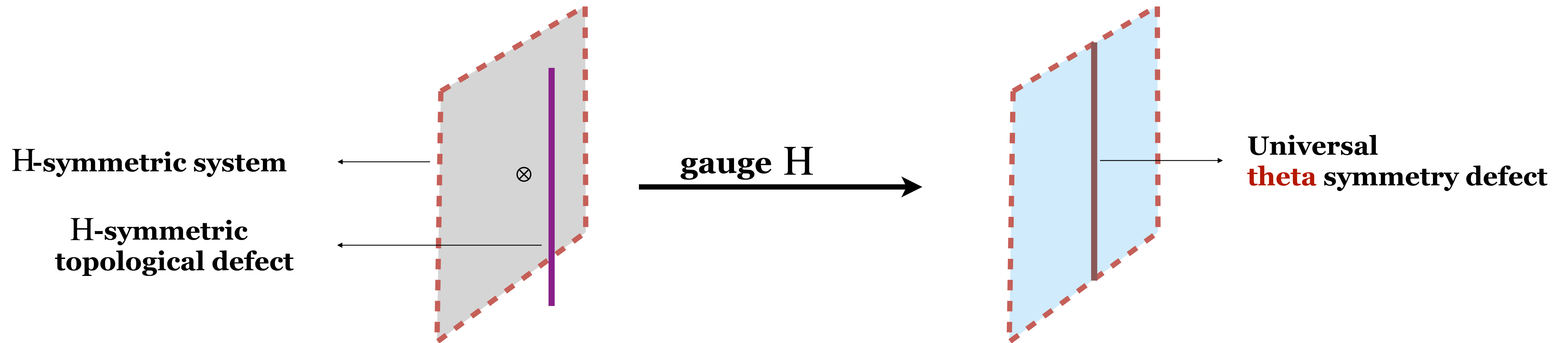
$3\text{Rep}(G) = 3$  category of invertible and non-invertible  $G$ -symmetric gapped phases of matter



# Theta defects in (2+1) dimensions

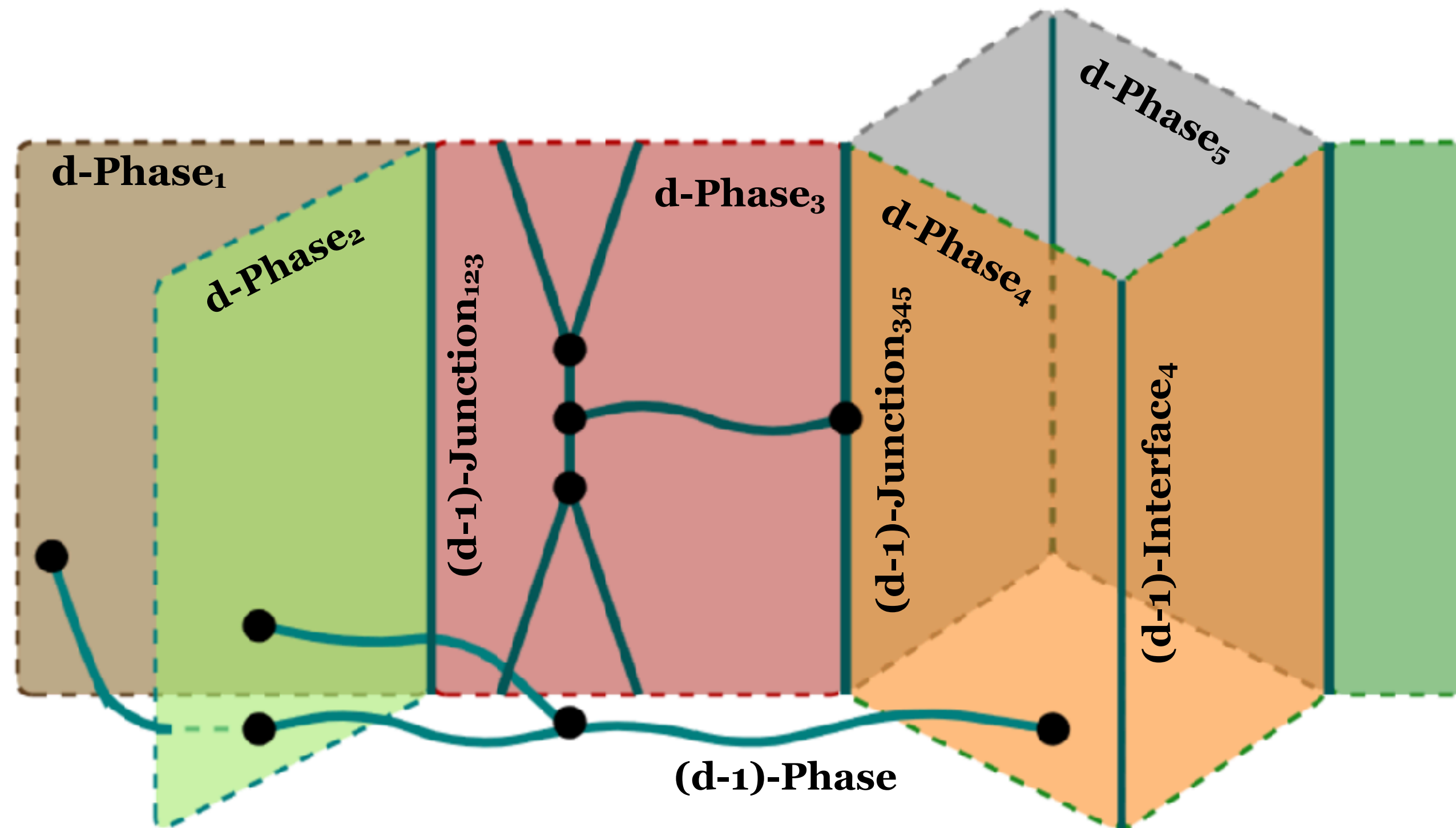
In  $d+1$  dimensions, when gauging a subgroup  $H \subset G$

$$C_G \xrightarrow{\text{gauge } H} C_{G/H} \supset \underbrace{d\text{Rep}(H)}_{\text{Theta defects}} \boxplus \underbrace{\dots}_{\text{Twisted theta defects}}$$



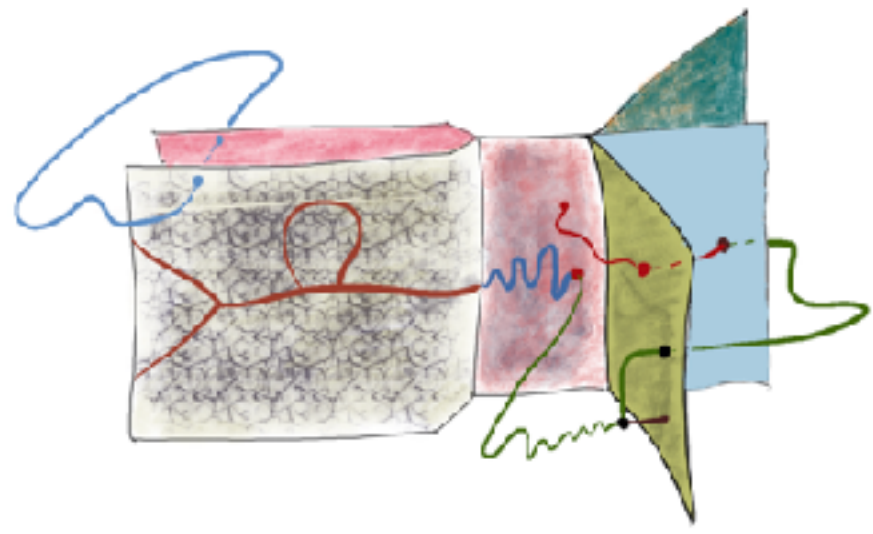
# Main messages

I. Any  $(d+1)$  dimensional quantum system obtainable by gauging an invertible symmetry  $\mathcal{S}$  contains a universal  $d$ -Fusion category of symmetry defects/operators



All phases, junctions and interfaces are gapped and  $\mathcal{S}$ -symmetric

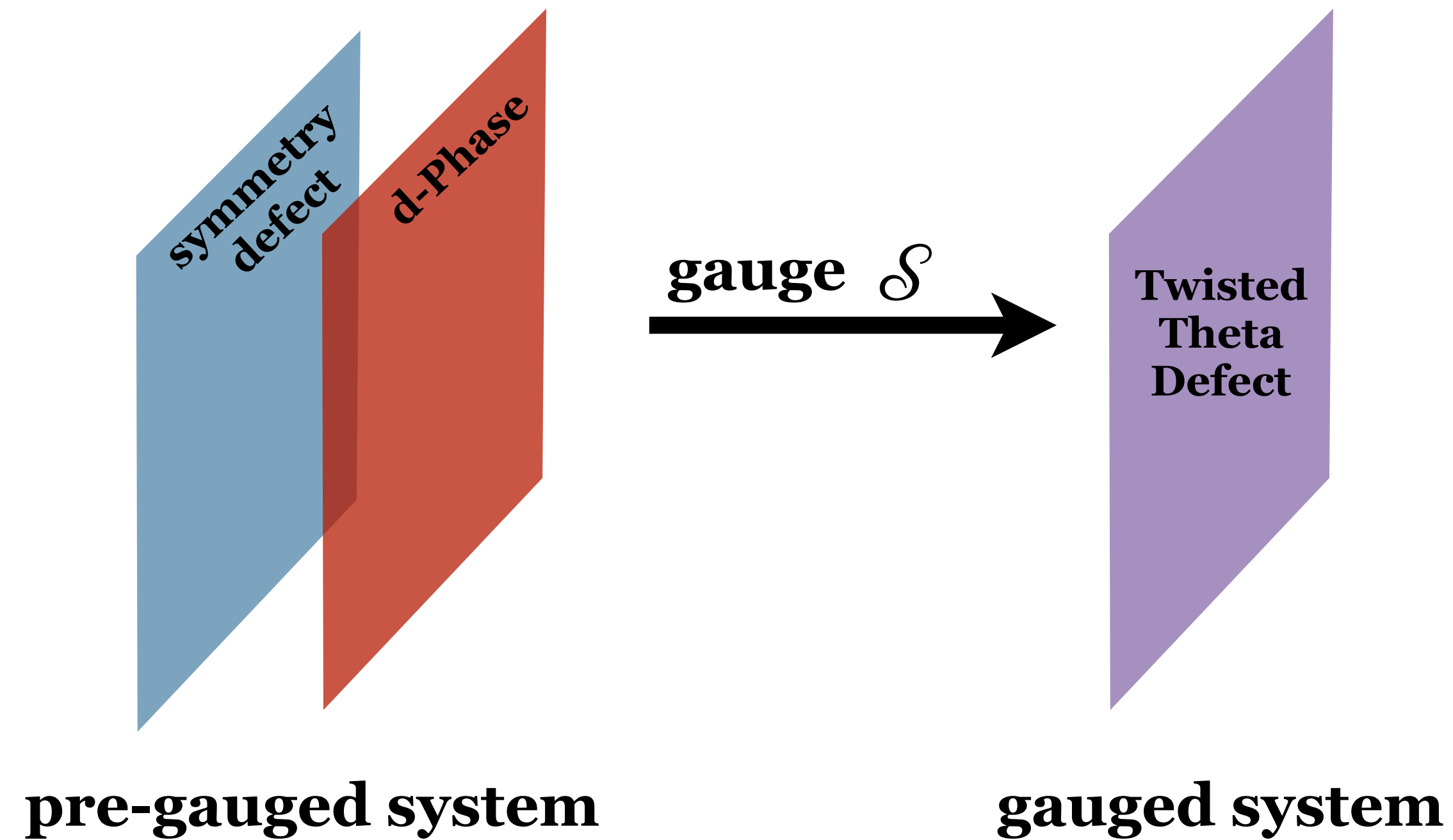
These are **Theta Defects**



# Main messages

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II. Additionally there are non-universal **Twisted Theta Defects**, obtained by stacking the pre-gauged system with lower dimensional  $\mathcal{S}$ -symmetric phases and gauging



# Directions

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**Classification of phases with  
generalized symmetries**

**Mechanisms for  
unconventional transitions  
+  
Generalized Landau paradigm**

**Consequences for dynamics/  
excited states**

**Incorporating :**

- **Crystalline symmetries**
- **Fermionic symmetries**
- **Time reversal**
- **Continuous symmetries**

**Topological defects  
for  
topological quantum computation**

**Thank you!**