#### Perturbative TQFTs

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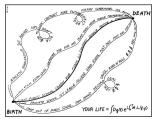
Danish QFT meeting, 13.08.2025

- Introduction
- 2 Background
- Perturbative TQFTs
- Back to Chern-Simons and Evidence

### Motivation: Feynman path integral

In Quantum Mechanics, we have the Feynman path integral

$$\Psi(x_0,x_1) = \int_{\substack{\gamma \colon \gamma(0) = x_0 \\ \gamma(1) = x_1}} e^{\frac{i}{\hbar}S[\gamma]} D\gamma$$



The Path Integral Formulation of Your Life



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$$S_M[\phi] = \int_M \mathcal{L}[\phi, \partial \phi, \ldots]$$

where  $\mathcal{L} \colon F_M \to \mathrm{Dens}(M)$  is the Lagrangian density.

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Classical physics are understood by solving the Euler-Lagrange equations,  $EL = \{\phi \in F_M, \delta S[\phi] = 0\}.$ 

## Motivation: Functional integrals

In Quantum Field Theory, we are interested in the partition function

$$Z_M = \int_{\phi \in F_M} e^{\frac{i}{\hbar} S_M[\phi]} D\phi$$

and expectation values of observables

$$\langle O \rangle = \frac{1}{Z} \int_{\phi \in F_M} e^{\frac{i}{\hbar} S_M[\phi]} O(\phi) D\phi$$

Mathematical problem: Spaces  $F_M$  usually don't have sensible integration theories.

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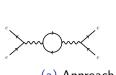
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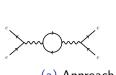
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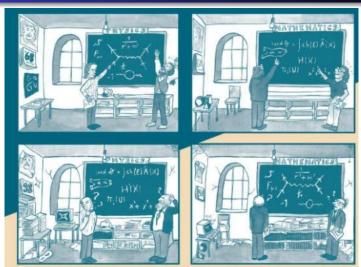


(a) Approach I



(b) Approach II

#### Comic of the situation



#### Chern-Simons theory: the drosophilia melanogaster

Chern-Simons theory is the 3d gauge theory with

$$F_M = \Omega^1(M,\mathfrak{g}), \quad S_M = \int_M rac{1}{2} \langle A, dA \rangle + rac{1}{6} \langle A, [A, A] 
angle$$

where  $\mathfrak{g}, \langle \cdot, \cdot \rangle$  is a quadratic Lie algebra. The partition function

$$Z_M = \int_{F_M} e^{\frac{ik}{2\pi} S_{CS}(A)} DA$$

and Wilson loop observables for  $\gamma \colon S^1 \to M$ 

$$\langle O_{\gamma} \rangle_{M} = \frac{1}{Z} \int_{F_{M}} e^{\frac{ik}{2\pi} S_{CS}[A]} \left( \operatorname{tr}_{R} \operatorname{Pexp} \int_{\gamma} A \right)$$

were studied by Witten in 1989.

#### Different Chern-Simons invariants

- Witten: For  $M = S^3$ ,  $\langle O_{\gamma} \rangle = J_{K(\gamma)}$  is the Jones polynomial of the knot K.
- Witten:  $Z_M$  are topological invariants of 3-manifolds.<sup>1</sup>
- Reshitikhin-Turaev described a functorial construction of similar invariants  $Z_{RT,M}^k$  based on quantum groups (Approach II).
- Fröhlich-King, Bar-Natan, Kontsevich, Axelrod-Singer, & many others studied the perturbative quantization  $Z_{CS,M}^{\mathrm{pert}}$  of Chern-Simons (Approach I).

 $<sup>^1</sup>$ Witten considered framed 3-manifolds due to a=1-loop anomaly  $\triangleright$ 

#### Research Goals

Asymptotic Expansion Conjecture (AEC) [Witten, Andersen, . . . ]

Prove that as  $k \to \infty$ ,  $Z_{RT,M}^k \sim Z_{CS,M}^{\text{pert}}$ .

#### Wide Open Question (WOQ)

What is the analogous result for the Reshetikhin-Turaev functorial field theory?

#### Goal

Develop new (general) tools to combine perturbative QFT and functorial QFT to prove the WOQ and use WOQ to prove AFC.

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$$Z(\bigcirc) = \langle Z(\bigcirc), Z(\bigcirc) \rangle$$

Figure: Illustration of the gluing axiom

## Cobordism category

Reformulate in categorical language: Consider category **Cob** where

- Objects are d-1-dimensional manifolds  $\Sigma$ ,
- Morphisms from  $\Sigma_1$  to  $\Sigma_2$  are *d*-manifolds  $\partial M$  with boundary  $\partial M = \Sigma_1 \sqcup \Sigma_2$ .

This category carries a symmetric monoidal structure ("tensor product") given by the disjoint union.

#### **Definition**

A TQFT is a symmetric monoidal functor

 $Z \colon \mathbf{Cob} \to \mathbf{Vect}$ .

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• The coefficients  $a_k(p)$  are given by sums over k-loop Feynman diagrams.

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- One then obtains a new symmetry, the BRST symmetry Q with  $Q^2=0$ .
- Only BRST cohomology is physically relevant.

Batalin and Vilkovisky generalized these ideas as follows ( $\sim 1980$ ):

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- Extend the action functional  $S_M$  to  $S_M \in \mathcal{O}(\mathcal{F}_M)[[\hbar]]$  satisfying  $\Delta S_M = 0$  (the quantum master equation).

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- Extend the action functional  $S_M$  to  $S_M \in \mathcal{O}(\mathcal{F}_M)[[\hbar]]$  satisfying  $\Delta S_M = 0$  (the quantum master equation).
- Choose a gauge-fixing Lagrangian  $\mathcal{L} \subset \mathcal{F}_M$  such that  $\mathcal{S}_M$  restricted to  $\mathcal{L}$  has non-degenerate critical points.

### BV formalism II

Then one can prove that for a family of Lagrangians  $\mathcal{L}_t$  one has that

$$rac{d}{dt}\int_{\mathcal{L}_t} \mathrm{e}^{rac{i}{\hbar}\mathcal{S}_M} \mu^{rac{1}{2}} = 0.$$

In particular, one has that

$$Z = \int_{F_{\mathcal{M}}} e^{rac{i}{\hbar} \mathcal{S}_{\mathcal{M}}[\phi]} \mathcal{D}[\phi] = \int_{\mathcal{L}} e^{rac{i}{\hbar} \mathcal{S}_{\mathcal{M}}} \mu^{rac{1}{2}}$$

when both sides are defined. Otherwise we use the perturbative expansion of the RHS as a definition.

Classical TFTs Perturbative TQFTs Gauge theories on manifolds with boundary Perturbative Quantization of BFV functor

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#### What are classical TFTs?

For me, perturbative = semiclassical. Understand classical first.

- From a local d-dimensional field theory (F, S), can extract a symplectic manifold  $F_{\Sigma}$  associated to a d-1-dimensional manifold the phase space.
- If  $\Sigma \subset \partial M$ , there is a map  $\pi \colon F_M \to F_\Sigma$  ("restriction")
- If  $M \colon \Sigma_1 \to \Sigma_2$  is a cobordism, then

$$(\pi_1 \times \pi_2)(EL) \subset F_{\Sigma_1} \times F_{\Sigma_2}$$

is a relation.

In good cases, this is a canonical relation (lagrangian).

### The symplectic category

- This suggests "enhancing" the category of symplectic manifolds by allowing canonical relations as morphisms.
   Simply denote this category Symp.
- This idea was already studied Hörmander, Sniatycki-Tulczyjew, Weinstein, Guillemin-Sternberg...before TQFTs
- The main problem is that the composition of relations needs a transversality assumption
- Ignoring these problems, define classical TFTs as functor

 $F : \mathbf{Cob} \to \mathbf{Symp}$ 

### Perturbative TQFTs - sketch of a definition

Let  $F : \mathbf{Cob} \to \mathbf{Symp}$  be a classical TFT.

#### Data

A perturbative TQFT quantizing F assigns

- to d-1-dimensional manifold  $\Sigma$  and a polarization  $\mathcal P$  of  $F_\Sigma$  a  $O_{F_\Sigma}[[\hbar]]$ -module  $\mathcal H^{\mathcal P}_\Sigma$
- to a d-dimensional manifold M with boundary an element  $\tilde{Z}_M \in H^{\text{top}}(EL_M) \otimes \mathcal{H}^{\mathcal{P}}_{\partial M}$ .

### Perturbative TQFTs - sketch of a definition

Here is an attempt to formalize the gluing axiom. Suppose  $M = M_1 \cup_{\Sigma} M_2$ .

Then we require that there is an open set of pairs of polarizations  $\{(\mathcal{P}_1, \mathcal{P}_2)\}$  of  $F_{\Sigma}$  with pairings

$$\langle \cdot, \cdot \rangle_{\mathcal{P}_1, \mathcal{P}_2, \Sigma} \colon \mathcal{H}^{\mathcal{P}_1}_{\Sigma} \times \mathcal{H}^{\mathcal{P}_2}_{\Sigma} \to \mathbb{C}[[\hbar]]$$

and

$$\pi_* \langle \tilde{Z}_{M_1}, \tilde{Z}_{M_2} \rangle = \tilde{Z}_M$$

where  $\pi: F_{M_1} \times F_{M_2} \to F_M$  and  $\pi_*$  denotes pushforward of forms.

### Perturbative TQFTs - remarks

- The module  $\mathcal{H}_{\Sigma}$  should be constructed as a "infinite level limit" of geometric quantization of  $F_{\Sigma}$ , the reduced phase space of the theory.
- The partition function is a top form on  $F_M$ . For a closed manifold M, the idea is that the integral of this top form

$$Z_M = \int_{EL_M} \tilde{Z}_M$$

(if it exists) is the formal power series describing the semiclassical asymptotics of the non-perturbative theory.

Goal: Extend BV formalism to spacetime manifolds with boundary.

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Notice:  $\iota_Q^{\partial}\omega^{\partial}=dS^{\partial}$  for  $S^{\partial}=\iota_E\iota_Q\omega$  (*E* Euler vector field of *M*).

To a d-dimensional manifold M with boundary  $\partial M$ , we associate a BV-BFV manifold consisting of

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- a surjective submersion  $\pi \colon \mathcal{F}_M \to \mathcal{F}_{\partial M}^{\partial}$  satisfying  $(Q_M)^2 = 0$ ,  $\delta \pi(Q_M) = Q_{\partial M}$  and

$$\iota_{\mathcal{Q}}\omega + \delta \mathcal{S}_{\mathcal{M}} = \pi^* \alpha^{\partial}.$$

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- Compatible with cutting and gluing of manifolds by taking fibered products  $\mathcal{F}_{M_1} \times_{\mathcal{F}_{\Sigma}} \mathcal{F}_{M_2}$
- Call corresponding functor F : Cob → BFV a BFV functor.

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# Perturbative Quantisation I: BV-BFV space of states

Let  $\mathcal F$  be a classical BFV functor, choose a polarisation  $\mathcal P$  of  $\mathcal F_\Sigma^\partial$ .

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- $\mathcal{H}^{\mathcal{P}}_{\partial M}$  is a certain "geometric quantisation" of the space of boundary fields.
- $\bullet$   $\Omega^{\mathcal{P}}$  is a coboundary operator which is a quantisation of  $S^{\partial}$ 4 D > 4 A > 4 B > 4 B > B = 400

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#### Perturbative Quantisation II: The state

• The state  $\widehat{Z}_M \in \mathrm{Dens}^{\frac{1}{2}}\mathcal{M}_M \otimes \widehat{\mathcal{H}}_{\partial M}$  (computed via Feynman diagrams) is a half-density on  $\mathcal{M}_M$  with values in  $\widehat{\mathcal{H}}_{\partial M}$ .

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$$(\hbar^2 \Delta + \Omega) \widehat{Z}_M = 0.$$
 (mQME)

#### Conjecture

The  $(\hbar^2 \Delta + \Omega)$  cohomology gives a perturbative TQFT.

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### Reshetikhin-Turaev theories

- Mathematically, RT invariants  $Z_{RT}^k$  of a 3-manifold  $M = S_L^3$  are given by certain combinations of colored Jones polynomials of L, depending on a level  $k \in \mathbb{N}$ .
- By now it is understood that there is a 3-2-1 extended TQFT producing the RT invariants with  $Z_{RT}^k(S^1) = \mathbf{C}$ , a modular tensor category  $\mathbf{C}$ .
- Physically, these TQFTs correspond to Anyon models and form the basis for topological quantum computation

### The main conjecture

#### Conjecture - How to prove WOQ

• There is a perturbative TQFT  $Z_{RT}^{\infty}$  such that

$$Z_{RT,M}^k \sim_{k\to\infty} Z_{RT,M}^\infty$$

• This perturbative TQFT coincides with the perturbative TQFT defined by the  $(\hbar^2\Delta + \Omega)$ -cohomology of the perturbative quantization of the Chern-Simons BFV functor

Let me present some evidence of this fact.

# Some evidence for part A

- Jeffrey, Freed-Gompf, Rozansky, Andersen and many others worked on the asymptotic behaviour of  $Z_{RT}^k$ .
- In particular, Andersen-Himpel and Andersen-Mistegaard show that on finite order mapping tori the asymptotics are given by integrals of top forms over the moduli space of flat connections
- For manifolds with boundary, Andersen and many collaborators show that the state spaces of  $Z_{RT}^k$  can be computed via geometric quantization of moduli spaces of flat connections at level k.
- This is evidence that one can indeed understand the asymptotics of RT as a perturbative TQFT, but no results for manifolds with boundary exist.

# Evidence for part B

Rozansky proved a certain class of manifolds M that

$$Z_{RT,M}^{k} \sim_{k \to \infty} \sum_{x \in S_{CS}(M)} e^{ikx} T_{x} \left( 1 + \sum_{l=1}^{\infty} r_{x,l} k^{-l} \right)$$

(the AEC at first order)

• For x = 0,  $r_0$  can be identified with the LMO invariant This gives a conjectural chain of equalities

$$(Z_{RT}^{\infty})_{x=0} = Z_{LMO} \stackrel{?}{=} Z_{KKTL} = Z_{BC} \stackrel{?}{=} Z_{BV}^{pert}$$

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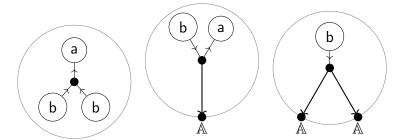


#### Theorem

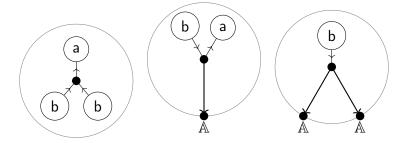
[Kuperberg-Thurston '99, Lescop 2000's] The theta invariant equals the Casson invariant up to a framing correction.

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Rules: e.g. Last one gives

$$\psi_{\mathsf{\Gamma}} = \mathsf{g}_{i}^{jk} \int_{\mathsf{C}_{2}(\partial M)} \left(\pi_{1,*} \mathsf{b}_{1}^{i} \eta_{12} \eta_{13}\right) \mathbb{A}_{j} \mathbb{A}_{k}$$

$$\varphi \colon \mathbb{T}^2 = \mathbb{R}^2 / \mathbb{Z}^2 \to \mathbb{R}^2 / \mathbb{Z}^2$$
$$\begin{pmatrix} t \\ \theta \end{pmatrix} \mapsto \begin{pmatrix} m & p \\ n & q \end{pmatrix} \begin{pmatrix} t \\ \theta \end{pmatrix}$$

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- $(D \times S^1) \cup_{\varphi} (D \times S^1) \cong L_{p,q}$
- In particular, diffeomorphism type independent of m, n and only depends on q mod p.

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Agrees with Results by Kuperberg-Thurston-Lescop since the Casson(-Walker-Lescop) invariant of L(p,q) is s(q,p).