



# De Sitter vacua in type IIB string theory/ F-theory by Kähler uplifting

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## Outline:

1. Introduction: De Sitter vacua in Type IIB/ F-Theory
2. A sufficient condition for de Sitter vacua
3. Towards an explicit example:  $\mathbb{C}P_{1,1,1,6,9}$
4. Conclusions & Outlook

# 1. Introduction: De Sitter vacua in Type IIB/ F-Theory

# Introduction: De Sitter vacua in String Theory

## Cosmology:

- ▶ Acceleration of the universe on large scales is observed.
- ▶ Simplest explanation: Small cosmological constant.

## String Theory:

- ▶ Consistent quantum gravity and unification candidate.
- ▶ Can contain constructions leading to the MSSM.

⇒ **Can one construct (metastable) 4D de Sitter vacua with small cosmological constant?**

- ▶ Compactification to 4D yield moduli that have to be stabilized to obtain a vacuum.
- ▶ Focus on geometric (Kähler, complex structure) moduli and dilaton.

## Introduction: IIB/ F-theory Compactifications

### IIB on orientifolded Calabi-Yau 3-fold X:

- ▶ Spectrum: 3-form field strength  $G_3 = F_3 - S H_3, \dots$
- ▶ Moduli:  $h^{1,1}(X)$  Kähler,  $h^{2,1}(X)$  complex structure and dilaton.

### F-theory on elliptically fibred Calabi-Yau 4-fold Z:

- ▶ Spectrum: 4-form field strength  $G_4, \dots$
- ▶ Moduli:  $h^{1,1}(Z) - 1$  Kähler,  $2h^{3,1}(Z)$  complex structure.

The theories are equivalent in the Sen limit  $g_s \rightarrow 0$ . [Sen '96]

The  $D = 4, \mathcal{N} = 1$  effective supergravity Lagrangian of the compactification is

$$\mathcal{L} = K_{a\bar{b}} D_\mu \phi^a D^\mu \phi^{\bar{b}} - V + \dots,$$

$$V = e^K \left( K^{a\bar{b}} D_a W \overline{D_b W} - 3|W|^2 \right)$$

## Introduction: Kähler moduli stabilization

Kähler moduli  $T_i$  are stabilized by the **interplay of non-perturbative effects**: [Kachru, Kallosh, Linde, Trivedi '03]

- ▶ D3-instantons.
- ▶ Gaugino condensation of stacks of  $N$  D7-branes wrapping a rigid Divisor.

$$W_{\text{n.p.}} = \sum_i A_i e^{-a_i T_i}, \quad a_i = \frac{2\pi}{N_i}$$



In F-theory,  $a_i$  is determined by the ADE singularity at the degeneration point of the fibred torus.

and  $\alpha'$  corrections: [Becker, Becker, Haack, Louis '02]

$$K = -2 \ln \left( \hat{V}(T_i) + \alpha'^3 \hat{\xi}(S) \right), \quad \hat{\xi}(S) \propto \underbrace{(-\chi)}_{=2(h^{2,1} - h^{1,1})} \cdot (S + \bar{S})^{3/2}$$

## Introduction: Complex structure moduli stabilization

- ▶ Complex structure moduli  $z^a$  are stabilized by **fluxes**:

$$\frac{1}{2\pi\alpha'} \int F_3 = 2\pi f \in 2\pi\mathbb{Z}, \quad \frac{1}{2\pi\alpha'} \int H_3 = 2\pi h \in 2\pi\mathbb{Z}.$$

- ▶ For a symplectic basis  $\{A^a, B_b\}$  for the  $2h^{2,1} + 2$  three cycles the period vector  $\Pi$  is defined as the integral over the holomorphic 3-form  $\Omega$ :

$$\Pi = \left( z^a, \frac{\partial G}{\partial z^b} \right) = \left( \int_{A^a} \Omega, \int_{B_b} \Omega \right), \quad \text{with prepotential } \mathbf{G}.$$

- ▶ The Kähler and superpotential are then:

$$K = -\log \left( i \int_X \Omega \wedge \bar{\Omega} \right) = -\log \left( -i \Pi^\dagger \Sigma \Pi \right), \quad \text{with sympl. matrix } \Sigma.$$

$$W_0 = \frac{1}{2\pi} \int_X G_3 \wedge \Omega = 2\pi\alpha' (f - S h) \cdot \Pi. \quad [\text{Gukov, Vafa, Witten '00}]$$

## 2. A sufficient condition for de Sitter vacua



## Branches of de Sitter vacua

Manifold and flux choices  $h^{1,1}$ ,  $h^{2,1}$ ,  $W_0$ ,  $a_i$ ,  $A_i$  determine what scenarios of moduli stabilization can be realized:

**KKLT scenarios:** [Giddings, Kachru, Polchinski '01][Kachru, Kallosh, Linde, Trivedi '03]

- ▶ Moduli stabilized supersymmetrically:  $D_i W = 0 \Rightarrow$  AdS vacuum.
- ▶ Uplifting via  $\overline{D3}$ -brane  $\Rightarrow$  explicit SUSY breaking.

**LARGE Volume scenarios:** [Balasubramanian, Berglund, Conlon, Quevedo'05]

- ▶ Volume can be tuned arbitrarily large  $\Rightarrow$  good decoupling limit.
- ▶ Uplifting to de Sitter via D-terms  $\Rightarrow$  strongly model dependent.

**Kähler uplifting scenarios:** [Balasubramanian, Berglund '05], [MR, Westphal '11]

- ▶ SUSY only broken spontaneously by F-term potential.
- ▶ No extra uplifting sector required  $\Rightarrow$  de Sitter vacua directly in a model independent way.

## A sufficient condition for de Sitter for Kähler uplifting

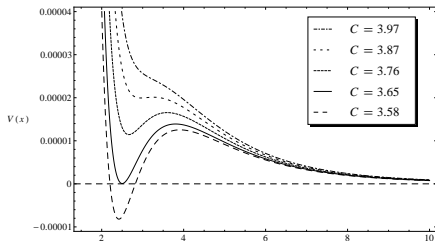
If a 3-fold is 'swiss-cheese', i.e.  $\hat{V}(T_i) = \gamma_1 \text{Re}(T_1)^{3/2} - \sum_{i=2}^{h^{1,1}} \gamma_i \text{Re}(T_i)^{3/2}$

one can perform a complete perturbative moduli stabilization in the limit

- ▶  $\hat{V} \gg \hat{\xi} \Rightarrow$  Large Volume  $\hat{V}$ .
- ▶  $|W_0| \gg Ae^{-at} \Rightarrow$  Non-perturbative effects are small.
- ▶  $D_i W(S, z^a) \simeq 0 \Rightarrow$  Supersymmetric stabilization to 0-th order.

**Sufficient for a de Sitter vacuum:** [MR, Westphal '11]

- ▶  $3.65 < \frac{27|W_0|\hat{\xi}a^{3/2}}{64\sqrt{2}\gamma A} < 3.89$ .
- ▶  $V_{z^a z^b}^{(c.s.)} > 0$  to 0-th order.
- ▶ Need large gauge group  $SU(N)$ , typically  $N \sim 30 - 100$ .



### 3. Towards an explicit example: $\mathbb{C}P_{1,1,1,6,9}$

## Moduli space of $\mathbb{C}\mathbb{P}_{1,1,1,6,9}$ (I)

Consider Calabi-Yau 3-fold defined as degree 18 hypersurface in  $\mathbb{C}\mathbb{P}_{1,1,1,6,9}$ :  $(x_1, x_2, x_3, x_4, x_5) \sim (\lambda x_1, \lambda x_2, \lambda x_3, \lambda^6 x_4, \lambda^9 x_5)$ , for example

$$x_1^{18} + x_2^{18} + x_3^{18} + x_4^3 + x_5^2 = 0$$

This 3-fold has  $h^{1,1} = 2$  and  $h^{2,1} = 272$ .

**Kähler moduli:** [Denef, Douglas, Florea '04]

- ▶ Intersections:  $\hat{\nu} = \frac{\sqrt{2}}{18} \left( \text{Re}(T_1)^{3/2} - \text{Re}(T_2)^{3/2} \right) \Rightarrow$  'swiss cheese'.
- ▶ Non-perturbative effects:  $W_{\text{n.p.}} = A_1 e^{-a_1 T_2} + A_2 e^{-a_2 T_2}$ .
  - ▶  $a_1 = 2\pi/30 \Rightarrow E_8$  singularity in the F-theory description.
  - ▶  $a_2 = 2\pi \Rightarrow$  D3-instanton.
  - ▶  $A_1, A_2 \simeq \mathcal{O}(1)$ .

## Moduli space of $\mathbb{C}\mathbb{P}_{1,1,1,6,9}$ (II)

### Complex structure moduli:

- ▶ The full  $h^{2,1} = 272$  parameter prepotential  $G(z)$  is not known. But, the moduli space allows a  $\Gamma = \mathbb{Z}_6 \times \mathbb{Z}_{18}$  action, which fixes a 2 parameter subspace. [Greene, Plesser '89]
- ▶ Turn on flux only on the 2 invariant cycles  $\Rightarrow D_i W = 0$  for the 270 non-invariant cycles  $\Rightarrow$  Effectively all 272 complex structure moduli stabilized.
- ▶ Prepotential can be obtained via mirror symmetry in the large complex structure limit: [Candelas, Font, Katz, Morrison '94]

$$G(z_1, z_2) = \frac{17}{4}z_1 + \frac{3}{2}z_2 + \frac{1}{2} \left( \frac{9}{2}z_1^2 + 3z_1z_2 \right) + \frac{1}{6} (-9z_1^3 - 9z_1^2z_2 - 3z_1z_2^2) \\ + \xi + G_{\text{instanton}}(e^{-2\pi z_1}, e^{-2\pi z_2}), \quad \xi = \frac{\zeta(3)(h^{1,1} - h^{2,1})}{(2\pi i)^3} \simeq -1.30843 i.$$

## Finding flux vacua

- ▶ The 3-fold fixes all free parameters except of the VEV's  $\langle T_1 \rangle$ ,  $\langle T_2 \rangle$ ,  $\langle S \rangle$ ,  $\langle z_1 \rangle$  and  $\langle z_2 \rangle$  and flux vectors  $f = \{f_i\}$ ,  $h = \{h_i\}$ ,  $i = 1, \dots, 6$ .
- ▶ D3-Tadpole constraint:

$$L = \int_Z G_4 \wedge G_4 = \int_X F_3 \wedge H_3 = L_{\max} - N_{D3}, \quad L_{\max} = \frac{\chi(Z)}{24}.$$

### Strategy:

- ▶ Fix  $\langle S \rangle$ ,  $\langle z_1 \rangle$  and  $\langle z_2 \rangle$  to rational value.
- ▶ Neglect  $G_{\text{instanton}}$  and set  $\xi$  to a rational value, such that:

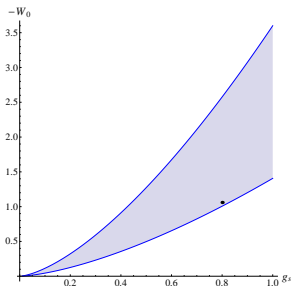
$$0 = \{W_0, D_S W_0, D_{z_1} W_0, D_{z_2} W_0\} = \mathbf{A} \cdot \{f_1, \dots, f_6, h_1, \dots, h_6\}, \quad \text{with } \mathbf{A} \in \mathbb{Q}^{8 \times 12}.$$

- ▶ Find basis of solutions  $\{f_i\}$ ,  $\{h_i\}$  with minimal tadpole  $L$ .
- ▶ Generate shift in  $W_0$ ,  $\langle S \rangle$ ,  $\langle z_1 \rangle$  and  $\langle z_2 \rangle$  for  $\xi \in i\mathbb{R}$ ,  $G_{\text{instanton}} \neq 0$ .

# Solutions and Kähler moduli stabilization

De Sitter vacuum can be constructed if:

- ▶  $L < L_{\max}$ ,
  - ▶  $\{W_0, g_s = \langle \text{Re } S \rangle^{-1}, A\}$  fulfill:
- $$1.25 < |W_0| A g_s^{-3/2} < 1.34,$$
- ▶  $V_{z^a z^b}^{(c.s.)} > 0.$



Explicit example:

- ▶  $\{f, h\} = \{0, -16, 56, -28, -12; 4, 0, 0, 0, -9, 10\}, \quad L = 408$

▶

$g_s$	$A$	$\langle T_1 \rangle$	$\langle T_2 \rangle$	$\langle z_1 \rangle$	$\langle z_2 \rangle$	$W_0$	$\hat{V}$
0.8	1.5	14.3	0.8	0.98	0.99	-1.06	4.2

**TODO:** Directly stabilize  $V(T_1, T_2, S, z_1, z_2)$  numerically.

## 4. Conclusions & Outlook

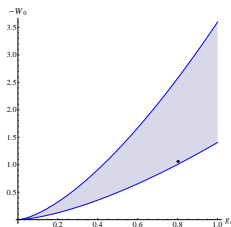


## Conclusions

- ▶ Sufficient condition for de Sitter with all moduli stabilized for all Calabi-Yau 3-folds of 'swiss cheese' type.
- ▶ Systematical understanding of de Sitter condition based on properties of the Calabi-Yau  $\gamma$ ,  $\xi$  and fluxes  $W_0$  (F-Theory data).
- ▶ Well controlled spontaneous SUSY breaking by F-Terms only.
- ▶ Explicit flux vacuum has been constructed which can be used for Kähler uplifting.
- ▶ Small cosmological constant by tuning background fluxes.

[Bousso, Polchinski '00]

# Outlook



## Statistics of $\mathbb{CP}_{1,1,1,6,9}$ :

- ▶ How many flux vacua allow Kähler uplifting?
- ▶ Scan over  $g_s$ ,  $\langle z_1 \rangle$ ,  $\langle z_2 \rangle$  and  $\xi_{\text{rational}}$ .

Kähler uplifting:  $\hat{\nu} \simeq \gamma(6/a)^{3/2} \simeq \gamma N^{3/2} \Rightarrow \mathbb{CP}_{1,1,1,6,9}$ :  $\hat{\nu} \sim 4$ .

$\Rightarrow$  **Engineer 4-fold more suitable for Kähler uplifting:**

- ▶ How much  $N$  can be realized in F-theory on a compact 4-fold?
- ▶ How much survives in the Sen limit?
- ▶ Rigidity of divisors? [Witten '96]