

Soft Physics Models

$$\mathcal{L} = \bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi} - \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}$$

Peter Skands (CERN)

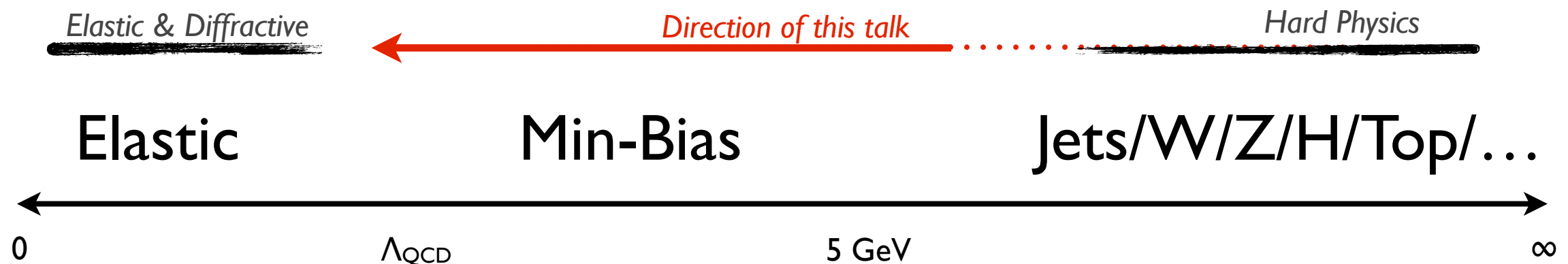
Many plots from mcplots.cern.ch - with A. Karneyeu, D. Konstantinov, S. Prestel, A. Pytel (+ funding from LPCC)

From Partons to Pions

General-Purpose Monte Carlo models

Start from pQCD (*still mostly LO*). Extend towards Infrared.

HERWIG/JIMMY, PYTHIA, SHERPA, EPOS



Elastic & Diffractive
Treated as separate class
Little predictivity

Color Screening
Regularization of pQCD
Hadronization

Unitarity
Showers (ISR+FSR)
Multiple $2 \rightarrow 2$ (MPI)

Hard Process
Perturbative $2 \rightarrow 2$ (ME)
Resonance Decays

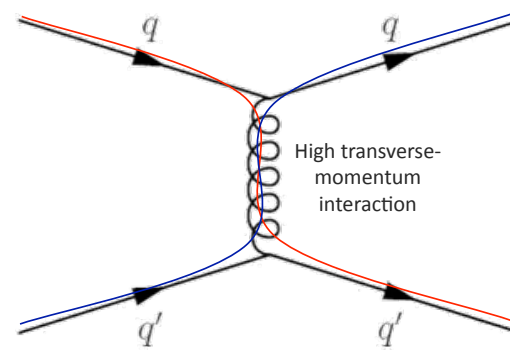
PYTHIA uses **string fragmentation**,
HERWIG, SHERPA use **cluster fragmentation**

(N)LL

(N)LO Matching

(Also possible to start from non-perturbative QCD (via optical theorem) and extend towards UV)
E.g., PHOJET, DPMJET, QGSJET, SIBYLL, ... (But will not cover here)

Factorization

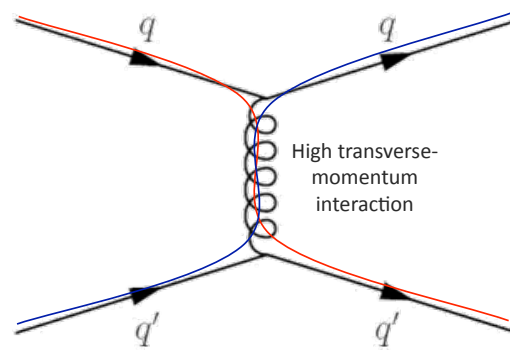


Factorization



Factorization

+ Infrared Safety

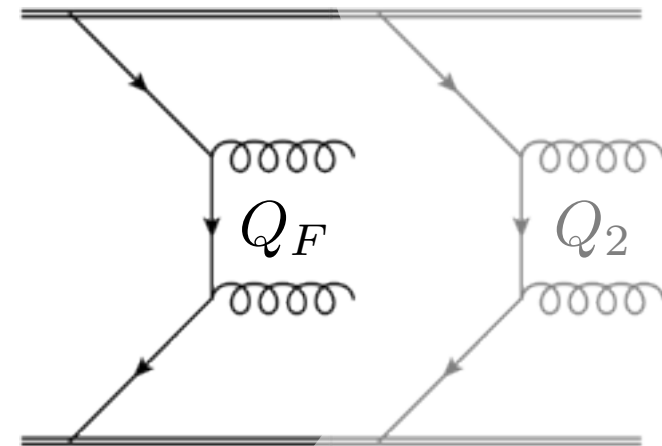


Perturbative Tools

Factorization: Subdivide Calculation

Multiple Parton Interactions go beyond existing theorems → perturbative short-distance physics in Underlying Event

→ Generalize factorization to MPI

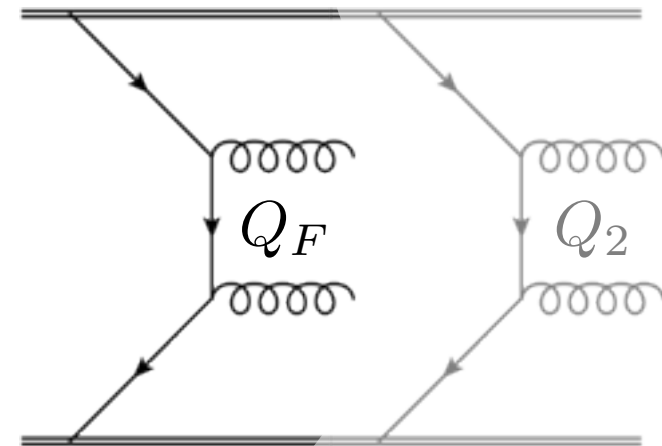


Perturbative Tools

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Infrared* Safety

*Soft and Collinear

$$\text{Corrections} \propto \frac{Q_{\text{IR}}^2}{Q_{\text{UV}}^2}$$

... in minimum-bias, we typically do not have a hard scale ($Q_{\text{UV}} \sim Q_{\text{IR}}$), wherefore all observables depend significantly on IR physics ...

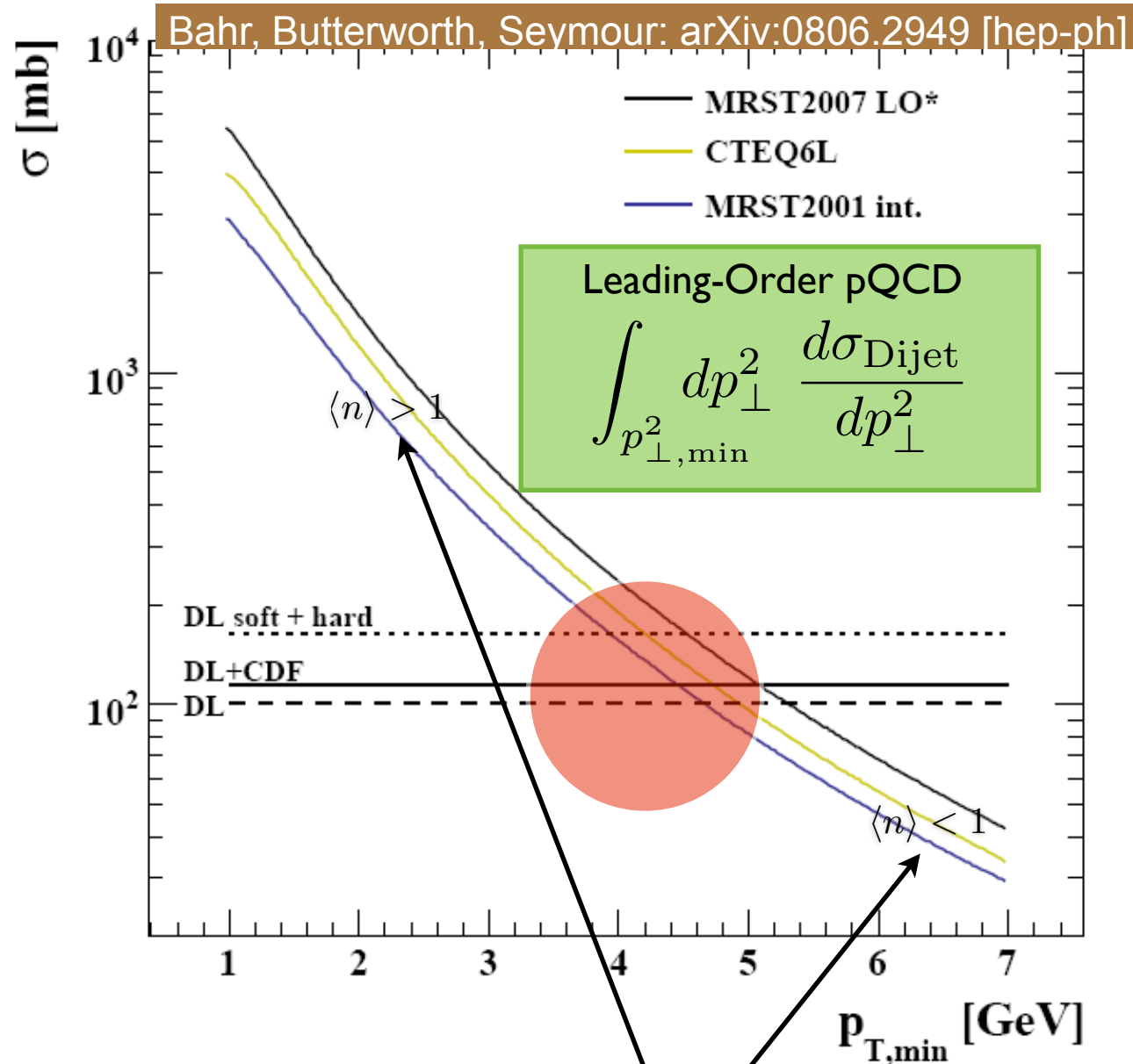
Combining IR safe + IR sensitive observables → stereo vision:

IR safe → overall energy flow/correlations

IR sensitive → spectra and correlations of individual particles/tracks.

Multiple Interactions

= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



Earliest MC model ("old" PYTHIA 6 model)
Sjöstrand, van Zijl PRD36 (1987) 2019

$$d\sigma_{2 \rightarrow 2} \propto \frac{dp_{\perp}^2}{p_{\perp}^4} Q_F \otimes \frac{dp_{\perp}^2}{p_{\perp}^4} Q_2 \dots$$

Lesson from bremsstrahlung in pQCD:
divergences \rightarrow fixed-order breaks down
Perturbation theory still ok, with
resummation (unitarity)

\rightarrow Resum dijets?
Yes \rightarrow MPI!

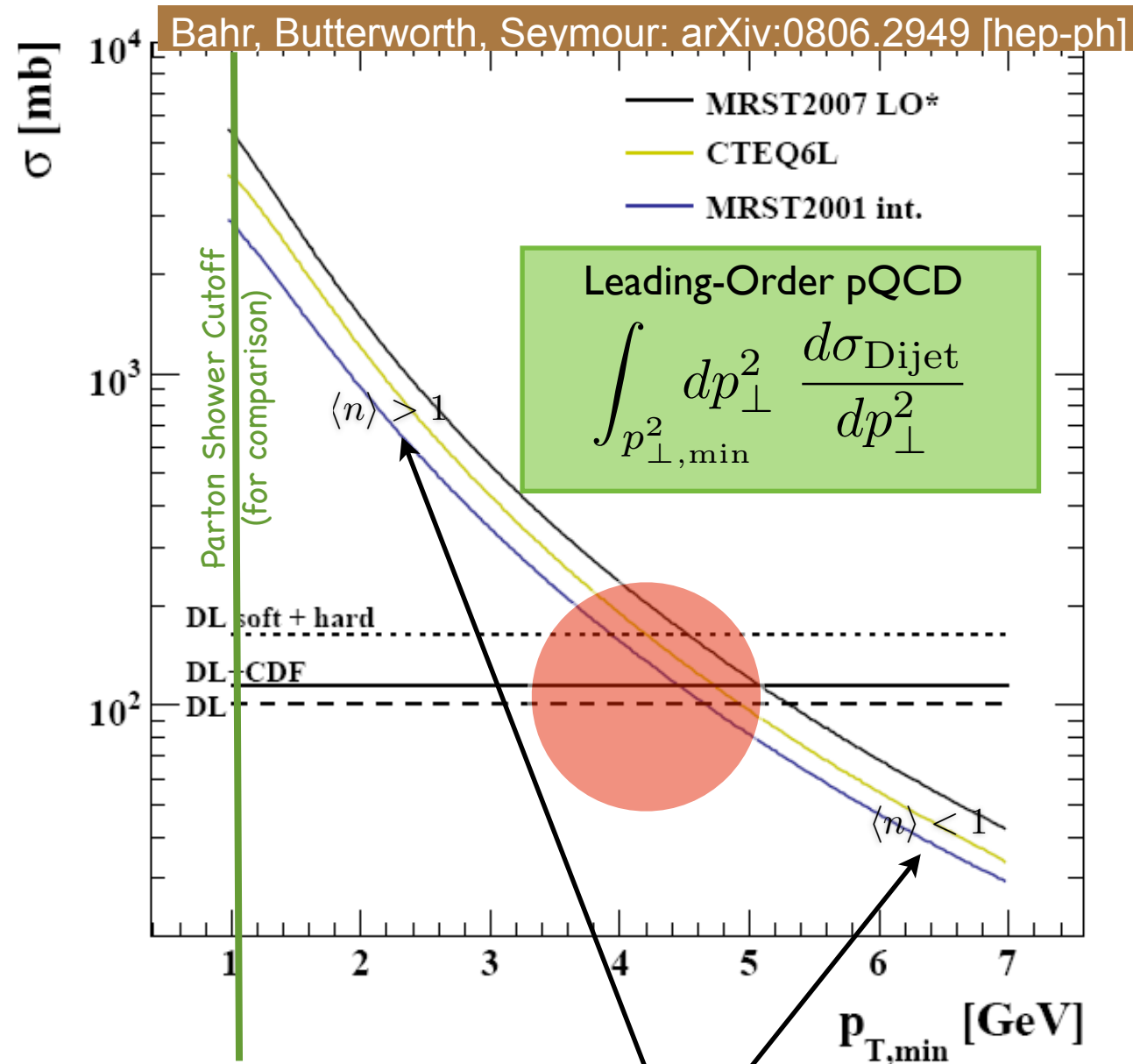
$$\sigma_{2 \rightarrow 2}(p_{\perp,\min}) = \langle n \rangle(p_{\perp,\min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section

Hadron-Hadron Cross Section

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Parton-Parton Cross Section

Hadron-Hadron Cross Section

1: A Simple Model

The minimal model incorporating single-parton factorization, perturbative unitarity, and energy-and-momentum conservation

$$\sigma_{2 \rightarrow 2}(p_{\perp \min}) = \underbrace{\langle n \rangle(p_{\perp \min})}_{\text{Parton-Parton Cross Section}} \underbrace{\sigma_{\text{tot}}}_{\text{Hadron-Hadron Cross Section}}$$

1. Choose $p_{T\min}$ cutoff

= main tuning parameter

2. Interpret $\langle n \rangle(p_{T\min})$ as mean of Poisson distribution

Equivalent to assuming all parton-parton interactions equivalent and independent ~ each take an instantaneous “snapshot” of the proton

3. Generate n parton-parton interactions (pQCD $2 \rightarrow 2$)

Veto if total beam momentum exceeded \rightarrow overall (E,p) cons

4. Add impact-parameter dependence $\rightarrow \langle n \rangle = \langle n \rangle(b)$ Ordinary CTEQ, MSTW, NNPDF, ...

Assume factorization of transverse and longitudinal d.o.f., \rightarrow PDFs : $f(x,b) = f(x)g(b)$

b distribution \propto EM form factor \rightarrow **JIMMY model** Butterworth, Forshaw, Seymour Z.Phys. C72 (1996) 637

Constant of proportionality = second main tuning parameter

5. Add separate class of “soft” (zero- p_T) interactions representing

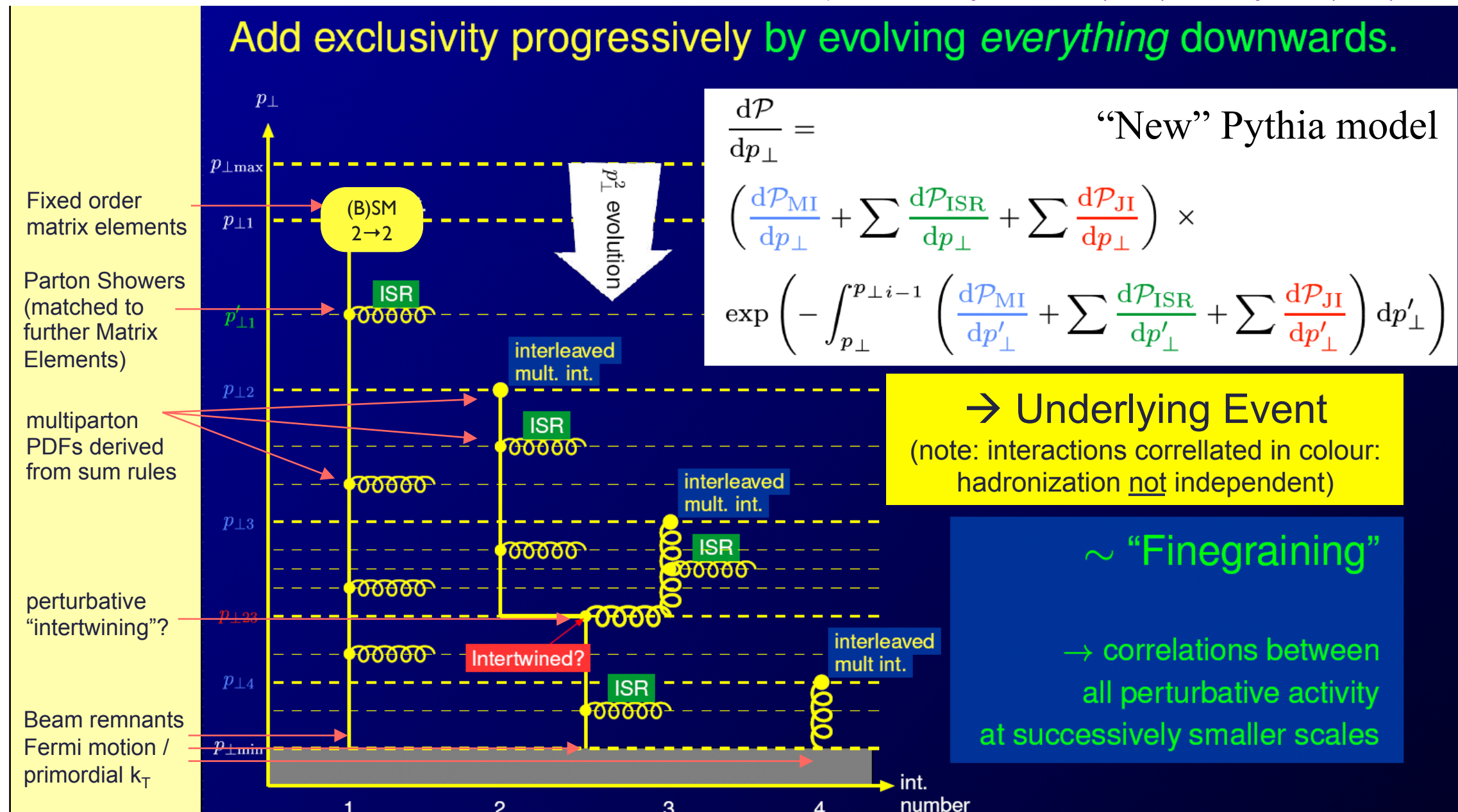
interactions with $p_T < p_{T\min}$ and require $\sigma_{\text{soft}} + \sigma_{\text{hard}} = \sigma_{\text{tot}}$

\rightarrow **Herwig++ model** Bähr et al, arXiv:0905.4671

2: Interleaved Evolution

Equivalent to 1 at lowest order, but can include correlated evolution + generalizes “perturbative resolution” to higher twist

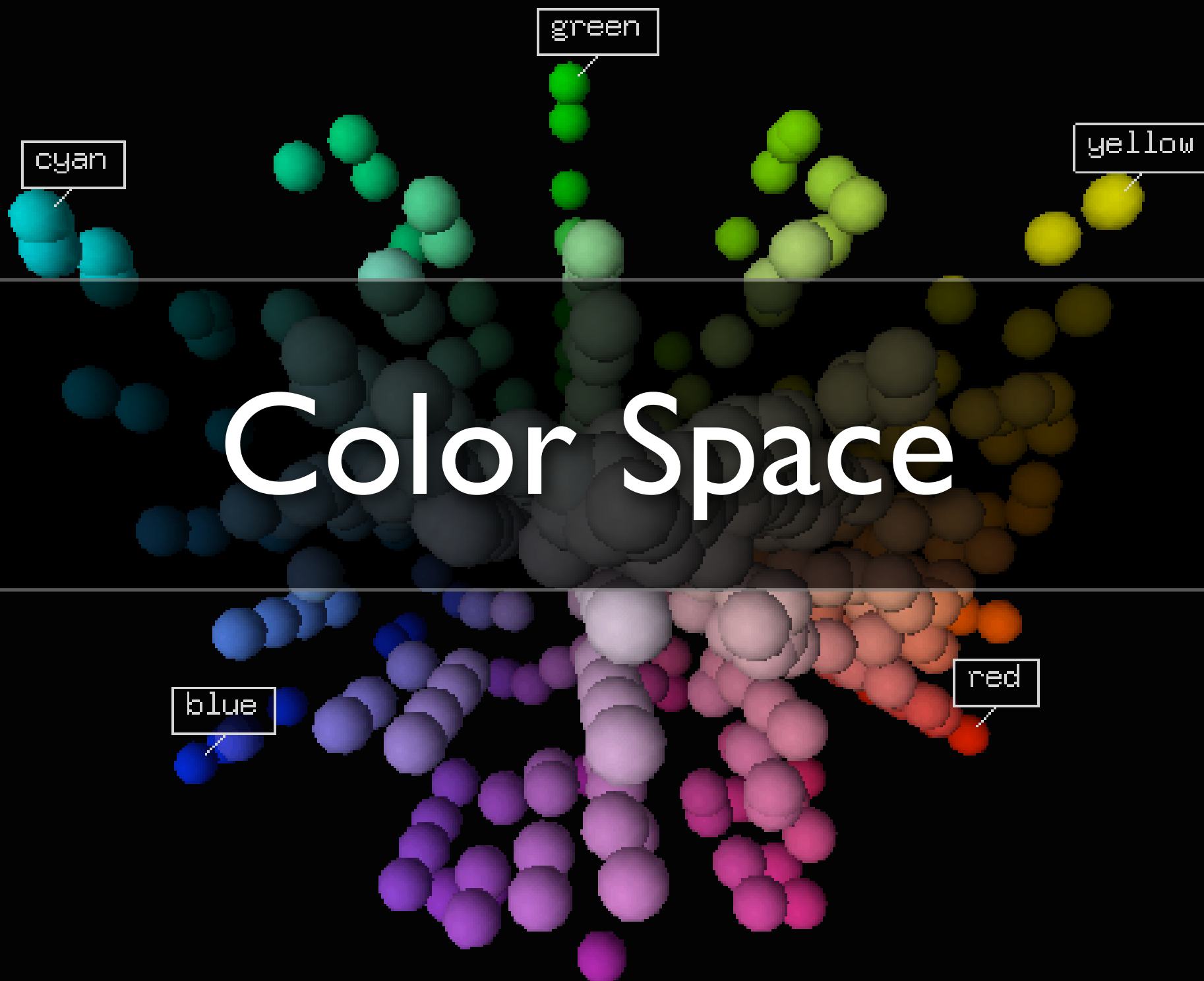
Sjöstrand, P.S., JHEP 0403 (2004) 053; EPJ C39 (2005) 129



+ (x,b) correlations Corke, Sjöstrand JHEP 1105 (2011) 009

+ KMR model (see talk by K. Zapp)

Color Space

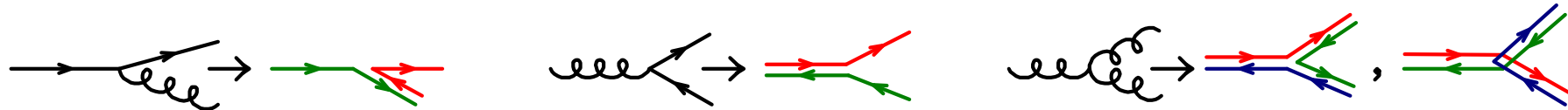


Color Flow in MC Models

“Planar Limit”

Equivalent to $N_C \rightarrow \infty$: no color interference*

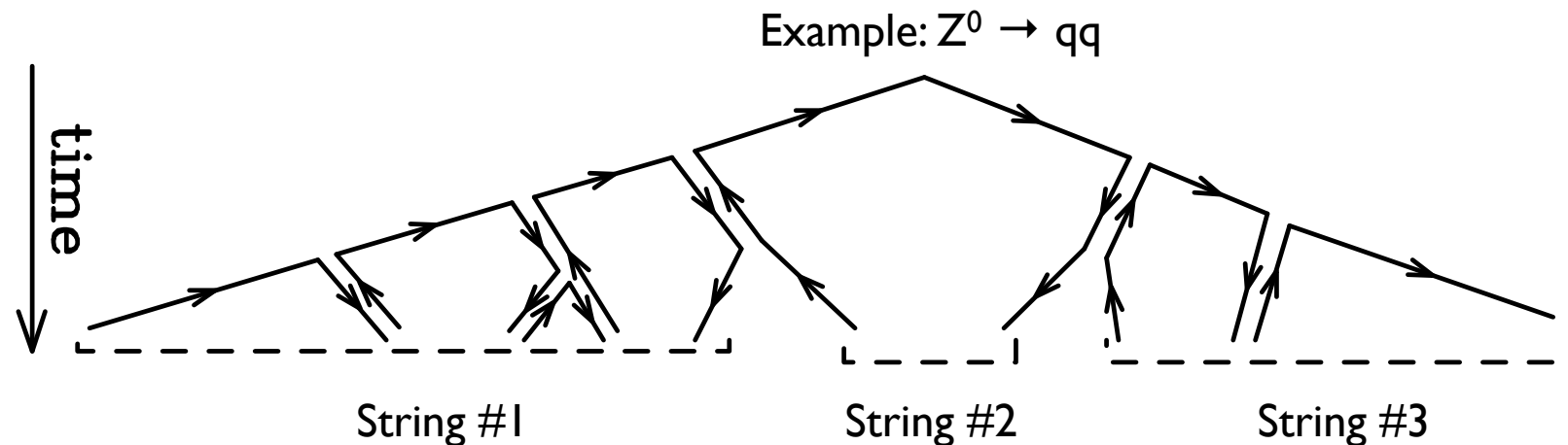
Rules for color flow:



*) except as reflected by the implementation of QCD coherence effects in the Monte Carlos via angular or dipole ordering

For an entire cascade:

Illustrations from: P.Nason & P.S.,
PDG Review on MC Event Generators, 2012



Coherence of pQCD cascades \rightarrow not much “overlap” between strings
 \rightarrow planar approx pretty good

LEP measurements in WW confirm this (at least to order 10% $\sim 1/N_C^2$)

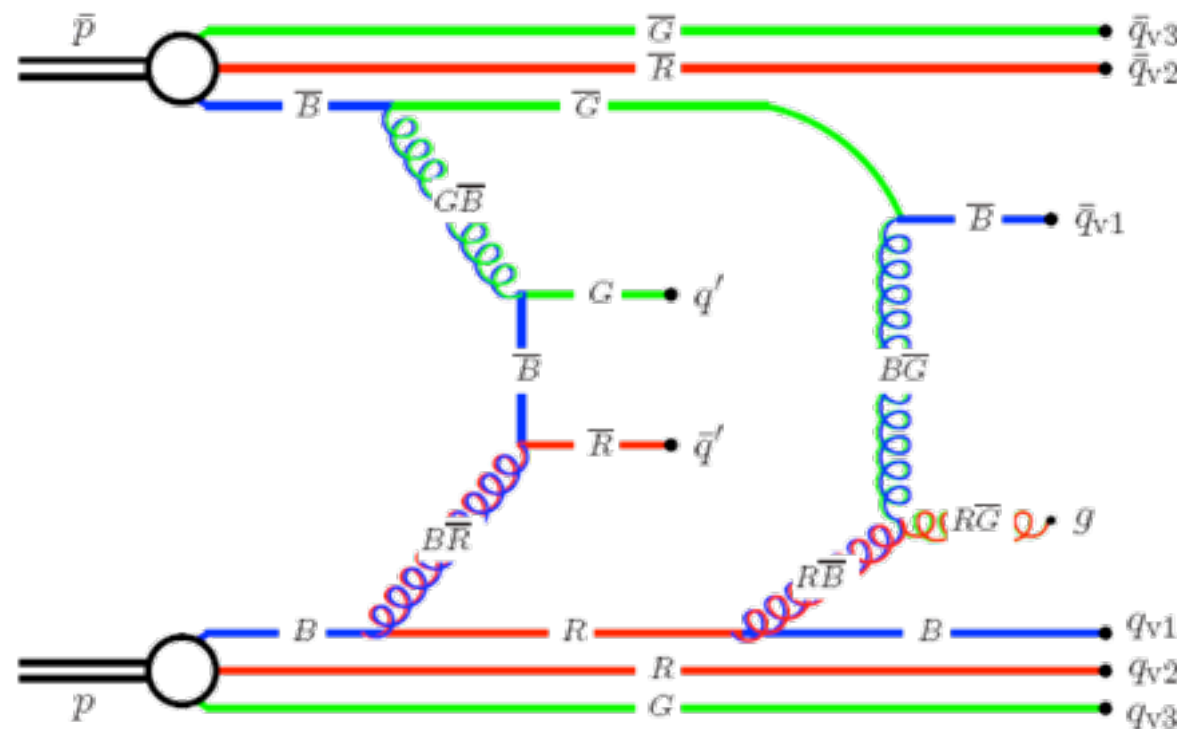
Color Connections

Each MPI (or cut Pomeron) exchanges color between the beams

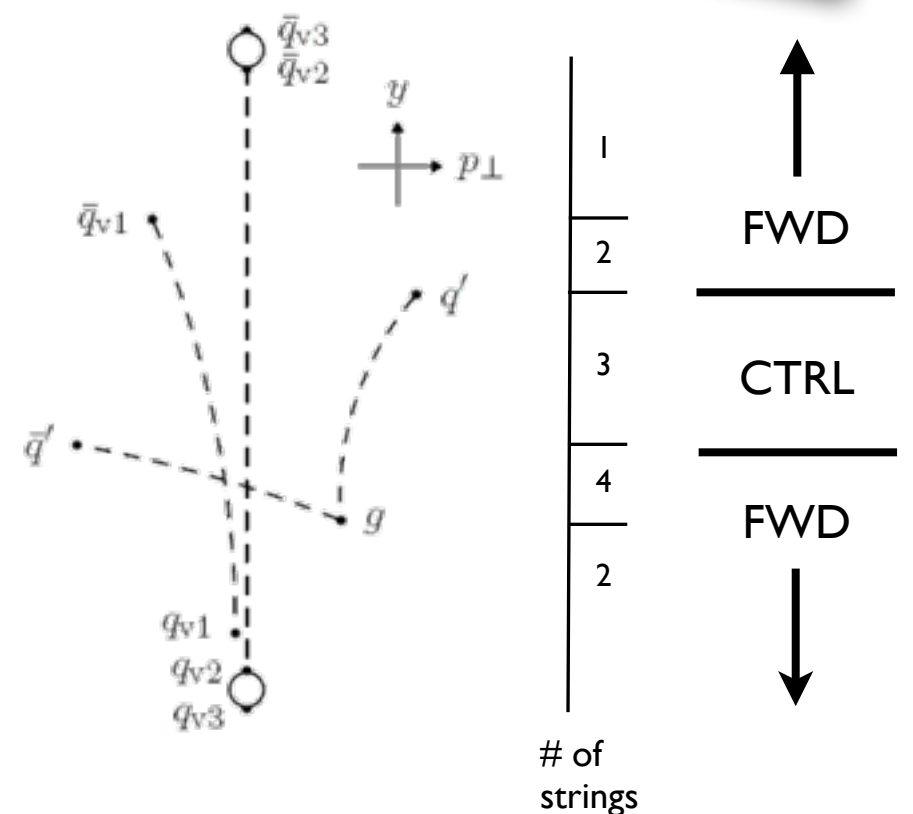
► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models make different ansätze



Sjöstrand & PS, JHEP 03(2004)053



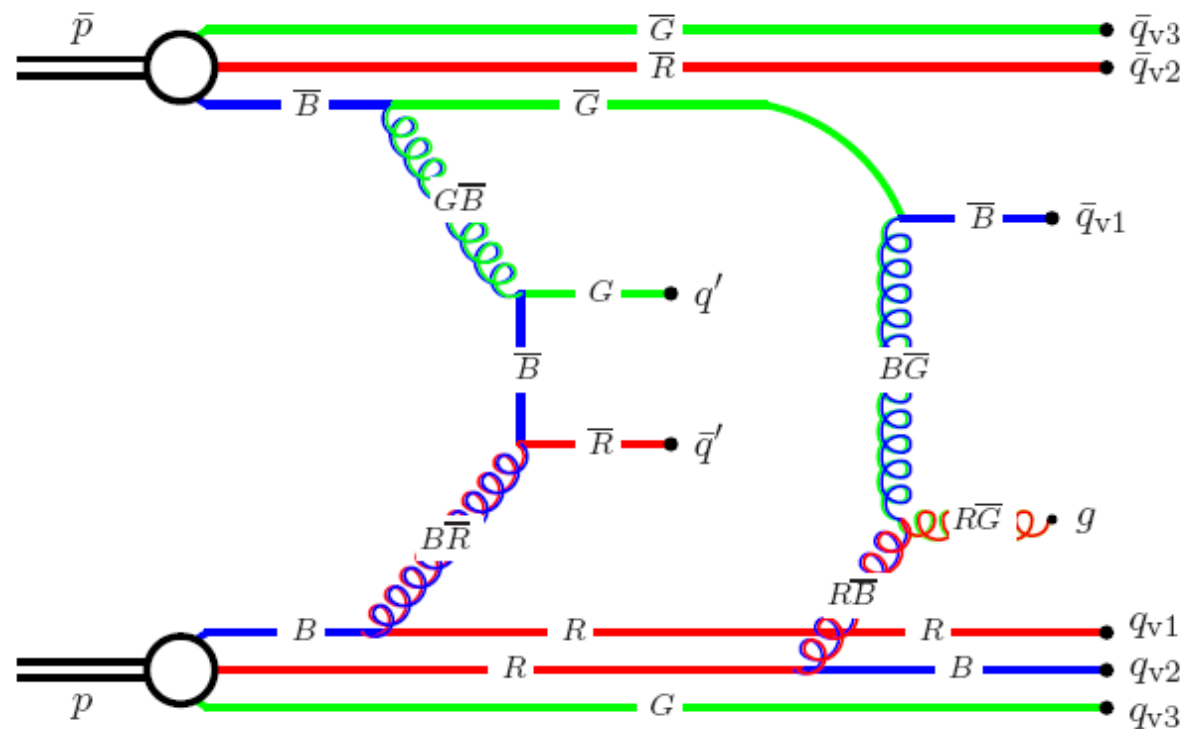
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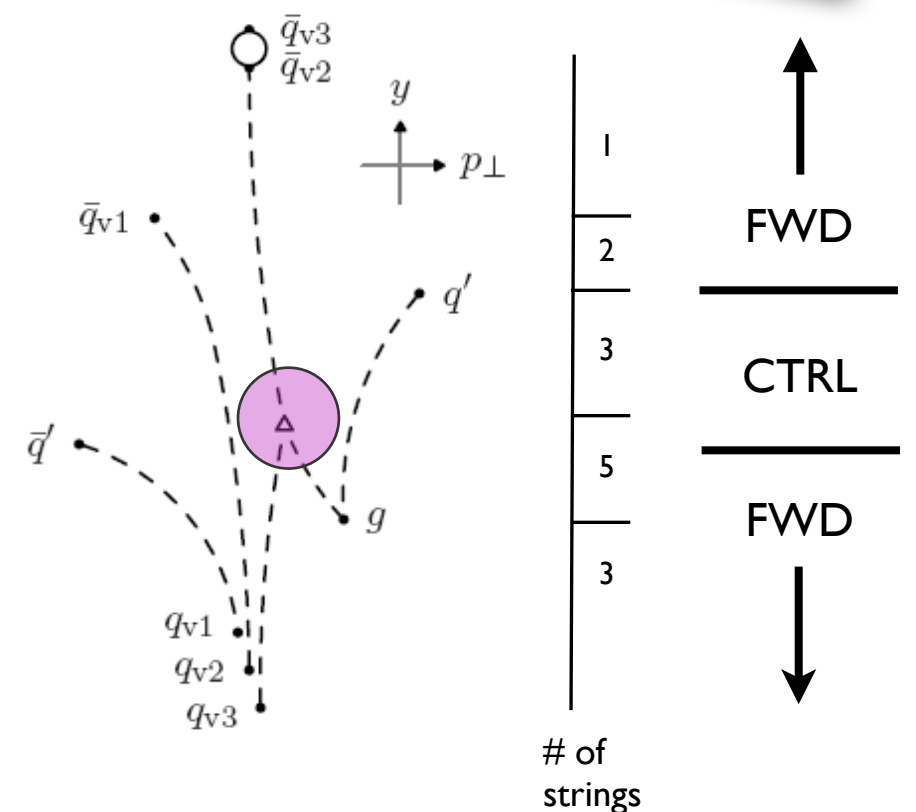
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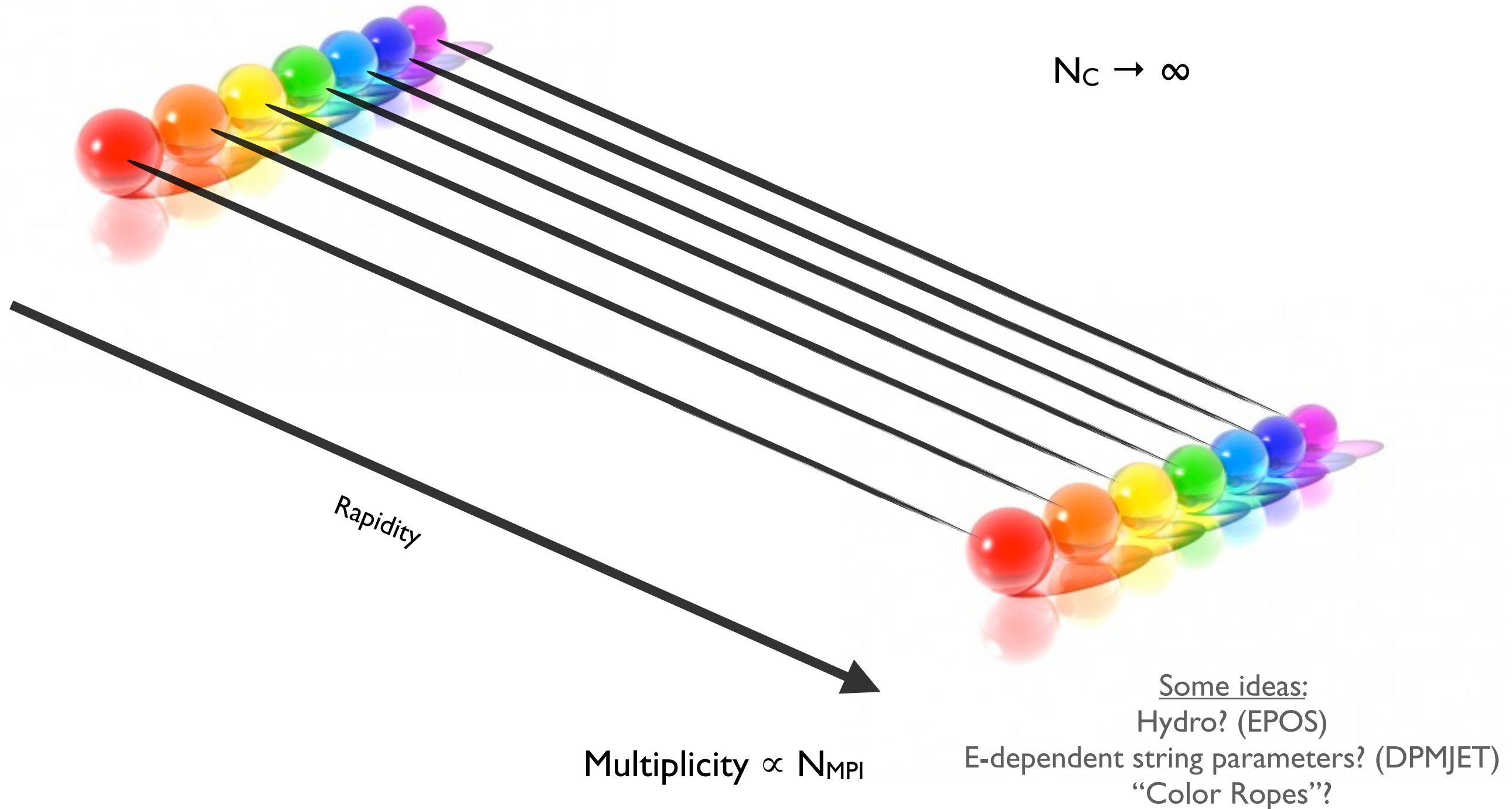
The figure consists of two Feynman diagrams, (a) and (b), illustrating the production of a quarkonium state. Both diagrams show an incoming proton (p) and an incoming antiproton (p-bar) interacting at a vertex to produce a quarkonium state (q-q-bar) and a gluon (g). In diagram (a), the quarkonium state is produced via a gluon exchange (G) between the quark and antiquark lines. In diagram (b), the quarkonium state is produced via a quark exchange (Q) between the quark and antiquark lines. The diagrams are labeled with various quark and gluon lines and vertices.



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Color Connections

Better theory models needed



Color Reconnections?

E.g.,

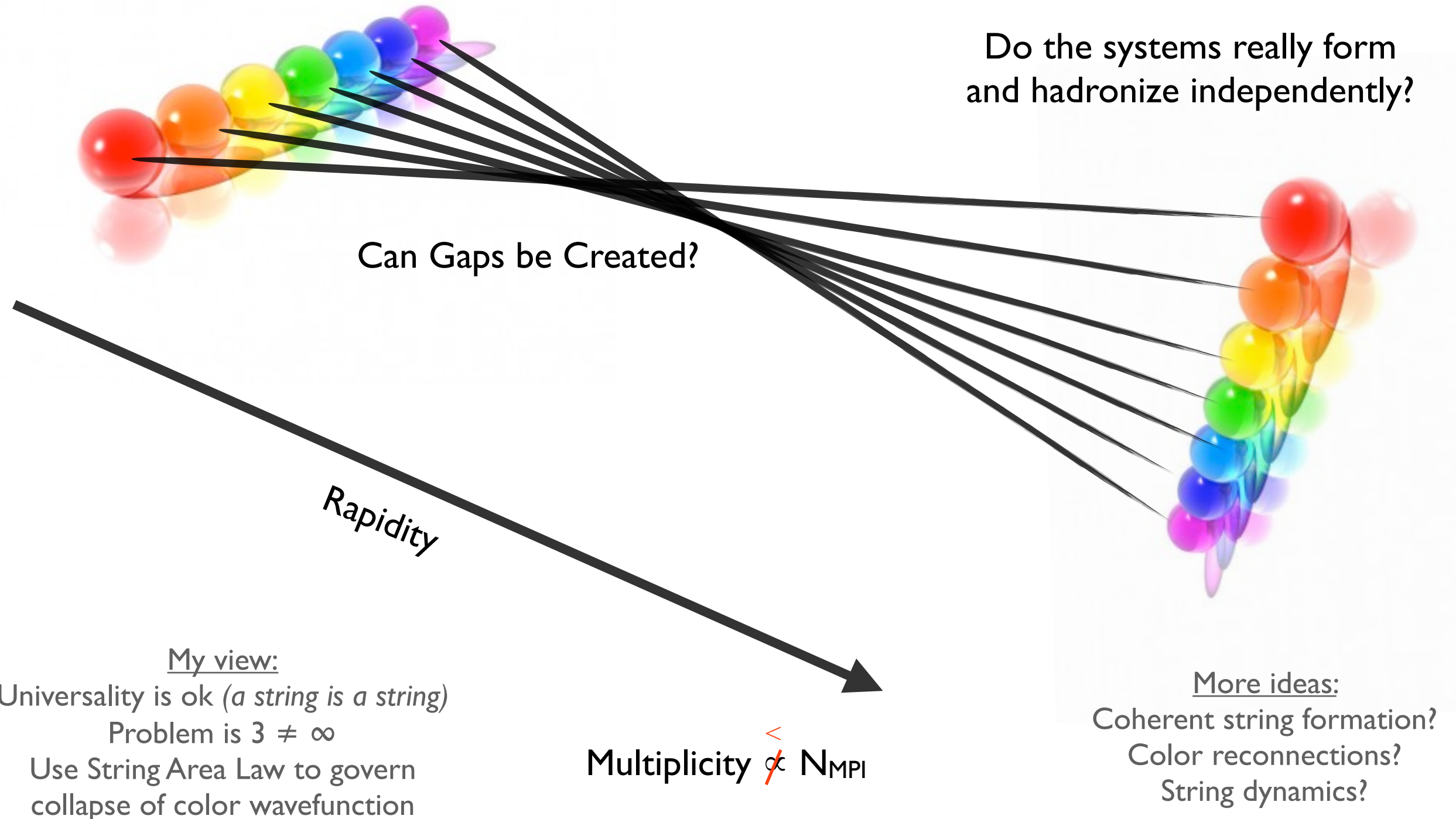
...

Generalized Area Law (Rathsman: Phys. Lett. B452 (1999) 364)

Color Annealing (P.S., Wicke: Eur. Phys. J. C52 (2007) 133)

...

Better theory models needed



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Better theory models needed

Do the systems really form
and hadronize independently?

Can Gaps be Created?

Higgs \rightarrow bb

Should escape (low $m_H \rightarrow$ small Γ), but at
least my CR models don't yet respect that
Watch out for spurious effects

Rapidity

My view:

Universality is ok (*a string is a string*)

Problem is $3 \neq \infty$

Use String Area Law to govern
collapse of color wavefunction

Multiplicity $< N_{MPI}$

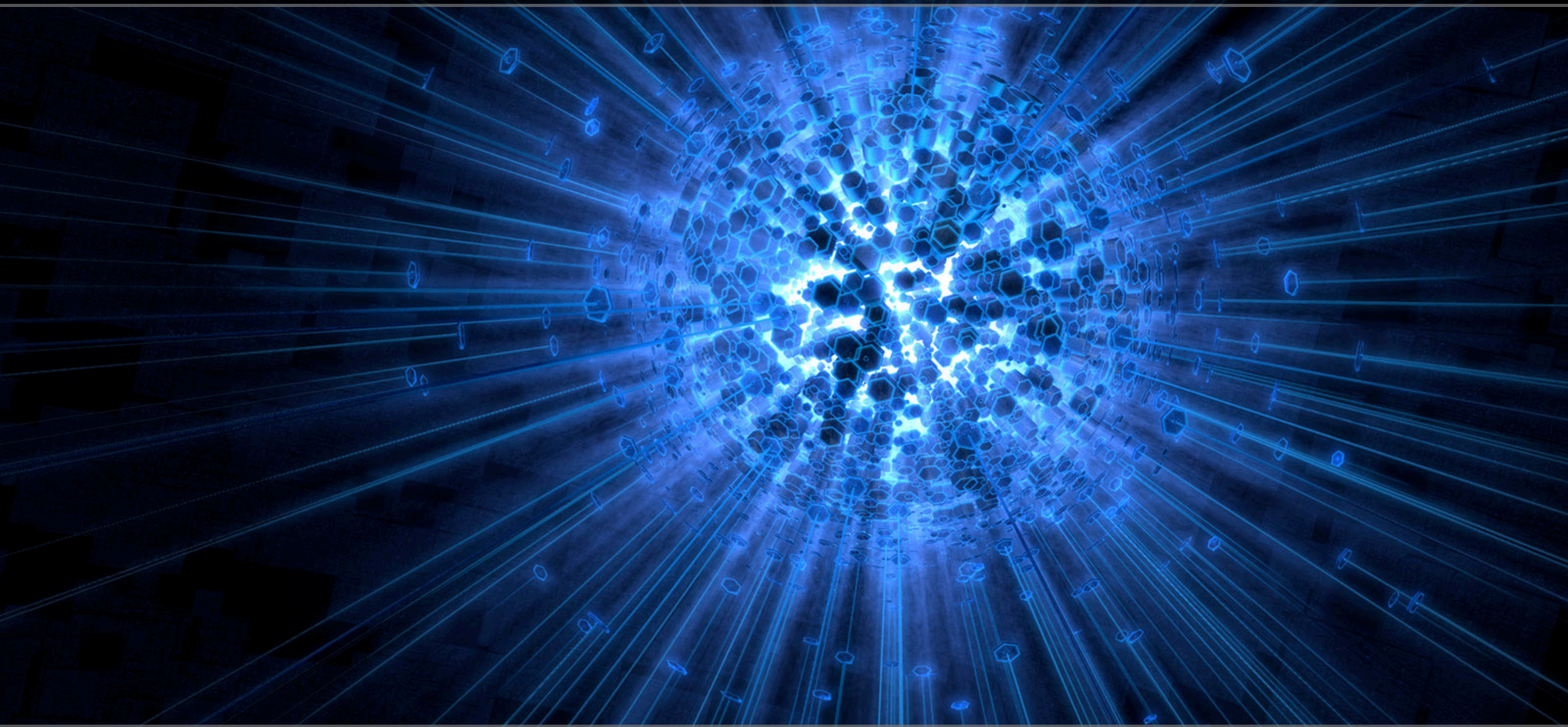
More ideas:

Coherent string formation?

Color reconnections?

String dynamics?

Data



Soft Physics Models and LHC Data

Data



<http://lhcatome2.cern.ch/>

Apples to Apples

$\sigma_{\text{tot}} \approx$

EXPERIMENT

THEORY MODELS

ELASTIC

$pp \rightarrow pp$

QED+QCD

\sim (*QED = ∞)

SINGLE DIFFRACTION

$pp \rightarrow p + \text{gap} + X$

Gap = observable

\neq

Small gaps suppressed but not zero

DOUBLE DIFFRACTION

$pp \rightarrow X + \text{gap} + X$

Gap = observable

\neq

Small gaps suppressed but not zero

INELASTIC NON-DIFFRACTIVE

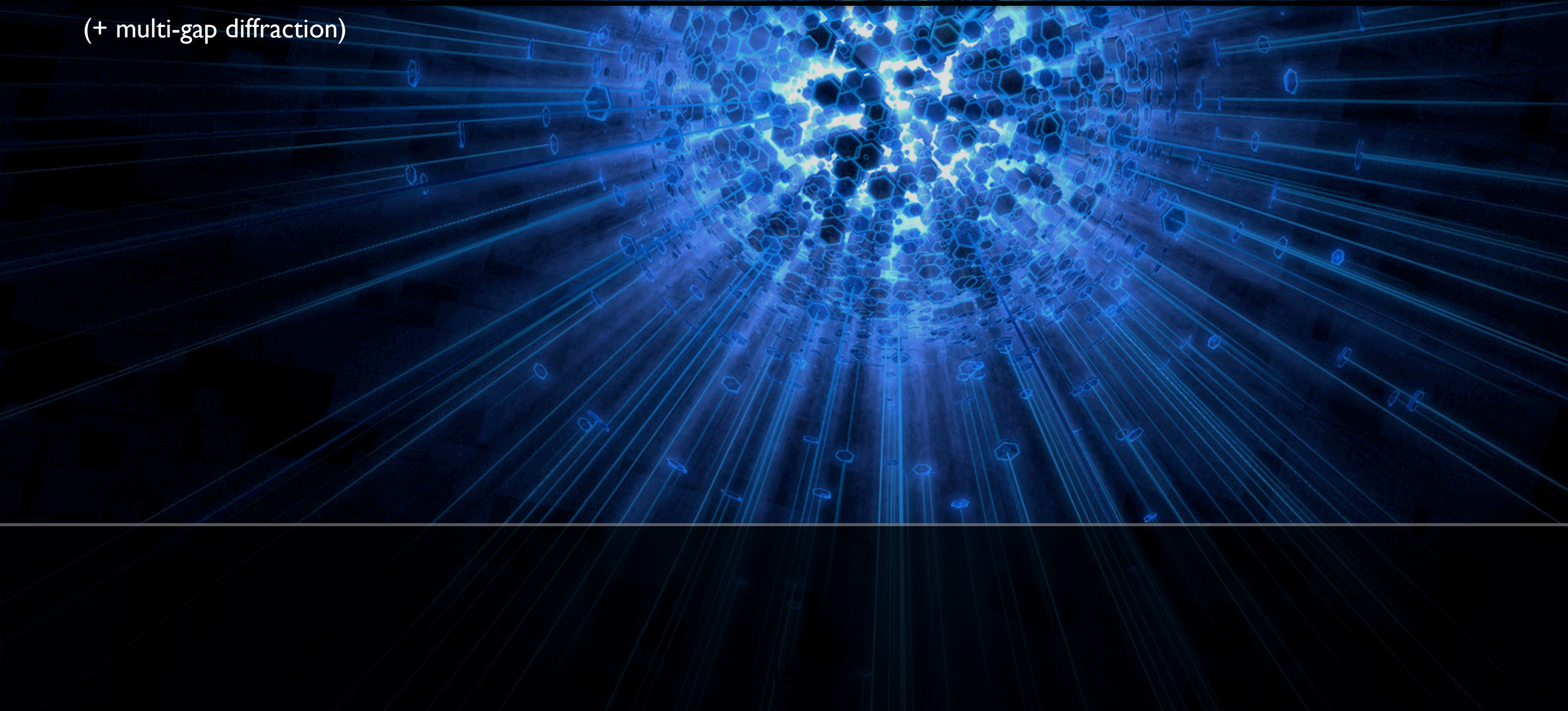
$pp \rightarrow X$ (no gap)

Gap = observable

\neq

Large gaps suppressed but not zero

(+ multi-gap diffraction)



Apples to Apples

$\sigma_{\text{tot}} \approx$

EXPERIMENT

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Theory

Feedback Loop

Experiment

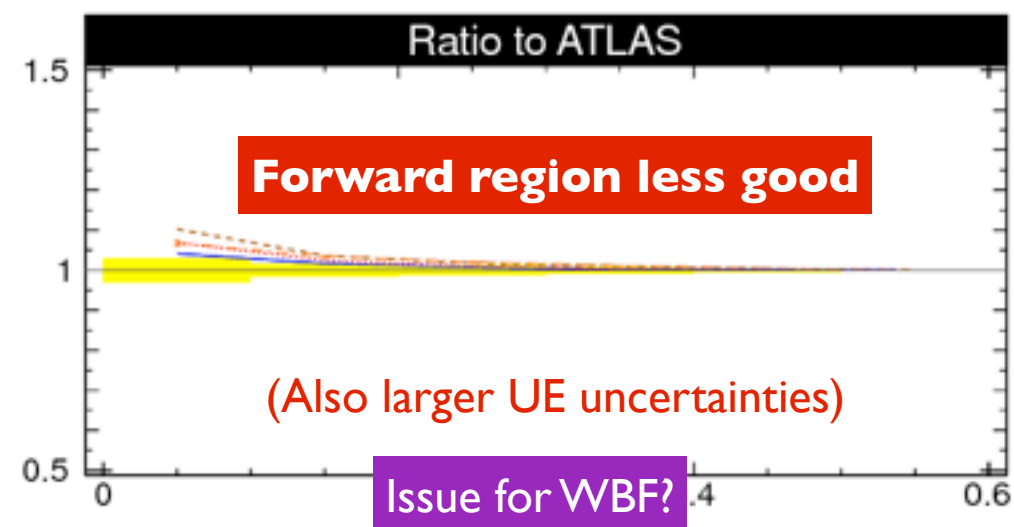
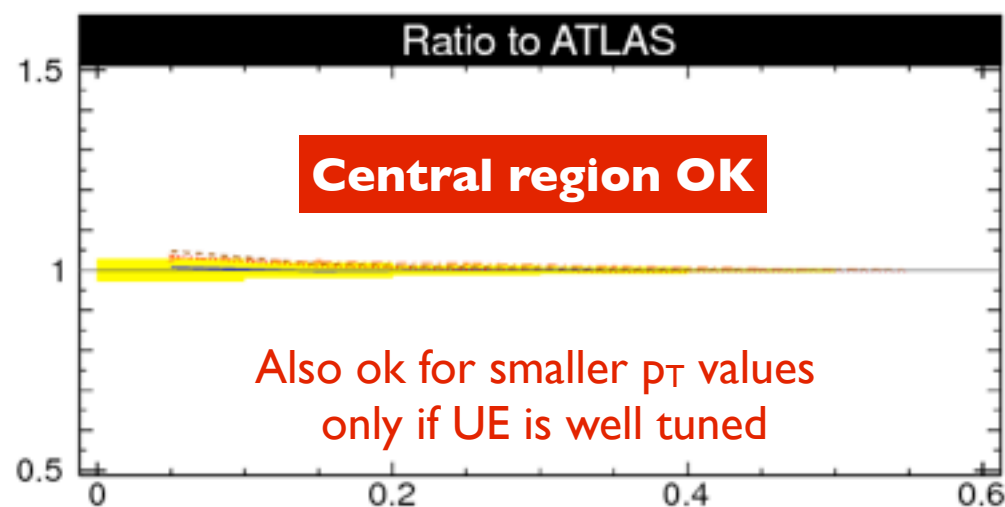
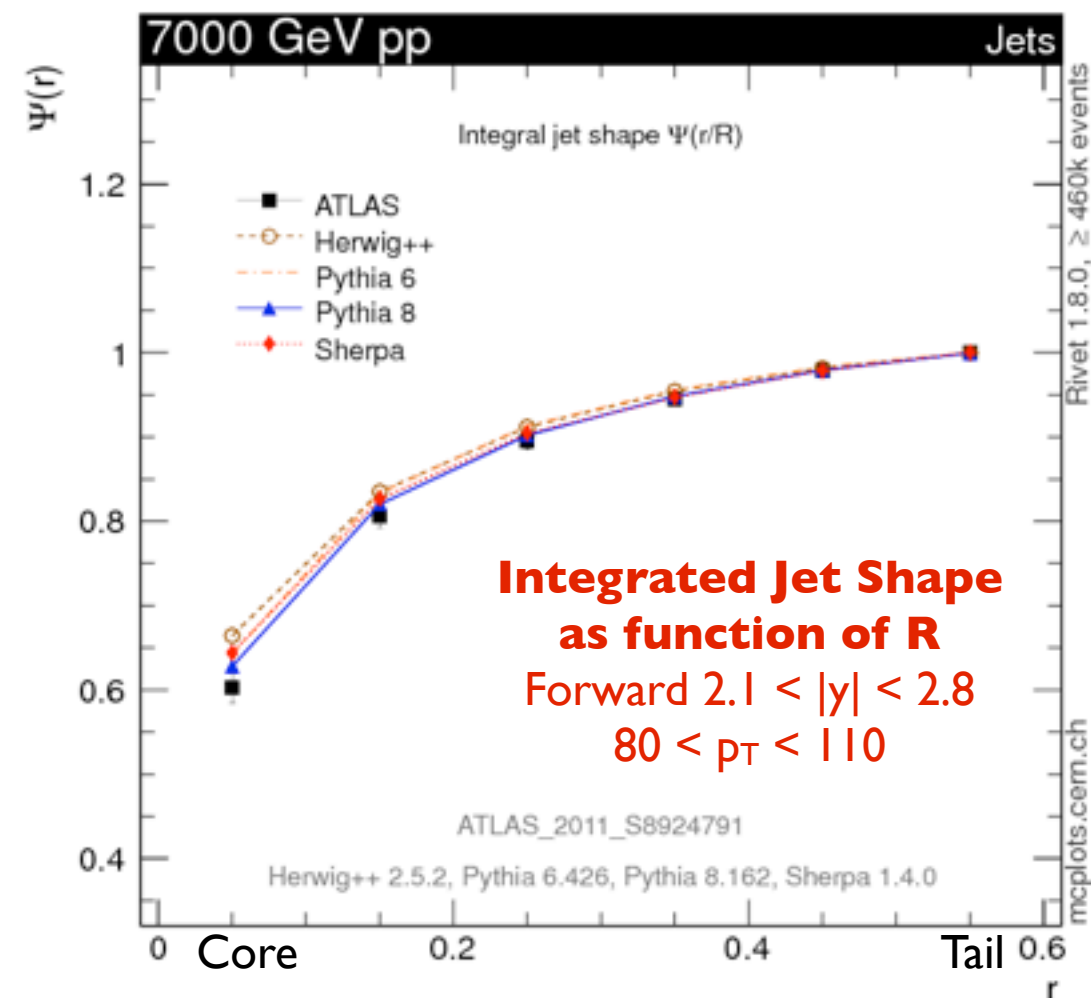
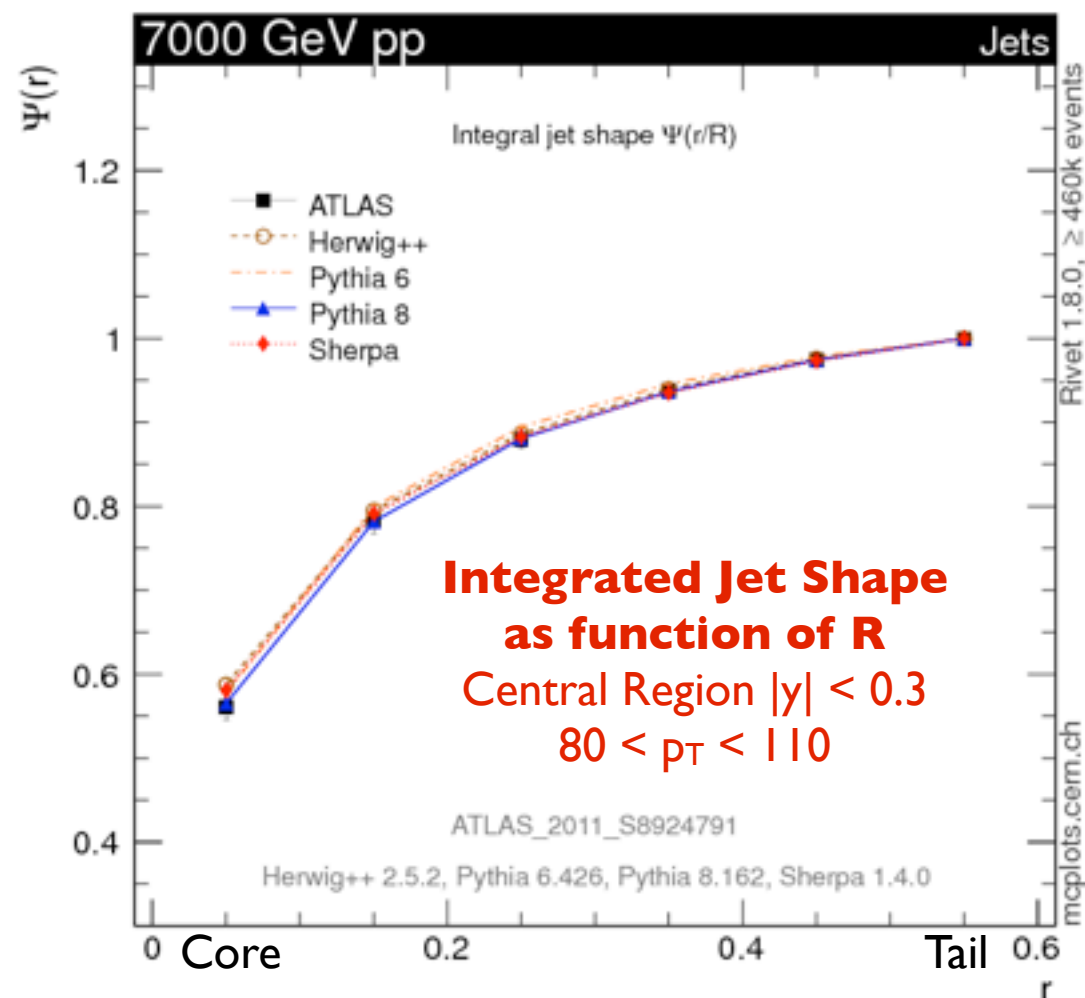
Amplitudes
Monte Carlo
Parton Showers
Multiple Interactions
Strings
Diffraction
Collective Effects
Hadron Decays
...

Hits
Trigger
B-Field
GEANT
0100110
Acceptance
Cuts
....

Theory worked out to
Hadron Level
with acceptance cuts
(\sim detector-independent)

Measurements corrected to
Hadron Level
with acceptance cuts
(\sim model-independent)

FSR: Jet Shapes

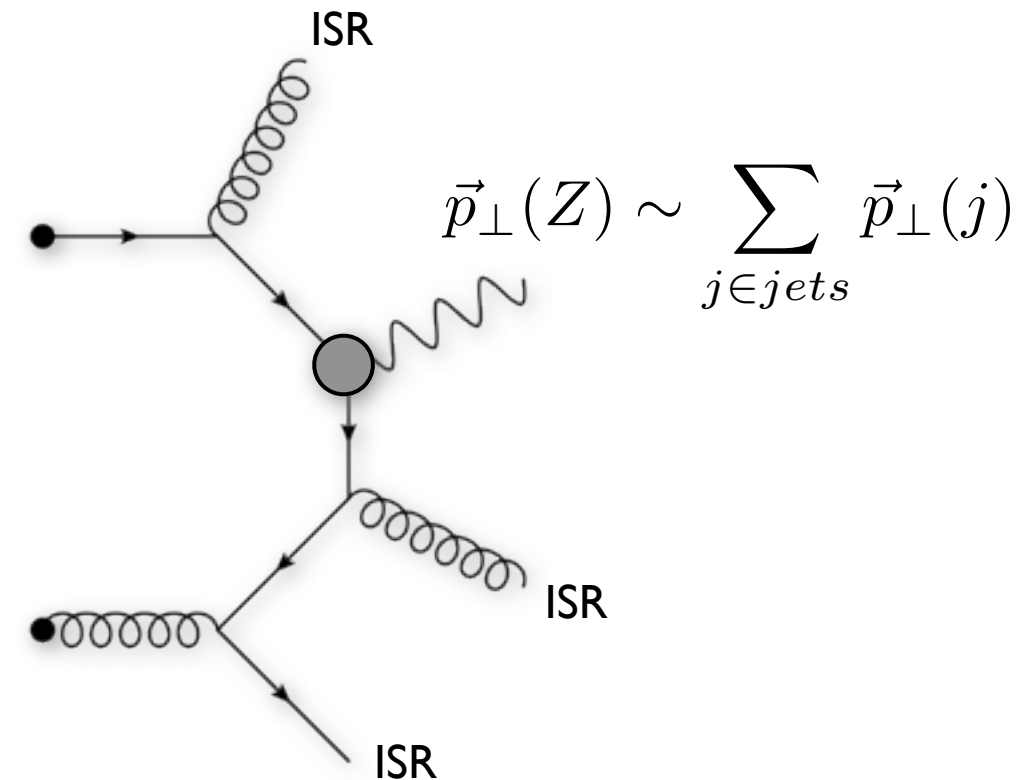
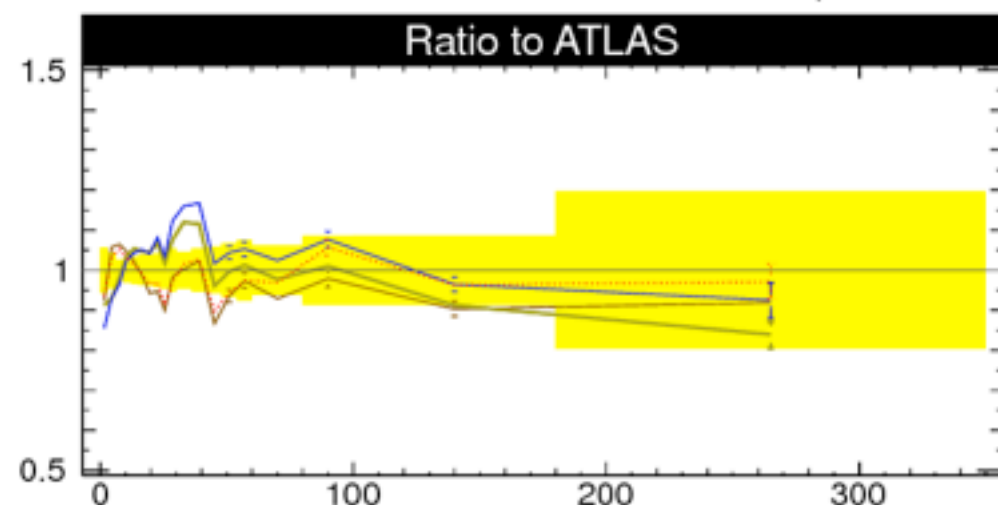
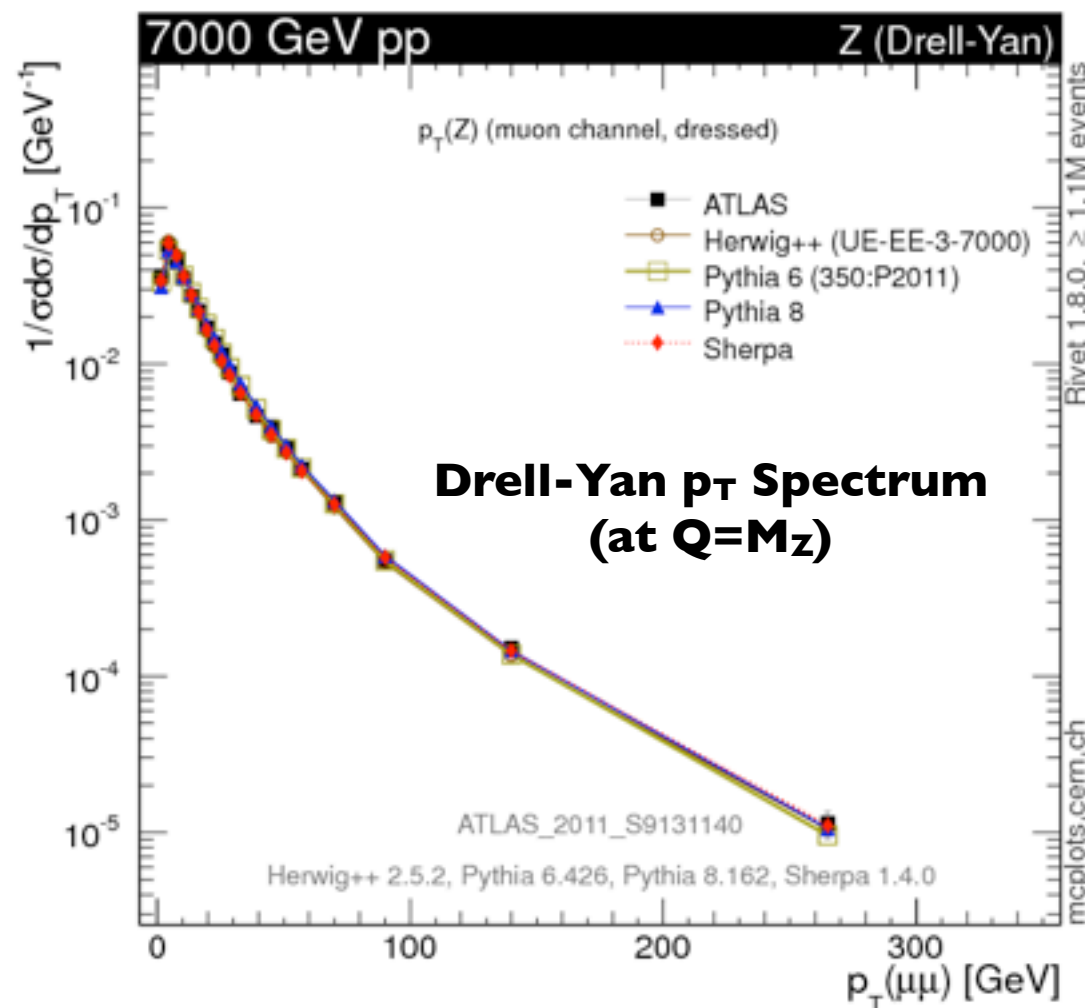


ISR*: Drell-Yan p_T

ATLAS: arXiv:1107.2381

CMS: arXiv:1110.4973

*From Quarks, at $Q=M_Z$



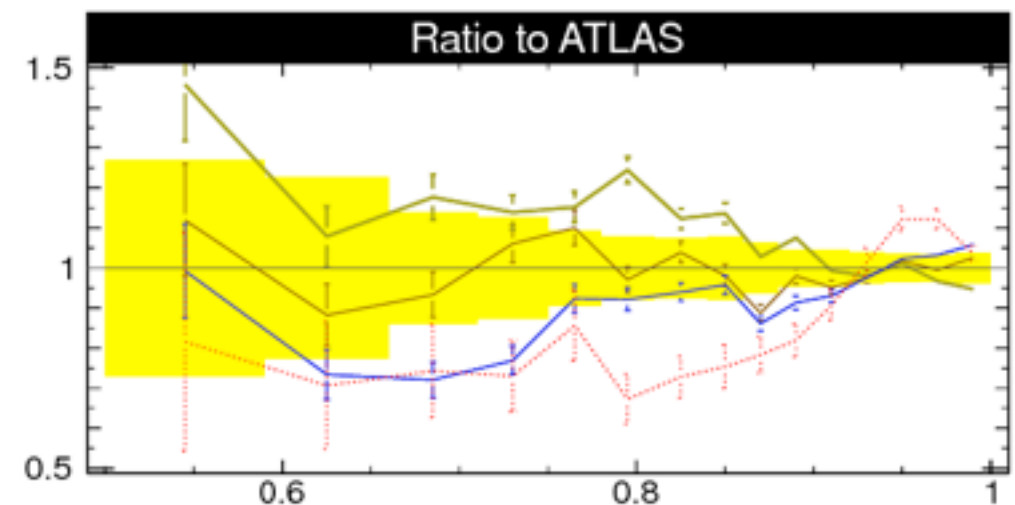
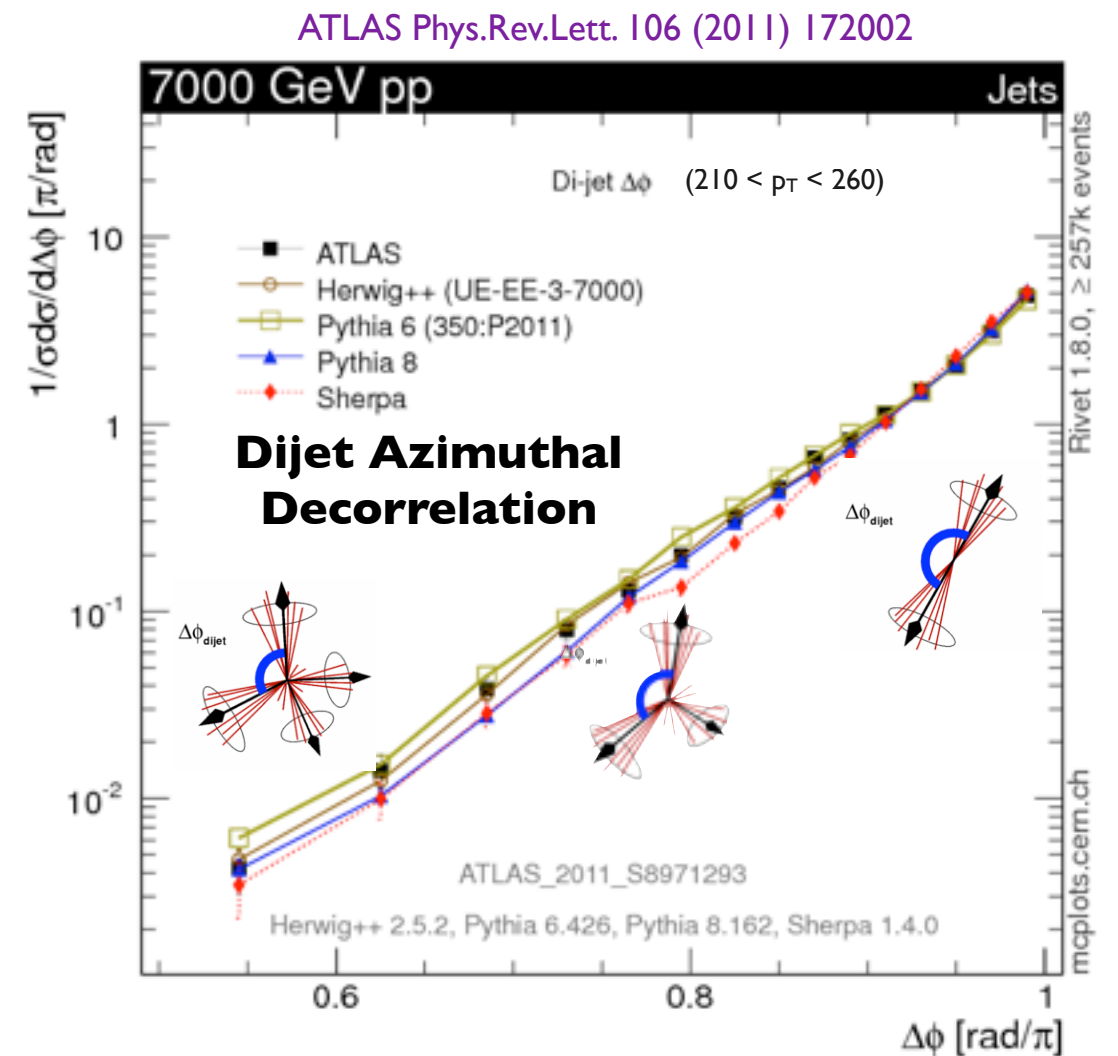
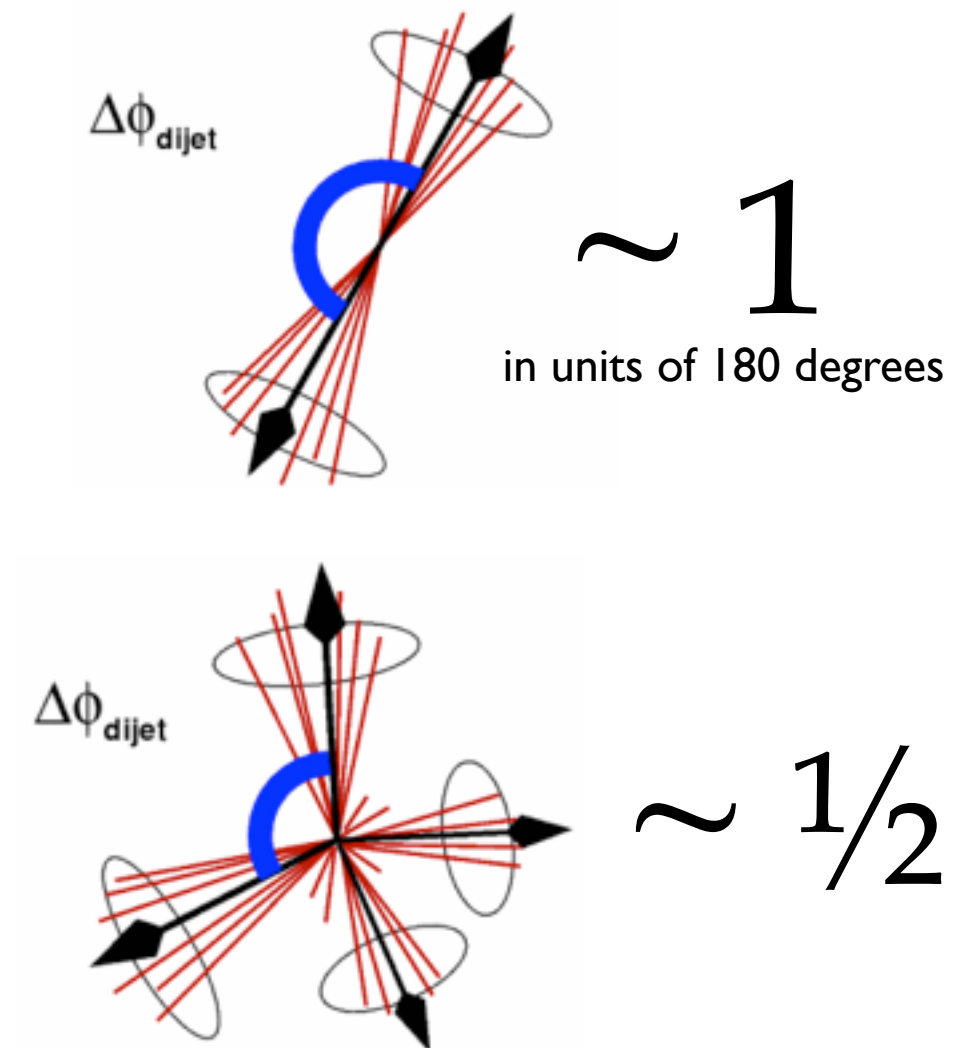
Particularly sensitive to

1. α_s renormalization scale choice
2. Recoil strategy (color dipoles vs global vs ...)
3. FSR off ISR (ISR jet broadening)

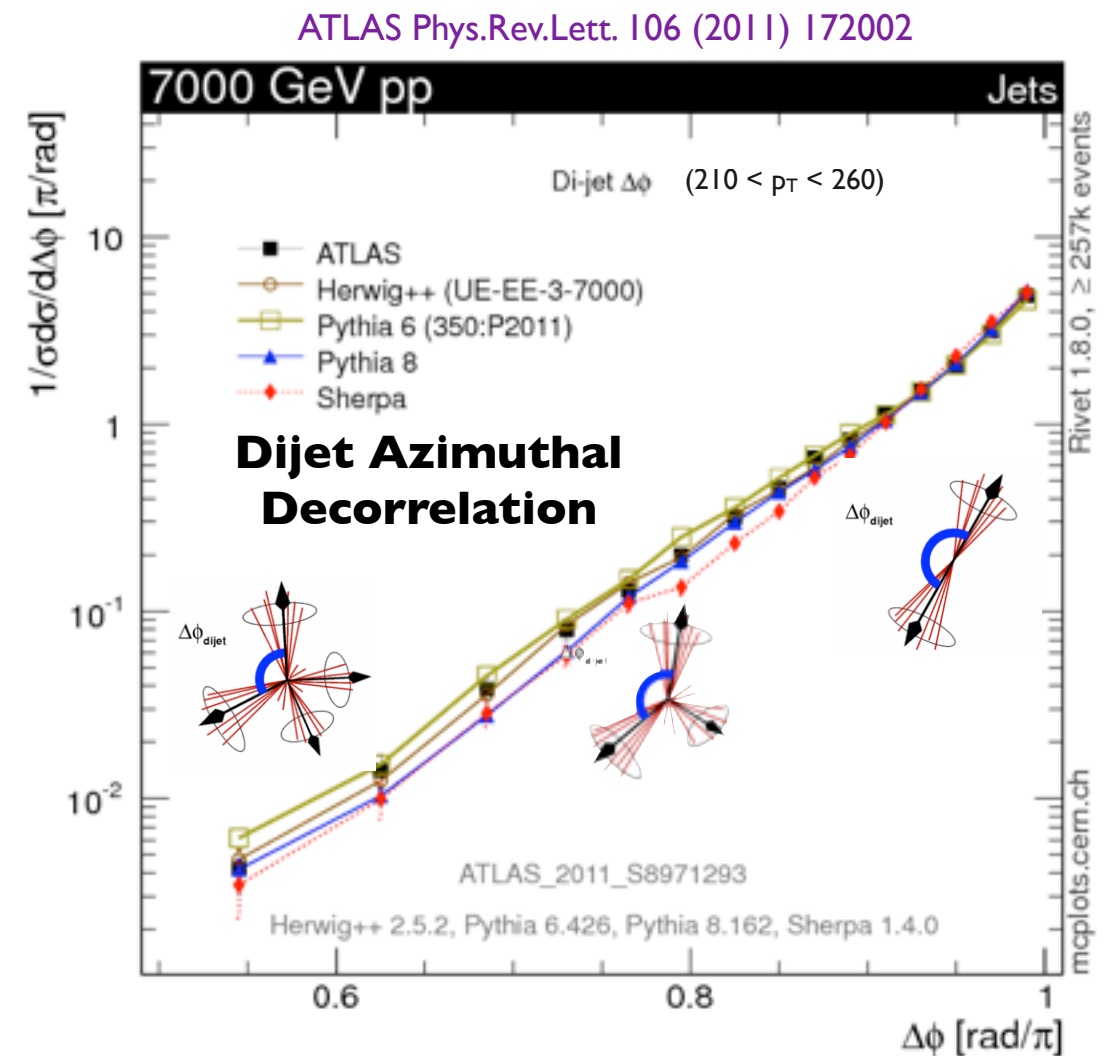
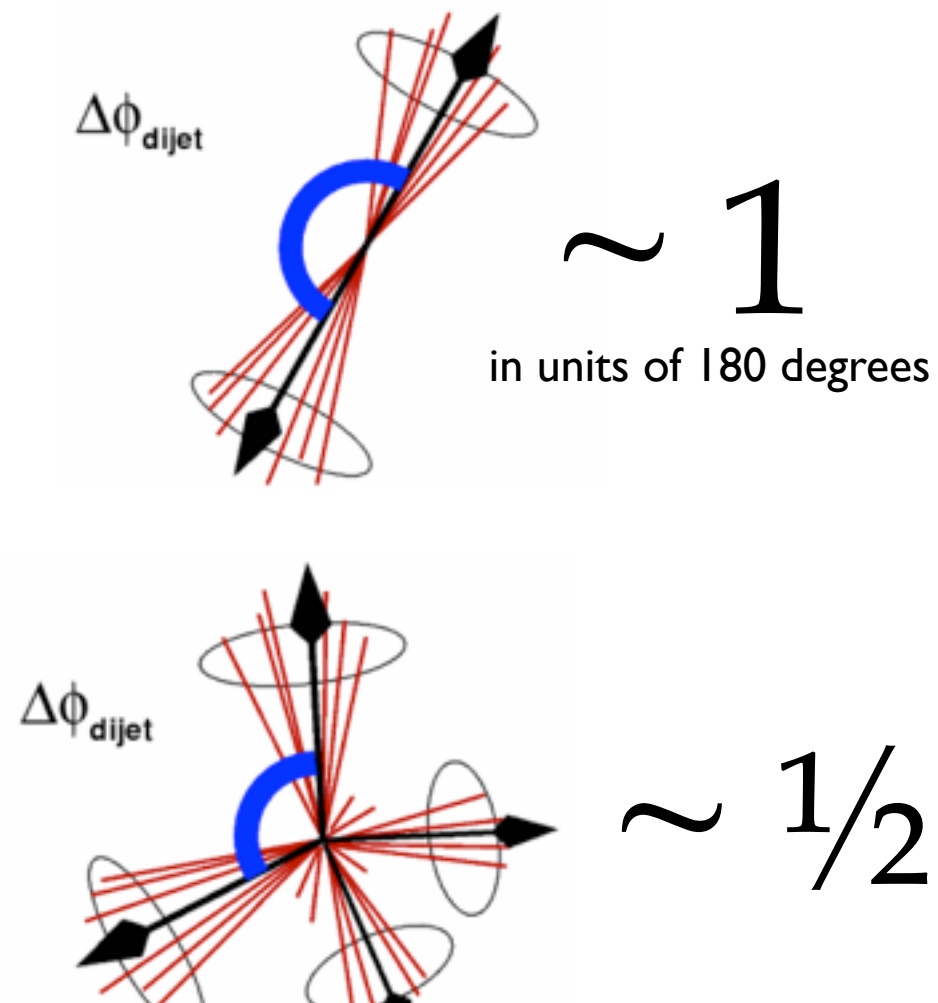
Non-trivial result that modern GPMC shower models all reproduce it ~ correctly

Note: old PYTHIA 6 model (Tune A) did not give correct distribution, except with extreme μ_R choice (DW, D6, Pro-Q20)

ISR: Dijet Decorrelation



ISR: Dijet Decorrelation



IR Safe Summary (ISR/FSR):

LO + showers generally in good $O(20\%)$ agreement with LHC (*modulo bad tunes, pathological cases*)

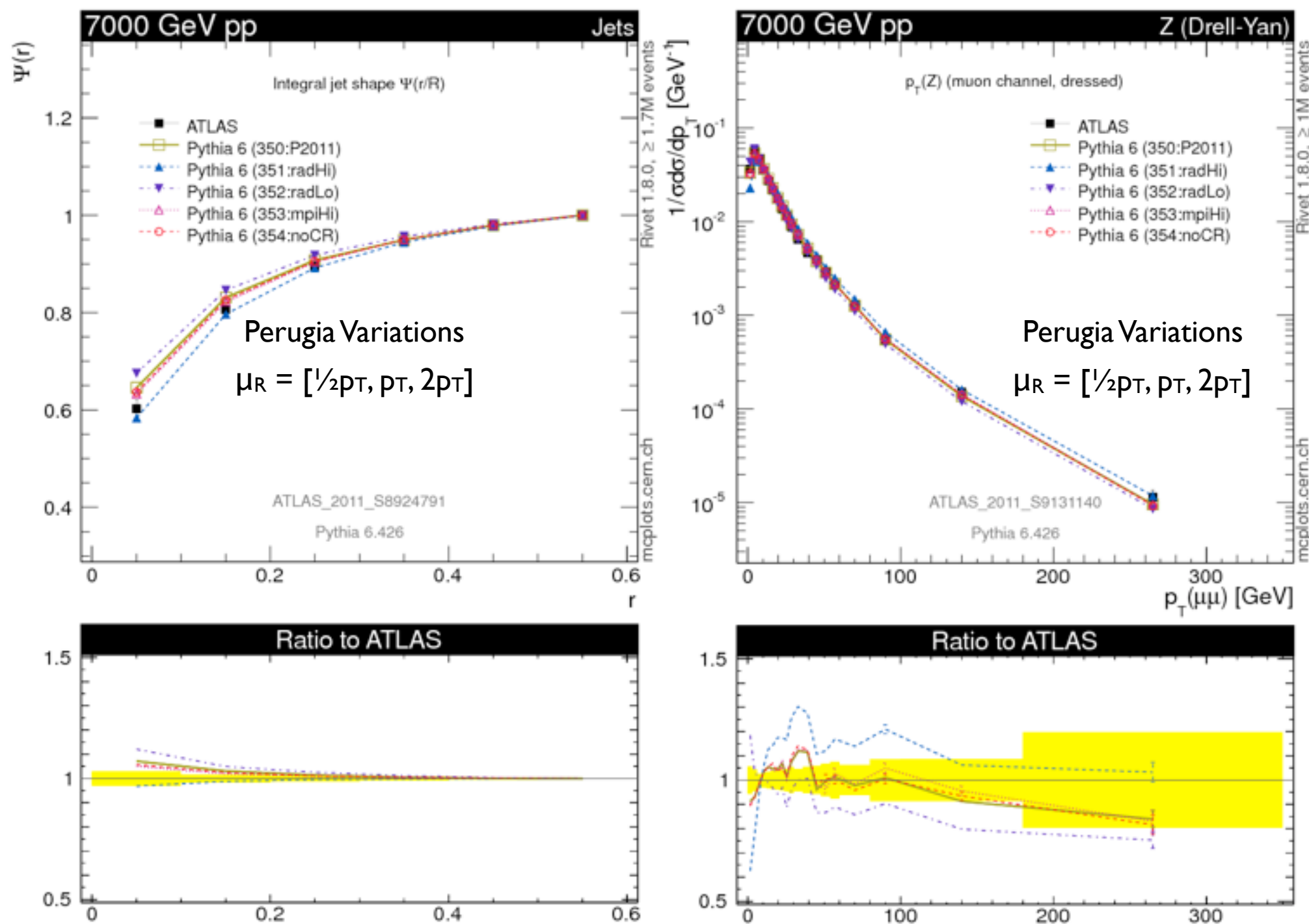
Room for improvement: Quantification of uncertainties is still more art than science.

Cutting Edge: multi-jet matching at NLO and systematic NLL showering

Bottom Line: perturbation theory is solvable. Expect progress.

Uncertainties

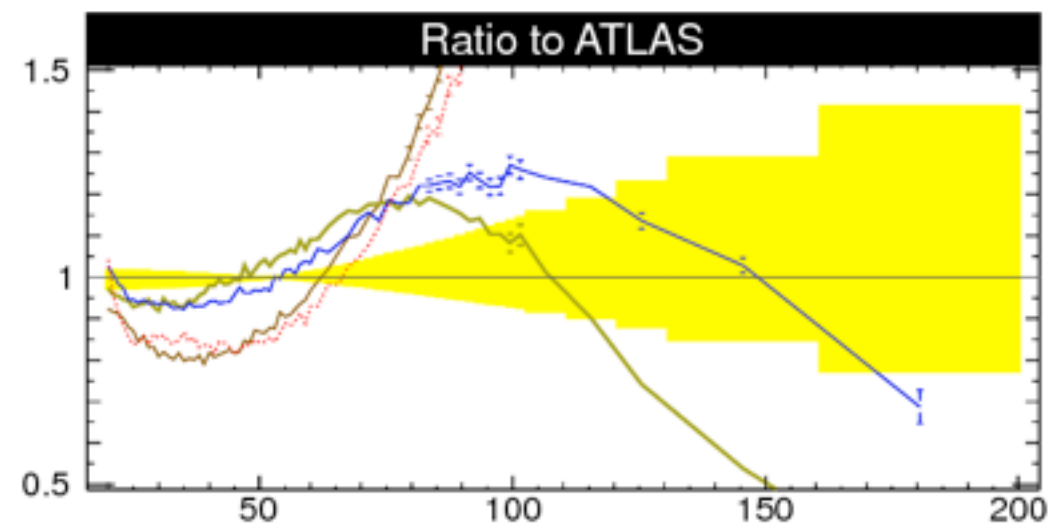
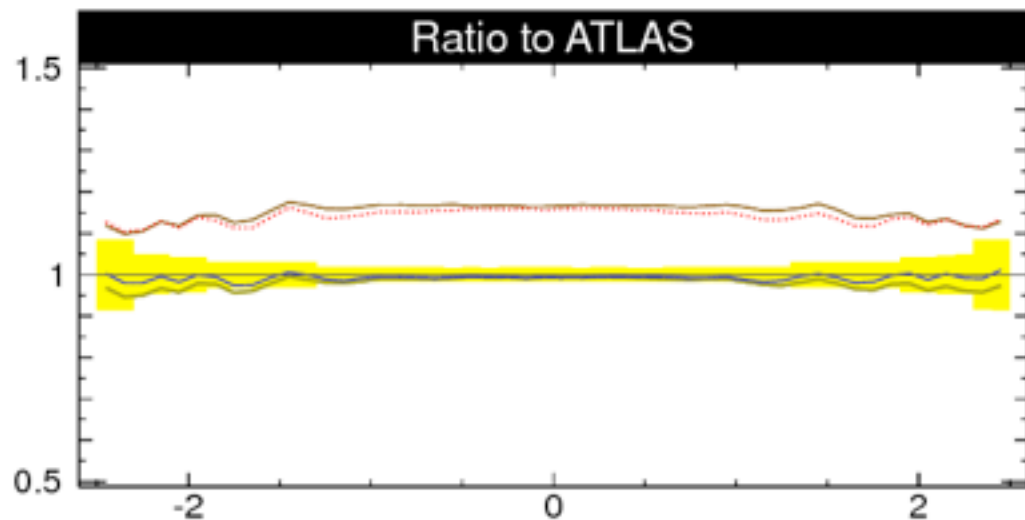
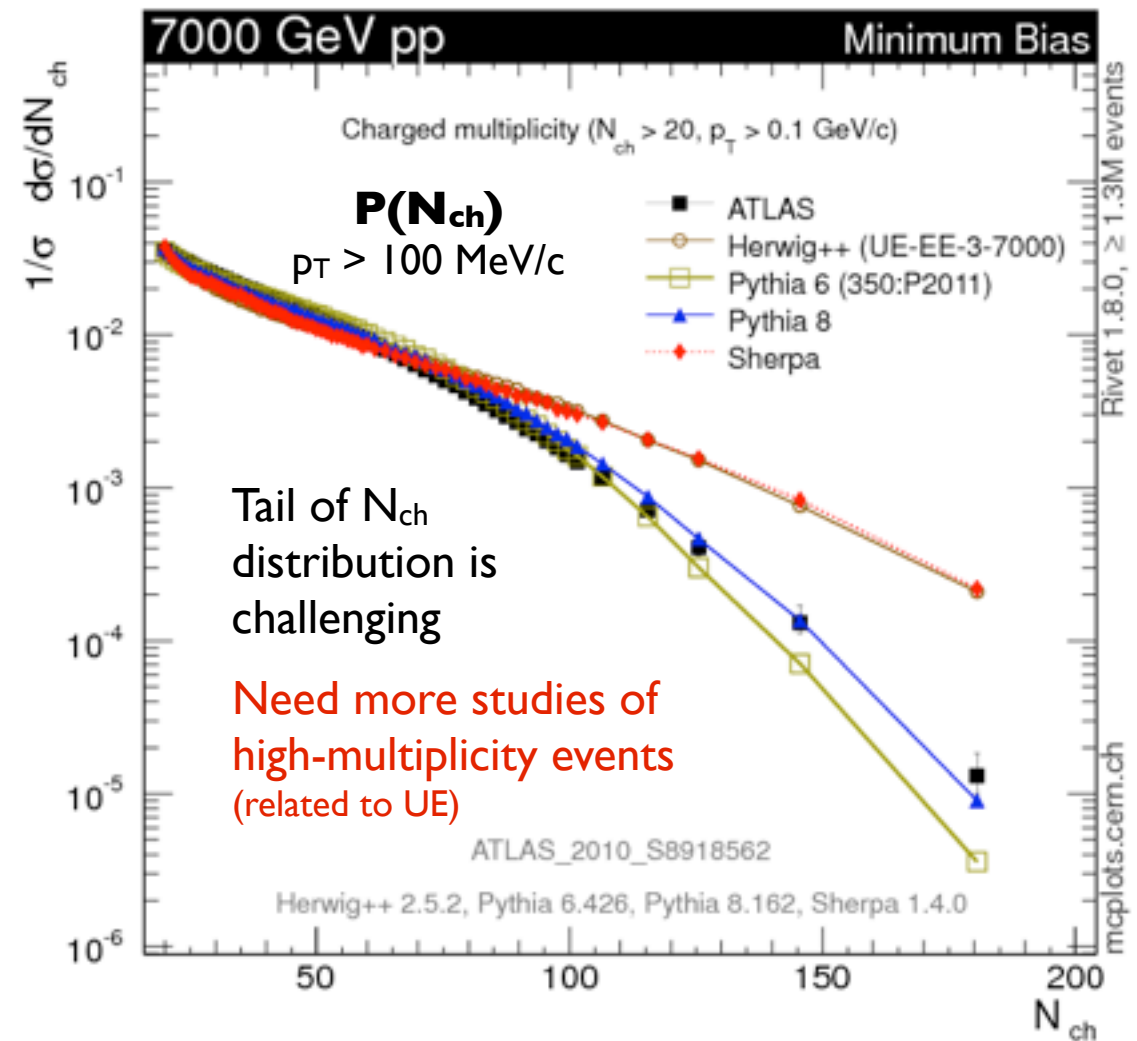
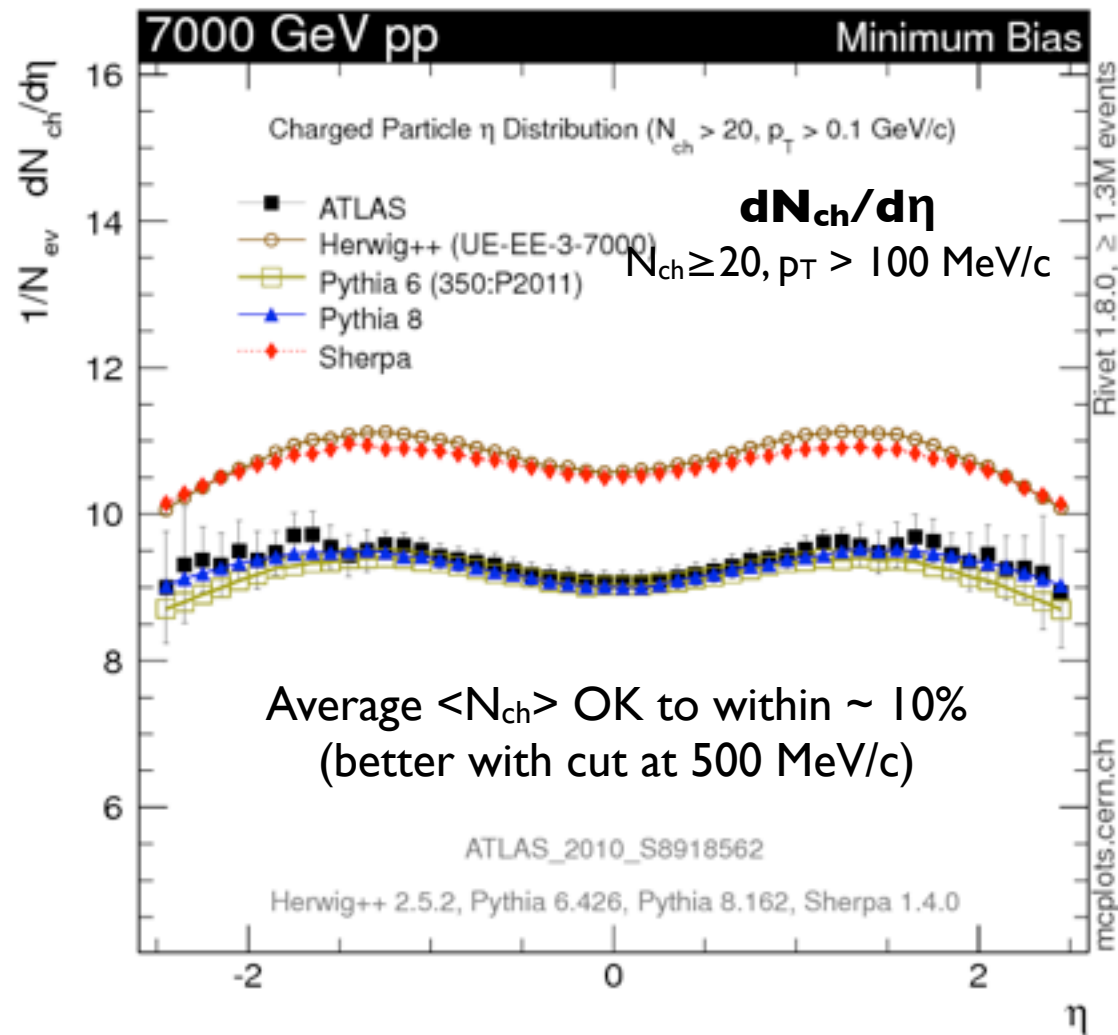
Buckley et al. (Professor) “Systematic Event Generator Tuning for LHC”, EPJC65 (2010) 331
 P.S. “Tuning MC Event Generators: The Perugia Tunes”, PRD82 (2010) 074018
 Schulz, P.S. “Energy Scaling of Minimum-Bias Tunes”, EPJC71 (2011) 1644
 Giele, Kosower, P.S. “Higher-Order Corrections to Timelike Jets”, PRD84 (2011) 054003



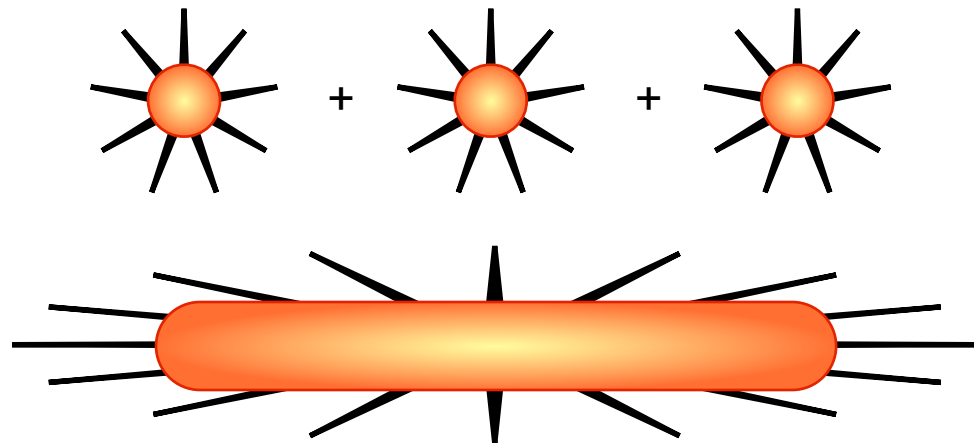
+ Similar variations for
 PDFs (CTEQ vs MSTW)
 Amount of MPI,
 Color reconnections,
 Energy scaling

+ Variations of
 Fragmentation
 parameters (IR
 sensitive) on the way

Inclusive Particles

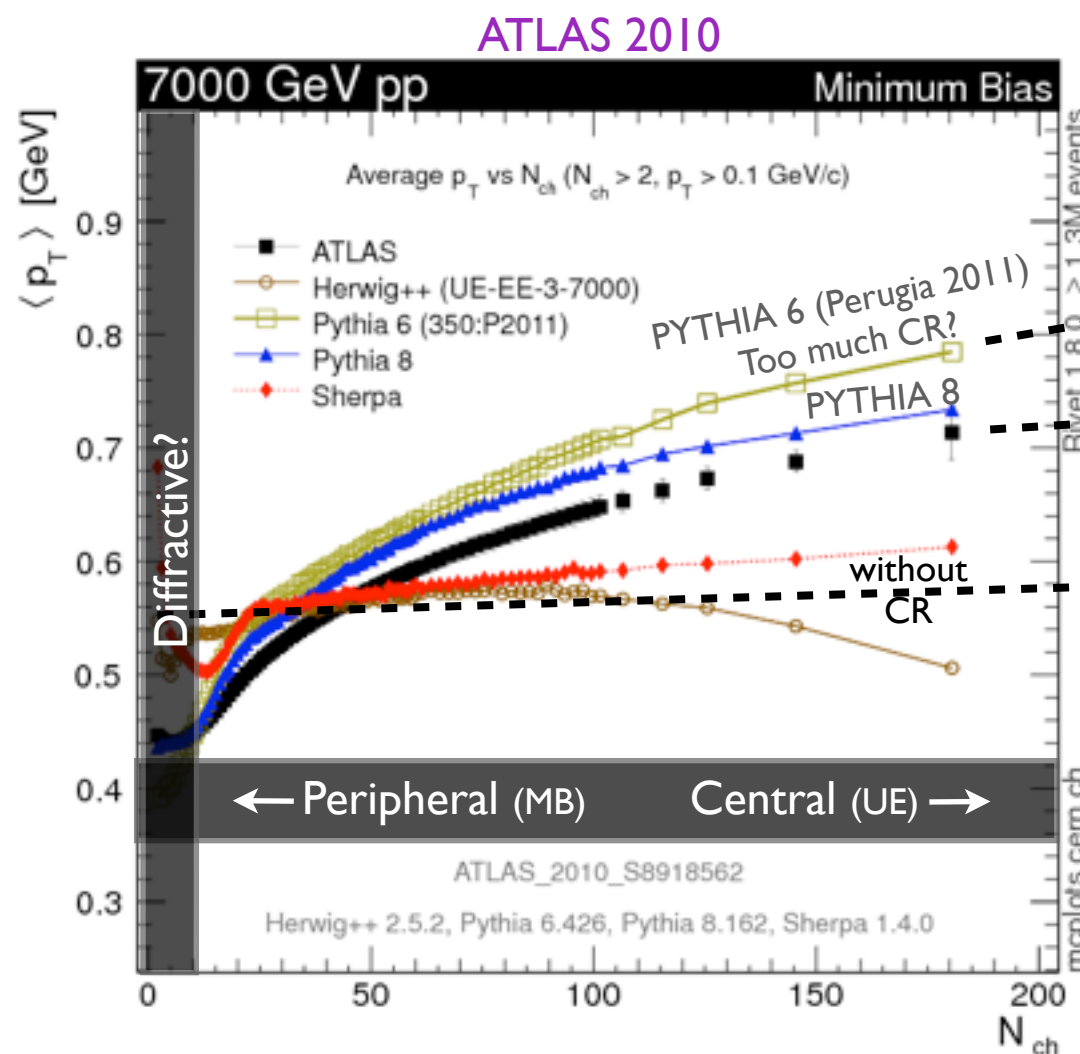


$\langle p_T \rangle$ vs N_{ch}



Independent Particle Production:
→ **averages stay the same**

Color Correlations / Jets / Collective effects:
→ **average rises**



Extrapolation to high multiplicity ~ UE

Average particles slightly too hard

→ Too much energy, or energy distributed on too few particles

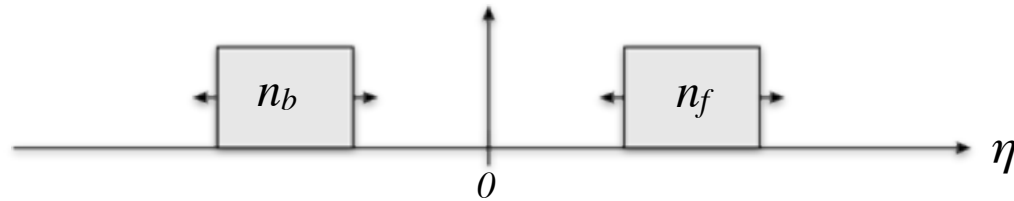
~ OK?

Average particles slightly too soft

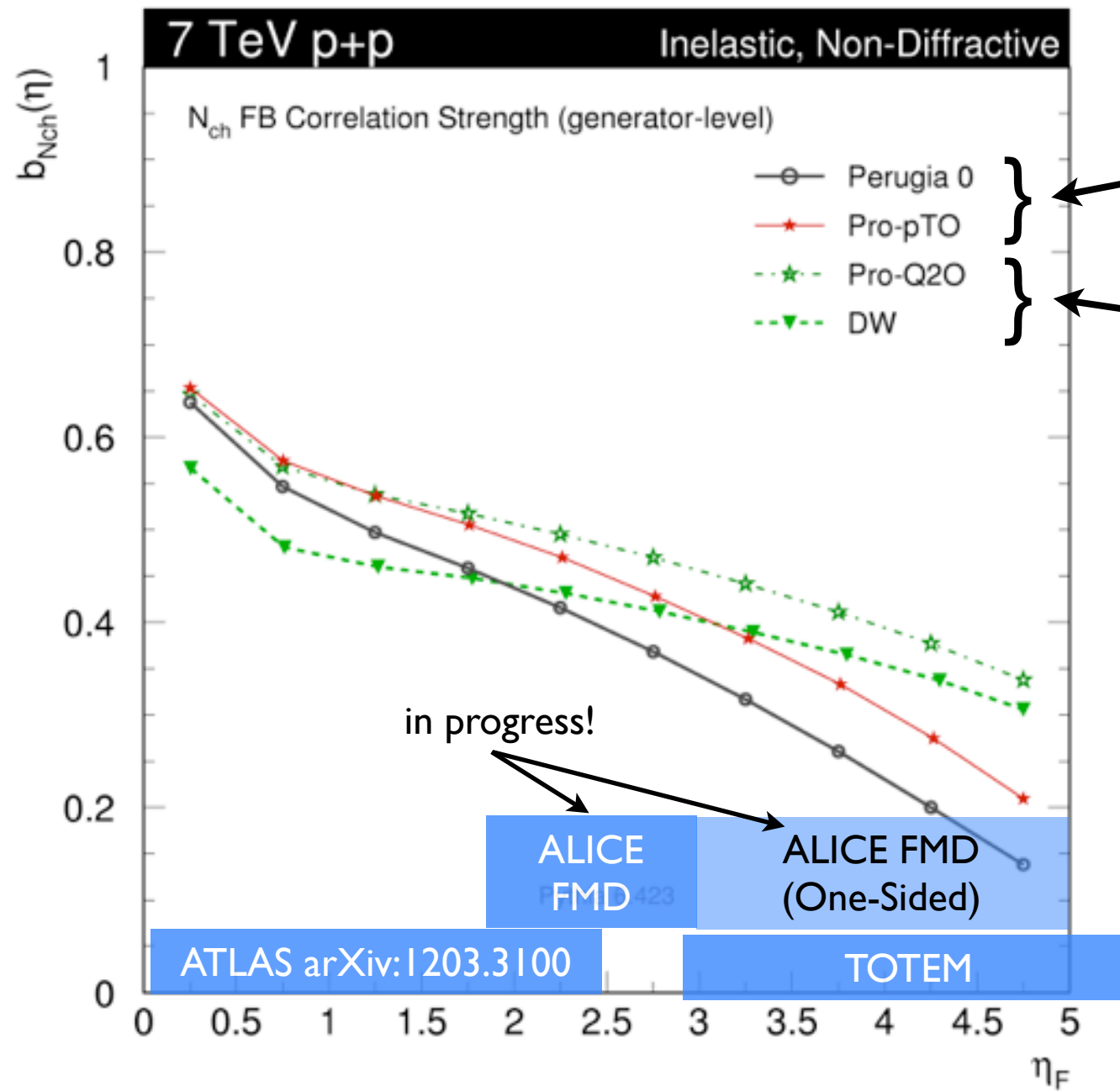
→ Too little energy, or energy distributed on too many particles

Evolution of other distributions with N_{ch} also interesting: e.g., $\langle p_T \rangle(N_{ch})$ for identified particles, strangeness & baryon ratios, 2P correlations, ...

Forward-Backward Correlation



$$b = \frac{\sigma(n_b, n_f)}{\sigma(n_b)\sigma(n_f)} = \frac{\langle n_b n_f \rangle - \langle n_f \rangle^2}{\langle n_f^2 \rangle - \langle n_f \rangle^2}$$



Few MPI (each gives more multiplicity)
→ **Low** long-distance Correlations

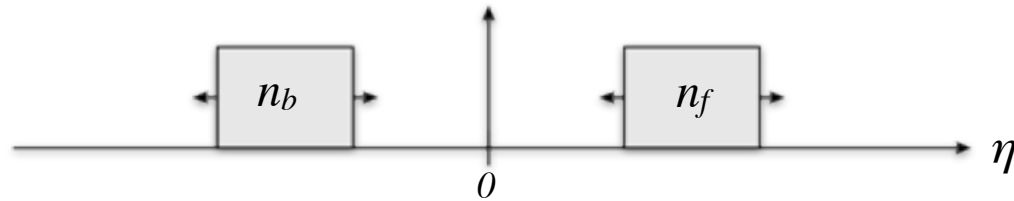
Lots of MPI (each gives little multiplicity)
→ **High** long-distance Correlations

Note: must use **multiplicity distribution** as cross-check

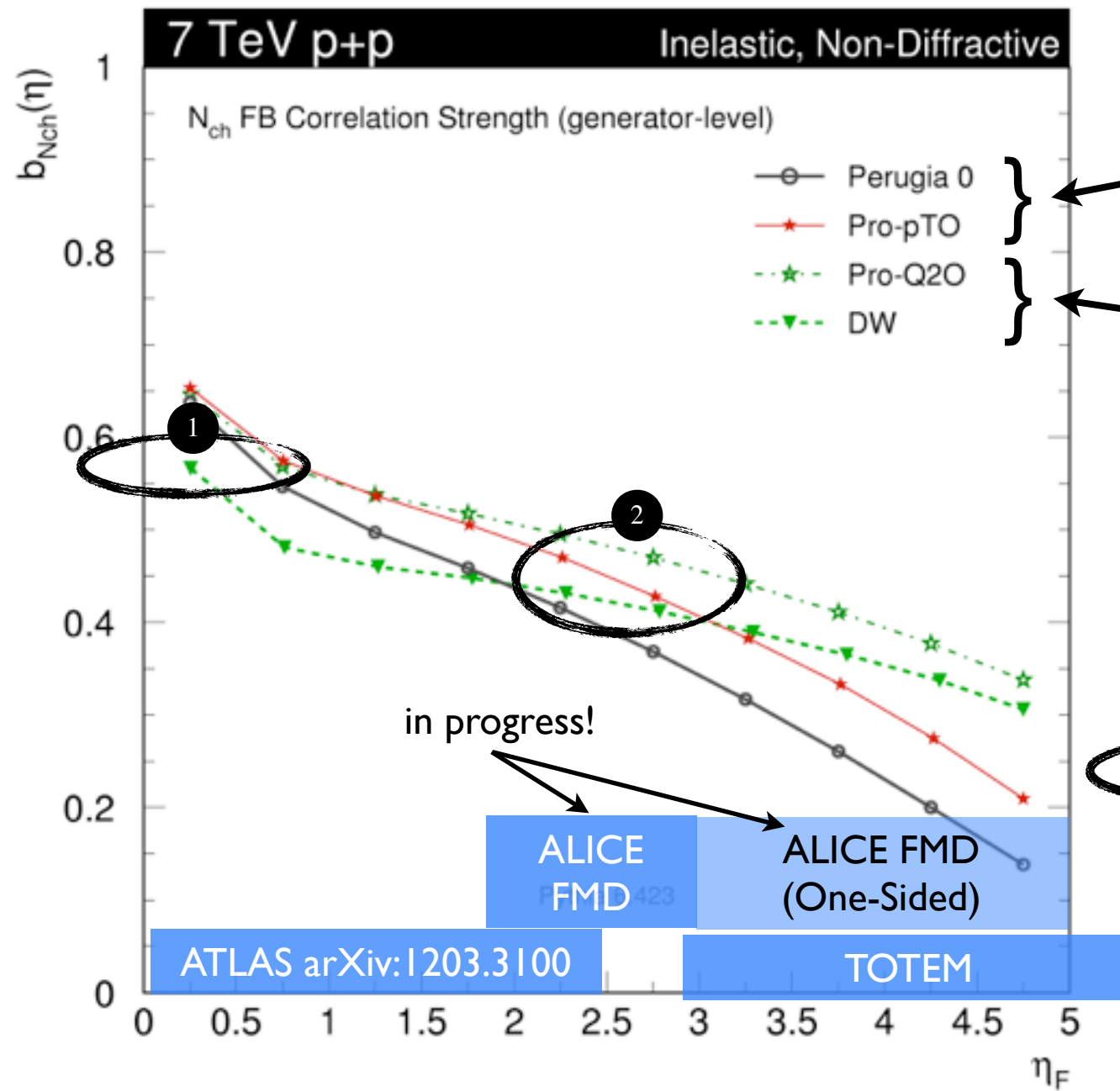
Diffraction → uncorrelated fluctuations
→ expect to see higher correlation in diff-suppressed samples than in diff-enhanced ones (e.g., by placing cuts on number of central tracks?)

Additional plots in [P.S., arXiv:0803.0678](#) ;
[Wraight & P.S.: EPJ C71 \(2011\) 1628](#) ;
[ATLAS arXiv:1203.3100 \[hep-ex\]](#)

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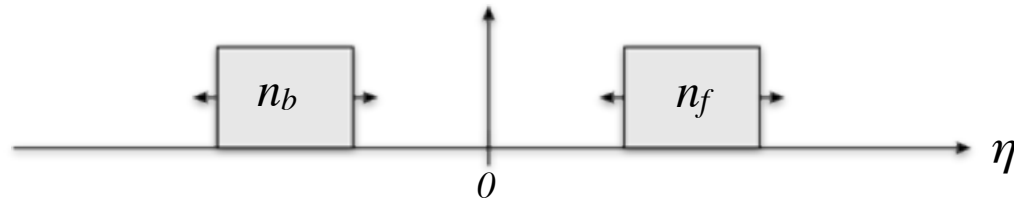
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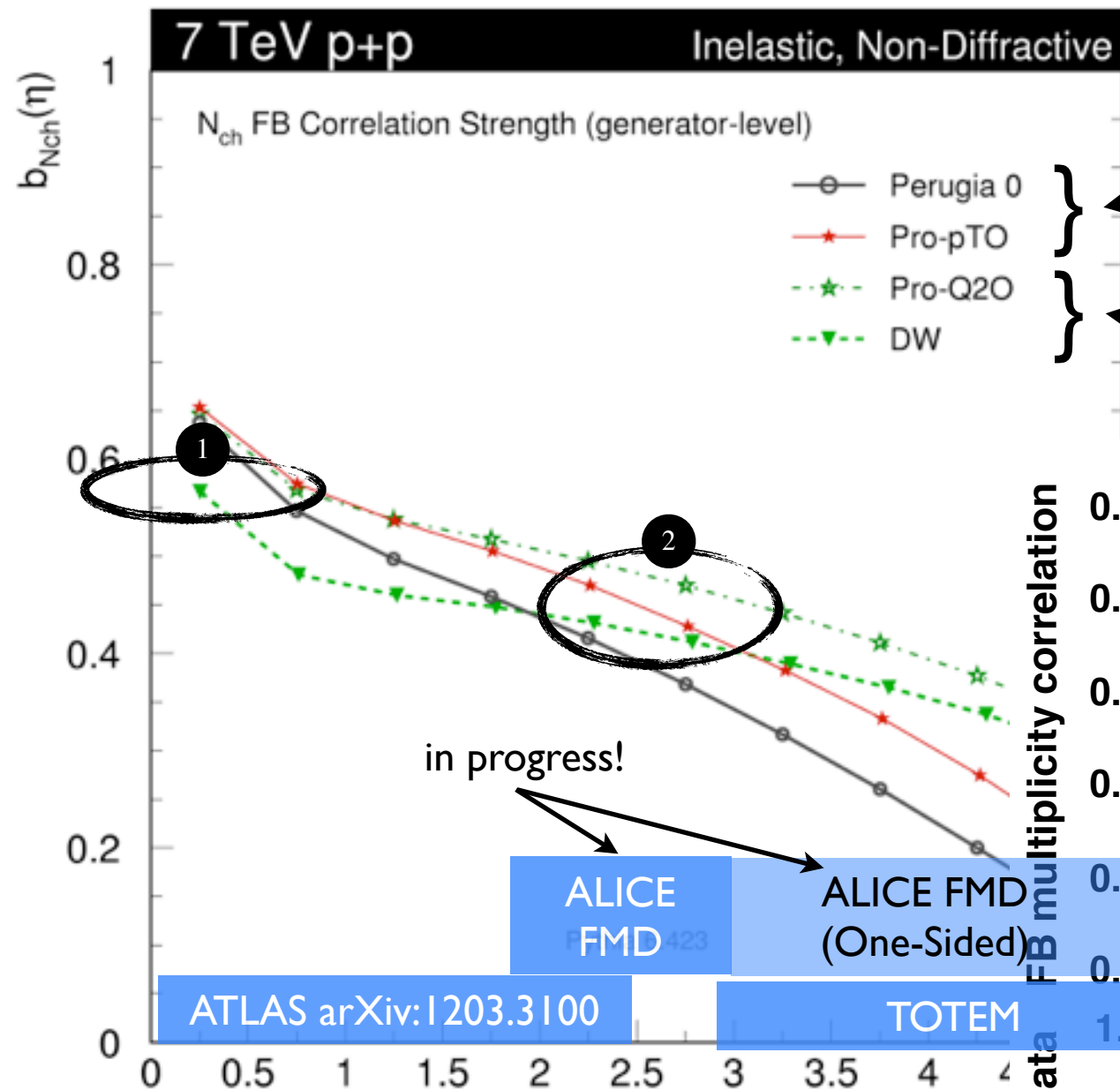
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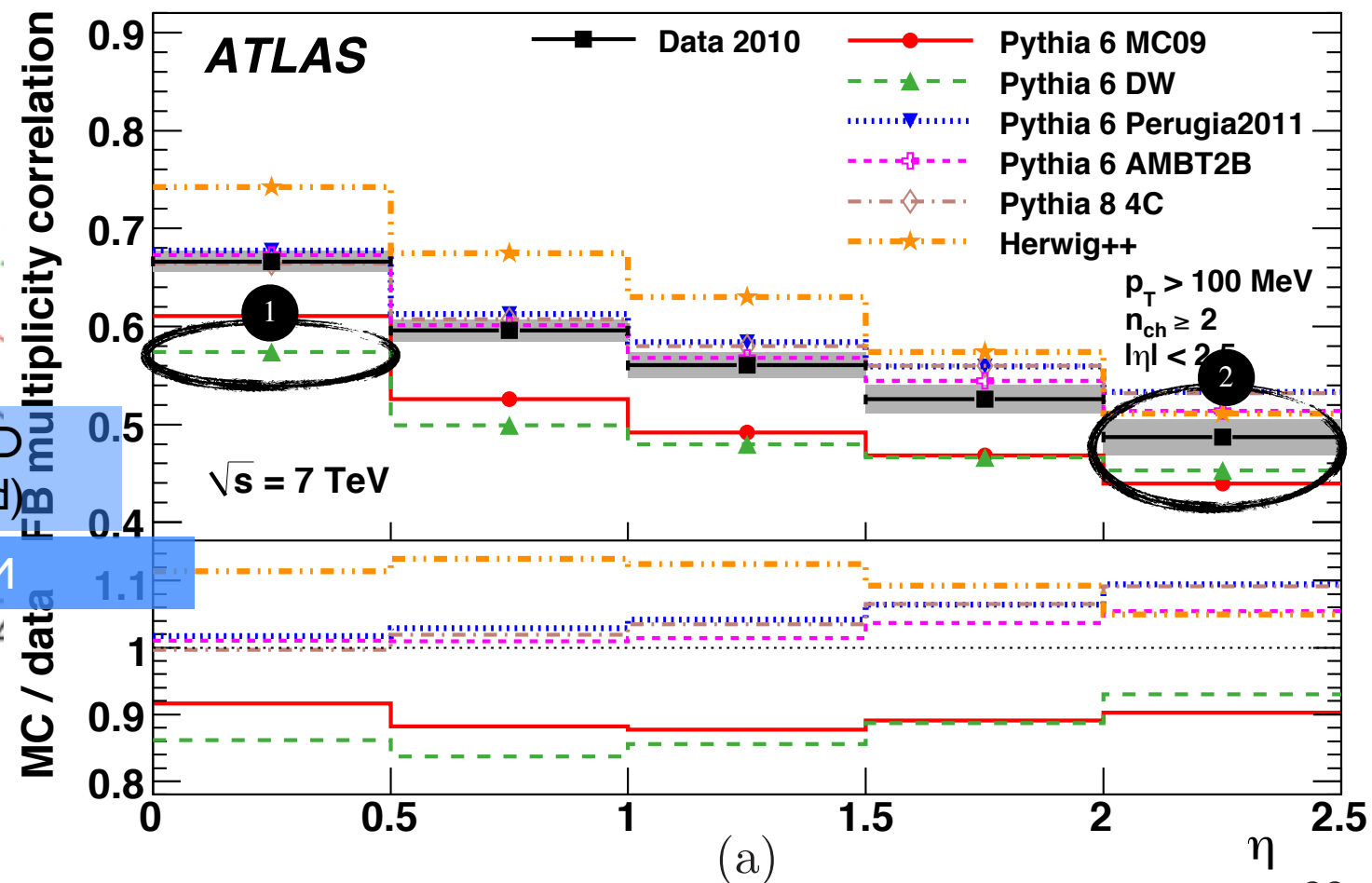


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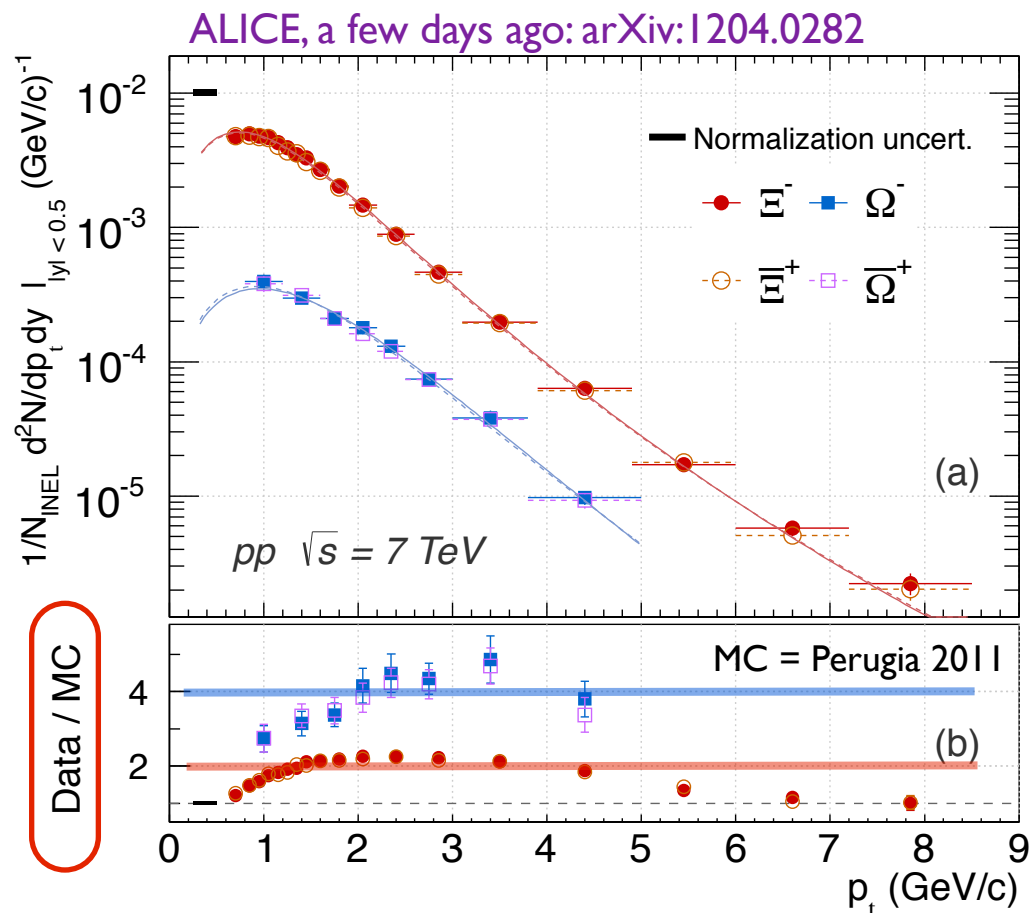
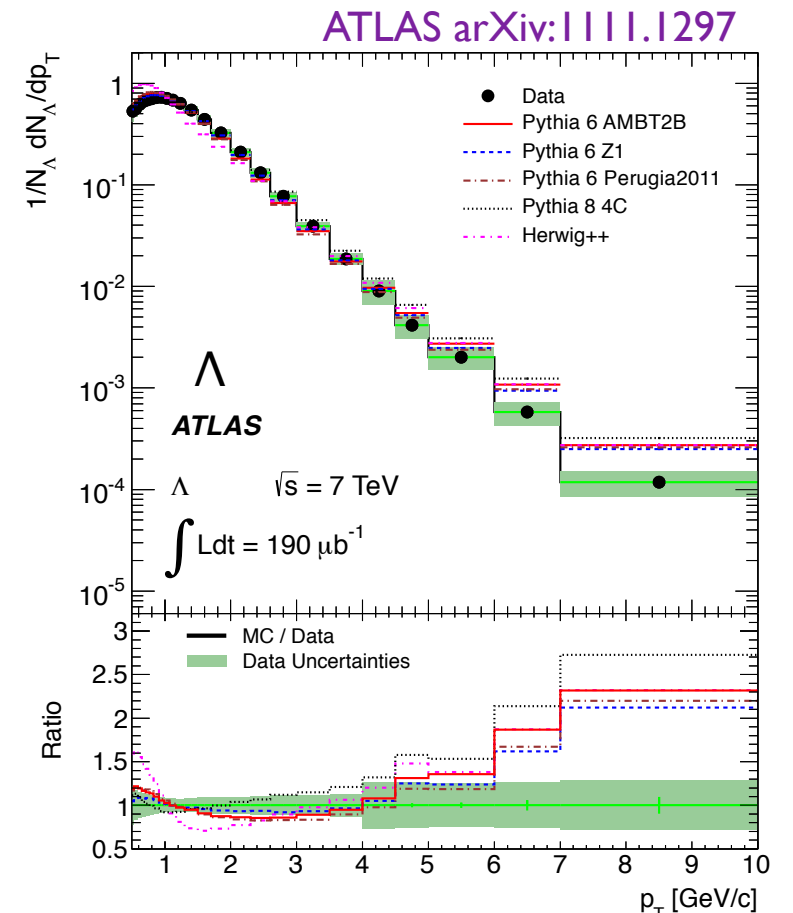
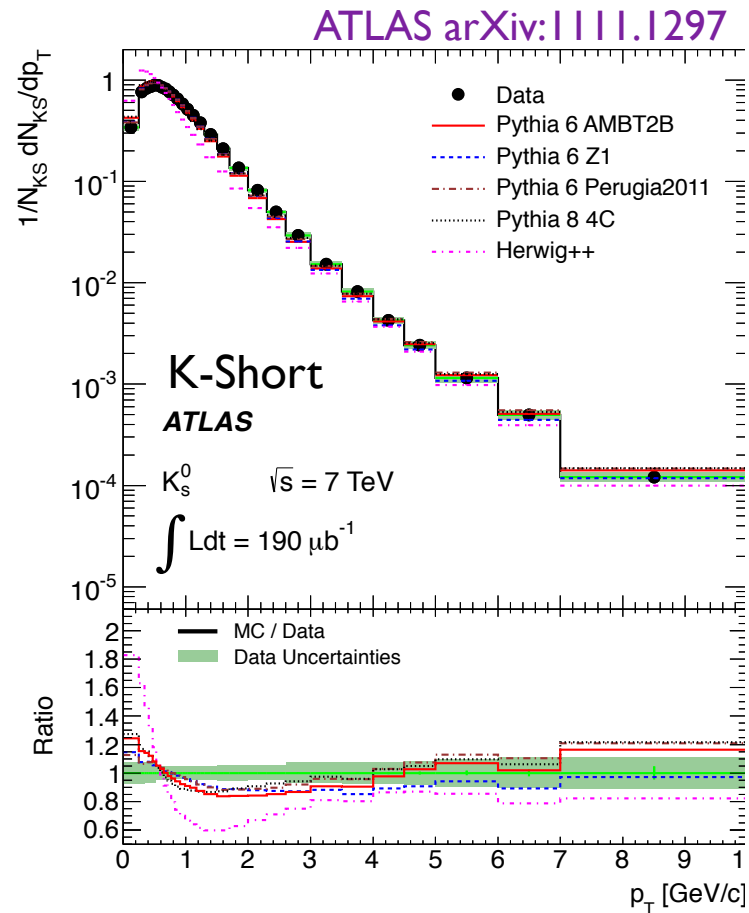
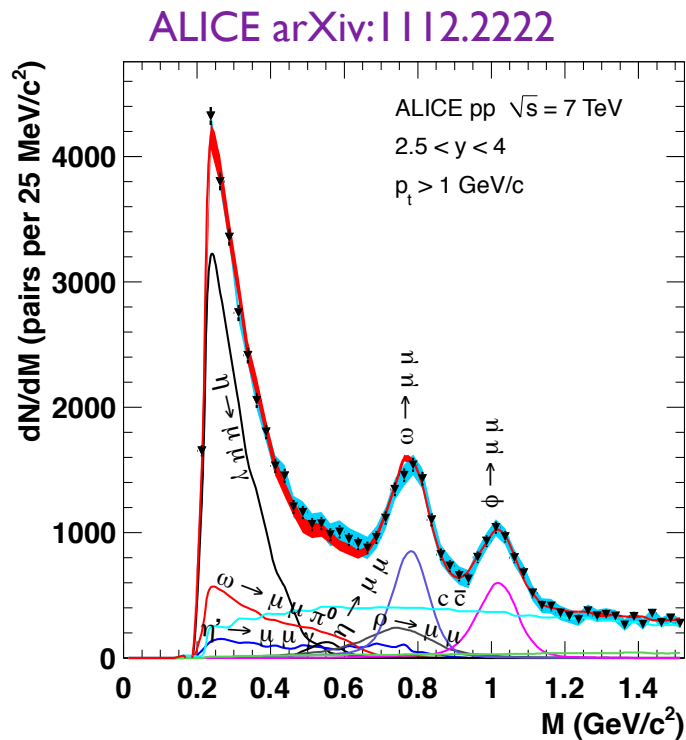
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→ **High** long-distance Correlations



Additional plots in

- PS., arXiv:0803.0678 ;
- Wraight & PS.: EPJ C71 (2011) 1628 ;
- ATLAS arXiv:1203.3100 [hep-ex]

Identified Particles

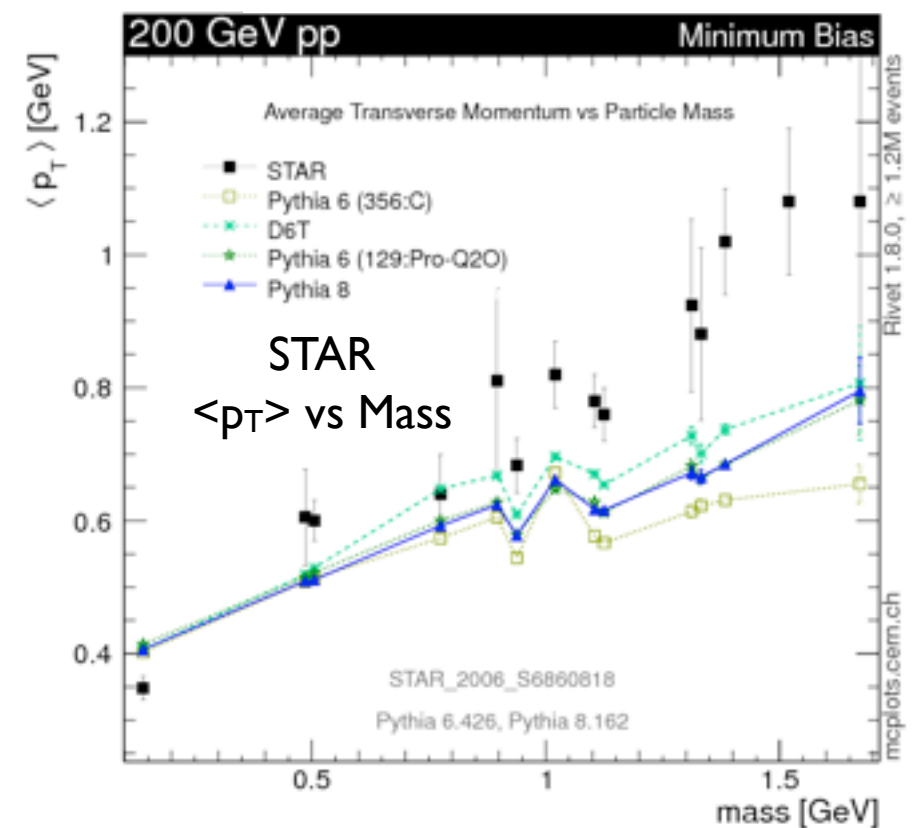


Wrong Mass Dependence?

(even *after* we tried to adjust LEP yields)

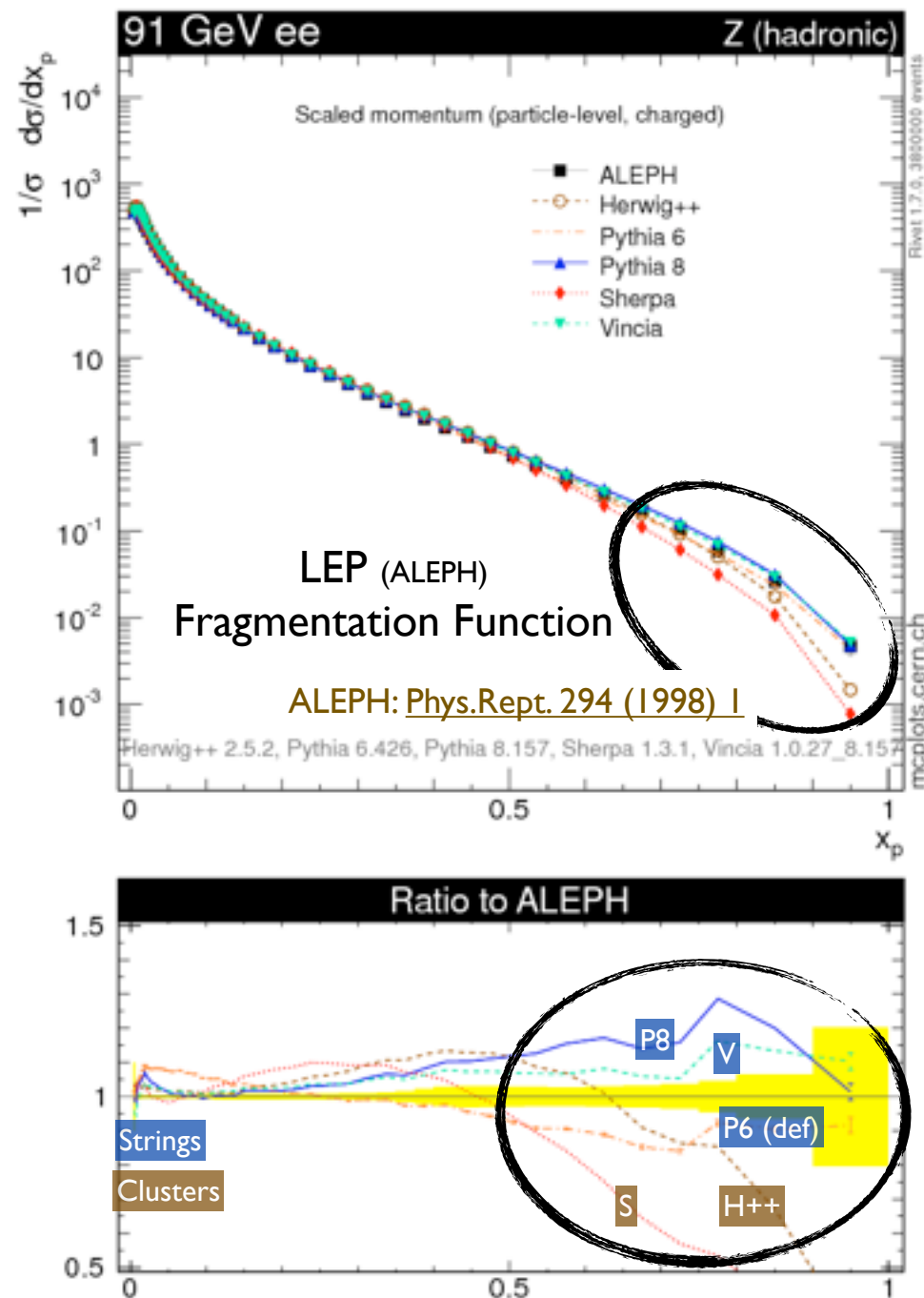
Especially at
intermediate
 $p_T \sim 1-4 \text{ GeV}$

Question: how to reconcile ee and pp data?



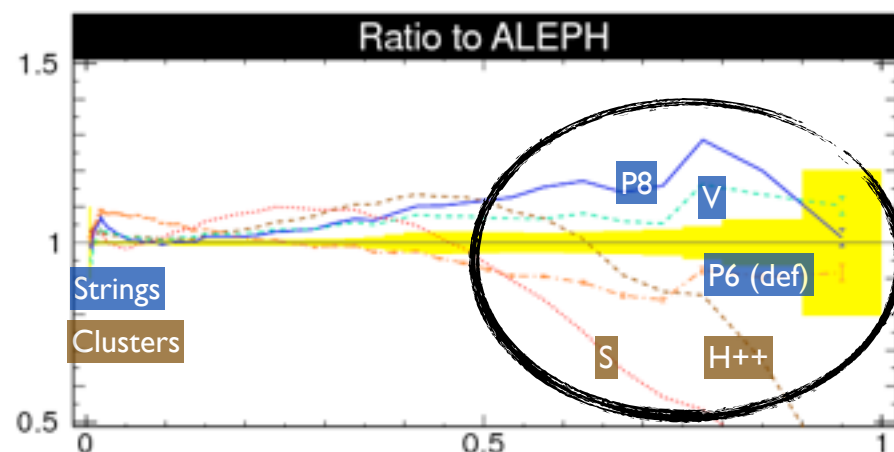
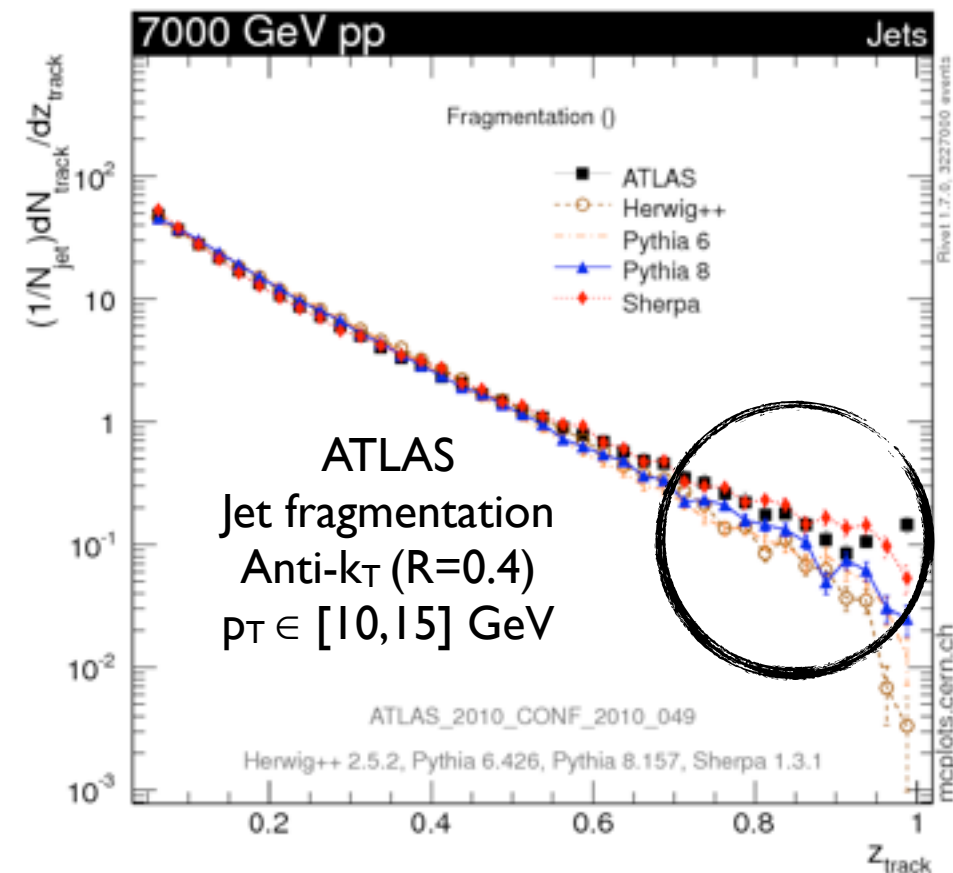
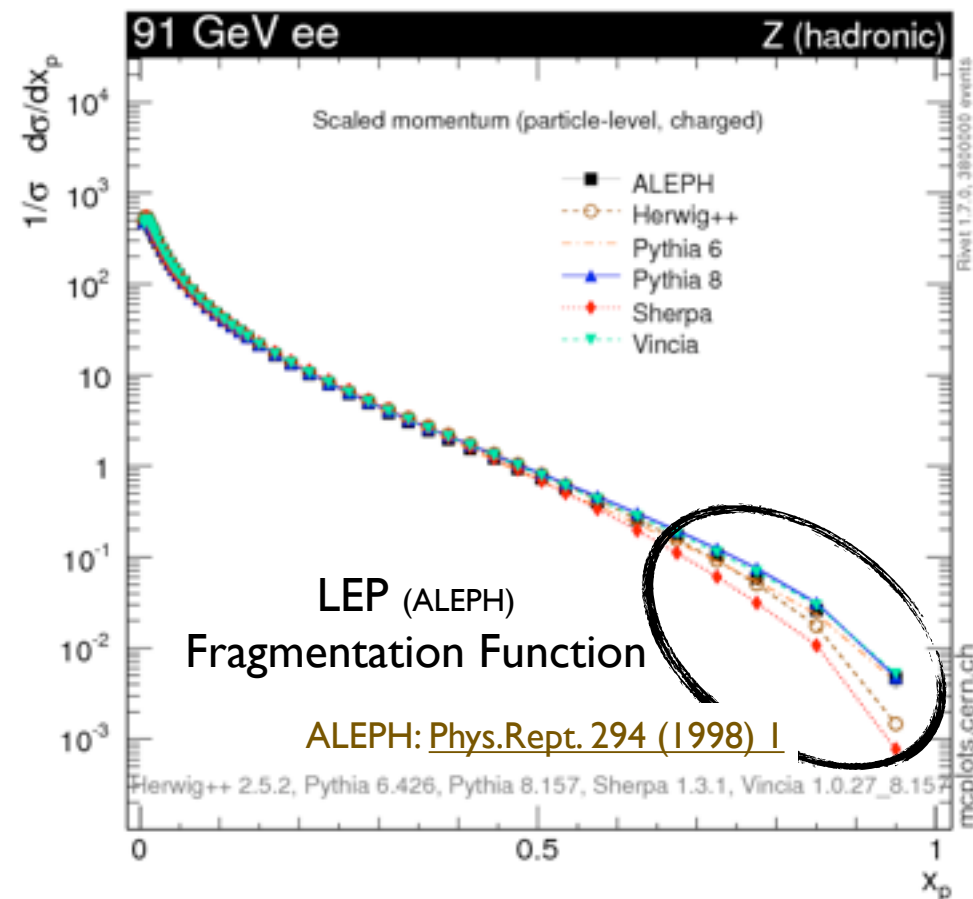
Extreme Fragmentation

How often does an entire jet fragment into **a single/isolated particle?** (can produce dangerous fakes)
Controlled by the behavior of the fragmentation function at $z \rightarrow 1$. Deep Sudakov region, very tough to model.
Intrinsically suppressed in cluster models. But even good string tunes probably not very reliable.



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Pattern changes in pp jets

(though here only *inside* jets, and jets only at 10-15 GeV)

Needs to be studied in more detail if MC models to be used in $z \rightarrow 1$ region

Pile-Up

= additional zero-bias interactions

Processes with *no hard scale*:

Larger uncertainties → Good UE does *not* guarantee good pile-up.

Error of 50% on a soft component → not bad.

Multiply it by 60 Pile-Up interactions → bad!

Calibration & filtering

Good at recovering jet calibration (with loss of resolution),

But missing energy and isolation sensitive to modeling.

$H \rightarrow WW$

$H \rightarrow \gamma\gamma?$

(E.g., $\gamma\gamma$ studies by ATLAS, CMS, CDF, D0)

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Models

MC models so far: problems describing both MB & UE simultaneously

→ Consider using dedicated MB/diffraction model for pile-up

(UE/MB tension may be resolved in 2012 (eg. studies by R. Field), but for now must live with it)

Experimentalists advised to use unbiased data for PU (when possible)

Summary

IR Safe & Underlying Event: ok (for high- p_T physics)

If in doubt check mcplots.cern.ch ISR: include Z, top, jj, $j\gamma$, vetos (EXP) & Higgs (TH)

LO+LL still mandates rigorous uncertainty estimates. Don't trust anything.

Next pQCD Revolution: Multi-jet matching at NLO + NLL showering

Pile-Up: Mismodeling can impact $E_{T\text{miss}}$ (and isolation?) estimates

No hard scale \rightarrow more challenging for pQCD-based models (only PYTHIA and PHOJET so far include diffraction. HERWIG++ and SHERPA models on their way)

Especially soft & diffractive aspects need more study/constraints/modeling

Other Modeling & Tuning Aspects

Color Reconnections: coherence not well understood *between* MPI chains. Can alter IR sensitive properties*.

+ Other collective effects? (*like* Flow, Bose-Einstein effects, other higher-twist?)

Hadronization: depends on color connections.

Extreme tails ($z \rightarrow 1$) already difficult at LEP, important to check in situ (not just in min-bias)

Several pieces of evidence point to non-trivial behaviour of identified-particle spectra

*Sometimes unintentionally

The background is a dark gray, textured surface. It is covered with numerous thin, white, hand-drawn lines and circles. Some lines are straight and parallel, while others are curved and intersecting. There are also several concentric circles of varying sizes scattered across the page. The overall effect is a complex, abstract pattern that resembles a technical drawing or a map.

Backup Slides

Diffraction (in PYTHIA 8)



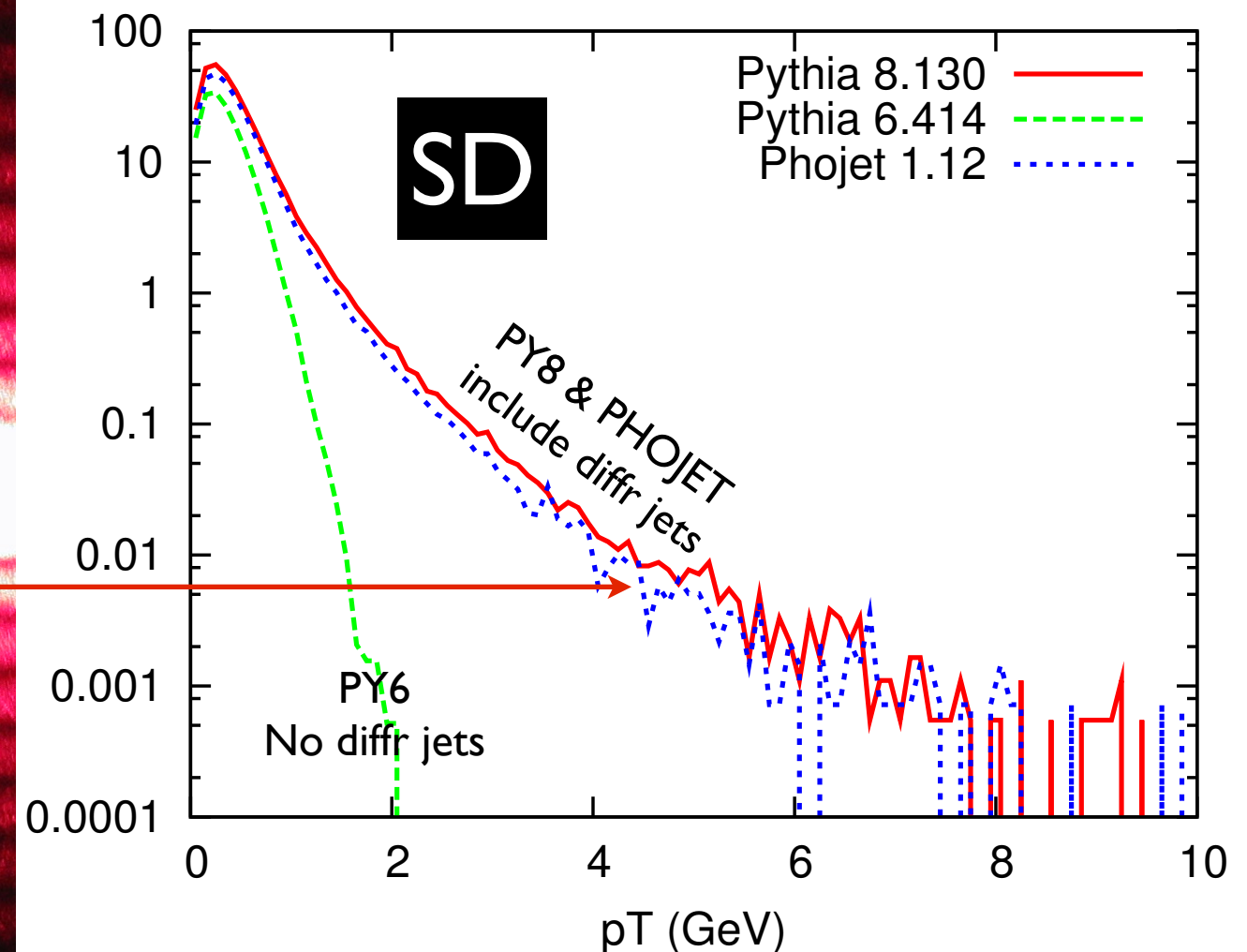
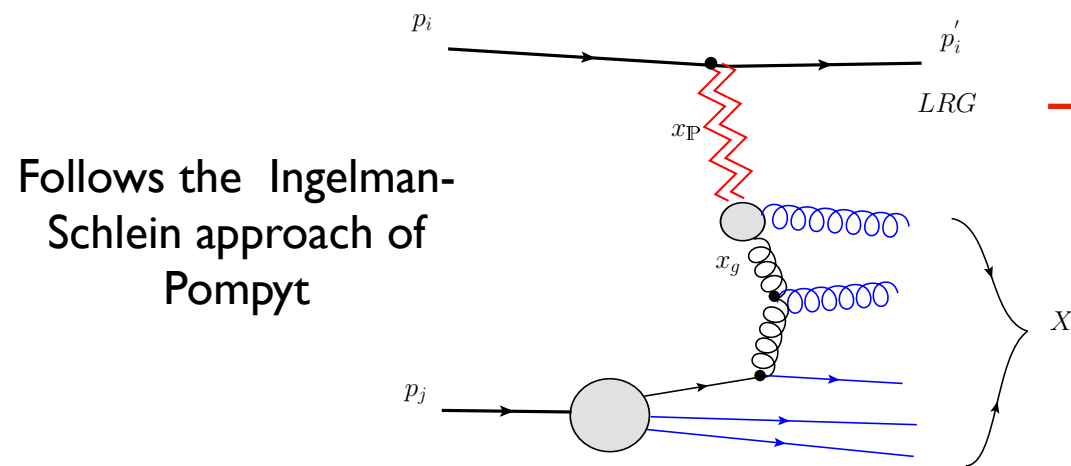
Navin, arXiv:1005.3894

Diffractive Cross Section Formulæ:

$$\frac{d\sigma_{sd}(AX)(s)}{dt dM^2} = \frac{g_{3IP}}{16\pi} \beta_{AIP}^2 \beta_{BIP} \frac{1}{M^2} \exp(B_{sd}(AX)t) F_{sd} ,$$

$$\frac{d\sigma_{dd}(s)}{dt dM_1^2 dM_2^2} = \frac{g_{3IP}^2}{16\pi} \beta_{AIP} \beta_{BIP} \frac{1}{M_1^2} \frac{1}{M_2^2} \exp(B_{dd}t) F_{dd} .$$

Partonic Substructure in Pomeron:



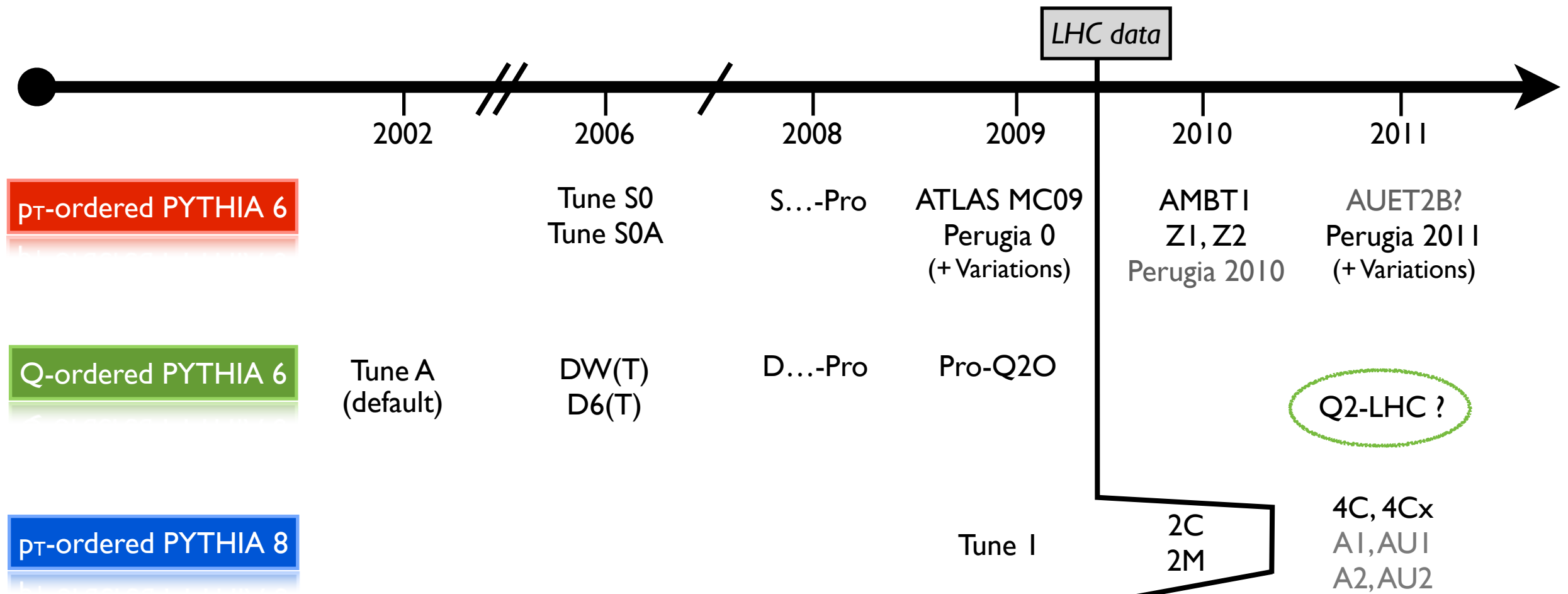
- ▶ $M_X \leq 10 \text{ GeV}$: original longitudinal string description used
- ▶ $M_X > 10 \text{ GeV}$: new perturbative description used (incl full MPI+showers for **Pp** system)

PYTHIA 8

Choice between 5 Pomeron PDFs. Free parameter $\sigma_{\mathbb{P}p}$ needed to fix $\langle n_{\text{interactions}} \rangle = \sigma_{\text{jet}} / \sigma_{\mathbb{P}p}$.

Framework needs testing and tuning, e.g. of $\sigma_{\mathbb{P}p}$.

PYTHIA Models



Main Data Sets included in each Tune (no guarantee that all subsets ok)

	A	DW, D6, ...	S0, S0A	MC09(c)	Pro-..., Perugia 0, Tune I, 2C, 2M	AMBT1	Perugia 2010	Perugia 2011	Z1, Z2	4C, 4Cx	AUET2B, A2, AU2
LEP					✓		✓	✓		✓	✓
TeV MB			✓	✓	✓		✓	✓		(✓)	?
TeV UE	✓	✓		✓	✓		✓	✓		(✓)	✓?
TeV DY		✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
LHC MB						✓	✓	✓		✓	?
LHC UE								✓	✓		✓

Pythia 6: The Perugia Variations

“Tuning MC Generators: The Perugia Tunes” - [PRD82 \(2010\) 074018](#)

Central Tune + 9 variations

Note: no variation of
hadronization parameters!
(sorry, ten was already a lot)

Perugia 2011 Tune Set

(350)	Perugia 2011	Central Perugia 2011 tune (CTEQ5L)	
(351)	Perugia 2011 radHi	Variation using $\alpha_s(\frac{1}{2}p_\perp)$ for ISR and FSR	Harder radiation
(352)	Perugia 2011 radLo	Variation using $\alpha_s(2p_\perp)$ for ISR and FSR	Softer radiation
(353)	Perugia 2011 mpiHi	Variation using $\Lambda_{\text{QCD}} = 0.26 \text{ GeV}$ also for MPI	UE more “jetty”
(354)	Perugia 2011 noCR	Variation without color reconnections	Softer hadrons
(355)	Perugia 2011 M	Variation using MRST LO** PDFs	UE more “jetty”
(356)	Perugia 2011 C	Variation using CTEQ 6L1 PDFs	Recommended
(357)	Perugia 2011 T16	Variation using $\text{PARP}(90)=0.16$ scaling away from 7 TeV	
(358)	Perugia 2011 T32	Variation using $\text{PARP}(90)=0.32$ scaling away from 7 TeV	
(359)	Perugia 2011 Tevatron	Variation optimized for Tevatron	~ low at LHC

Can be obtained in standalone Pythia from 6.4.25+

MSTP(5) = 350

Perugia 2011

MSTP(5) = 351

Perugia 2011 radHi

MSTP(5) = 352

Perugia 2011 radLo

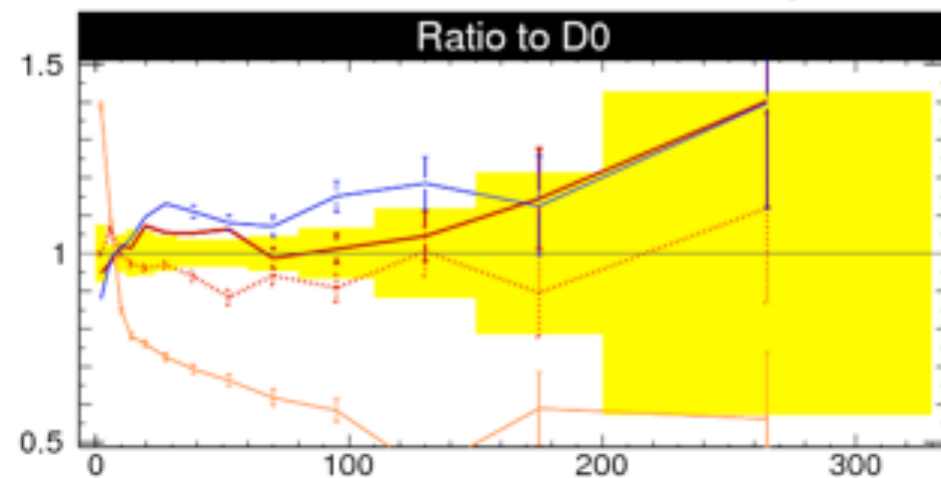
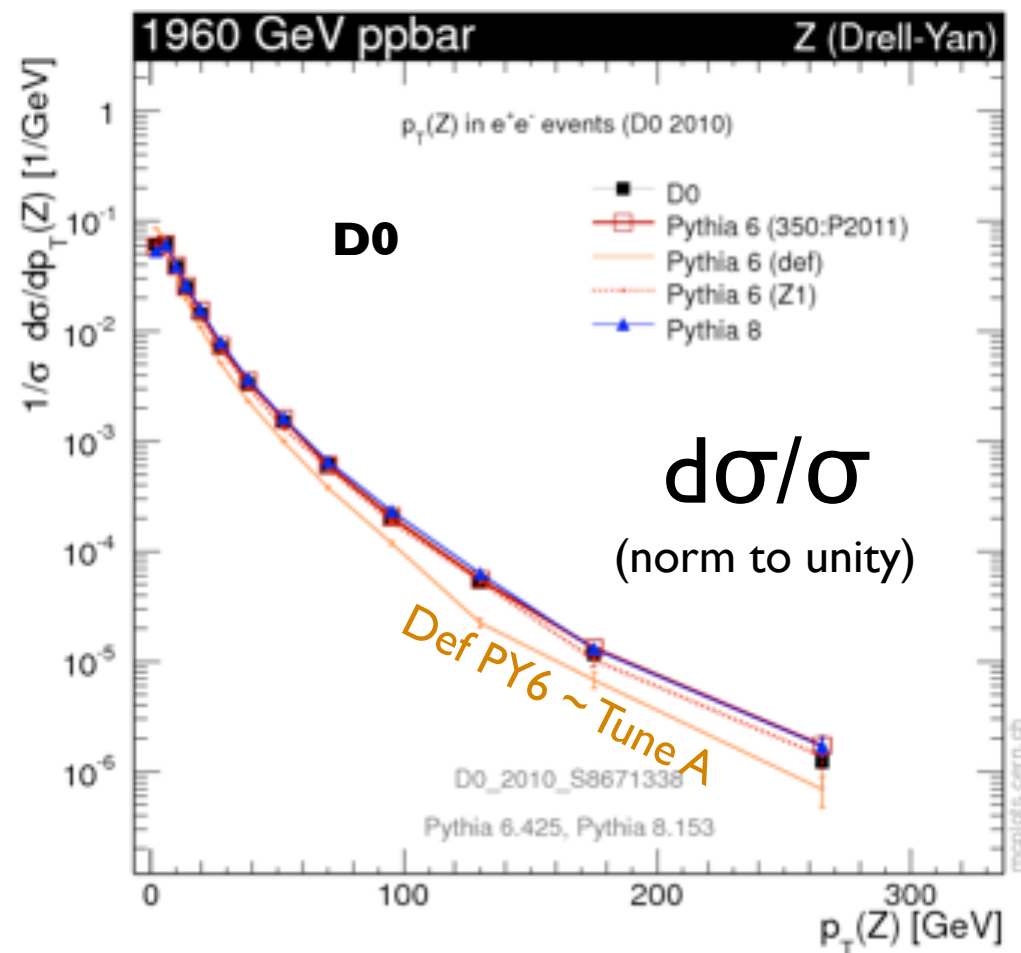
MSTP(5) = ...

...

(Important test: Drell-Yan p_T spectrum)

ATLAS: arXiv:1107.2381

CMS: arXiv:1110.4973



$qq \rightarrow Z$

Oldest Tevatron tunes fail
(e.g., default Pythia 6, Tune A)

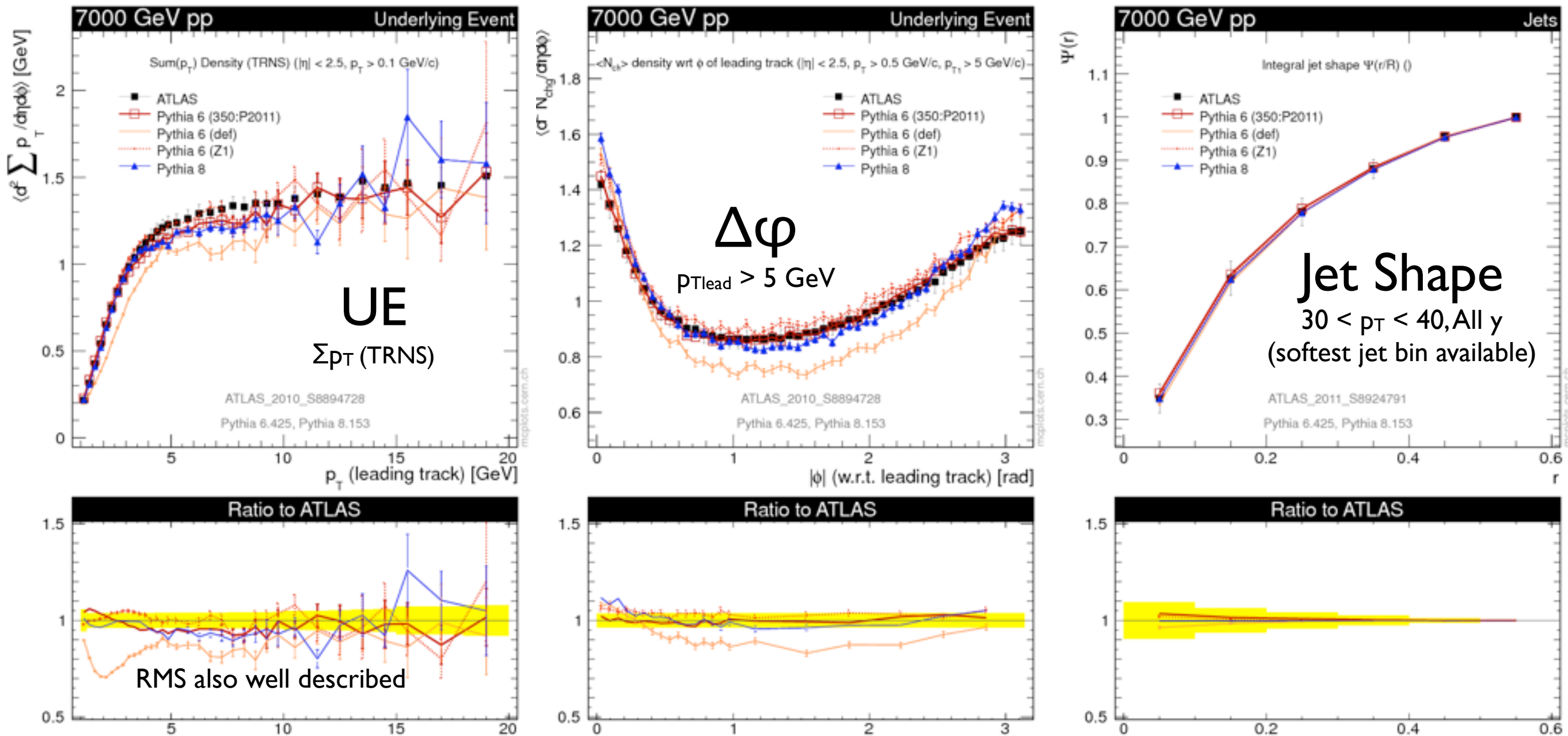
Basically all other models (including more recent Pythia ones) do fine.

$gg \rightarrow \text{Higgs}$

Need additional cross-checks sensitive to gg -initiated processes:

Dijets with $2p_T \sim m_H \sim$ acceptable
+ $p_T(tt)$ in top events
(though note: different color structures)

(Underlying Event Tuning)



PS: yes, we **should** update the PYTHIA 6 defaults ...