Neutrino Theory and Phenomenology PART 5

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NBIA PhD School Neutrinos underground & in the heavens

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Neutrino Mixing Phenomenology

Open Problems

- $\vartheta_{23} \leq 45^\circ$?
 - ► T2K (Japan), NOvA (USA), IceCube-PINGU, INO (India), ...
- Mass Hierarchy ?
 - ► NOvA (USA), JUNO (China), RENO-50 (Korea), IceCube-PINGU, INO (India), ...
- CP violation ?
 - ► NOνA (USA), LBNE (USA), LAGUNA-LBNO (EU), HyperK (Japan), ...
- Absolute Mass Scale ?
 - ▶ β Decay, Neutrinoless Double- β Decay, Cosmology, . . .
- Dirac or Majorana ?
 - Neutrinoless Double- β Decay, . . .
- Beyond Three-Neutrino Mixing ? Sterile Neutrinos ?

Absolute Scale of Neutrino Masses



Tritium Beta-Decay



Neutrino Mixing
$$\implies \mathcal{K}(T) = \left[(Q-T) \sum_{k} |U_{ek}|^2 \sqrt{(Q-T)^2 - m_k^2} \right]^{1/2}$$

analysis of data is
different from the
no-mixing case:
 $2N - 1$ parameters
 $\left(\sum_{k} |U_{ek}|^2 = 1 \right)$
if experiment is not sensitive to masses $(m_k \ll Q - T)$
effective mass:
 $m_\beta^2 = \sum_{k} |U_{ek}|^2 m_k^2$
 $\mathcal{K}^2 = (Q-T)^2 \sum_{k} |U_{ek}|^2 \sqrt{1 - \frac{m_k^2}{(Q-T)^2}} \simeq (Q-T)^2 \sum_{k} |U_{ek}|^2 \left[1 - \frac{1}{2} \frac{m_k^2}{(Q-T)^2} \right]$
 $= (Q-T)^2 \left[1 - \frac{1}{2} \frac{m_\beta^2}{(Q-T)^2} \right] \simeq (Q-T) \sqrt{(Q-T)^2 - m_\beta^2}$

Predictions of 3ν **-Mixing Paradigm**

 $m_{\beta}^2 = |U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 + |U_{e3}|^2 m_3^2$



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Neutrinoless Double-Beta Decay



Two-Neutrino Double- β Decay: $\Delta L = 0$

$$\mathcal{N}(A,Z)
ightarrow \mathcal{N}(A,Z+2) + e^- + e^- + ar{
u}_e + ar{
u}_e$$

 $(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$

second order weak interaction process in the Standard Model





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Effective Majorana Neutrino Mass



Predictions of 3ν **-Mixing Paradigm**



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$\beta\beta_{0\nu}$ Decay \Leftrightarrow Majorana Neutrino Mass

 $|m_{\beta\beta}|$ can vanish because of unfortunate cancellations among m_1 , m_2 , m_3 contributions or because neutrinos are Dirac

 $\beta\beta_{0\nu}$ decay can be generated by another mechanism beyond SM



- In any case finding ββ_{0ν} decay is important information to solve the Dirac-Majorana question in favor of Majorana
- On the other hand, it is not possible to prove experimentally that neutrinos are Dirac.
 A Dirac neutrino is equivalent to 2 Majorana neutrinos with the same mass.

Impossible to prove experimentally that mass splitting is exactly zero.

Sterile Neutrinos

- ► I consider sterile neutrinos with mass scale ~ 1 eV in light of short-baseline Reactor Anomaly, Gallium Anomaly, LSND.
- Other possibilities (not incompatible):
 - Very light sterile neutrinos with mass scale <
 1 eV: important for solar neutrino phenomenology
 [Das, Pulido, Picariello, PRD 79 (2009) 073010]

[de Holanda, Smirnov, PRD 83 (2011) 113011]

 \blacktriangleright Heavy sterile neutrinos with mass scale $\gg 1\,{\rm eV}:$ could be Warm Dark Matter

[Kusenko, Phys. Rept. 481 (2009) 1]

[Boyarsky, Ruchayskiy, Shaposhnikov, Ann. Rev. Nucl. Part. Sci. 59 (2009) 191] [Drewes, IJMPE, 22 (2013) 1330019]

LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

 $ar{
u}_{\mu}
ightarrow ar{
u}_{e}$ $L \simeq 30 \, {
m m}$

20 MeV < *E* < 200 MeV



MiniBooNE

 $L \simeq 541 \,\mathrm{m}$ 200 MeV $\leq E \lesssim 3 \,\mathrm{GeV}$



- Purpose: check LSND signal.
- ▶ Different *L* and *E*.
- ► Similar *L*/*E* (oscillations).
- LSND signal: E > 475 MeV.

- Agreement with LSND signal?
- CP violation?
- Low-energy anomaly!

New Reactor $\bar{\nu}_e$ Fluxes

Increased prediction of detected flux by 6.5%



Neutrino Emission:

- Improved reactor neutrino spectra \rightarrow +3.5%
- Accounting for long-lived isotopes in reactors → <u>+1%</u>

Neutrino Detection:

- Reevaluation of $\sigma_{\text{IBD}} \rightarrow \underline{+1.5\%}$ (evolution of the neutron life time)
- Reanalysis of all SBL experiments



Reactor Electron Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006] [update in White Paper, arXiv:1204.5379]

new reactor $\bar{\nu}_e$ fluxes [Mueller et al, PRC 83 (2011) 054615] [Huber, PRC 84 (2011) 024617]

 $\sim 2.8\sigma$ anomaly

[see also: Sinev, arXiv:1103.2452; Ciuffoli, Evslin, Li, JHEP 12 (2012) 110; Zhang, Qian, Vogel, PRD 87 (2013) 073018; Ivanov et al, PRC 88 (2013) 055501]



Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE

Detection Process: $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

 ν_e Sources: $e^- + {}^{51}\text{Cr} \rightarrow {}^{51}\text{V} + \nu_e$ $e^- + {}^{37}\text{Ar} \rightarrow {}^{37}\text{Cl} + \nu_e$

Anomaly supported by new $^{71}Ga(^{3}He, ^{3}H)^{71}Ge$ cross section measurement

[Frekers et al., PLB 706 (2011) 134]



 $E\sim 0.7~{
m MeV}$ $\langle L
angle_{
m GALLEX}=1.9~{
m m}$

 $\langle L \rangle_{\text{SAGE}} = 0.6 \,\mathrm{m}$

 $\sim 2.9\sigma$ anomaly

[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807] [Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344; MPLA 22 (2007) 2499; PRD 78 (2008) 073009; PRC 83 (2011) 065504; PRD 86 (2012) 113014]

[Mention et al, PRD 83 (2011) 073006]

Beyond Three-Neutrino Mixing: Sterile Neutrinos





Effective SBL Oscillation Probabilities in 3+1 Schemes

 $\text{Perturbation of } 3\nu \text{ Mixing: } |U_{e4}|^2 \ll 1 \,, \ |U_{\mu 4}|^2 \ll 1 \,, \ |U_{\tau 4}|^2 \ll 1 \,, \ |U_{\tau 4}|^2 \simeq 1$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$
SBL

- 6 mixing angles
- 3 Dirac CP phases
- 3 Majorana CP phases

but CP violation is not observable in SBL experiments!

Effective SBL Oscillation Probabilities in 3+2 Schemes

$$\begin{split} \phi_{kj} &= \Delta m_{kj}^2 L/4E \\ \eta &= \arg[U_{e4}^* U_{\mu4} U_{e5} U_{\mu5}^*] \\ P_{(-)}_{\nu_{\mu} \to \nu_{e}}^{(-)} &= 4|U_{e4}|^2 |U_{\mu4}|^2 \sin^2 \phi_{41} + 4|U_{e5}|^2 |U_{\mu5}|^2 \sin^2 \phi_{51} \\ &+ 8|U_{\mu4} U_{e4} U_{\mu5} U_{e5}|\sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \eta) \\ P_{(-)}_{\nu_{\alpha} \to \nu_{\alpha}}^{(-)} &= 1 - 4(1 - |U_{\alpha4}|^2 - |U_{\alpha5}|^2)(|U_{\alpha4}|^2 \sin^2 \phi_{41} + |U_{\alpha5}|^2 \sin^2 \phi_{51}) \\ &- 4|U_{\alpha4}|^2 |U_{\alpha5}|^2 \sin^2 \phi_{54} \end{split}$$

[Sorel, Conrad, Shaevitz, PRD 70 (2004) 073004; Maltoni, Schwetz, PRD 76 (2007) 093005; Karagiorgi et al, PRD 80 (2009) 073001; Kopp, Maltoni, Schwetz, PRI 107 (2011) 091801; Giunti, Laveder, PRD 84 (2011) 073008; Donini et al, JHEP 07 (2012) 161; Archidiacono et al, PRD 86 (2012) 065028; Conrad et al, AHEP 2013 (2013) 163897; Archidiacono et al, PRD 87 (2013) 125034; Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050; Giunti, Laveder, Y.F. Li, H.W. Long, PRD 88 (2013) 073008; Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

- ► Good: CP violation
- Bad: Two massive sterile neutrinos at the eV scale!

4 more parameters: $\Delta m_{41}^2, |U_{e4}|^2, |U_{\mu4}|^2, \Delta m_{51}^2, |U_{e5}|^2, |U_{\mu5}|^2, \eta$

3+1

3+1: Appearance vs Disappearance

• ν_e disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 \left(1 - |U_{e4}|^2\right) \simeq 4|U_{e4}|^2$$

• ν_{μ} disappearance experiments:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 \left(1 - |U_{\mu4}|^2\right) \simeq 4|U_{\mu4}|^2$$

• $\nu_{\mu} \rightarrow \nu_{e}$ experiments:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4}\sin^2 2\vartheta_{ee}\sin^2 2\vartheta_{\mu\mu}$$

▶ Upper bounds on $\sin^2 2\vartheta_{ee}$ and $\sin^2 2\vartheta_{\mu\mu} \implies$ strong limit on $\sin^2 2\vartheta_{e\mu}$

[Okada, Yasuda, IJMPA 12 (1997) 3669-3694]

[Bilenky, Giunti, Grimus, EPJC 1 (1998) 247]

3+1 Global Fit



[different approach and conclusions: Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050]

Goodness of Fit

Assumption or approximation: Gaussian uncertainties and linear model
\$\chi_{min}^2\$ has \$\chi^2\$ distribution with Number of Degrees of Freedom NDF = \$N_D - \$N_P\$ \$N_D = Number of Data \$N_P\$ = Number of Fitted Parameters
\$\langle \chi_{min}^2 \rangle = \$NDF\$ \$\langle \chi_{min}^2 \rangle = \$NDF\$ \$\langle \chi_{min}^2 \rangle = \$2NDF\$ \$\langle \chi_{min}^2 \rangle \chi_{min}^2 \rangle = \$2NDF\$ \$\langle \chi_{\chi_{min}^2} \rangle \chi_{\chi_{min}^2} \rangle \chi_{\chi_{\chi_{min}^2}} \rangle \chi_{\chi_{\chi_{min}^2}} \rangle \chi_{\chi_{\chi_{\chi_{min}^2}}} \rangle \chi_{\chi_{\chi_{\chi_{min}^2}}} \rangle \chi_{\chi

Parameter Goodness of Fit

Maltoni, Schwetz, PRD 68 (2003) 033020, arXiv:hep-ph/0304176

- Measure compatibility of two (or more) sets of data points A and B under fitting model
- $\chi^2_{PGoF} = (\chi^2_{min})_{A+B} [(\chi^2_{min})_A + (\chi^2_{min})_B]$
- ► χ^2_{PGoF} has χ^2 distribution with Number of Degrees of Freedom NDF_{PGoF} = $N_P^A + N_P^B - N_P^{A+B}$
- $PGoF = \int_{\chi^2_{PGoF}}^{\infty} p_{\chi^2}(z, NDF_{PGoF}) dz$

MiniBooNE Low-Energy Excess?



- ▶ No fit of low-energy excess for realistic $\sin^2 2\vartheta_{e\mu} \lesssim 5 \times 10^{-3}$
- APP-DIS PGoF = 0.1%
- Neutrino energy reconstruction problem?

[Martini, Ericson, Chanfray, PRD 85 (2012) 093012; PRD 87 (2013) 013009]

MiniBooNE Impact on SBL Oscillations?



<u>3+2</u>

- 3+2 should be preferred to 3+1 only if
 - there is evidence of two peaks of the probability corresponding to two Δm^2 's
 - or
 - ▶ there is CP-violating difference of $\nu_{\mu} \rightarrow \nu_{e}$ and $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ transitions
- ► 2008 ν + 2010 $\bar{\nu}$ MiniBooNE data indicated $\nu \bar{\nu}$ difference \downarrow reasonable and useful to consider 3+2
- $\nu \bar{\nu}$ difference almost disappeared with 2012 $\bar{\nu}$ data
- Okkam razor: 3+1 is enough!
- Different approach and conclusions:
 - Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050: Use all MiniBooNE data. No 3+1 global fit. 3+2 slightly preferred? Small allowed region.
 - Conrad, Ignarra, Karagiorgi, Shaevitz, Spitz, AHEP 2013 (2013) 163897: Use all MiniBooNE data. 3+2 strongly preferred. Very small allowed regions.

MiniBooNE Low-Energy Excess?



► 3+2: GoF = 8% PGoF = 0.1%

 ν_e and ν_μ Disappearance



Many Exciting New Experiments and Projects

- Reactor $\bar{\nu}_e$ Disappearance:
 - ▶ Nucifer (OSIRIS, Saclay), Stereo (ILL, Grenoble) [arXiv:1204.5379]
 - DANSS (Kalinin Nuclear Power Plant, Russia) [arXiv:1304.3696], POSEIDON (PIK, Gatchina, Russia) [arXiv:1204.2449]
 - SCRAAM (San Onofre, California) [arXiv:1204.5379]
 - CARR (China Advanced Research Reactor) [arXiv:1303.0607]
 - ► Neutrino-4 (SM-3, Dimitrovgrad, Russia), SOLID (BR2, Belgium), Hanaro (Korea) [D. Lhuillier, EPSHEP 2013]
- Radioactive Source ν_e and $\bar{\nu}_e$ Disappearance:
 - SOX (Borexino, Gran Sasso, Italy) [arXiv:1304.7721]
 - CeLAND (¹⁴⁴Ce@KamLAND, Japan) [arXiv:1107.2335]
 - SAGE (Baksan, Russia) [arXiv:1006.2103]
 - ► IsoDAR (DAEδALUS, USA) [arXiv:1210.4454, arXiv:1307.2949]
 - ► SNO+, Daya Bay, RENO [T. Lasserre, Neutrino 2012]
- Accelerator $\overset{(-)}{\nu_{\mu}} \rightarrow \overset{(-)}{\nu_{e}}$ Appearance:
 - ICARUS/NESSIE (CERN) [arXiv:1304.2047, arXiv:1306.3455]
 - nuSTORM [arXiv:1308.0494]
 - OscSNS (Oak Ridge, USA) [arXiv:1305.4189, arXiv:1307.7097]

Effects of light sterile neutrinos can be also seen in:

Solar neutrinos

[Dooling et al, PRD 61 (2000) 073011, Gonzalez-Garcia et al, PRD 62 (2000) 013005; Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301; Li et al, PRD 80 (2009) 113007, PRD 87, 113004 (2013), JHEP 1308 (2013) 056; Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050]

Atmospheric neutrinos

[Goswami, PRD 55 (1997) 2931; Bilenky, Giunti, Grimus, Schwetz, PRD 60 (1999) 073007; Maltoni, Schwetz, Tortola, Valle, NPB 643 (2002) 321, PRD 67 (2003) 013011; Choubey, JHEP 12 (2007) 014; Razzaque, Smirnov, JHEP 07 (2011) 084, PRD 85 (2012) 093010; Gandhi, Ghoshal, PRD 86 (2012) 037301; Esmaili, Halzen, Peres, JCAP 1211 (2012) 041; Esmaili, Smirnov, arXiv:1307.6824]

Supernova neutrinos

[Caldwell, Fuller, Qian, PRD 61 (2000) 123005; Peres, Smirnov, NPB 599 (2001); Sorel, Conrad, PRD 66 (2002) 033009; Tamborra, Raffelt, Huedepohl, Janka, JCAP 1201 (2012) 013; Wu, Fischer, Martinez-Pinedo, Qian, arXiv:1305.2382]

Conclusions

- ► Robust Three-Neutrino Mixing Paradigm. Open problems: ϑ₂₃ ≤ 45°?, Mass Hierarchy, CP Violation, Absolute Mass Scale, Dirac or Majorana?
- Very interesting indications of light sterile neutrinos with $m_s \approx 1 \,\mathrm{eV}$:
 - LSND $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ signal.
 - Reactor $\bar{\nu}_e$ disappearance.
 - Gallium ν_e disappearance.
- ► Many promising projects to test in a few years short-baseline v_e and v
 _e and
- More difficult (expensive) projects to check the LSND $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ signal are under discussion.
- Cosmology:
 - Important effects of sterile neutrinos.
 - Implications depend on theoretical framework and considered data set.
 - Cosmological indications must be checked by laboratory experiments.