

# Neutrinos

in Astrophysics and Cosmology

## Cosmological Neutrinos 1

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Luminous Matter  
(mostly galaxies)

Gravitating mass  
 $5.1 \text{ GeV/m}^3$

“Baryonic matter” (mostly dark)  
 $0.25 \text{ GeV/m}^3$

“Dark matter”  
 $1.3 \text{ GeV/m}^3$

Cosmic microwave photons  
( $T = 2.75 \text{ K}$ )  
 $4.11 \times 10^8 / \text{m}^3$

Neutrinos+Antineutrinos  
per flavor  $1.12 \times 10^8 / \text{m}^3$

# Structure of Spiral Galaxies



Spiral Galaxy NGC 2997



Spiral Galaxy NGC 891

# Structure of a Spiral Galaxy



**Dark Halo**

# Structure of a Spiral Galaxy

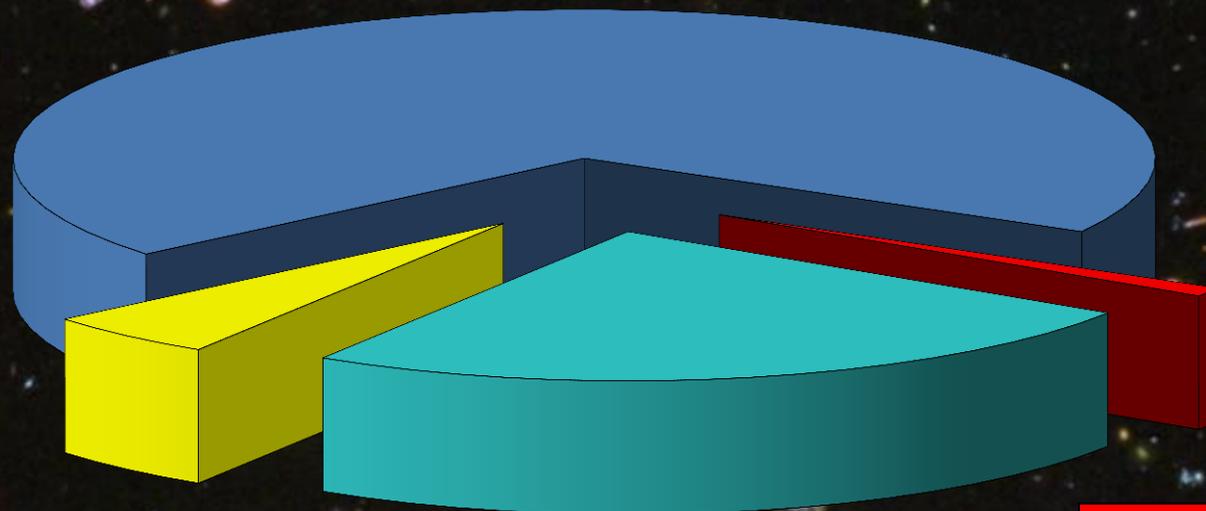


**Dark Halo**

# Bullet Cluster (1E 0657-56)



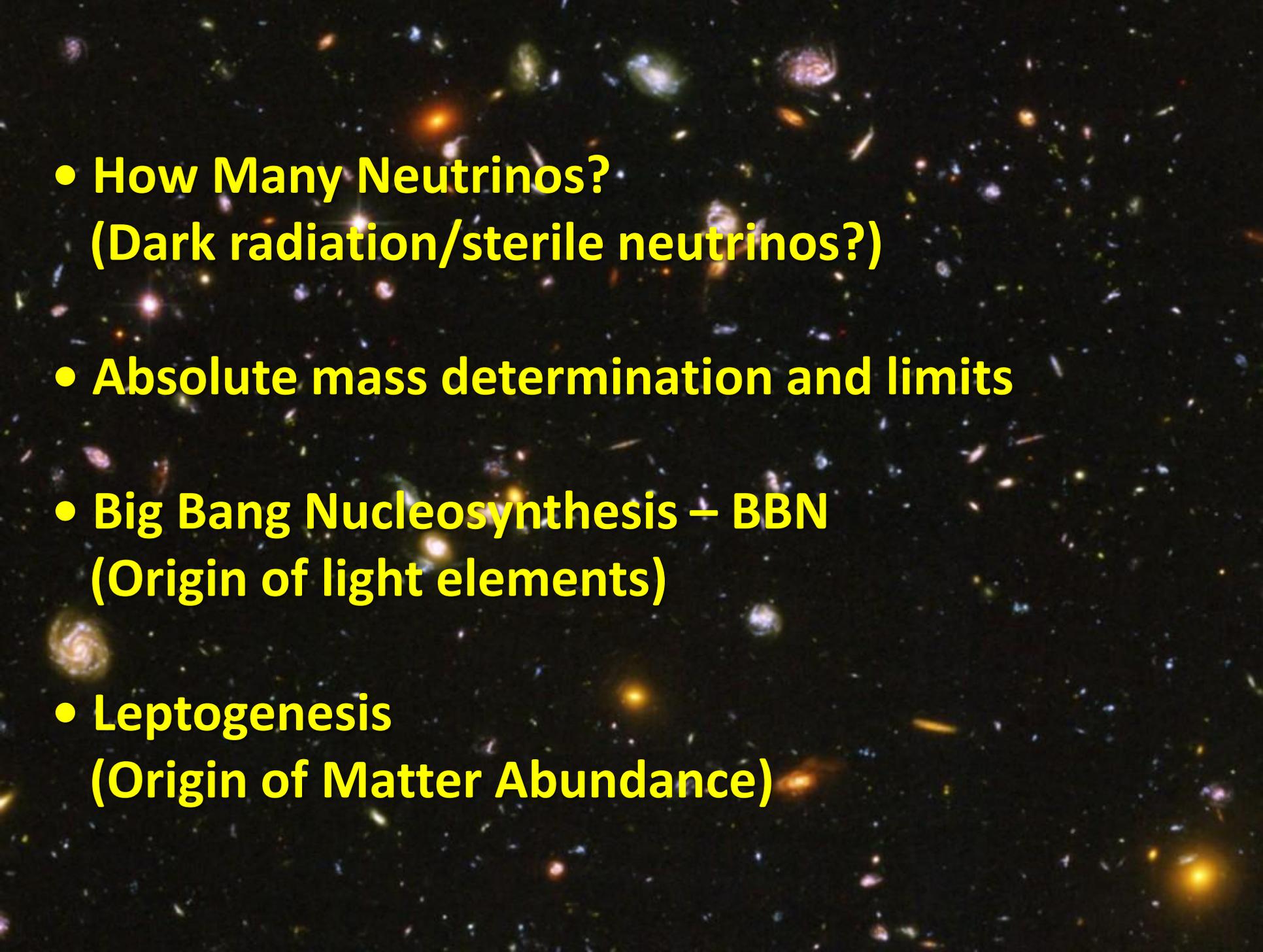
**Dark Energy ~70%**  
**(Cosmological Constant)**

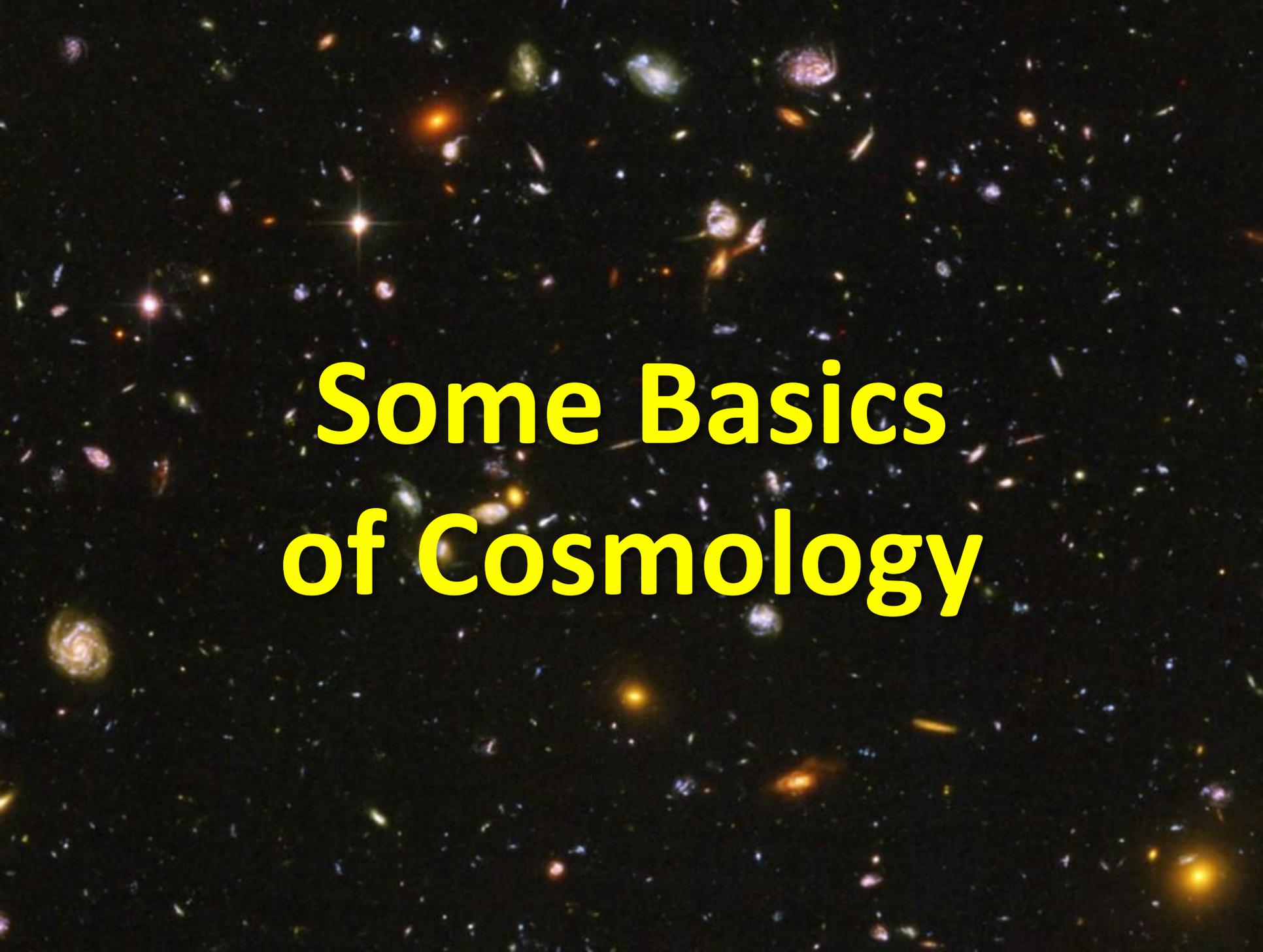


**Ordinary Matter ~5%**  
**(of this only about 10% luminous)**

**Dark Matter ~25%**

**Neutrinos 0.1-1%**

- 
- **How Many Neutrinos?**  
**(Dark radiation/sterile neutrinos?)**
  - **Absolute mass determination and limits**
  - **Big Bang Nucleosynthesis – BBN**  
**(Origin of light elements)**
  - **Leptogenesis**  
**(Origin of Matter Abundance)**

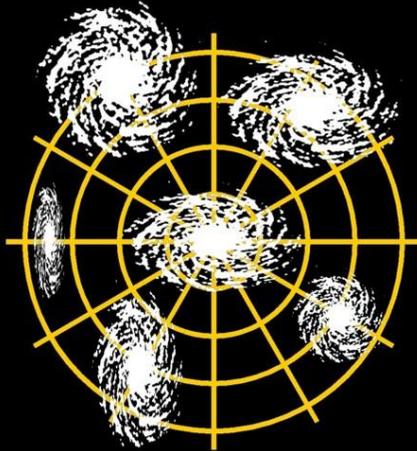
A deep field image of the universe, showing a vast field of galaxies in various colors (blue, green, orange, purple) and shapes (spiral, elliptical, irregular) against a black background. The galaxies are scattered across the frame, with some appearing as bright, distinct points and others as more diffuse, extended structures. The overall scene is a rich, multi-colored tapestry of cosmic objects.

# Some Basics of Cosmology

# Expanding Universe and the Big Bang

Hubble's law

$$v_{\text{expansion}} = H_0 \times \text{Distance}$$



Hubble's constant

$$H_0 = 67.3 \pm 1.2 \text{ km s}^{-1} \text{ Mpc}^{-1}$$

$$\begin{aligned} 1 \text{ Mpc} &= 3.26 \times 10^6 \text{ yr} \\ &= 3.08 \times 10^{24} \text{ cm} \end{aligned}$$

Expansion age of the universe

$$t_0 \approx H_0^{-1} \approx 14 \times 10^9 \text{ years}$$

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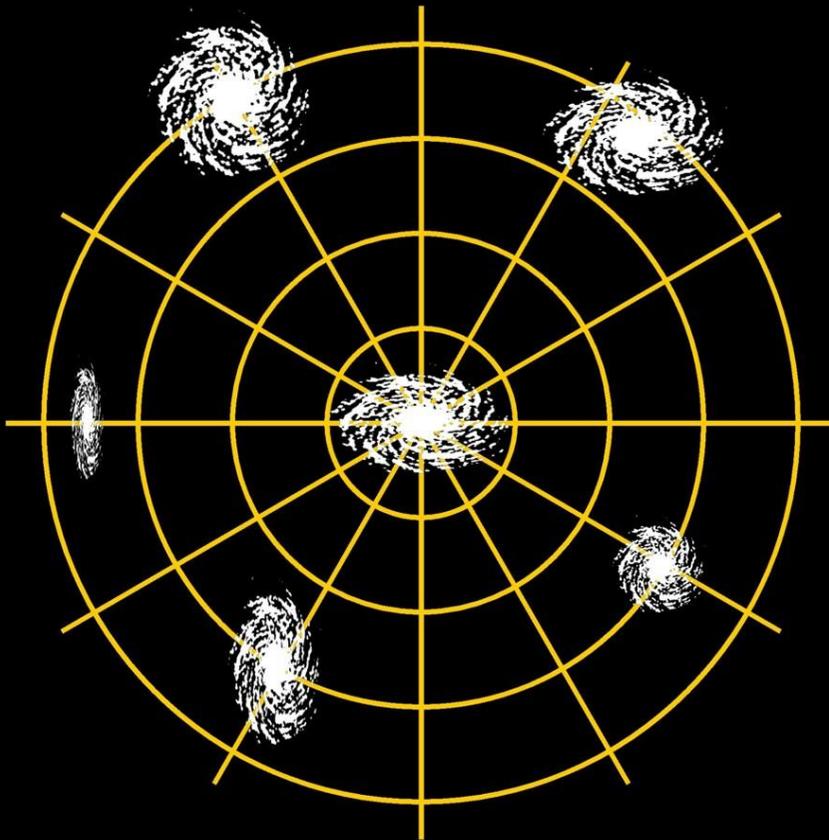
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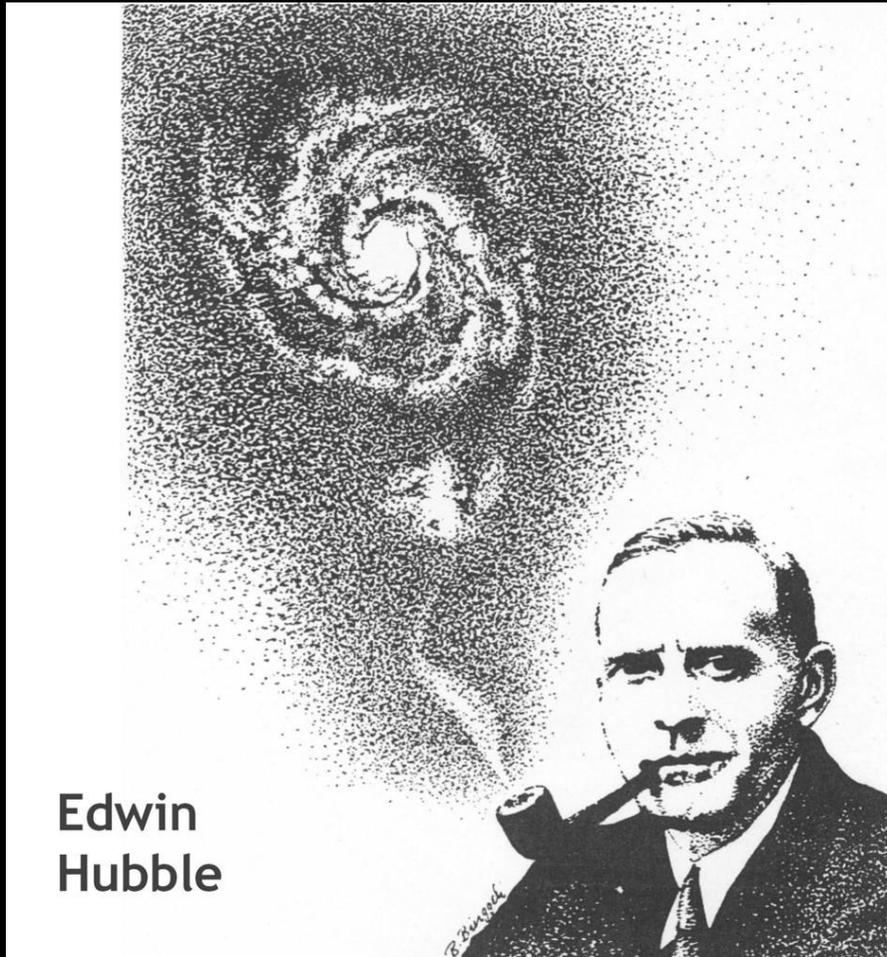
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# Expanding Universe and the Big Bang



Edwin  
Hubble

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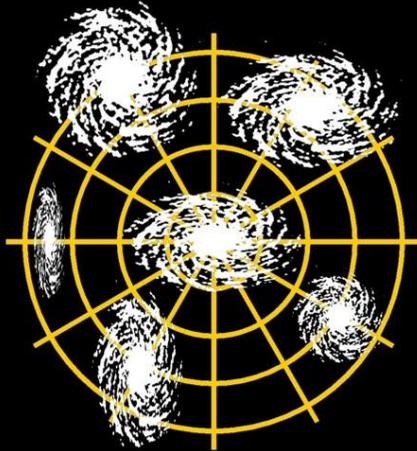
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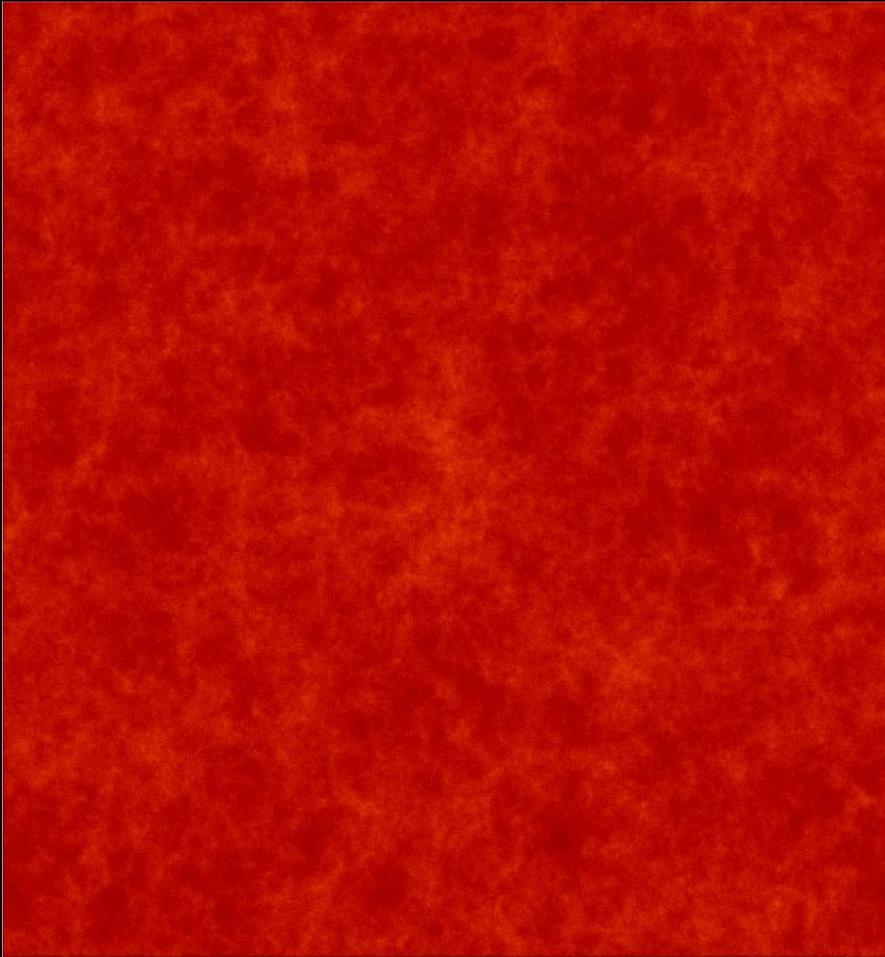
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# Expanding Universe and the Big Bang

- Photons
- Neutrinos
- Charged Leptons
- Quarks
- Gluons
- W- and Z-Bosons
- Higgs Particles
- Gravitons
- Dark-Matter Particles
- Topological defects
- ...

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# The Big Bang

15 thousand million years

1 thousand million years

300 thousand years

3 minutes

1 second

$10^{-10}$  seconds

$10^{-34}$  seconds

$10^{-42}$  seconds

$10^{32}$  degrees

$10^{27}$  degrees

$10^{15}$  degrees

$10^{10}$  degrees

$10^9$  degrees

6000 degrees

18 degrees

3 degrees K

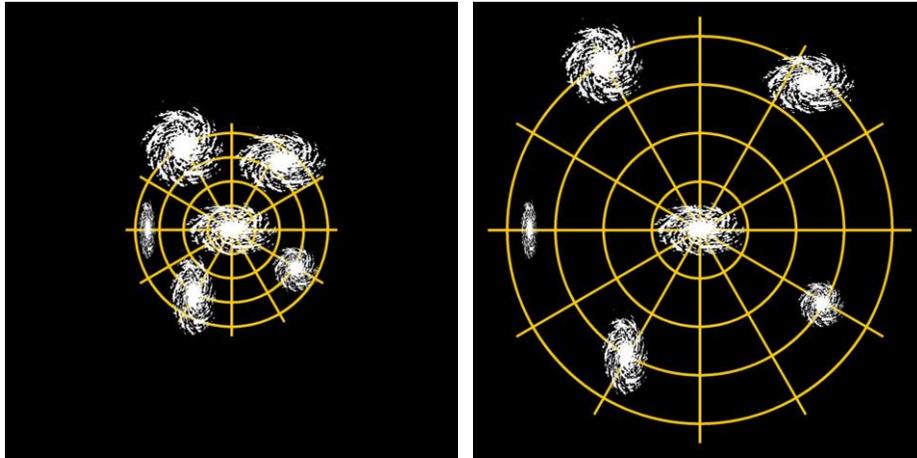
-  radiation
-  particles
- $W^+$  } heavy particles carrying the weak force
- $W^-$  }
- $Z$  }
-  quark
-  anti-quark
- $e^-$  electron
- $e^+$  positron (anti-electron)
-  proton
-  neutron
-  meson
- $H$  hydrogen
- $D$  deuterium
- $He$  helium
- $Li$  lithium



*M. S. ...*

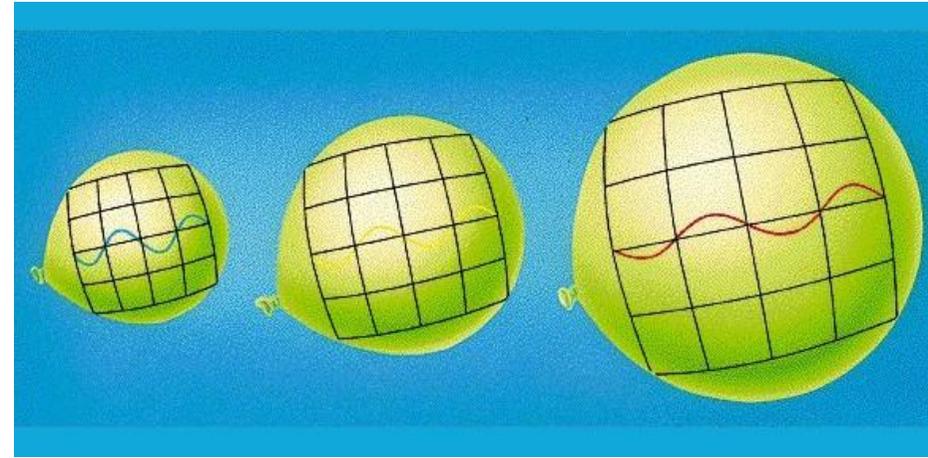
# Cosmic Expansion

## Cosmic Scale Factor



- Space between galaxies grows
- Galaxies (stars, people) stay the same (dominated by local gravity or by electromagnetic forces)
- Cosmic scale factor today:  $a = 1$

## Cosmic Redshift



- Wavelength of light is “stretched”
- Suffers redshift  $z + 1 = \frac{\lambda_{\text{today}}}{\lambda_{\text{then}}}$
- Redshift today:  $z = 0$

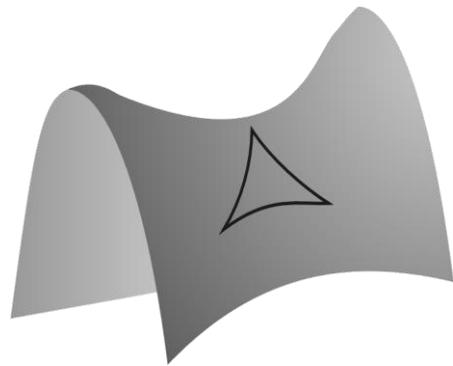
$$z + 1 = \frac{\lambda_{\text{today}}}{\lambda_{\text{then}}} = \frac{a_{\text{today}}}{a_{\text{then}}}$$

# Friedman-Robertson-Walker-Lemaître Cosmology

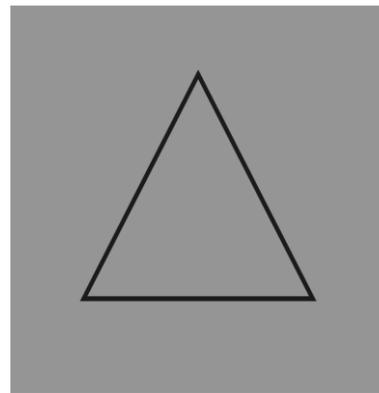
- On scales  $\gtrsim 100$  Mpc, space is maximally symmetric (homogeneous & isotropic)
- The corresponding **Robertson-Walker metric** is

$$ds^2 = dt^2 - a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2 (d\theta^2 + \sin^2\theta d\phi^2) \right]$$

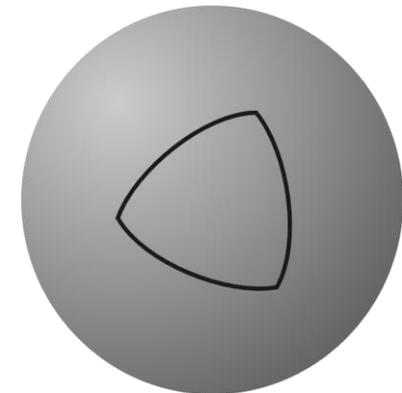
↑ Clock time of co-moving observer    ↑ Cosmic scale factor    ↑ Curvature  $k = 0, \pm 1$     ↑ Co-moving spherical coordinates  $r$  is dimensionless



$k = -1$



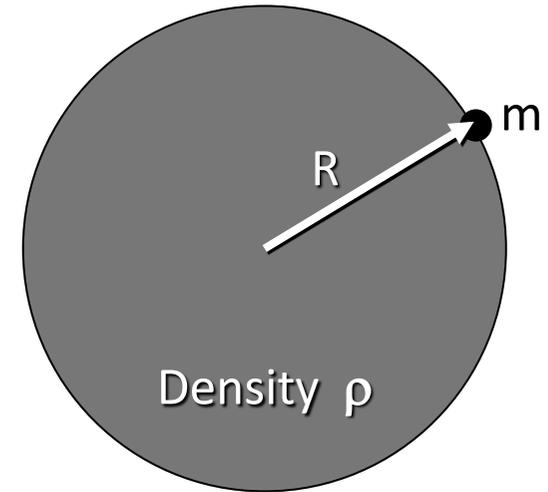
$k = 0$



$k = +1$

# Friedman Equation: Newtonian Derivation

- Birkhoff's theorem:  
Spherical symmetry implies that only the mass interior to a radius  $R$  is relevant for the motion of a test mass  $m$  at  $R$



- Energy conservation  $V_{\text{pot}} + V_{\text{kin}} = \text{const}$

$$-\frac{G_N \frac{4\pi}{3} R^3 \rho m}{R} + \frac{1}{2} \dot{R}^2 m = \text{const}$$

$$\Rightarrow \left(\frac{\dot{R}}{R}\right)^2 = \frac{8\pi}{3} G_N \rho + \frac{\text{const}}{R^2}$$

- Rescale  $R = a R_C$  with cosmic scale factor  $a$  and  $R_C$  radius of curvature today

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi}{3} G_N \rho - \frac{k}{a^2 R_C^2} \quad \text{Friedman Equation}$$

with  $k = 0, \pm 1$

# Critical Density and Density Parameter

- Evolution of the cosmic scale factor  $a(t)$  is governed by the **Friedman Equation**

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi}{3} G_N \rho - \frac{k}{a^2 R_C^2}$$

- In a flat universe ( $k = 0$ ), the relationship between  $H$  and  $\rho$  is unique

$$\rho_{\text{crit}} = \frac{3H^2}{8\pi G_N} = \frac{3}{8\pi} (H m_{\text{Pl}})^2 \quad \text{critical density}$$

- Cosmic density always expressed in terms of density parameters

$$\Omega = \frac{\rho}{\rho_{\text{crit}}} = \frac{8\pi G_N \rho}{3H^2}$$

- With the present-day Hubble parameter  $H_0 = 67.3 \text{ km s}^{-1} \text{ Mpc}^{-1}$  we have

$$\rho_{\text{crit}} = 8.51 \times 10^{-30} \text{ g cm}^{-3} = 5 \text{ GeV m}^{-3} = (2.5 \text{ meV})^4$$

Most of this in the form of “dark energy”

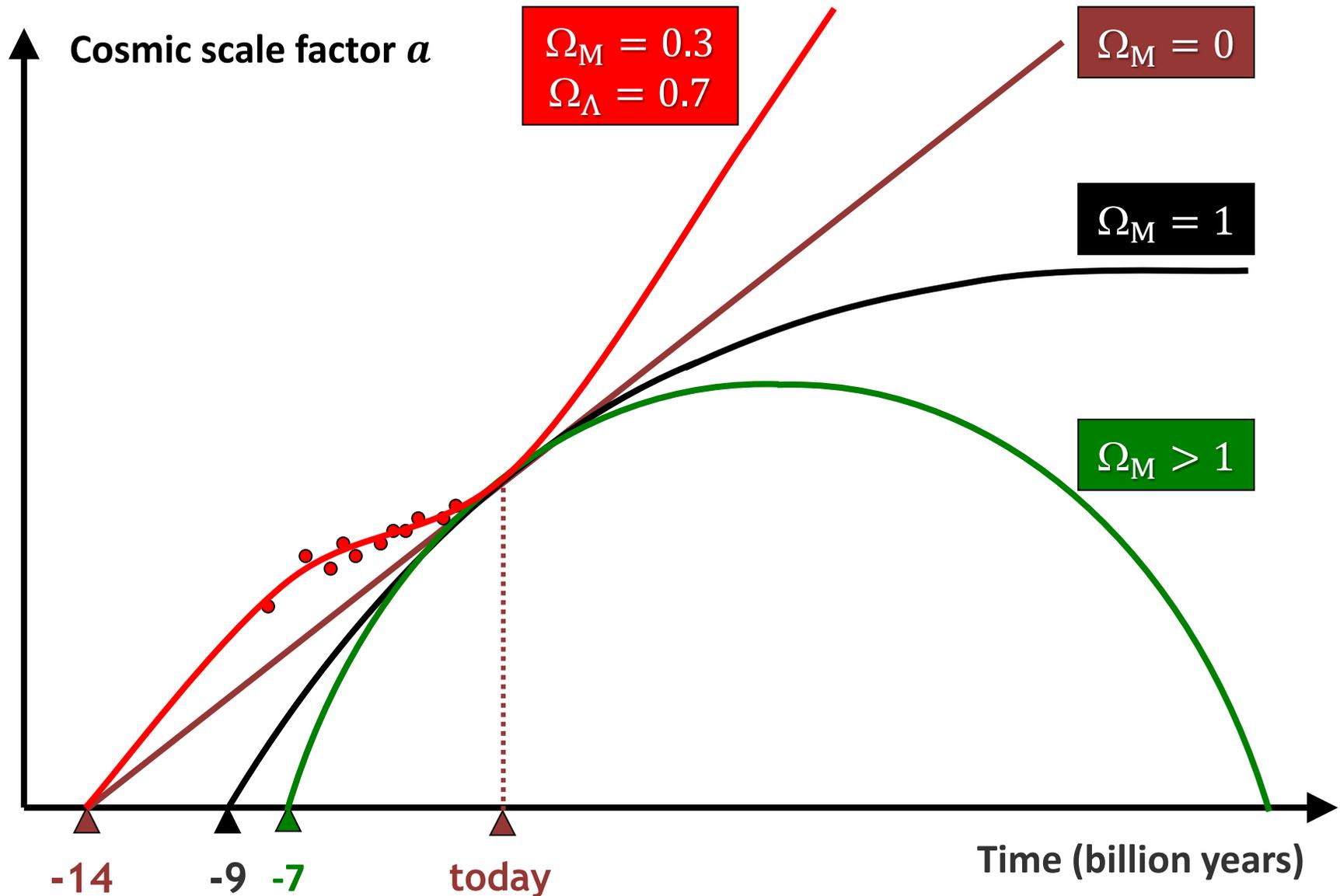
# Generic Solutions of Friedman Equation

	Equation of state	Behavior of energy-density under cosmic expansion		Evolution of cosmic scale factor
Radiation	$p = \frac{\rho}{3}$	$\rho \propto a^{-4}$	Dilution of radiation and redshift of energy	$a(t) \propto t^{1/2}$
Matter	$p = 0$	$\rho \propto a^{-3}$	Dilution of matter	$a(t) \propto t^{2/3}$
Vacuum energy	$p = -\rho$	$\rho = \text{const}$	Vacuum energy not diluted by expansion	$a(t) \propto e^{\sqrt{\Lambda/3} t}$ $\Lambda = 8\pi G_N \rho_{\text{vac}}$

**Energy-momentum tensor of a perfect fluid with density  $\rho$  and pressure  $p$**

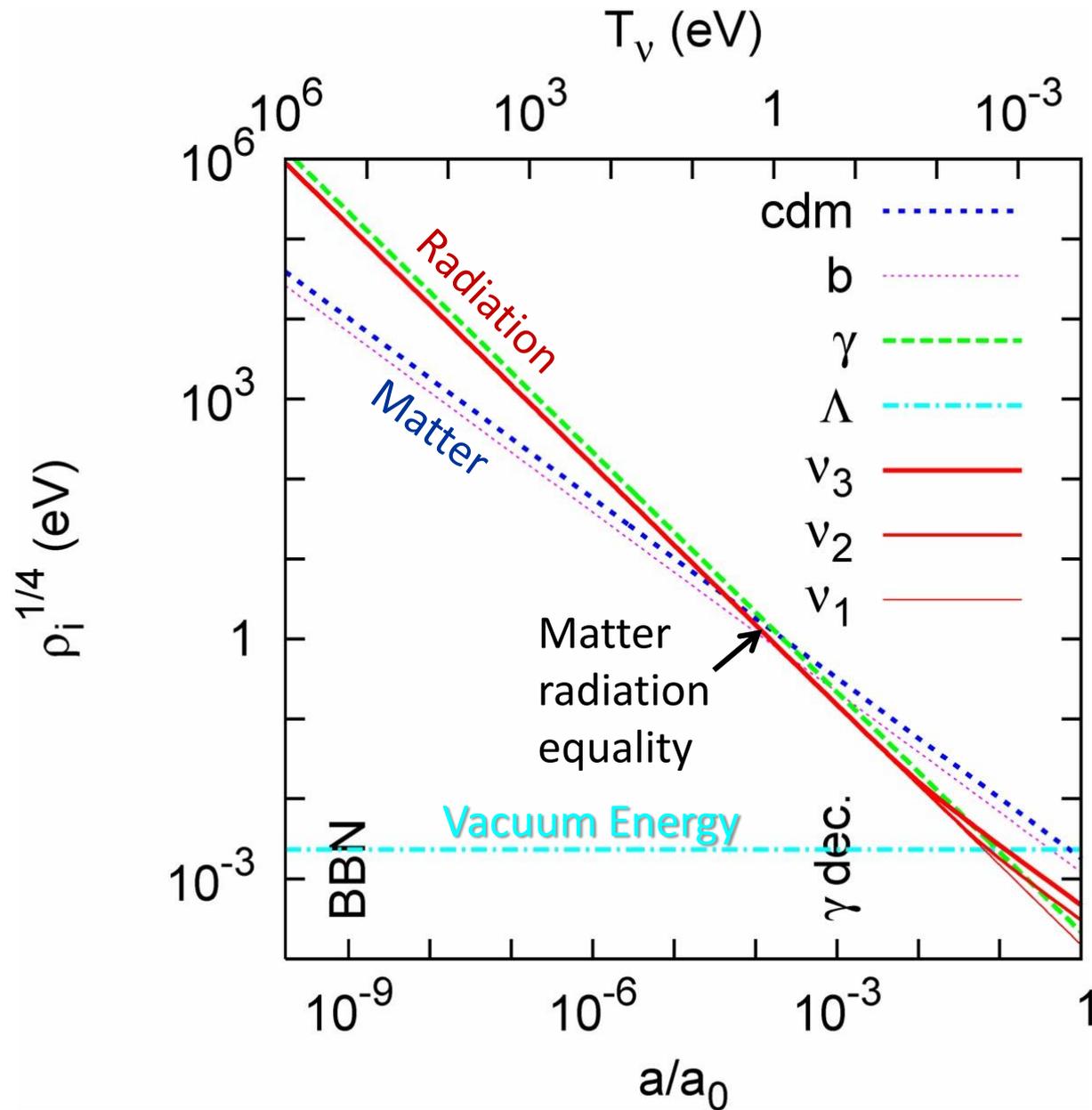
$$T^{\mu\nu} = \begin{pmatrix} \rho & & & \\ & p & & \\ & & p & \\ & & & p \end{pmatrix} \quad T_{\text{vac}}^{\mu\nu} = \rho g^{\mu\nu} \begin{pmatrix} \rho & & & \\ & -\rho & & \\ & & -\rho & \\ & & & -\rho \end{pmatrix}$$

# Expansion of Different Cosmological Models



Adapted from Bruno Leibundgut

# Evolution of Cosmic Density Components



Assumed neutrino masses

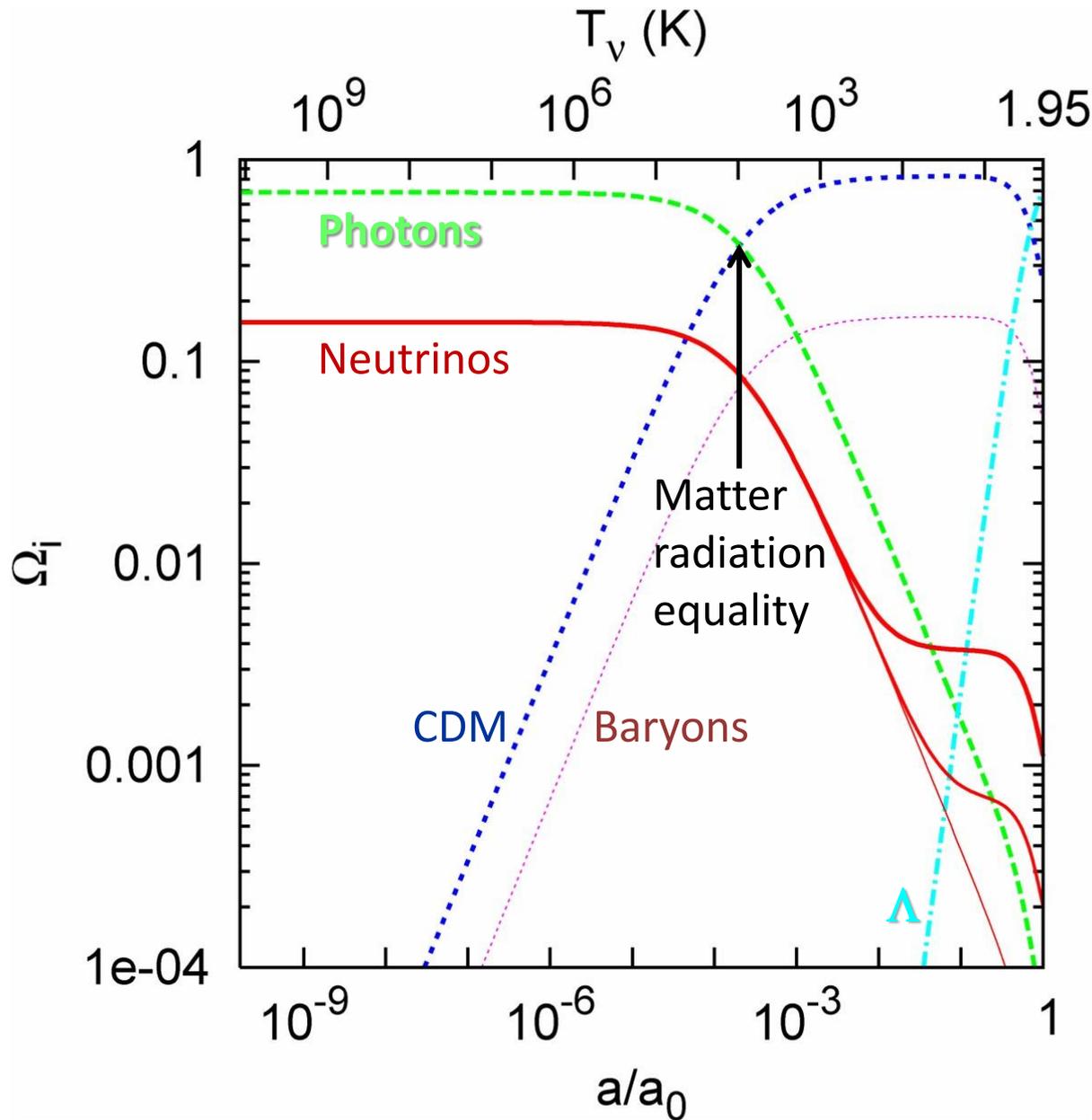
$$m_3 = 50 \text{ meV}$$

$$m_2 = 9 \text{ meV}$$

$$m_1 = 0$$

Lesgourgues & Pastor  
astro-ph/0603494

# Evolution of Cosmic Density Components



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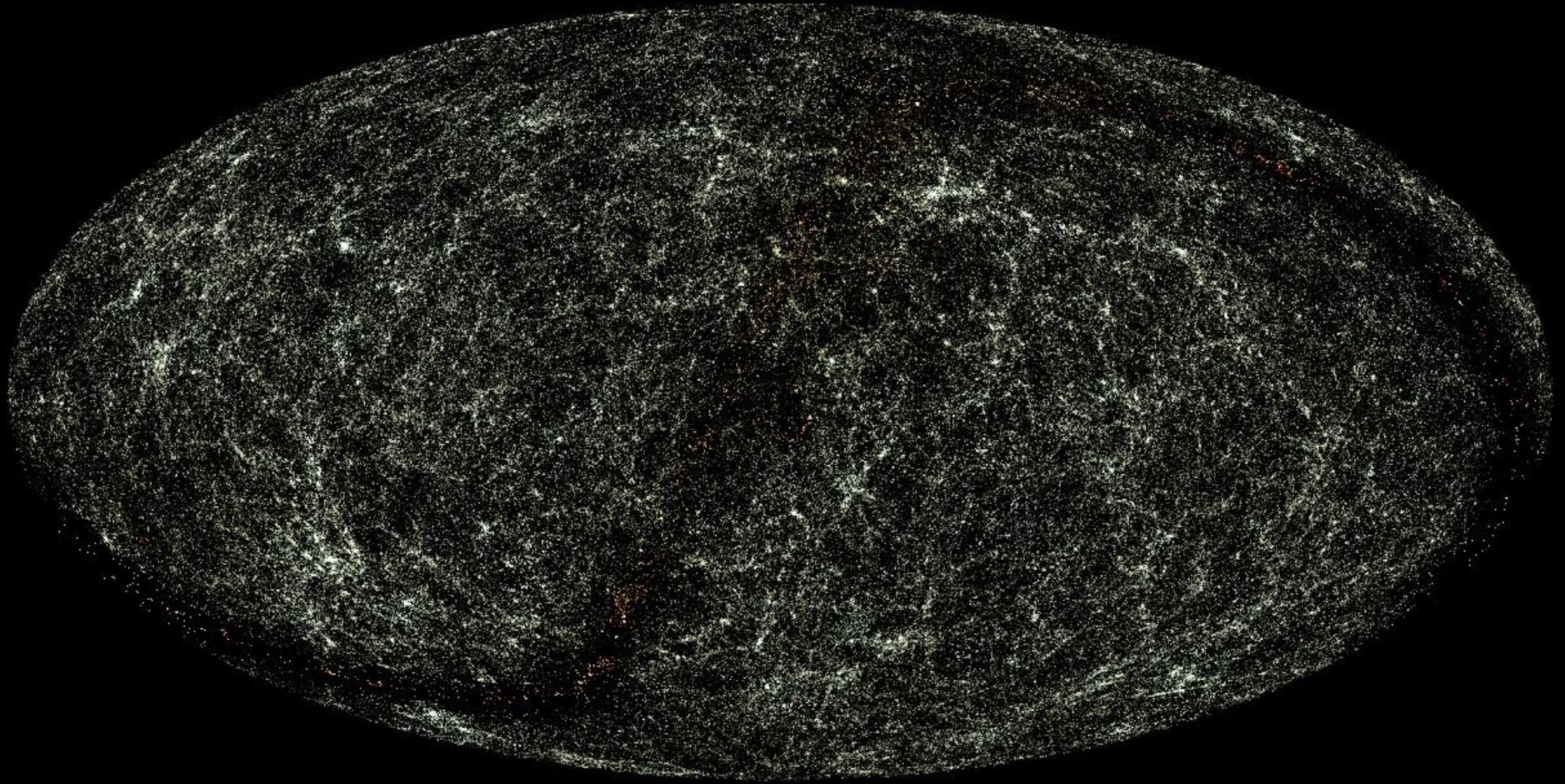
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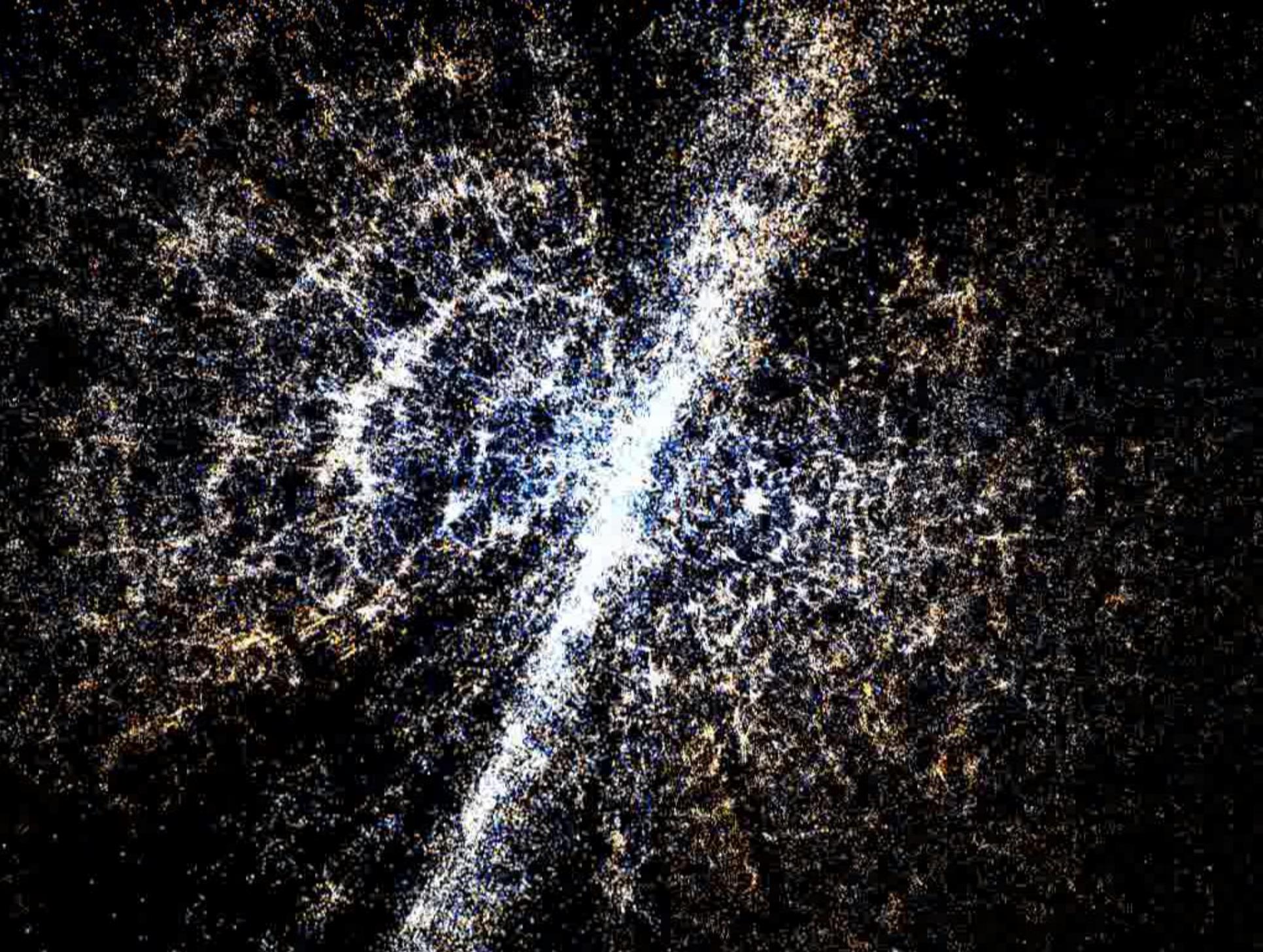
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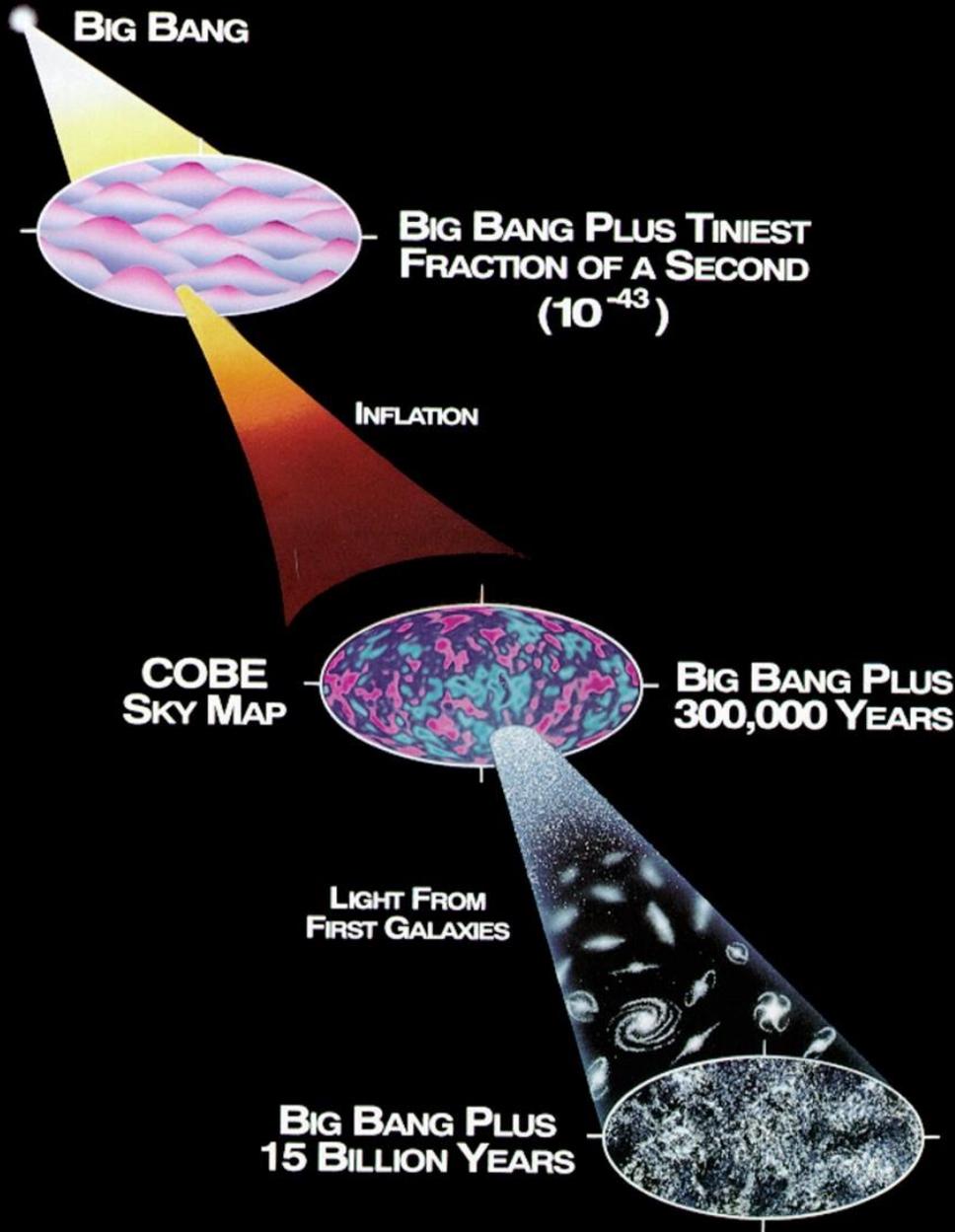
# Sky Map of Galaxies (XMASS XSC)



[http://spider.ipac.caltech.edu/staff/jarrett/2mass/XSC/jarrett\\_allsky.html](http://spider.ipac.caltech.edu/staff/jarrett/2mass/XSC/jarrett_allsky.html)



# Structure Formation in the Universe



Early phase of exponential expansion (Inflationary epoch)

Zero-point fluctuations of quantum fields are stretched and frozen

Structure grows by gravitational instability

Cosmic density fluctuations are frozen quantum fluctuations

# Power Spectrum of Density Fluctuations

Field of density fluctuations of matter (e.g. dark matter)

$$\delta(\mathbf{x}) = \frac{\delta\rho(\mathbf{x})}{\bar{\rho}}$$

Fourier transform of density field

$$\delta_{\mathbf{k}} = \int d^3\mathbf{x} e^{-i\mathbf{k}\cdot\mathbf{x}} \delta(\mathbf{x})$$

Power spectrum is essentially the square of the Fourier transform ( $\hat{\delta}$  is  $\delta$ -function)

$$\langle \delta_{\mathbf{k}} \delta_{\mathbf{k}'} \rangle = (2\pi)^3 \hat{\delta}(\mathbf{k} - \mathbf{k}') P(\mathbf{k})$$

Power spectrum is Fourier transform of two-point correlation function ( $\mathbf{x} = \mathbf{x}_2 - \mathbf{x}_1$ )

$$\xi(\mathbf{x}) = \langle \delta(\mathbf{x}_2) \delta(\mathbf{x}_1) \rangle = \int \frac{d^3\mathbf{k}}{(2\pi)^3} e^{i\mathbf{k}\cdot\mathbf{x}} P(\mathbf{k}) = \int \frac{d\Omega}{4\pi} \frac{dk}{k} e^{ik\cdot x} \underbrace{\frac{k^3 P(\mathbf{k})}{2\pi^2}}_{\Delta^2(\mathbf{k})}$$

Gaussian random field (phases of  $\delta_{\mathbf{k}}$  uncorrelated) is fully characterized by

$$P(k) = |\delta_{\mathbf{k}}|^2 \quad \text{Power Spectrum}$$

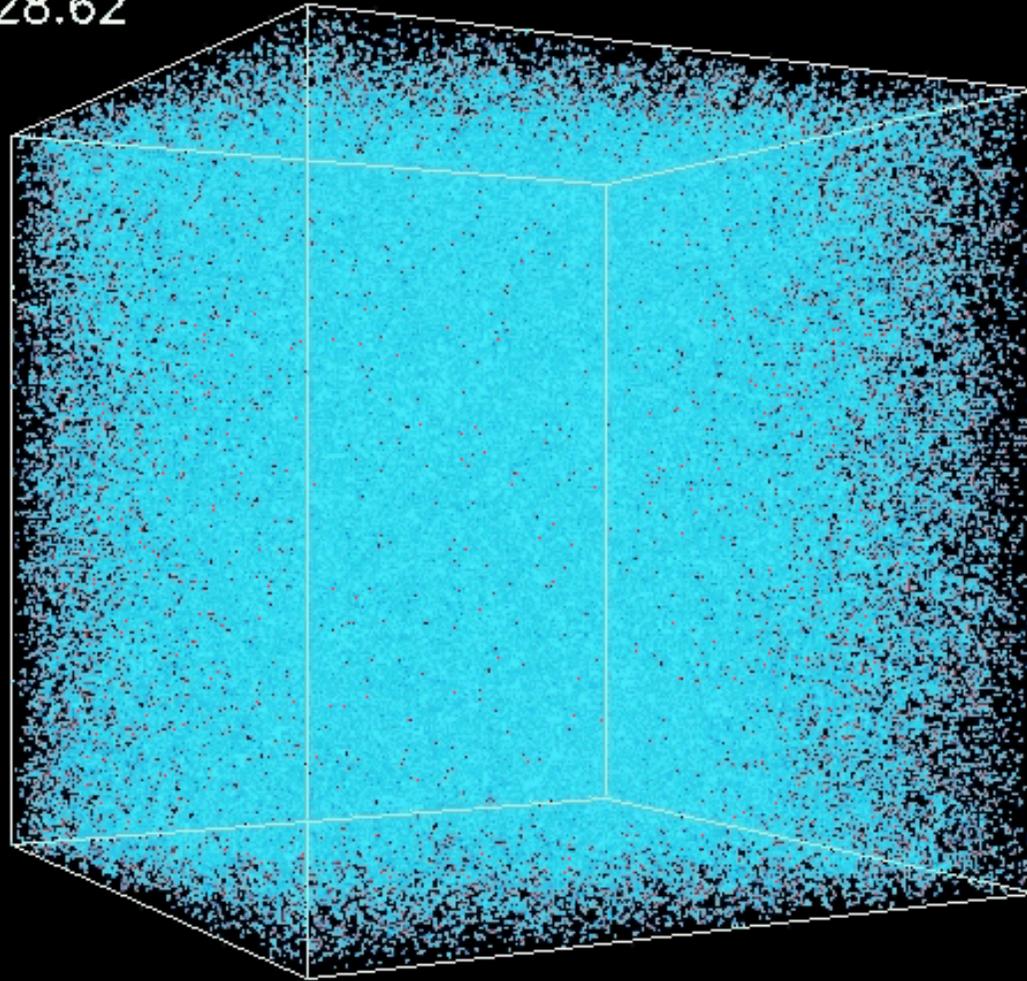
or equivalently by

$$\Delta(k) = \left( \frac{k^3 P(k)}{2\pi^2} \right)^{1/2} = \frac{k^{3/2} |\delta_{\mathbf{k}}|}{\sqrt{2} \pi}$$

No “non-Gaussianities” in cosmological precision data (Planck CMBR results)

# Structure Formation by Gravitational Instability

$Z=28.62$



# Gravitational Growth of Density Perturbations

The dynamical evolution of small perturbations

$$\delta(x) = \frac{\delta\rho(x)}{\bar{\rho}} \ll 1$$

is independent for each Fourier mode  $\delta_k$  (linear regime)

- For pressureless, nonrelativistic matter (cold dark matter) naively expect exponential growth by gravitational instability
- **But only power-law growth in expanding universe** (competition between expansion and gravitational instability)

	Sub-horizon $\lambda \ll H^{-1}$	Super-horizon $\lambda \gg H^{-1}$
Radiation dominates $a \propto t^{1/2}$	$\delta_k \propto \text{const}$	$\delta_k \propto a^2 \propto t$
Matter dominates $a \propto t^{2/3}$	$\delta_k \propto a \propto t^{2/3}$	

Density contrast grows linearly with scale factor

# Processed Power Spectrum in CDM Scenario

Primordial spectrum usually assumed to be a power law

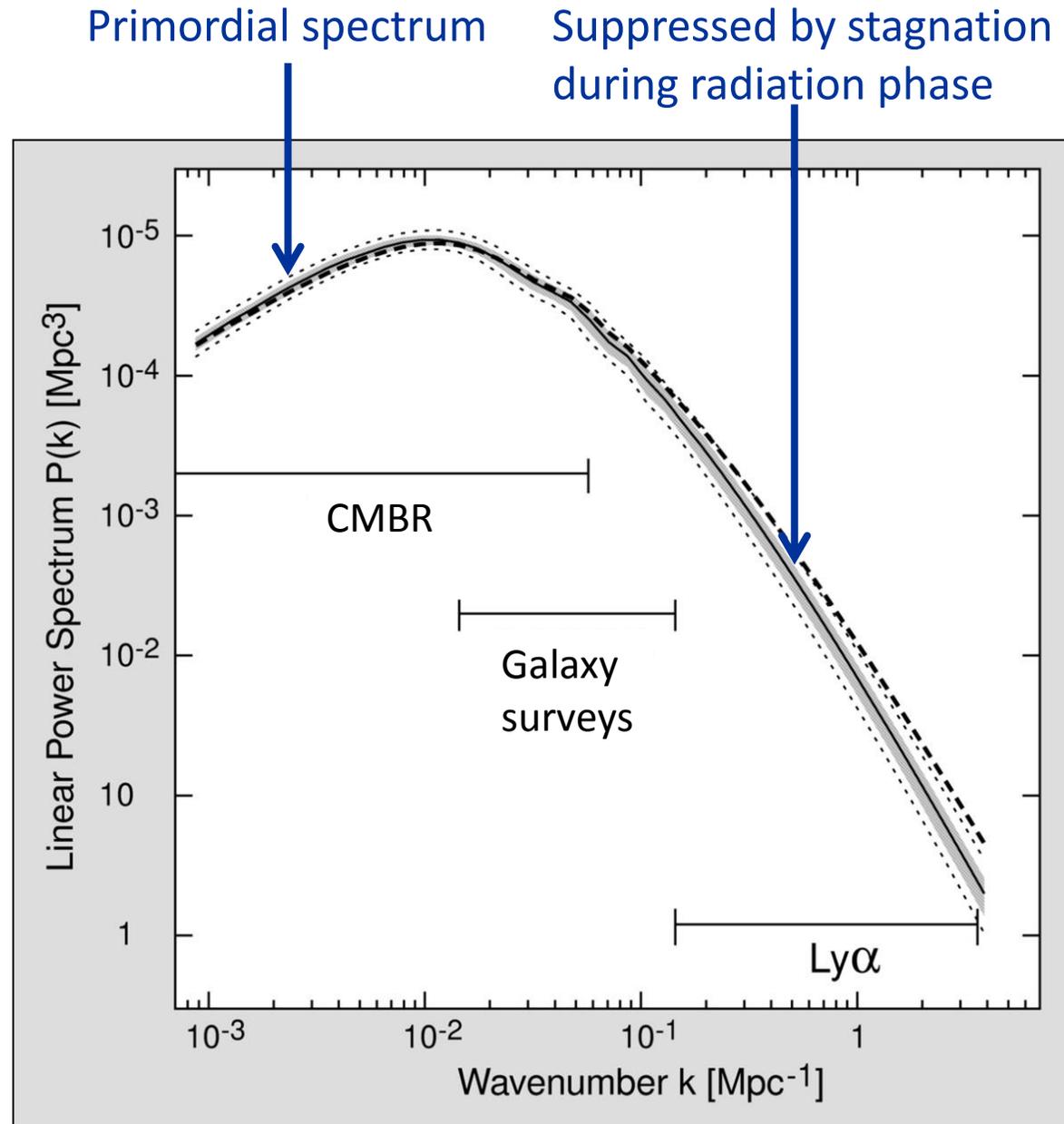
$$P(k) = |\delta_k|^2 \propto k^{n_s}$$

Harrison-Zeldovich spectrum (“flat”) has  $n_s = 1$

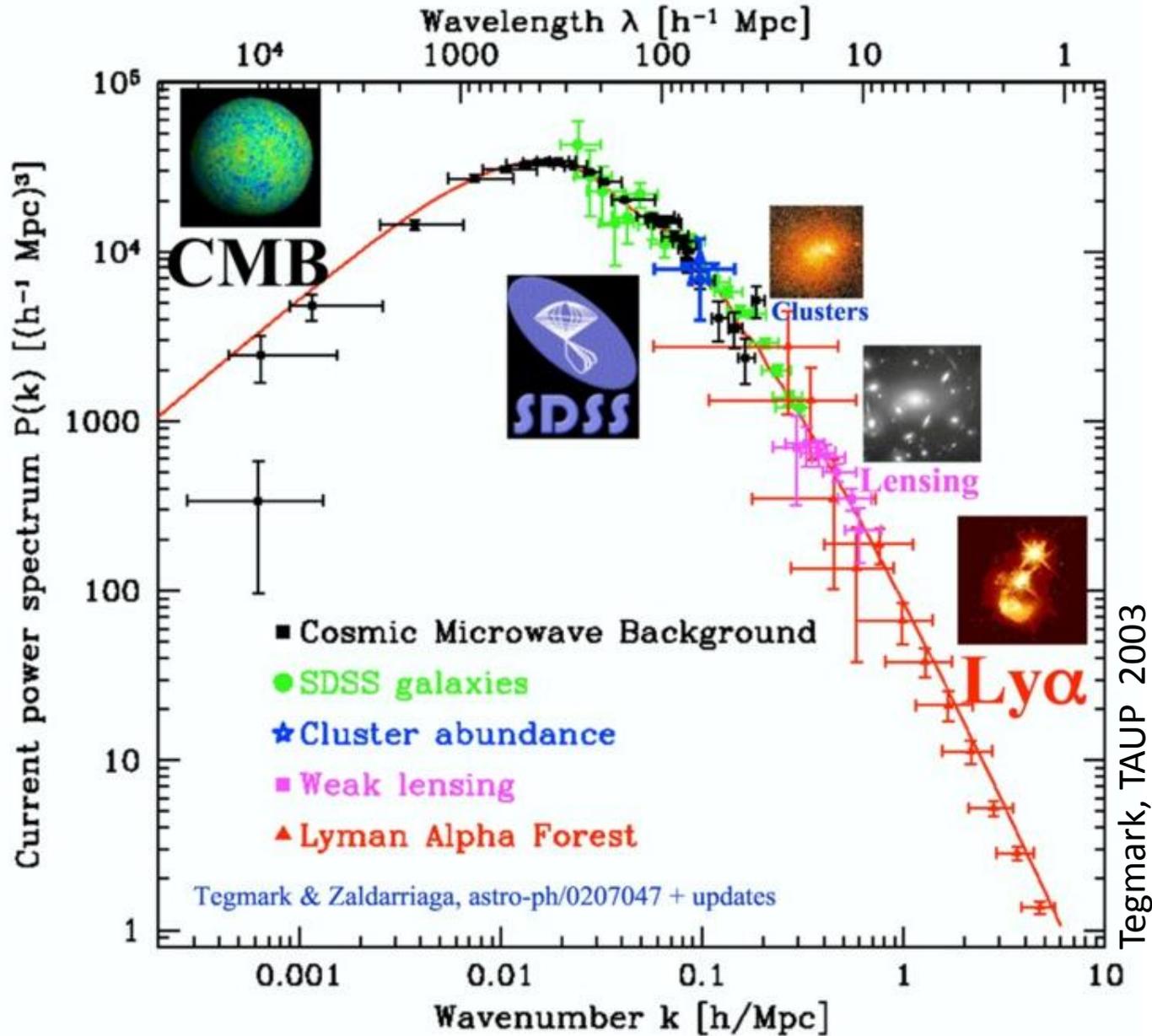
Precision cosmology provides

$$n_s = 0.960 \pm 0.007$$

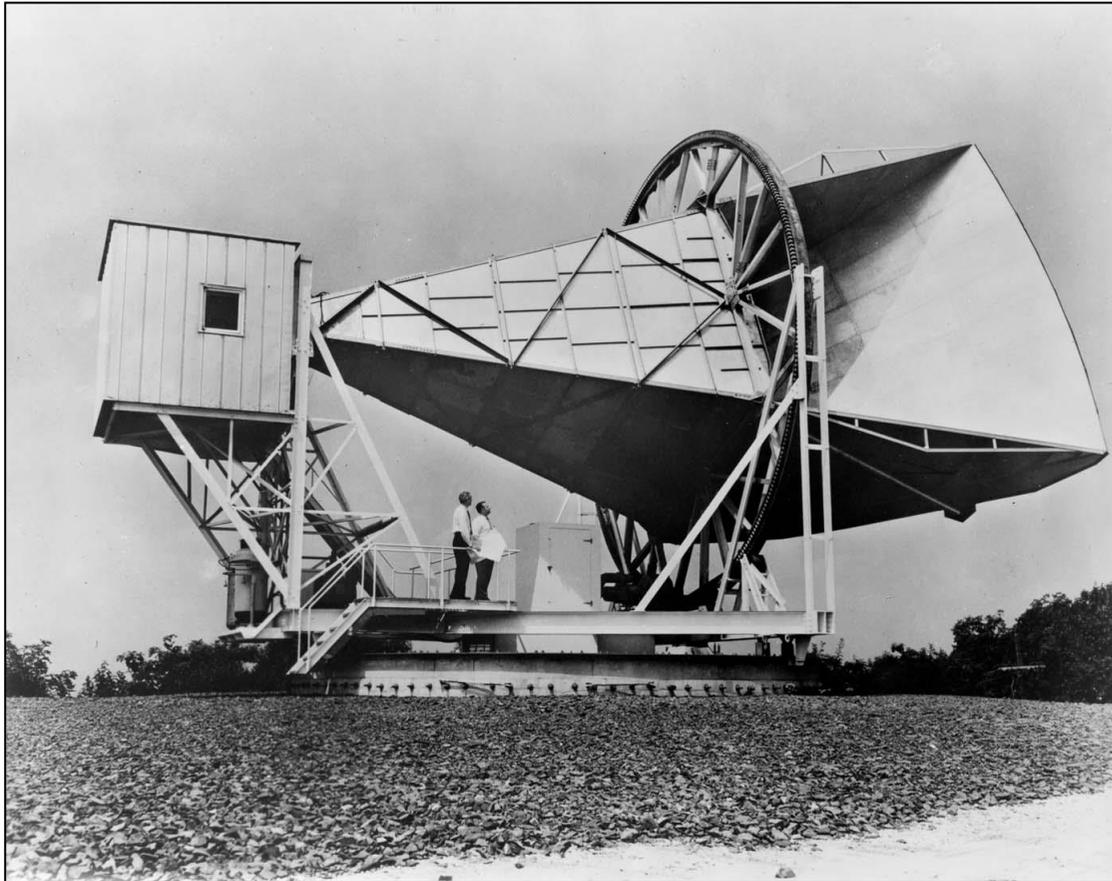
in spectacular agreement with simplest theories of inflation



# Power Spectrum of Cosmic Density Fluctuations



# Discovery of the Cosmic Microwave Background Radiation



Robert W. Wilson  
Born 1936

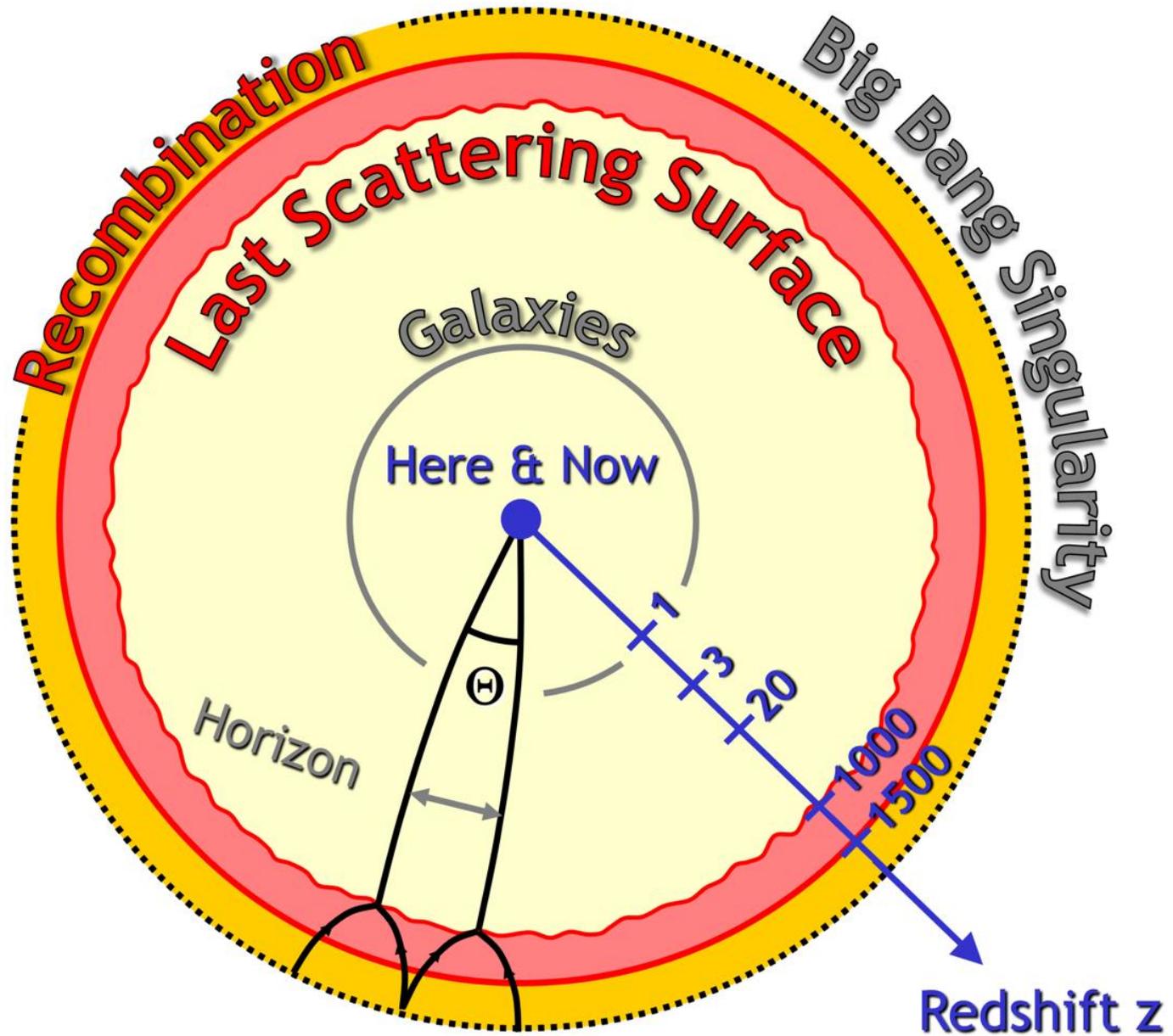


Arno A. Penzias  
Born 1933

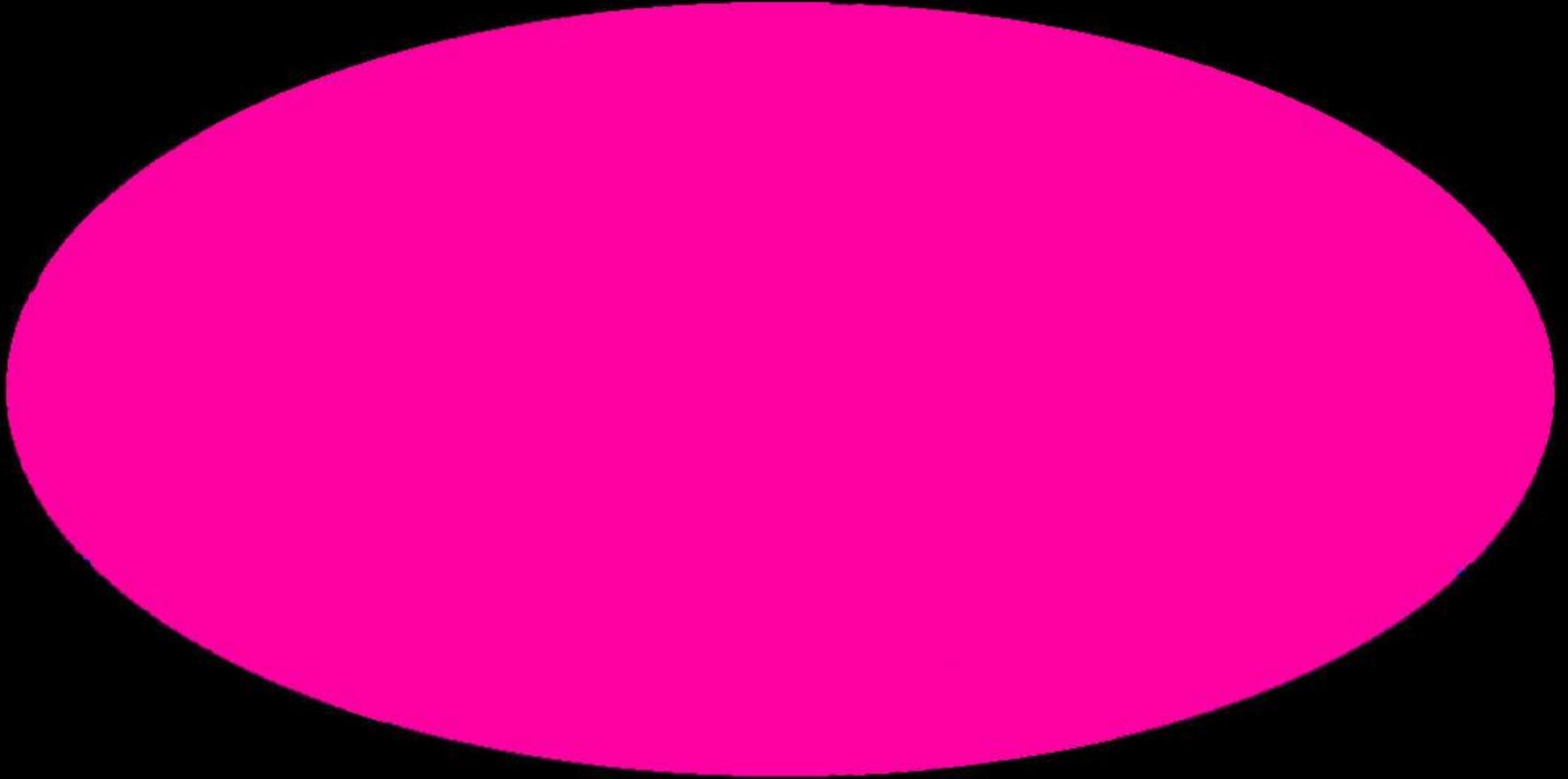
Discovery of 2.7 Kelvin  
Cosmic microwave background radiation  
by Penzias and Wilson in 1965  
(Nobel Prize 1978)

Beginning of “big-bang cosmology”

# Last Scattering Surface

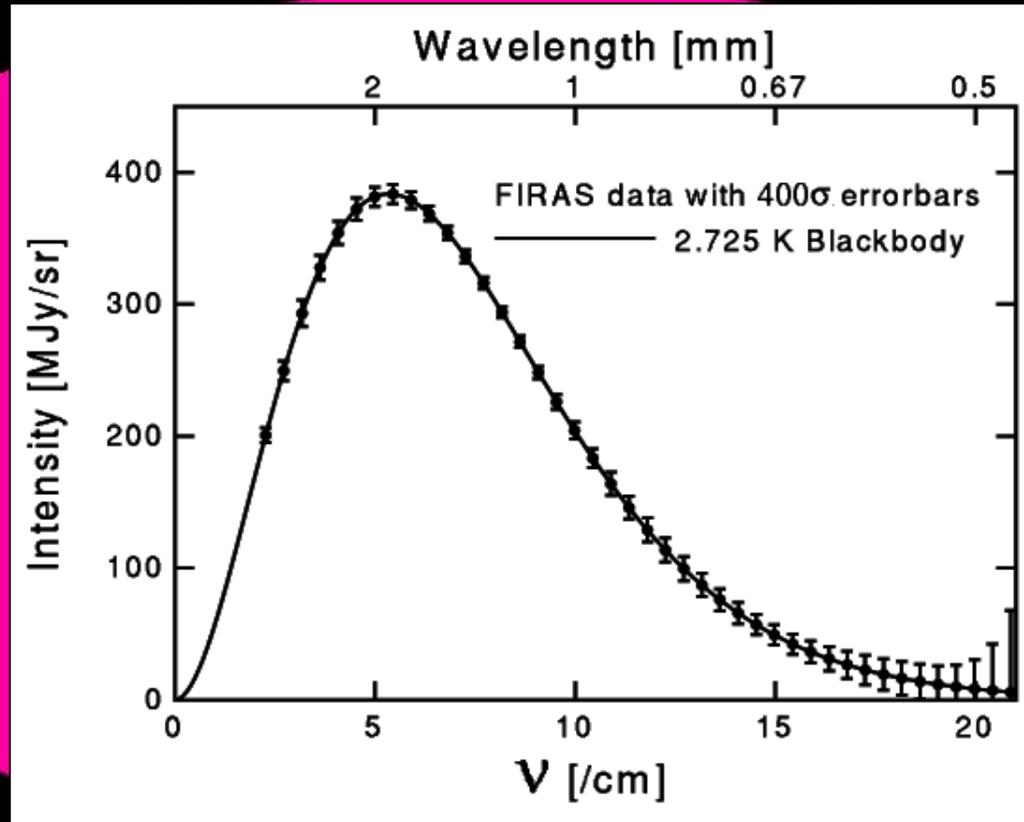


# COBE Temperature Map of the Microwave Background



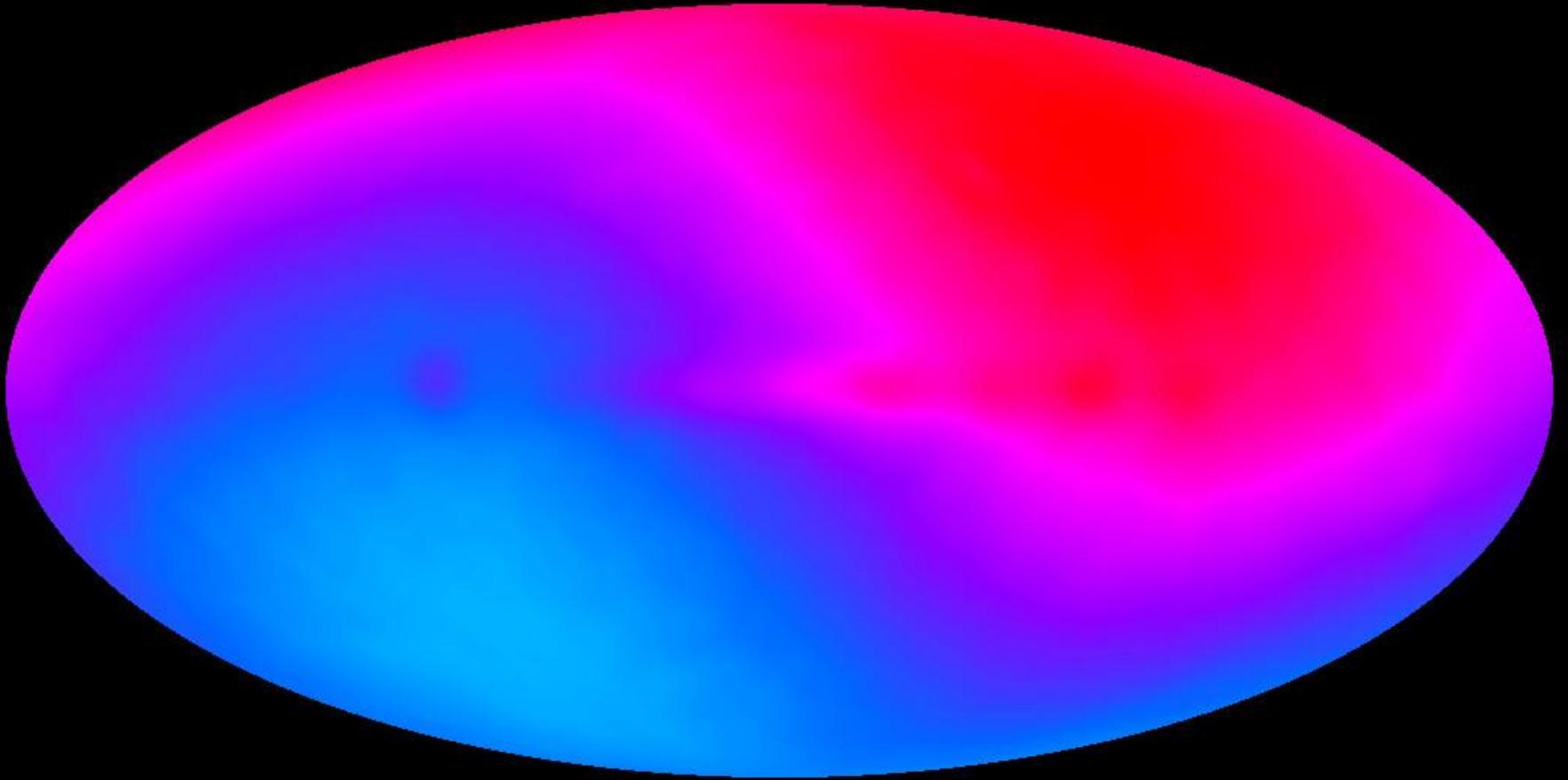
$T = 2.725 \text{ K}$  (uniform on the sky)

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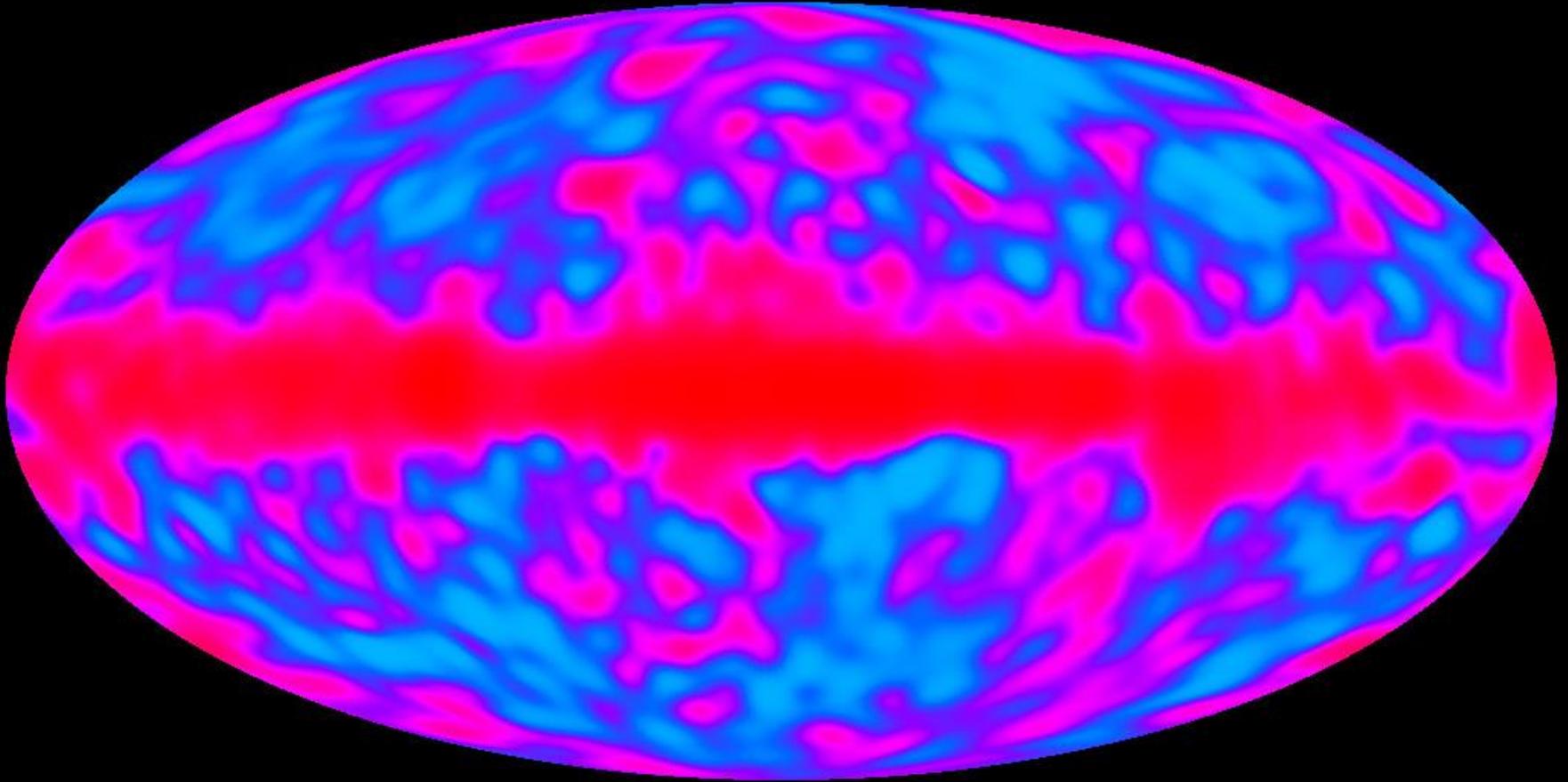
$T = 2.725$  K (uniform on the sky)

# COBE Temperature Map of the Microwave Background



Dynamical range  $\Delta T = 3.353 \text{ mK}$  ( $\Delta T/T \approx 10^{-3}$ )  
Dipole temperature distribution from Doppler effect  
caused by our motion relative to the cosmic frame

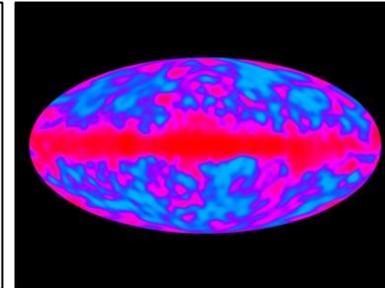
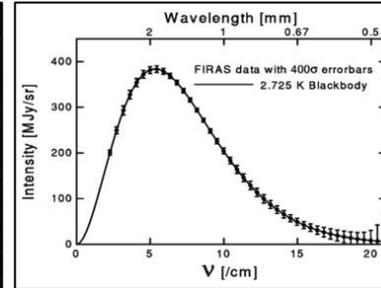
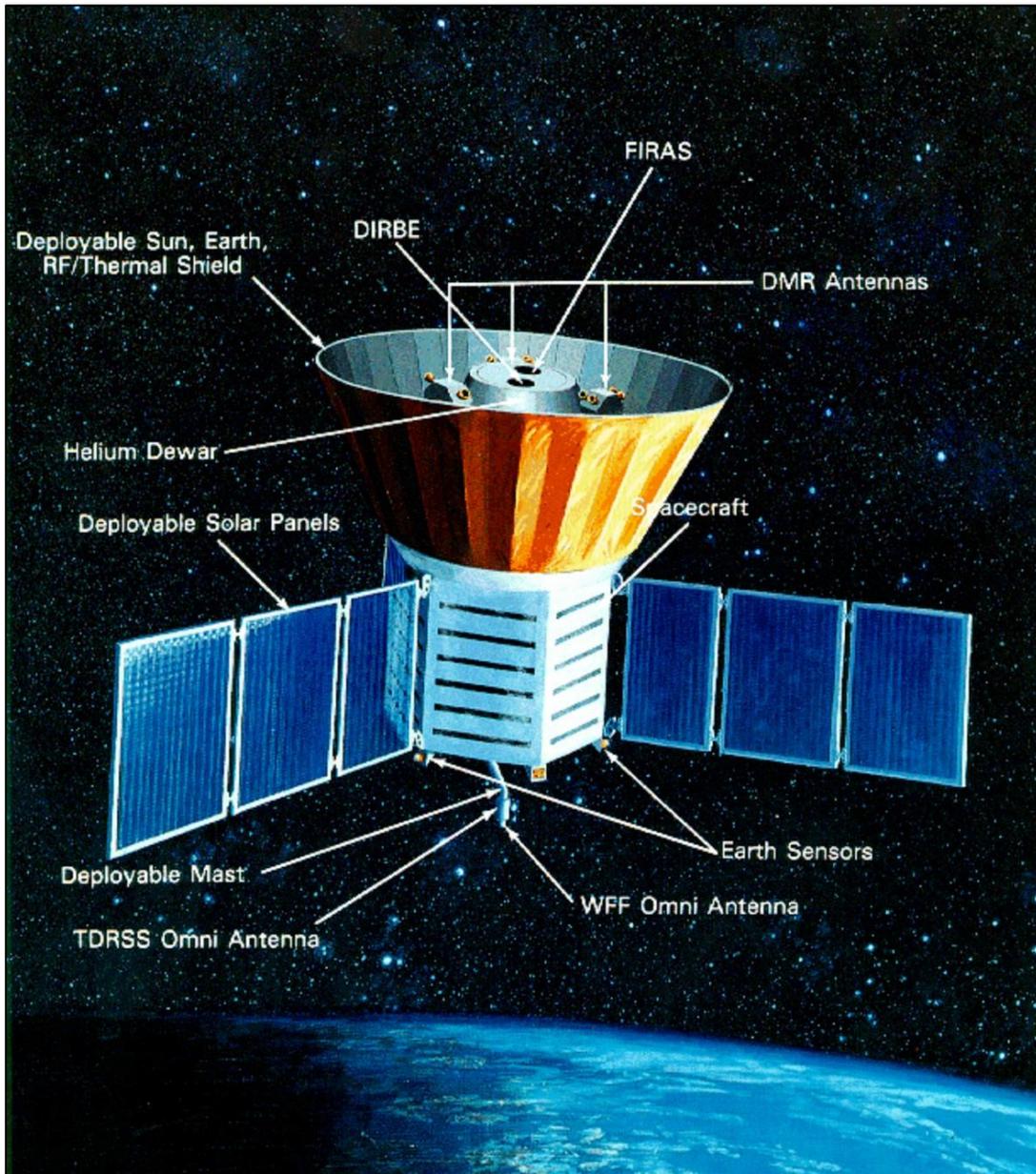
# COBE Temperature Map of the Microwave Background



Dynamical range  $\Delta T = 18 \mu\text{K}$  ( $\Delta T/T \approx 10^{-5}$ )

Primordial temperature fluctuations

# COBE Satellite



## Nobel Prize 2006

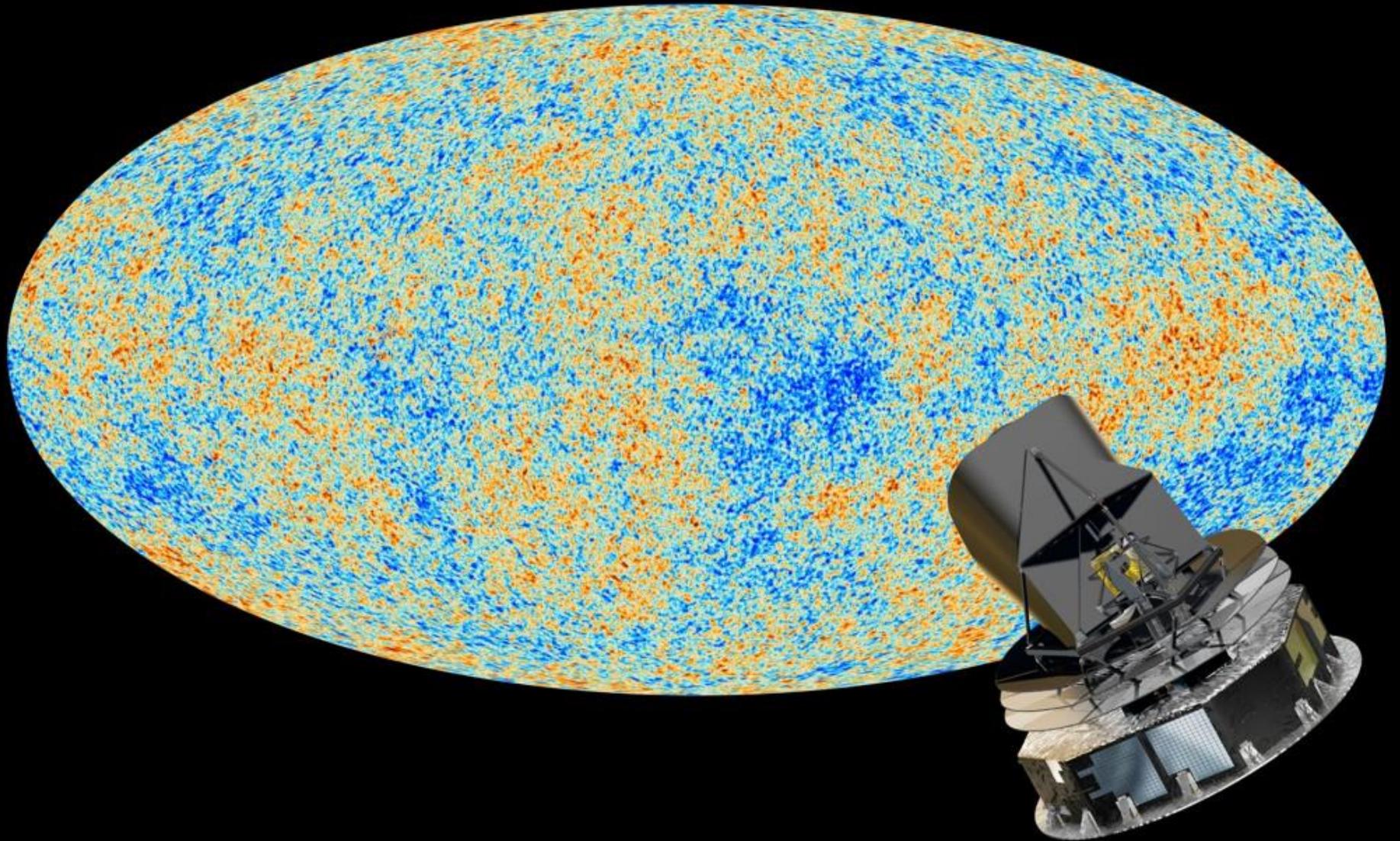


John C. Mather  
Born 1946



George F. Smoot  
Born 1945

# Cosmic Microwave Background (Planck 2013)



# Power Spectrum of CMB Temperature Fluctuations

Planck

Sky map of CMBR temperature fluctuations

$$\Delta(\theta, \varphi) = \frac{T(\theta, \varphi) - \langle T \rangle}{\langle T \rangle}$$

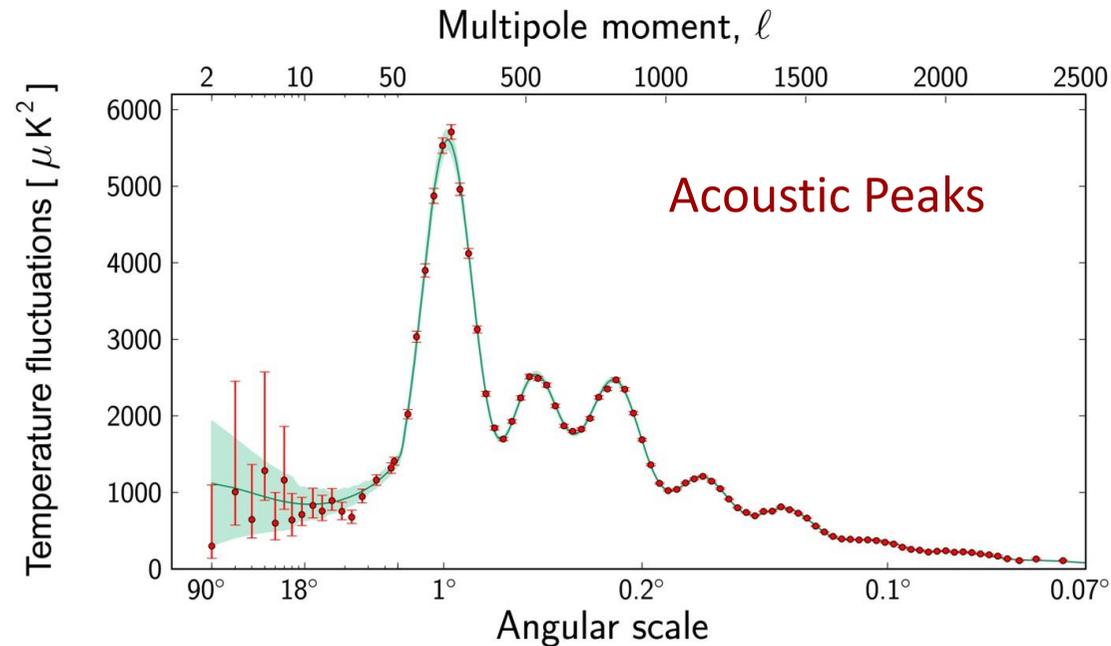
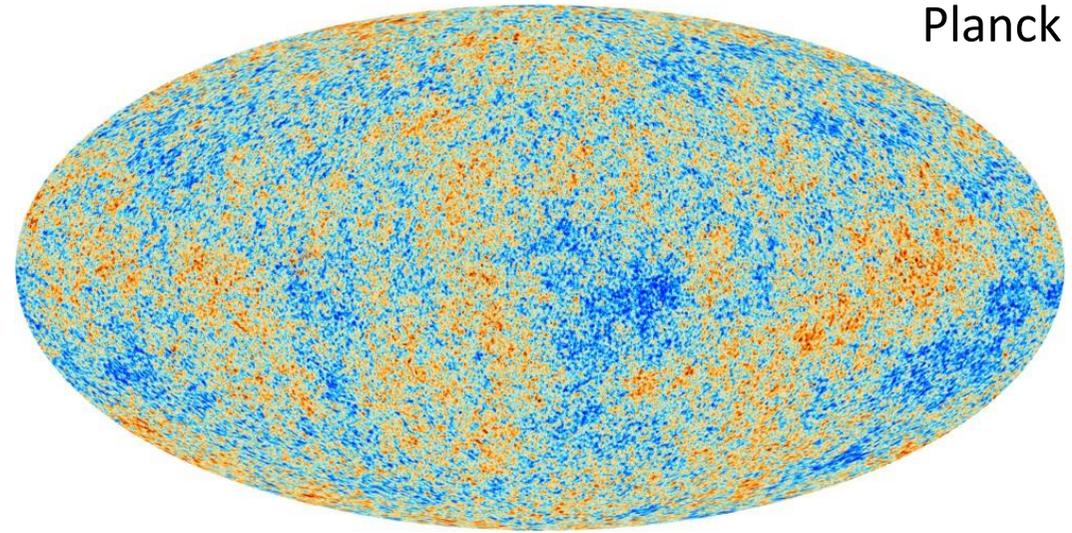
Multipole expansion

$$\Delta(\theta, \varphi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\theta, \varphi)$$

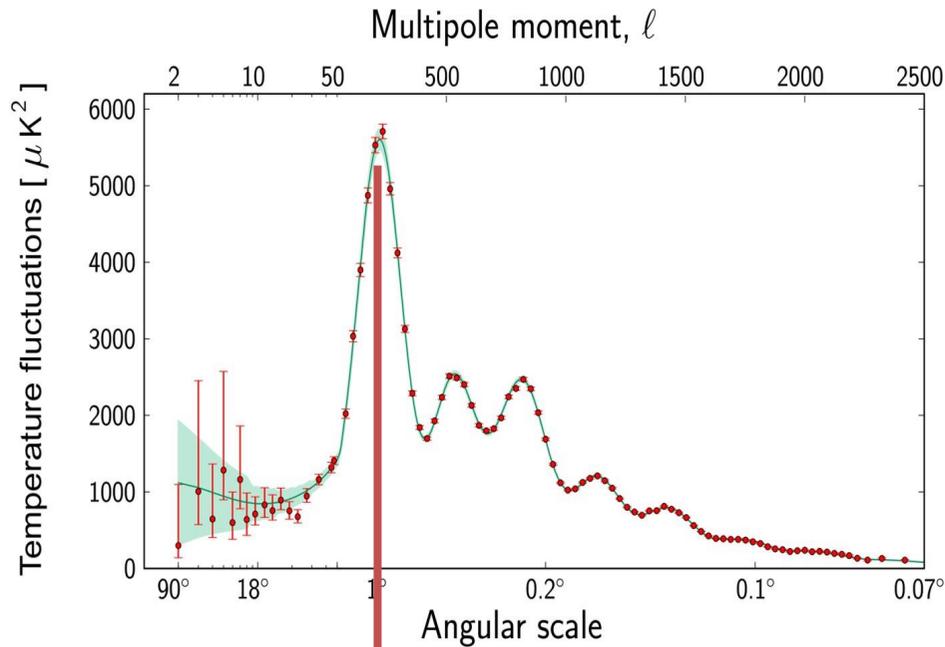
Angular power spectrum

$$C_{\ell} = \langle a_{\ell m}^* a_{\ell m} \rangle$$
$$= \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} a_{\ell m}^* a_{\ell m}$$

Provides “acoustic peaks” and a wealth of cosmological information



# Flat Universe from CMBR Angular Fluctuations



$$\Omega_{\text{tot}} = 0.963^{+0.043}_{-0.049} \quad (\text{CMB alone})$$

$$\Omega_{\text{tot}} = 0.9995^{+0.0065}_{-0.0066} \quad (\text{All data})$$

(95% ranges from Planck, arXiv:1303.5076)

## Triangulation with acoustic peak

flat (Euclidean)

negative curvature

positive curvature

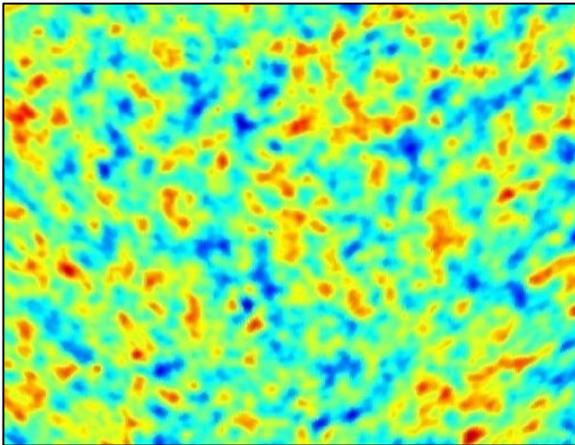
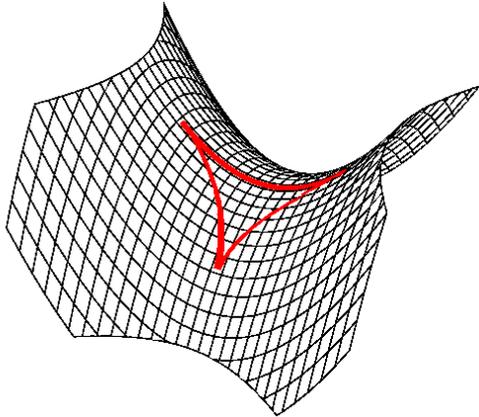
Known physical  
size of acoustic peak  
at decoupling ( $z \approx 1100$ )

Measured  
angular size  
today ( $z = 0$ )

# Geometry of the Universe and Angular Scales

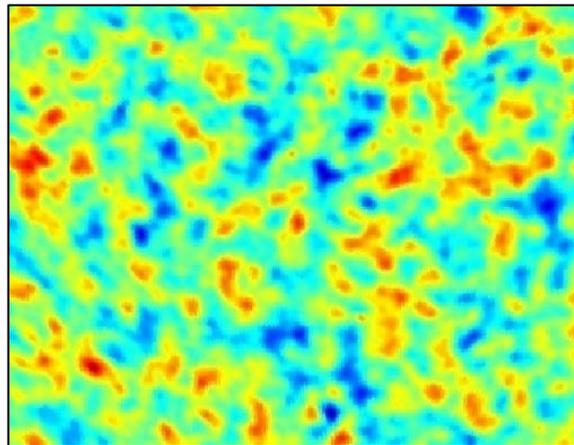
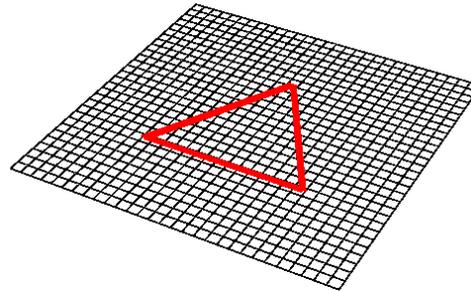
Open Universe

$$\Omega < 1$$



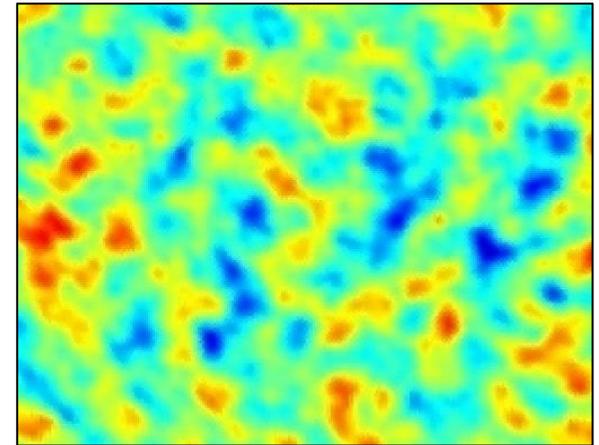
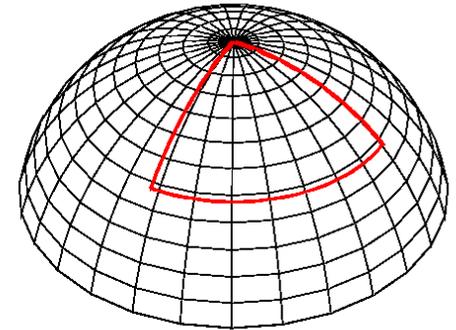
Flat Universe

$$\Omega = 1$$

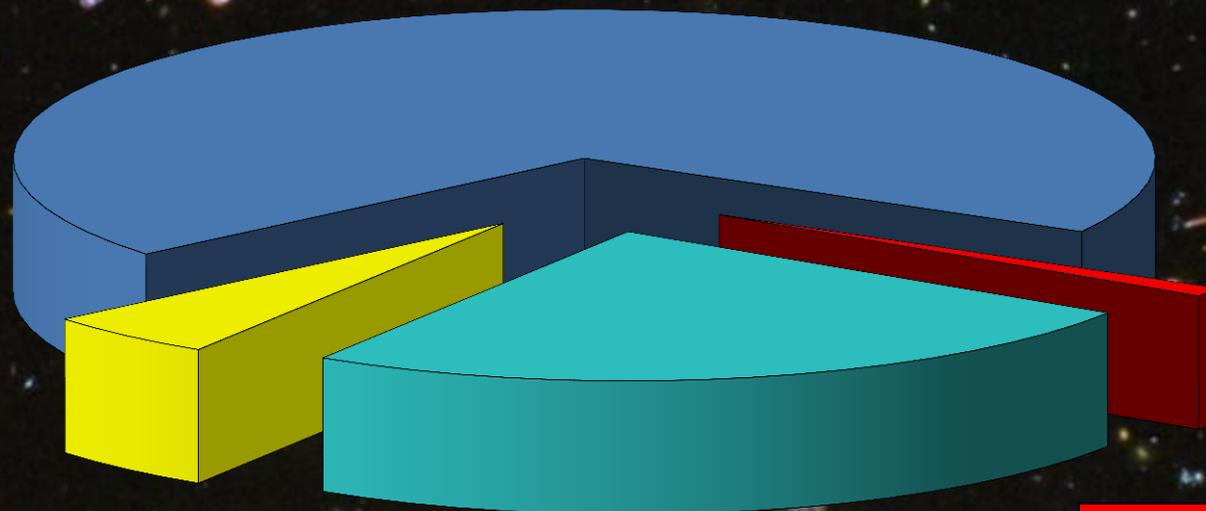


Closed Universe

$$\Omega > 1$$



**Dark Energy ~70%**  
**(Cosmological Constant)**

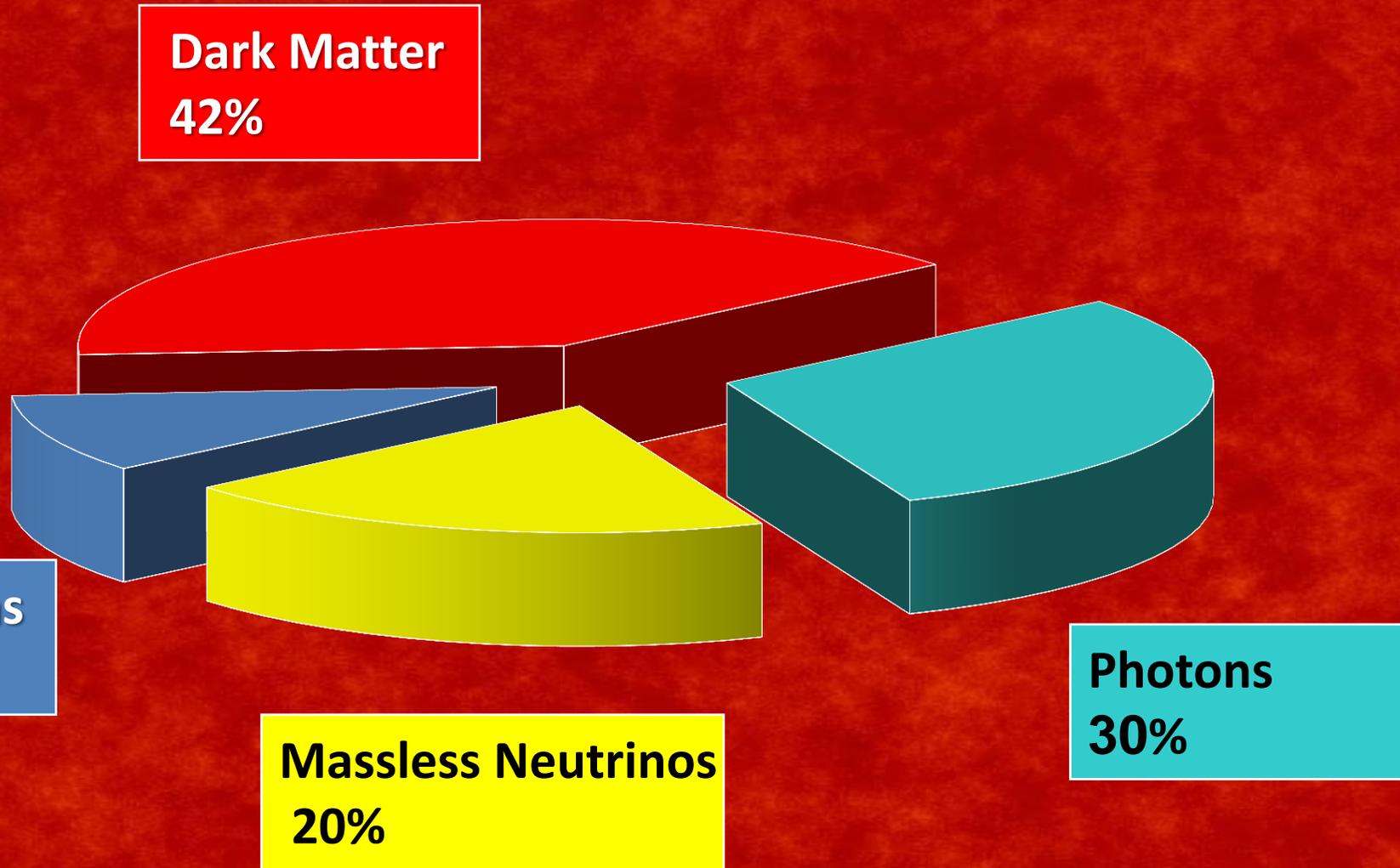


**Ordinary Matter ~5%**  
**(of this only about 10% luminous)**

**Dark Matter ~25%**

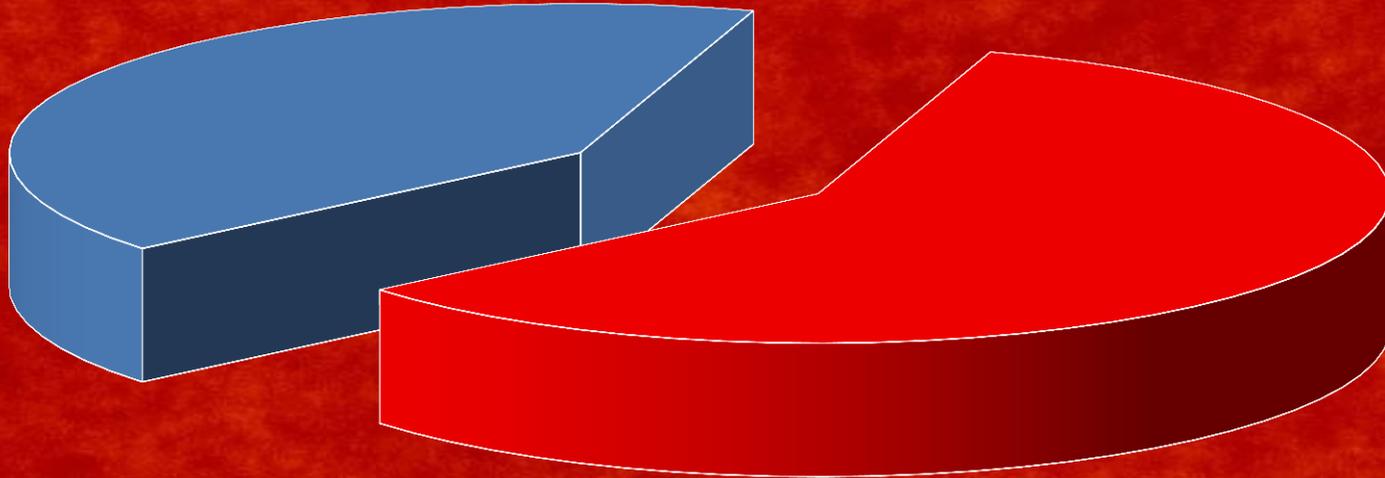
**Neutrinos 0.1-1%**

# Matter-Radiation Equality (Redshift 3400)



# After Electron-Positron Annihilation ( $T = 100$ keV)

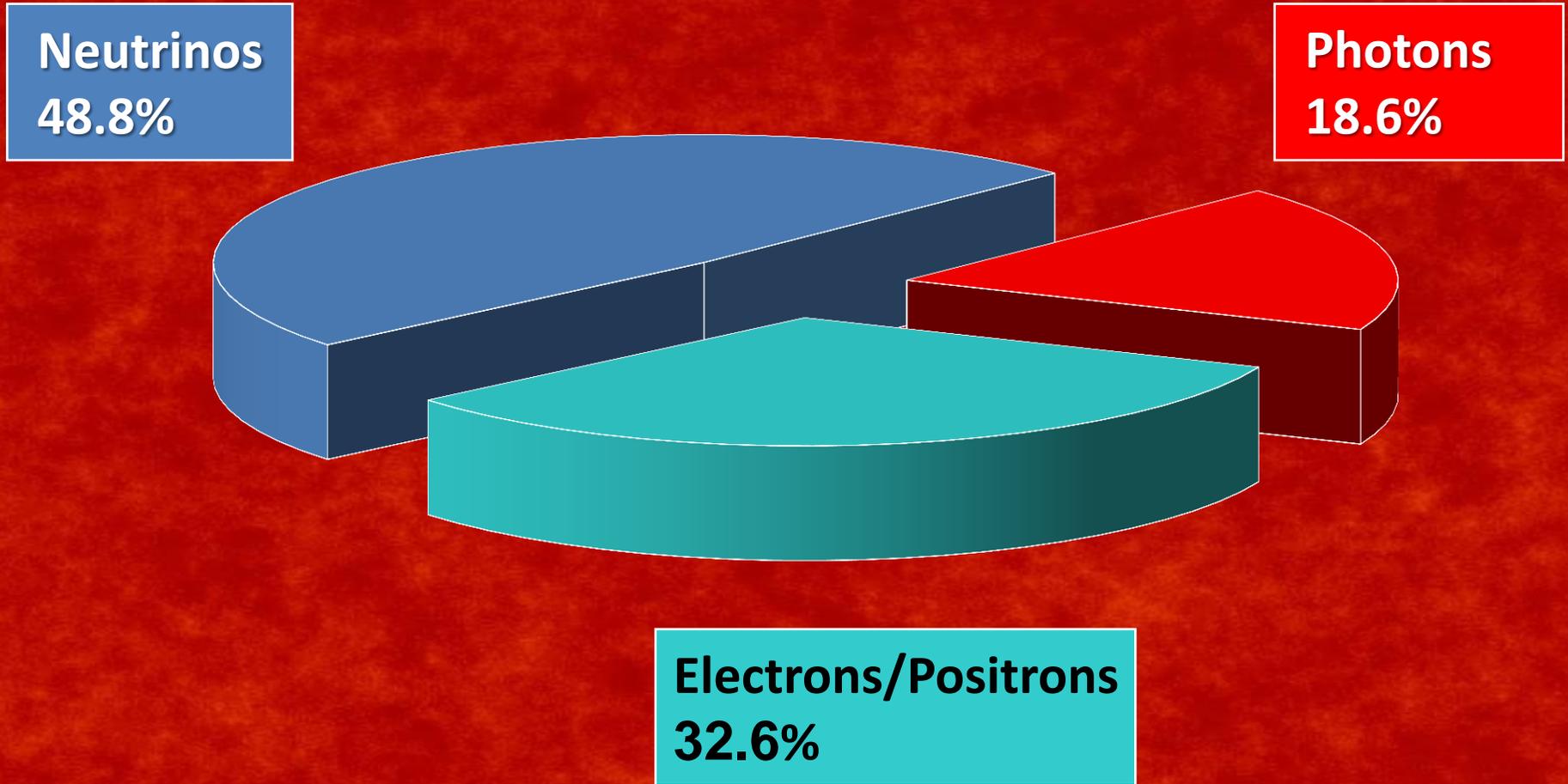
Neutrinos  
41%



Photons  
59%

Relevant for Big Bang Nucleosynthesis (BBN)

# Before Electron-Positron Annihilation ( $T = 1 \text{ MeV}$ )



# Cosmic Neutrino Sea

$\nu_e$



$\nu_\mu$



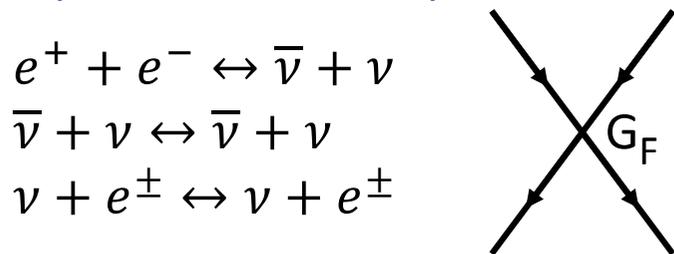
$\nu_\tau$



# Neutrino Thermal Equilibrium

## Neutrino reaction rate

Examples for neutrino processes



Dimensional analysis of reaction rate  
in a thermal medium for  $T \ll m_{W,Z}$

$$\Gamma \sim G_F^2 T^5$$

## Cosmic expansion rate

Friedmann equation (flat universe)

$$H^2 = \frac{8\pi}{3} \frac{\rho}{m_{\text{Pl}}^2} \quad \left( G_{\text{N}} = \frac{1}{m_{\text{Pl}}^2} \right)$$

Radiation dominates

$$\rho \sim T^4$$

Expansion rate

$$H \sim \frac{T^2}{m_{\text{Pl}}}$$

Condition for thermal equilibrium:  $\Gamma > H$

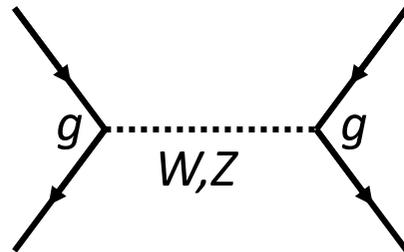
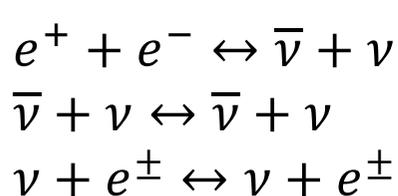
$$T > (m_{\text{Pl}} G_F^2)^{-1/3} \sim [10^{19} \text{GeV} (10^{-5} \text{GeV}^{-2})^2]^{-1/3} = 1 \text{ MeV}$$

**Neutrinos are in thermal equilibrium for  $T \gtrsim 1 \text{ MeV}$   
corresponding to  $t \lesssim 1 \text{ sec}$**

# Neutrino Thermal Equilibrium

## Neutrino reaction rate

Examples for neutrino processes



Dimensional analysis of reaction rate  
in a thermal medium for  $T \gg m_{W,Z}$

$$\Gamma \sim (g^2/4\pi)^2 T$$

## Cosmic expansion rate

Friedmann equation (flat universe)

$$H^2 = \frac{8\pi}{3} \frac{\rho}{m_{\text{Pl}}^2} \quad \left( G_{\text{N}} = \frac{1}{m_{\text{Pl}}^2} \right)$$

Radiation dominates

$$\rho \sim T^4$$

Expansion rate

$$H \sim \frac{T^2}{m_{\text{Pl}}}$$

Condition for thermal equilibrium:  $\Gamma > H$

$$T < (g^2/4\pi)^2 m_{\text{Pl}} \approx 10^{16} \text{ GeV} \approx \Lambda_{\text{GUT}}$$

**It depends on very early cosmic history when neutrinos first enter equilibrium, presumably at reheating after inflation**

# Thermal Radiations

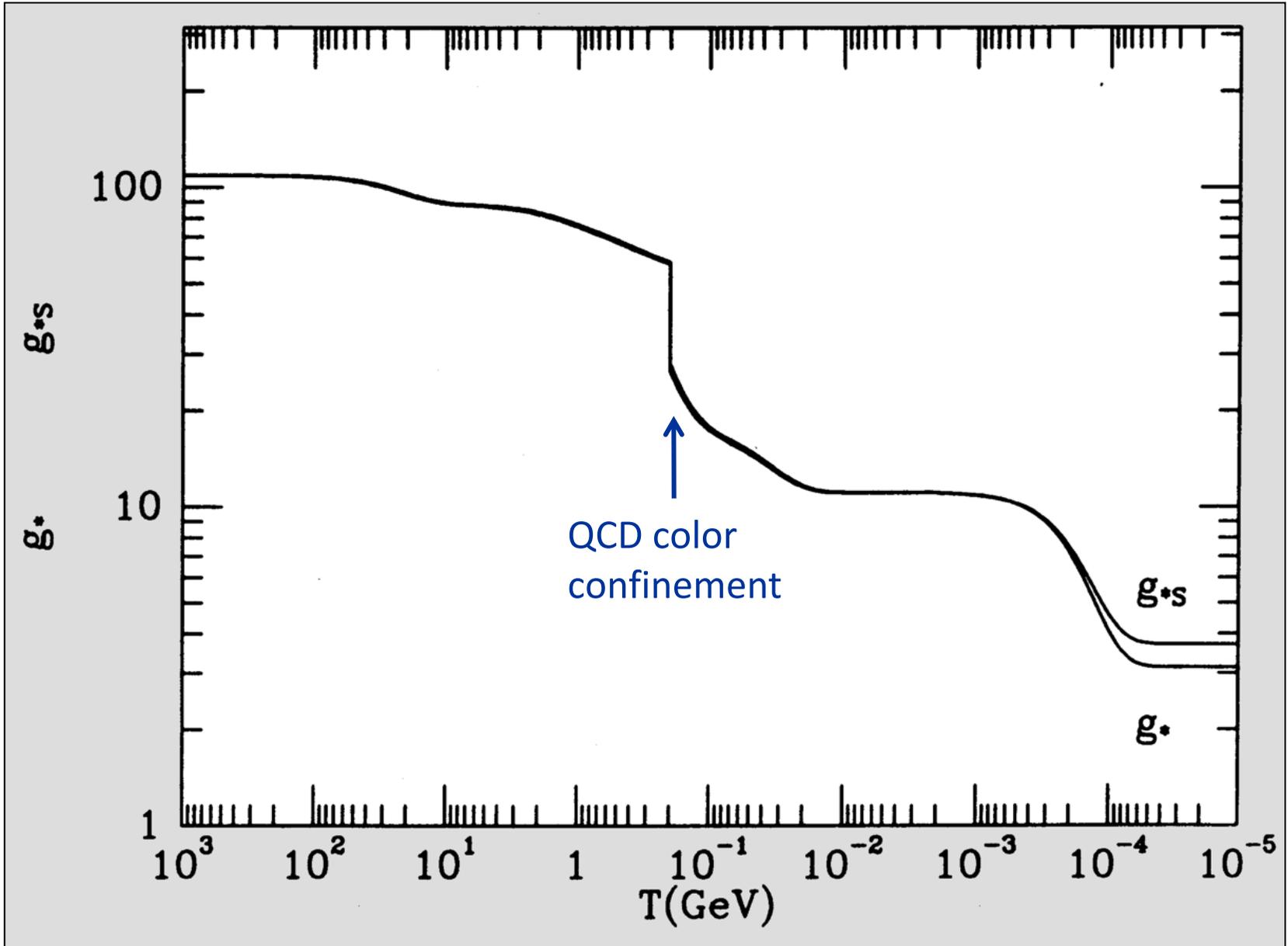
	General	Bosons	Fermions
Number density $n$	$g \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{1}{e^{E_p/T} \pm 1}$	$g_B \frac{\zeta_3}{\pi^2} T^3$	$\frac{3}{4} g_F \frac{\zeta_3}{\pi^2} T^3$
Energy density $\rho$	$g \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{E_p}{e^{E_p/T} \pm 1}$	$g_B \frac{\pi^2}{30} T^4$	$\frac{7}{8} g_F \frac{\pi^2}{30} T^4$
Pressure $P$	$\frac{\rho}{3}$		
Entropy density $s$	$\frac{\rho + P}{T} = \frac{4}{3} \frac{\rho}{T}$	$g_B \frac{2\pi^2}{45} T^3$	$\frac{7}{8} g_F \frac{2\pi^2}{45} T^3$

Riemann Zeta Function  
 $\zeta_3 = 1.2020569 \dots$

# Thermal Degrees of Freedom

Mass threshold		Particles	$g_B$	$g_F$	$g_*$
	low	$\gamma, 3\nu$	2	6	(7.25)
$m_e$	0.5 MeV	$e^\pm$	2	10	10.75
$m_\mu$	105 MeV	$\mu^\pm$	2	14	14.25
$m_\pi$	135 MeV	$\pi^0, \pi^\pm$	5	14	17.25
$\Lambda_{\text{QCD}}$	$\sim 170$ MeV	u, d, s, gluons	18	50	61.75
$m_{c,\tau}$	2 GeV	c, $\tau$	18	66	75.75
$m_b$	6 GeV	$b^\pm$	18	78	86.25
$m_{W,Z}$	90 GeV	$Z^0, W^\pm$	27	78	92.25
$m_H$	126 GeV	Higgs	28	78	93.25
$m_t$	170 GeV	t	28	90	106.75
$\Lambda_{\text{SUSY}}$	$\sim 1$ TeV ?	SUSY particles	118	118	213.50

# Thermal Degrees of Freedom in the Early Universe



# Present-Day Neutrino Density

Neutrino decoupling  
(freeze out)

$$H \sim \Gamma$$

$$T \approx 2.4 \text{ MeV} \quad (\text{electron flavor})$$

$$T \approx 3.7 \text{ MeV} \quad (\text{other flavors})$$

Redshift of Fermi-Dirac  
distribution (“nothing  
changes at freeze-out”)

$$\frac{dn_{\nu\bar{\nu}}}{dE} = \frac{1}{\pi^2} \frac{E^2}{e^{E/T} + 1}$$

Temperature  
scales with redshift  
 $T_\nu = T_\gamma \propto (z + 1)$

Electron-positron  
annihilation beginning  
at  $T \approx m_e = 0.511 \text{ MeV}$

- QED plasma is “strongly” coupled
- Stays in thermal equilibrium (adiabatic process)
- Entropy of  $e^+e^-$  transferred to photons

$$\left. \begin{array}{l} g_* T_\gamma^3 \Big|_{\text{before}} \\ \underbrace{2 + \frac{7}{8} \cdot 4 = \frac{11}{2}} \end{array} \right\} = g_* T_\gamma^3 \Big|_{\text{after}} \left. \begin{array}{l} \\ \underbrace{2} \end{array} \right\} T_\gamma^3 \Big|_{\text{before}} = \frac{4}{11} T_\gamma^3 \Big|_{\text{after}}$$

Redshift of  
neutrino and photon  
thermal distributions  
so that today we have

$$n_{\nu\bar{\nu}}(1 \text{ flavor}) = \frac{4}{11} \times \frac{3}{4} \times n_\gamma = \frac{3}{11} n_\gamma \approx 112 \text{ cm}^{-3}$$

$$T_\nu = \left(\frac{4}{11}\right)^{1/3} T_\gamma \approx 1.95 \text{ K} \quad \text{for massless neutrinos}$$