

## Core-Collapse Supernovae

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- Collapse phase: Dynamics &  $\nu$ -interactions
- Postbounce phase:  $\nu$ -transport & explosion mechanisms
- Models: Approximations & prediction of observables

Large cancellation effects in the total energy budget:

- Huge energy in!
- Huge energy out!
- The rest makes the supernova!
- Leading order contributions from many fields of physics possible...

# Discussed Explosion Mechanisms

## 1) Prompt explosion mechanism, $E(\text{bounce})$

(e.g. Baron et al. 1985)

## 2) Neutrino-driven explosion mechanism, $E(\text{therm.})$

(Colgate 1966, Arnett, Bruenn, Burrows, Mezzacappa ... Marek & Janka 2009)

## 3) Magneto-rotational explosion mechanism, $E(\text{rot.})$

(Bisnovatyi-Kogan 1976, Leblanc & Wilson 1979, ...)

## 4) Acoustic explosion mechanism, $E(\text{osc.})$

(Burrows et al. 2006)

## 5) Magneto-sonic/viscous expl. mech., $E(\text{buoyancy})$

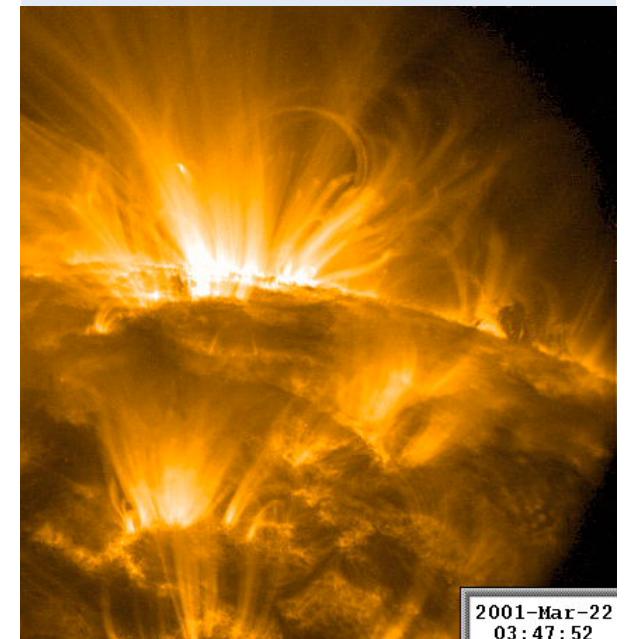
(Akiyama et al. 2003, Thompson et al. 2003, Socrates et al. 2005)

## 6) Phase transition induced expl. mech., $E(\text{compact})$

(Migdal et al. 1971, ... Sagert et al. 2009)

Energy scales:

- Gravitational  
 $\sim 3E+53 \text{ erg}$
- Explosion  
 $\sim 1E+51 \text{ erg}$

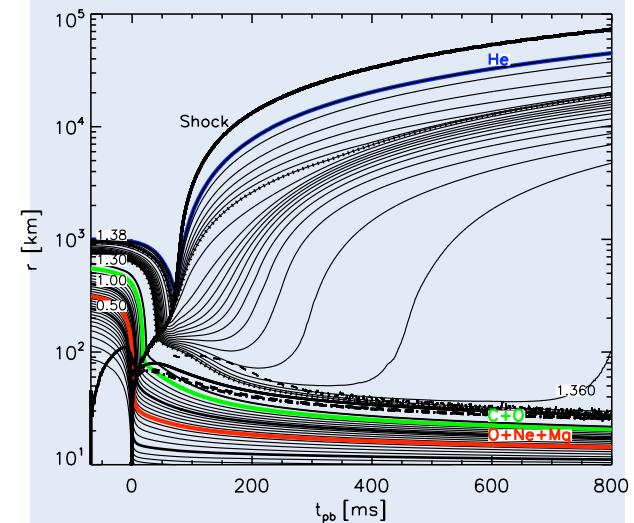


# Exception 1: ONeMg core

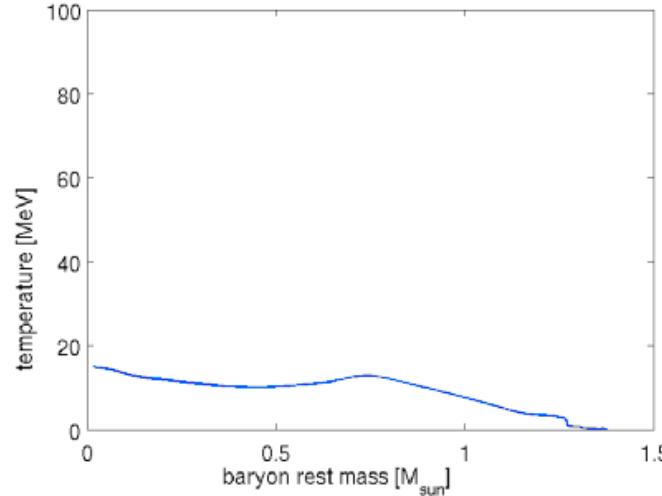
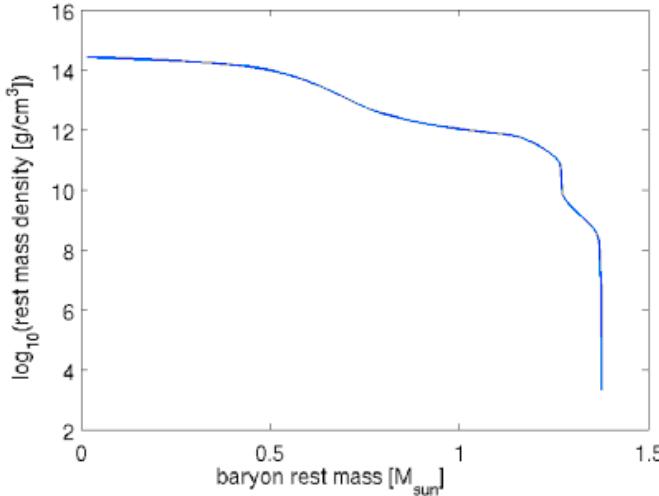
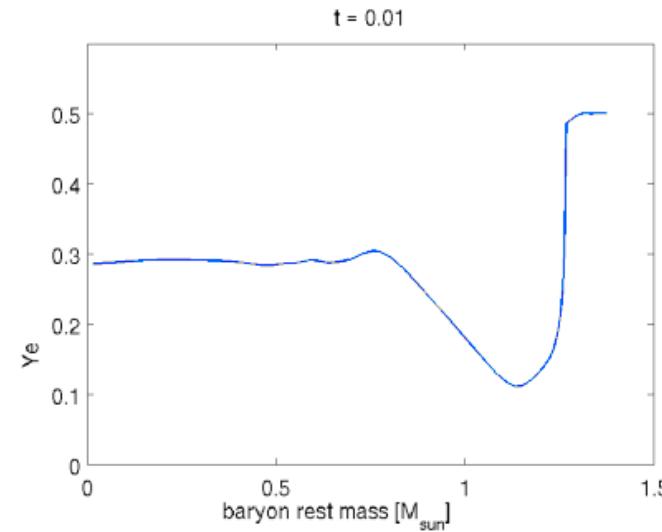
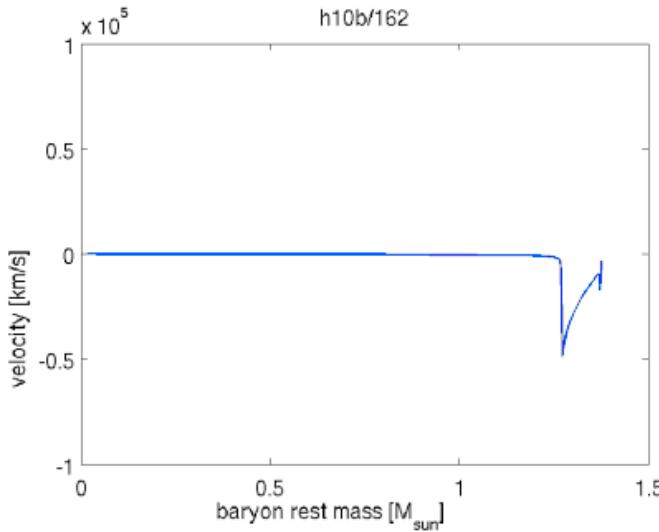
Recurrent detailed  
investigations:

Hillebrandt, Nomoto, Wolff 1984  
Mayle, Wilson 1988  
Kitaura, Janka, Hillebrandt 2006  
Janka et al. 2008

Fischer et al. 2009



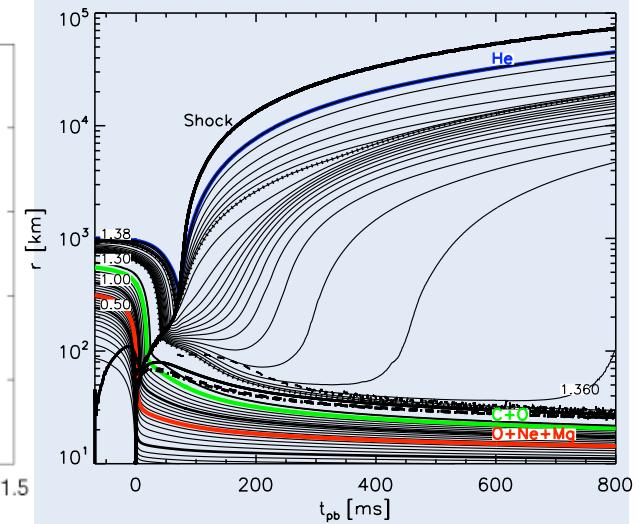
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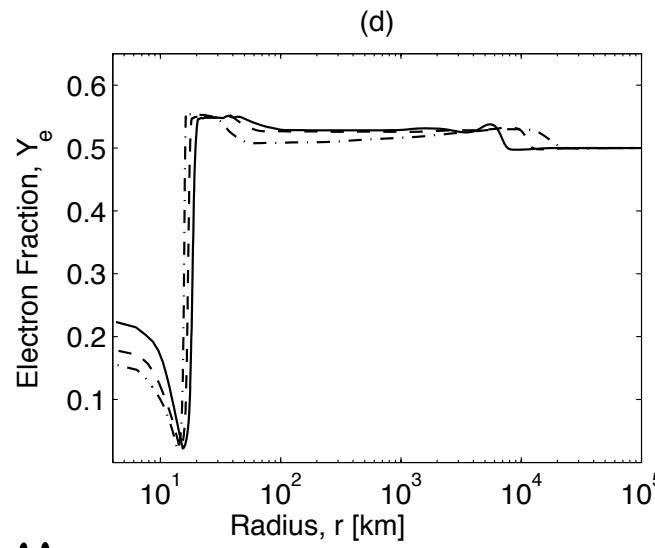
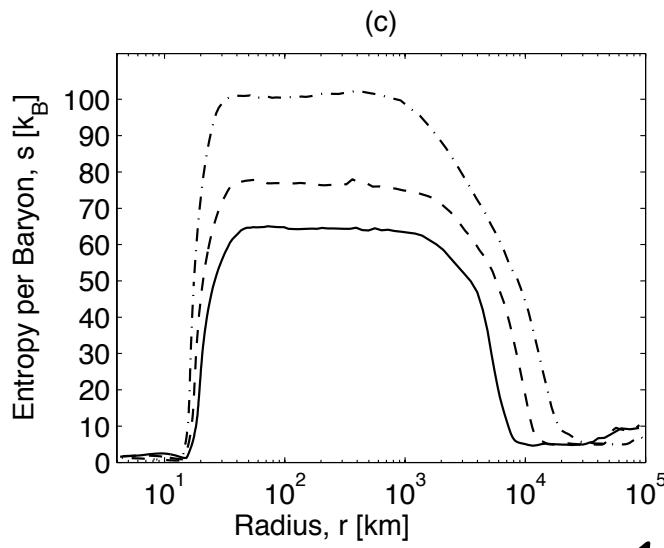
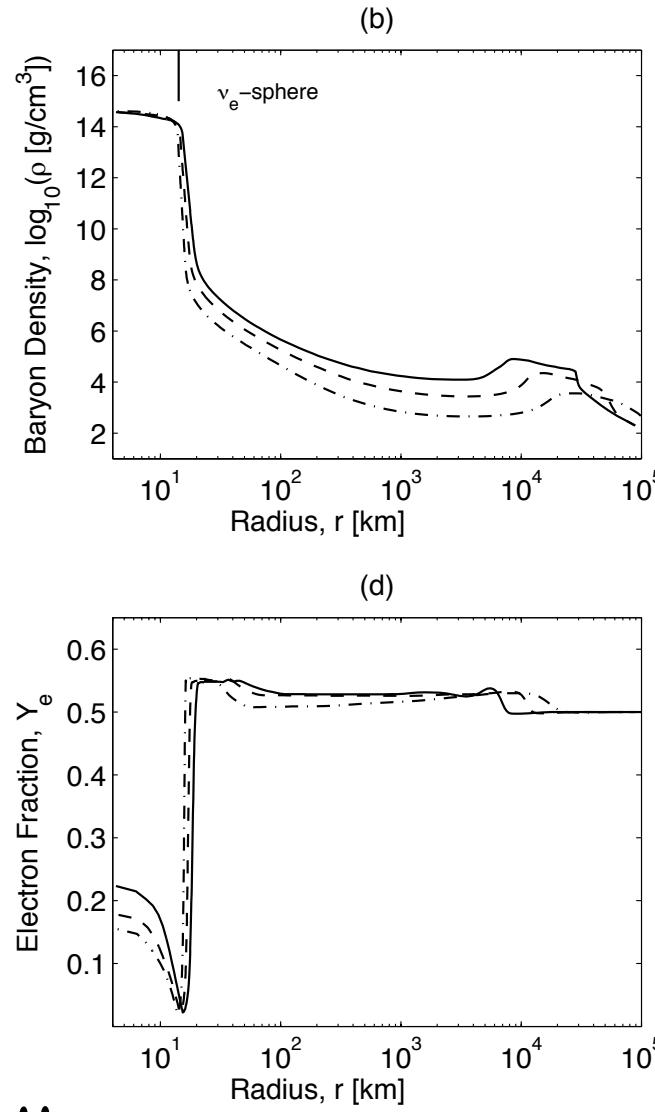
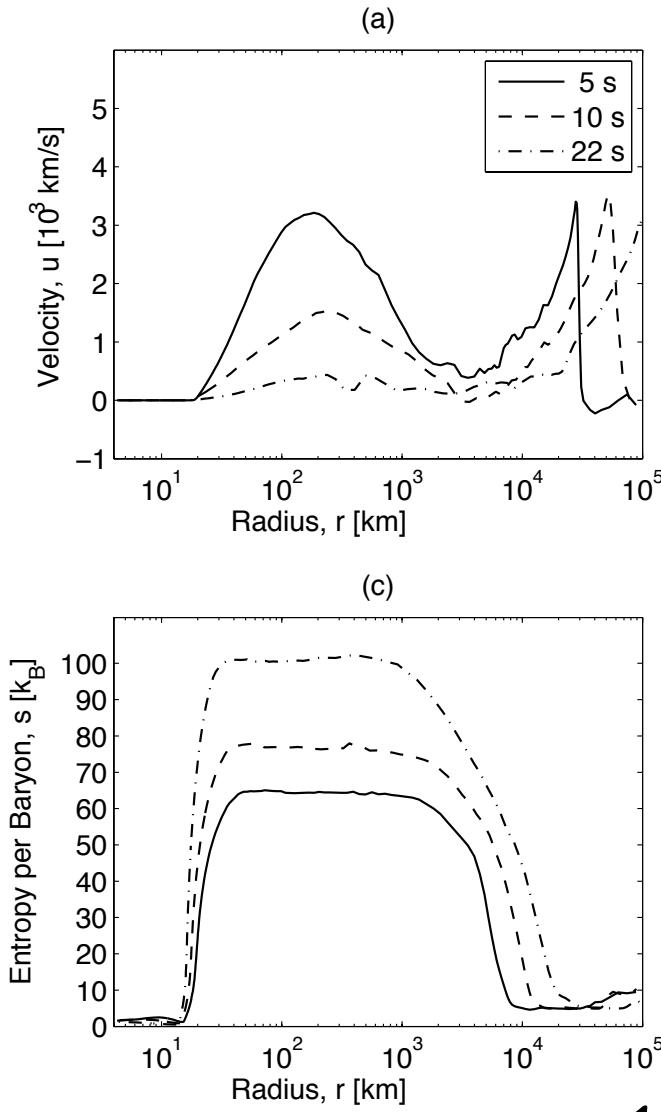
Fischer et al. 2009

Recurrent detailed investigations:

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# Neutrino wind phase



18 Msun

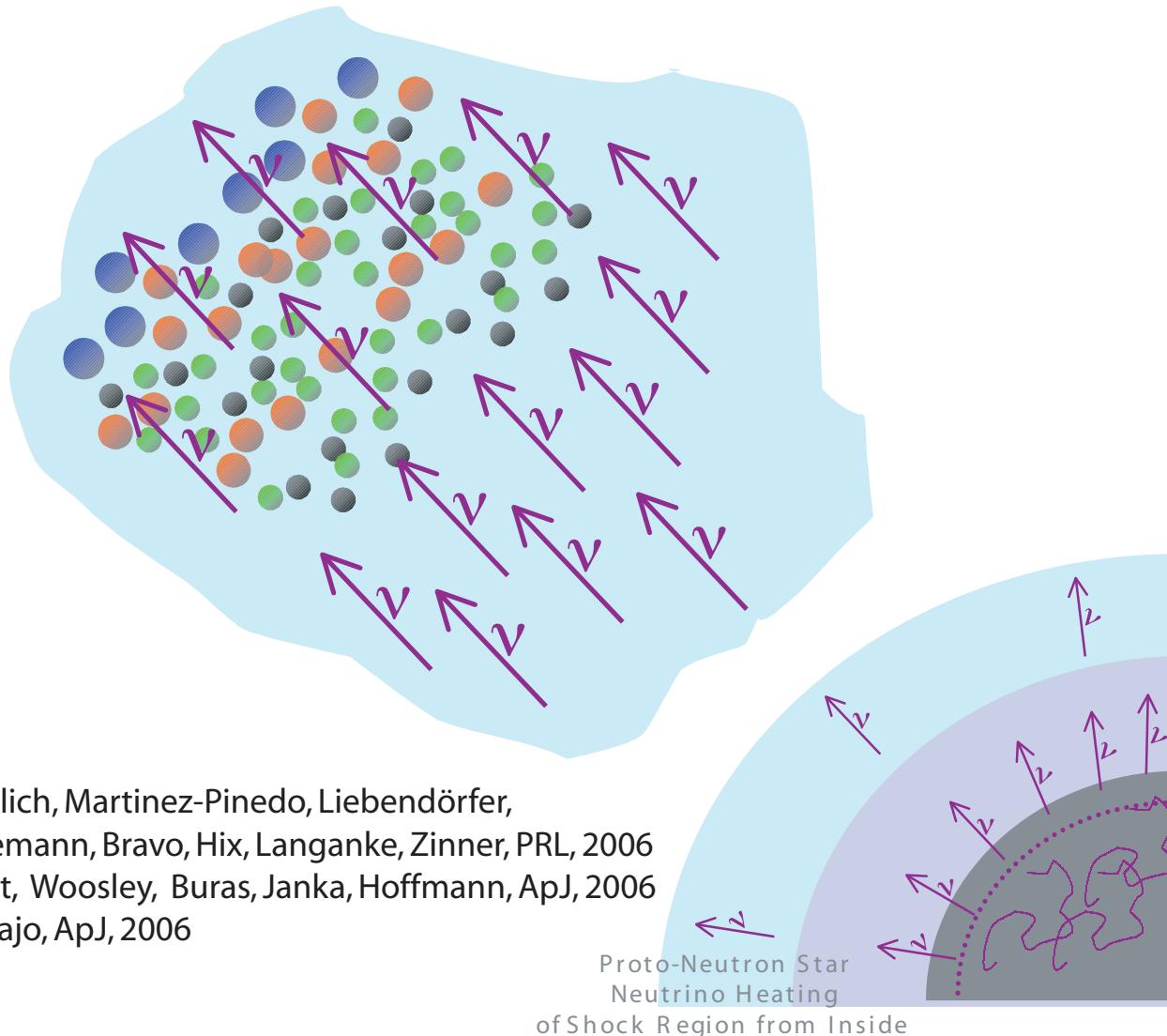
Improvements of Agile-Boltztran allow for the first time for simulations to  $\sim 20$ s postbounce time.

Studies of explosions of 10 and 18 Msun progenitors based on enhanced  $\nu$  rates.

$\nu$ -wind has rather high  $Y_e$  ( $>\sim 0.5$ )

# The $\nu p$ -process in p-rich ejecta

An interesting nucleosynthesis process:

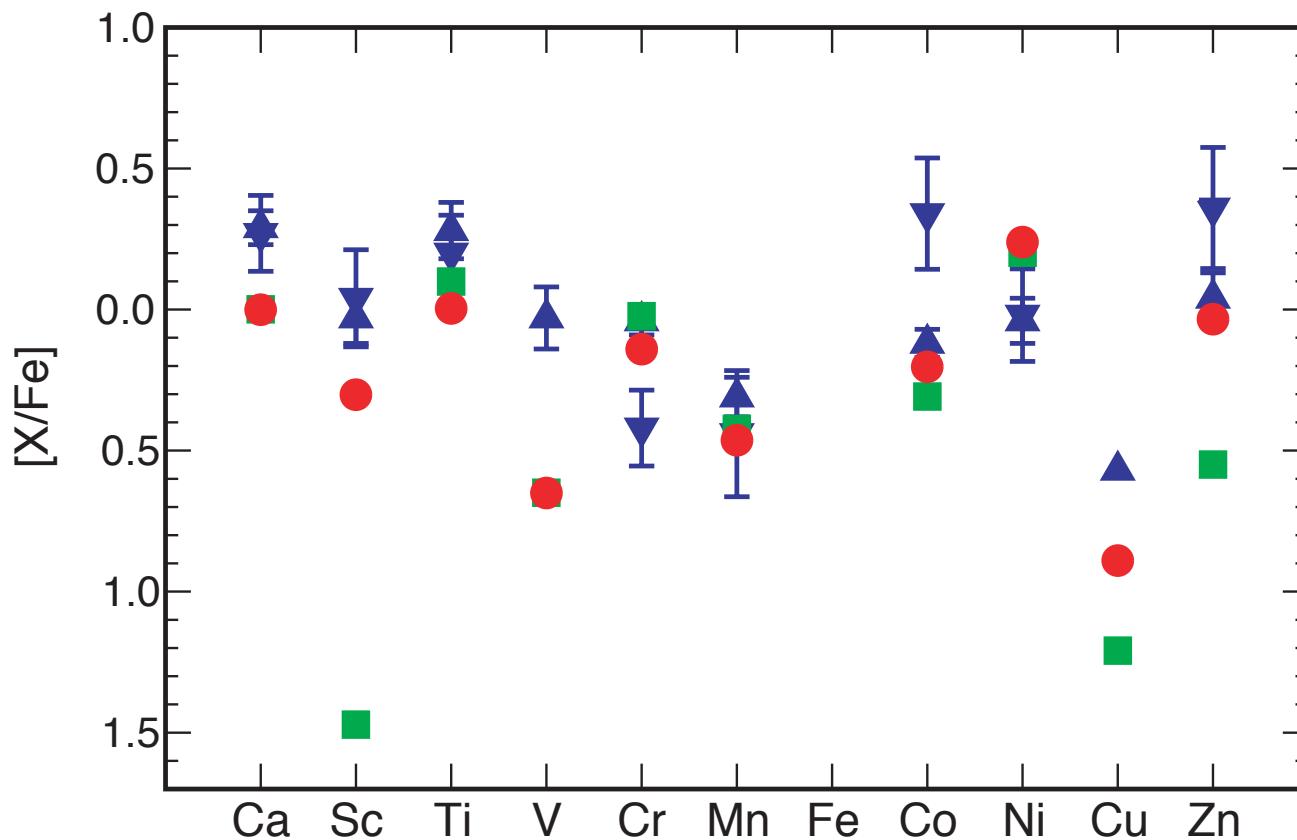


- Similar luminosities of neutrinos and anti-neutrinos
- Hot expanding ejecta with low electron chemical potential

Proton to neutron mass difference favours protons

# Nucleosynthesis in p-rich ejecta

Comparison of elemental overabundances with observations from metal-poor and extremely metal-poor stars

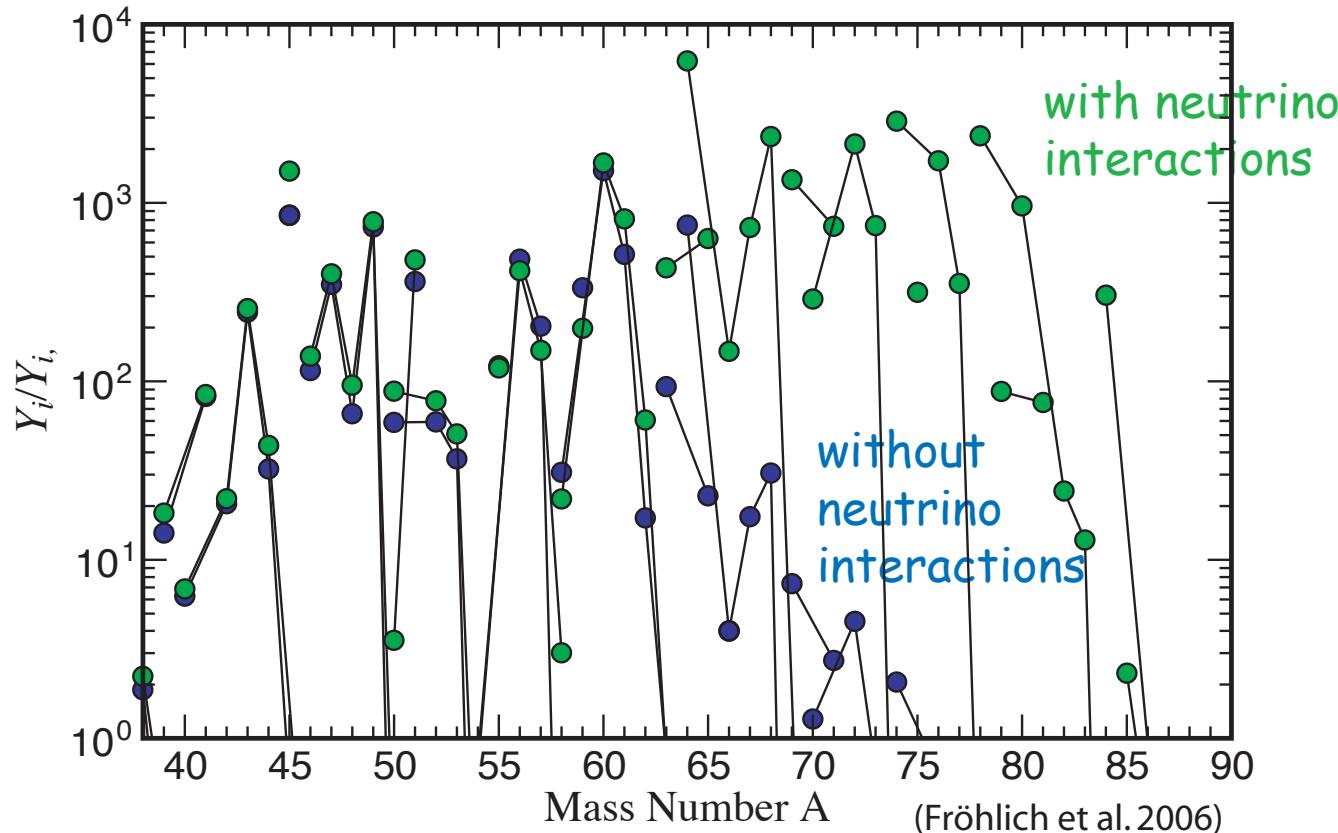


- improved agreement with observation by nucleosynthesis in proton-rich environment of Sc Cu Zn

- ▼ Cayrel et al.
- ▲ Gratton & Sneden
- Thielemann et al.
- Fröhlich et al.

(See also Umeda & Nomoto 2005)

## Step 2: The $\nu p$ -process



Nuclei up to  $A \sim 80$  are produced when the neutrino-nucleon interactions are consistently included in the network!

--> New nucleosynthesis process

1)  $^{64}\text{Ge}$  has  $T_{1/2}=64$ ,  
 This is larger than the  
 expansion time scale  
 $\sim 10\text{s}$

2) antineutrinos are  
 captured on free  
 protons and produce  
 neutrons for  $(n,p)$   
 reactions replacing  
 beta decay-->the  
 flow continues!

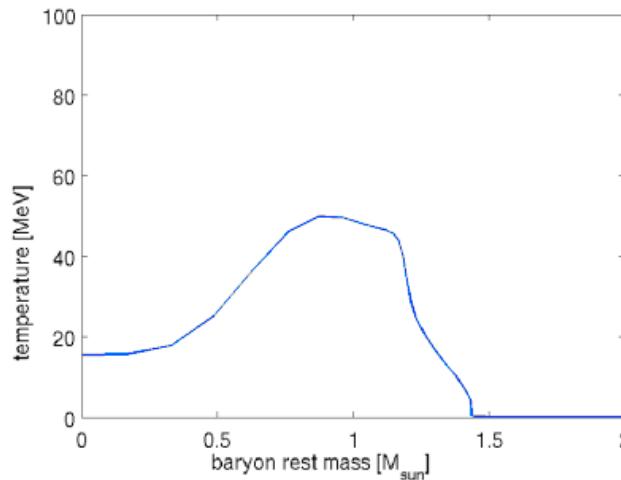
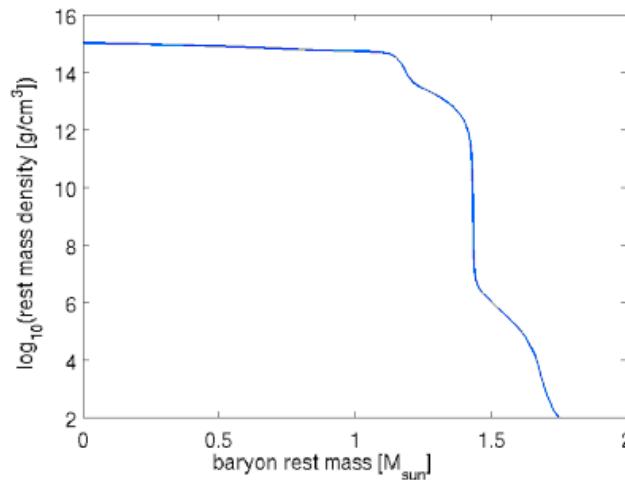
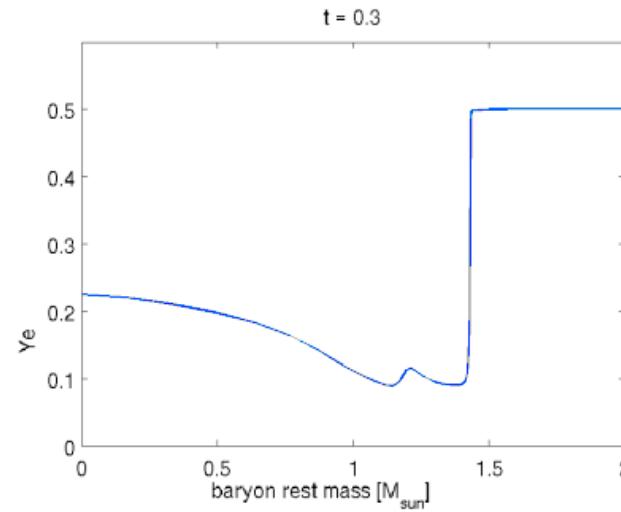
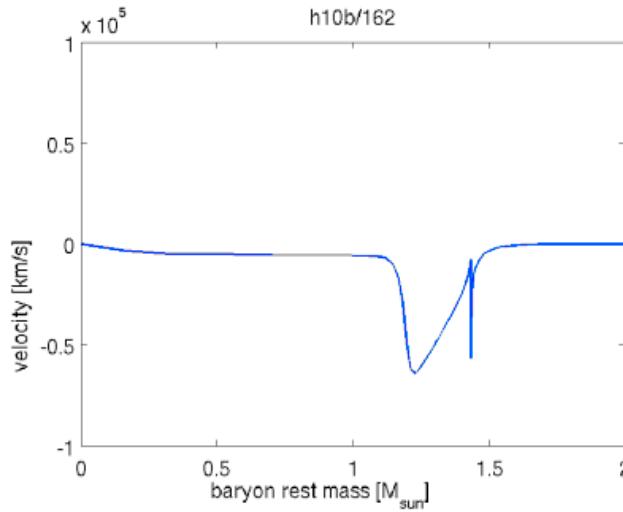
3) Responsible for  
 Sr differences in  
 HE 0107-6240,  
 (Frebel  
 et al.  
 2006)  
 HE 1327-2326?

# Phase Transition in Proto-Neutron Star

- neutron star collapse
- conversion to quark phase from inside out
- shrinking mixed phase
- second accretion shock propagating out
- shock moves with mixed-hadronic phase boundary
- accretion shock detaches from phase boundary to reach  $\nu$ -spheres in the hadronic phase
- shocked matter accelerates and triggers explosion

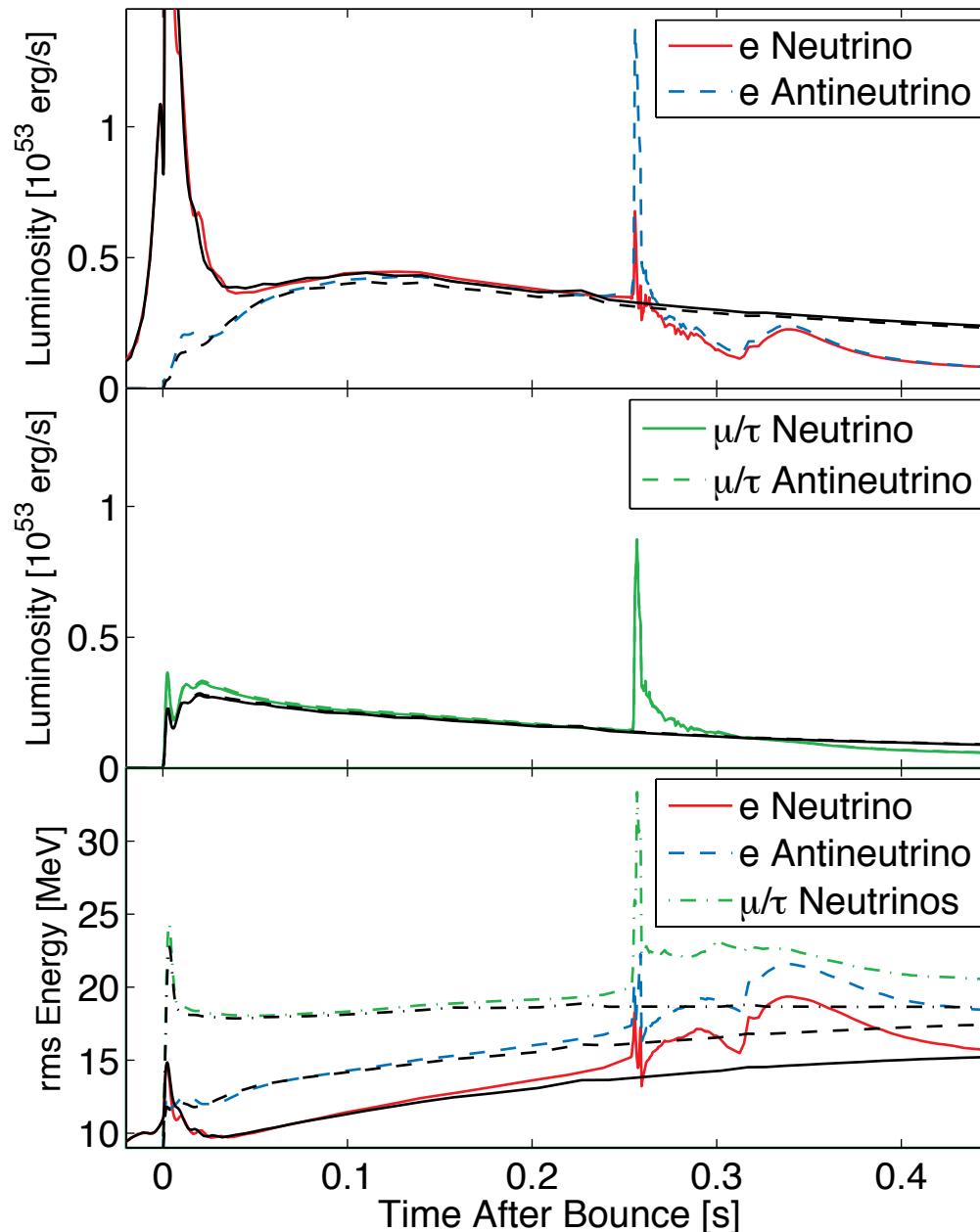
(Sagert, Fischer et al., PRL 2009)

# Phase Transition in Proto-Neutron Star



- neutron star collapse
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# $\nu$ -signature of phase transition



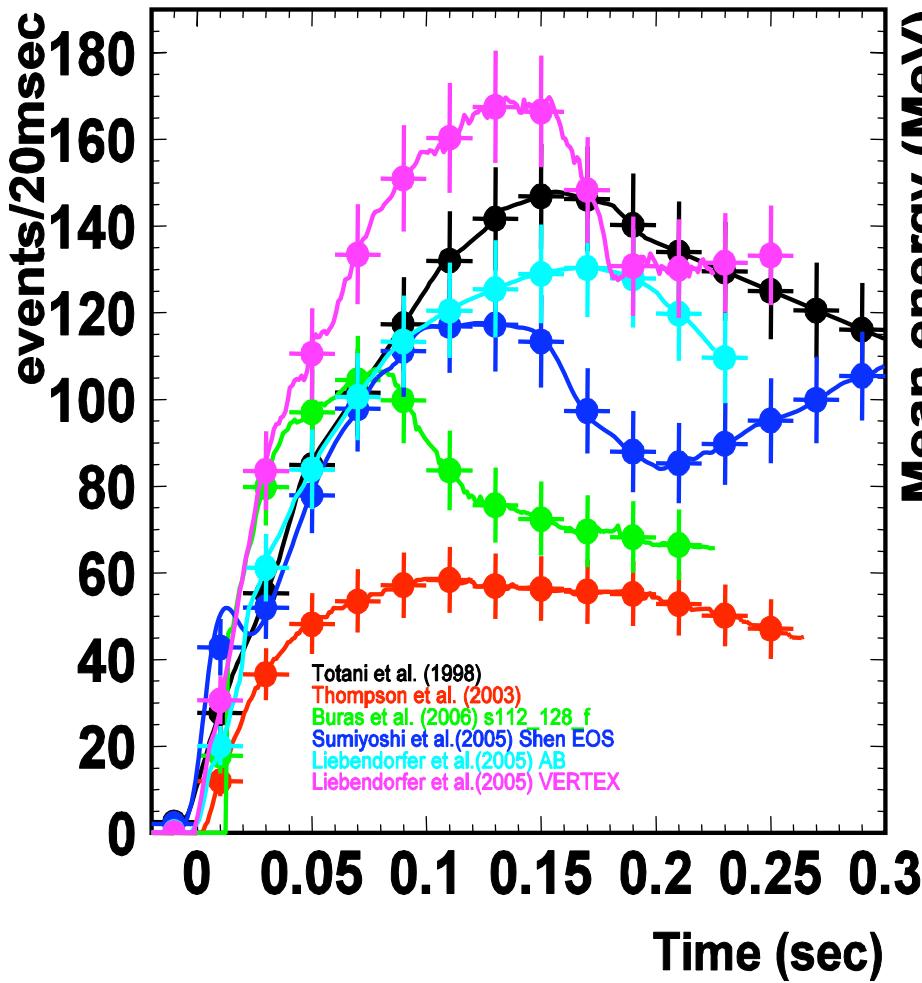
Shown is a simulation of a 10 Ms star containing quark matter ( $B^{1/4} = 162$ ) compared to one with hadronic matter only (black lines)

- Strong and narrow second neutrino peak in all flavours
- Step up in neutrino rms energies

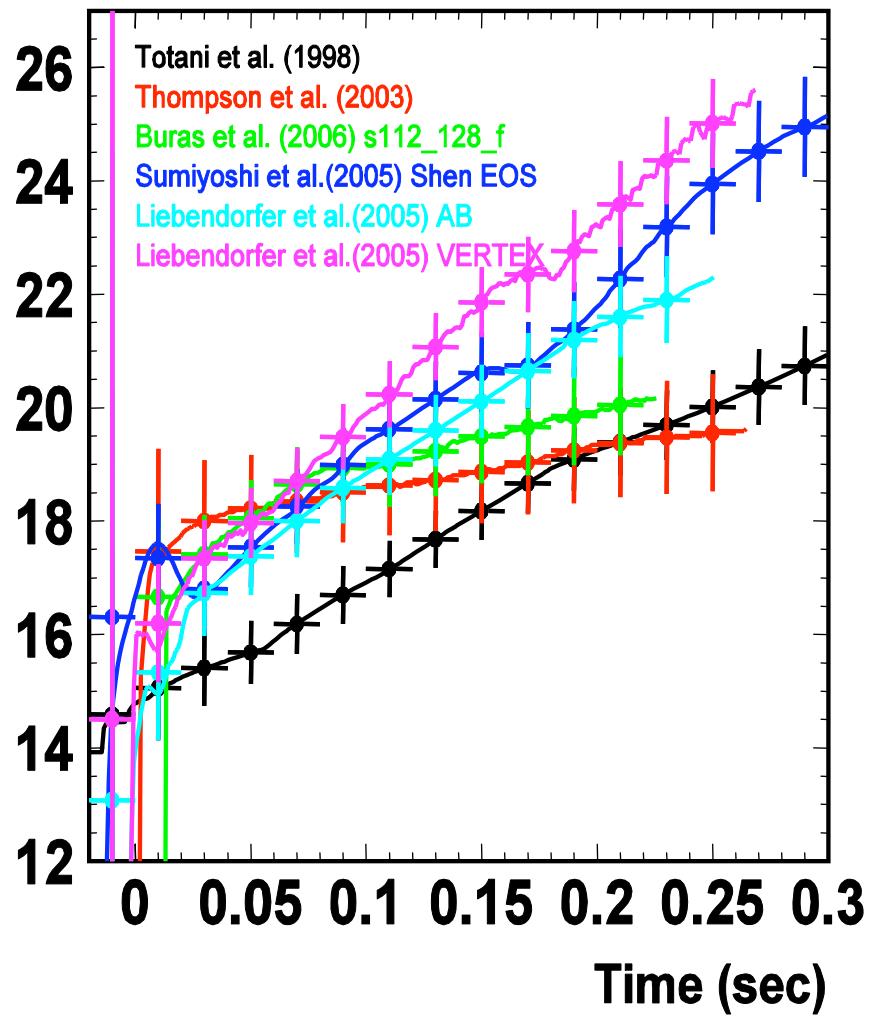
# Sensitivity of SK for time variation measurement

Assuming a supernova at **10kpc**, expected statistical error is plotted.

Time variation of event rate



Time variation of mean energy



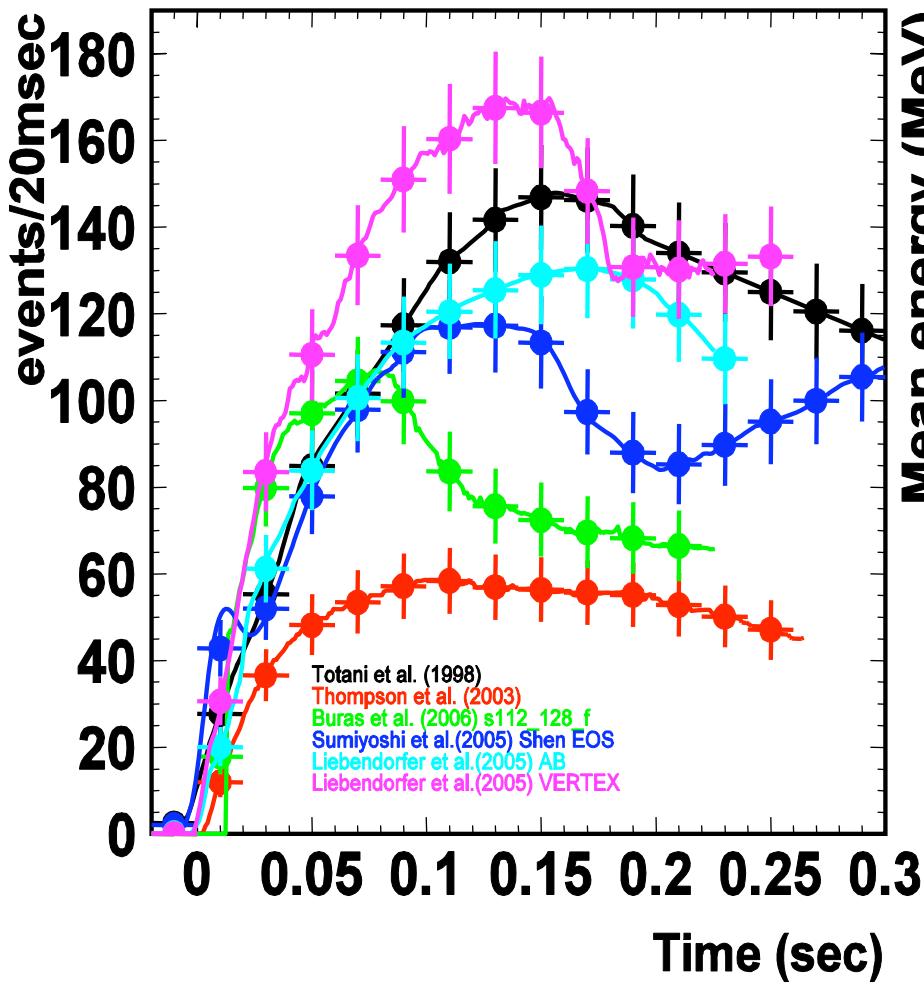
Enough statistics to test those models

Transparency from M. Nakahata,  
20 years after SN1987A, Feb. 2007

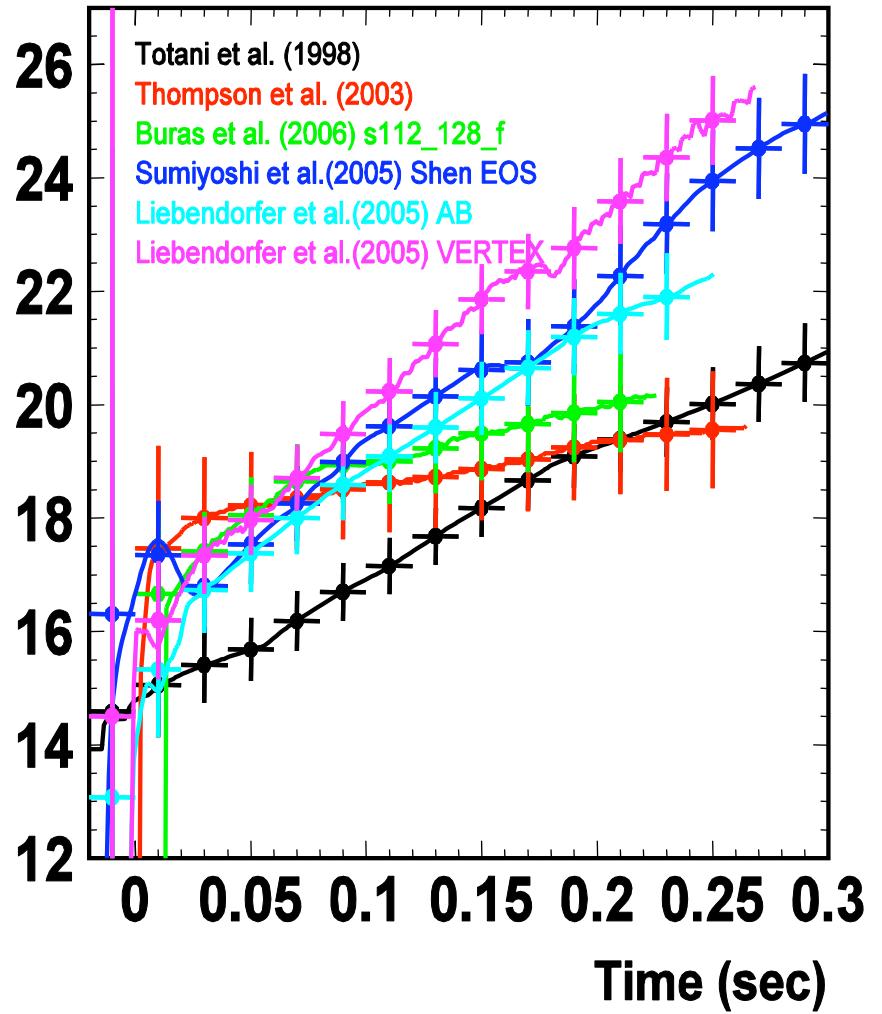
# Sensitivity of SK for time variation measurement

Assuming a supernova at **10kpc**, expected statistical error is plotted.

Time variation of event rate



Time variation of mean energy



Enough statistics to test those models  
yes, but difficult to detect narrow peak!  
(pers. comm. S. Horiuchi, S. Kawagoe)

Transparency from M. Nakahata,  
20 years after SN1987A, Feb. 2007

# No/weak explosions: What is missing?

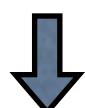
Accretion phase with standing accretion shock (spherical or not) or fallback from weak explosion



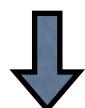
Proto-neutron star (PNS) reaches critical density and reconfigures



Shock wave propagates toward the PNS surface



Shock accelerates at the density cliff at the edge of the PNS and catches up with the first shock



Outflow behind the shock leads to a large gain radius so that the explosion becomes unavoidable

- similar neutron star masses at birth

- transient neutrino signal with anti-neutrino dominance

- ejected low-Ye pocket (r-process?)



Quark matter in neutron stars

# Spectral $\nu$ -transport in axisymmetry

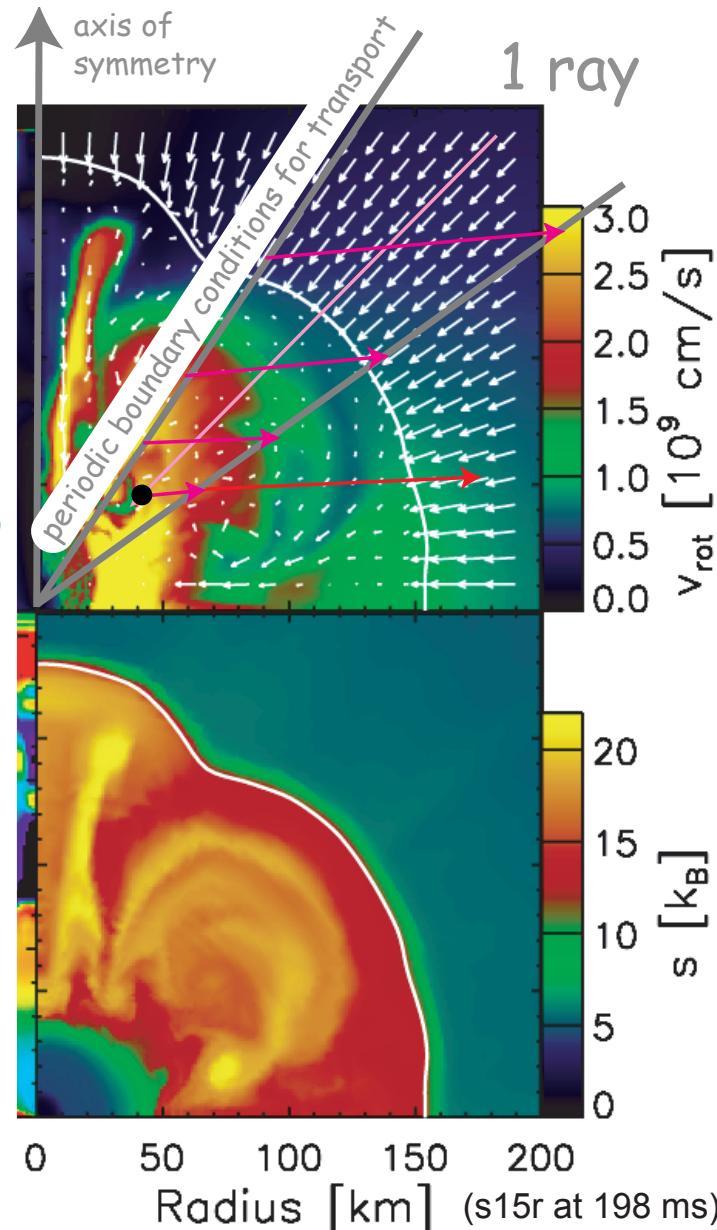
2D + ray-by-ray

Improved models of stellar core collapse and still no explosions: what is missing?

R. Buras, M. Rappaport,  
H.-Th. Janka, K. Kifonidis,  
Phys. Rev. Lett., 2003

Several alternative approaches:

Livne et al. (2004)  
Walder et al. (2004)  
Myra & Swesty (2005)  
Bruenn et al. (2007)  
Dessart et al. (2007)  
Ott et al. (2008)  
Swesty & Myra (2009)



Example Garching group:

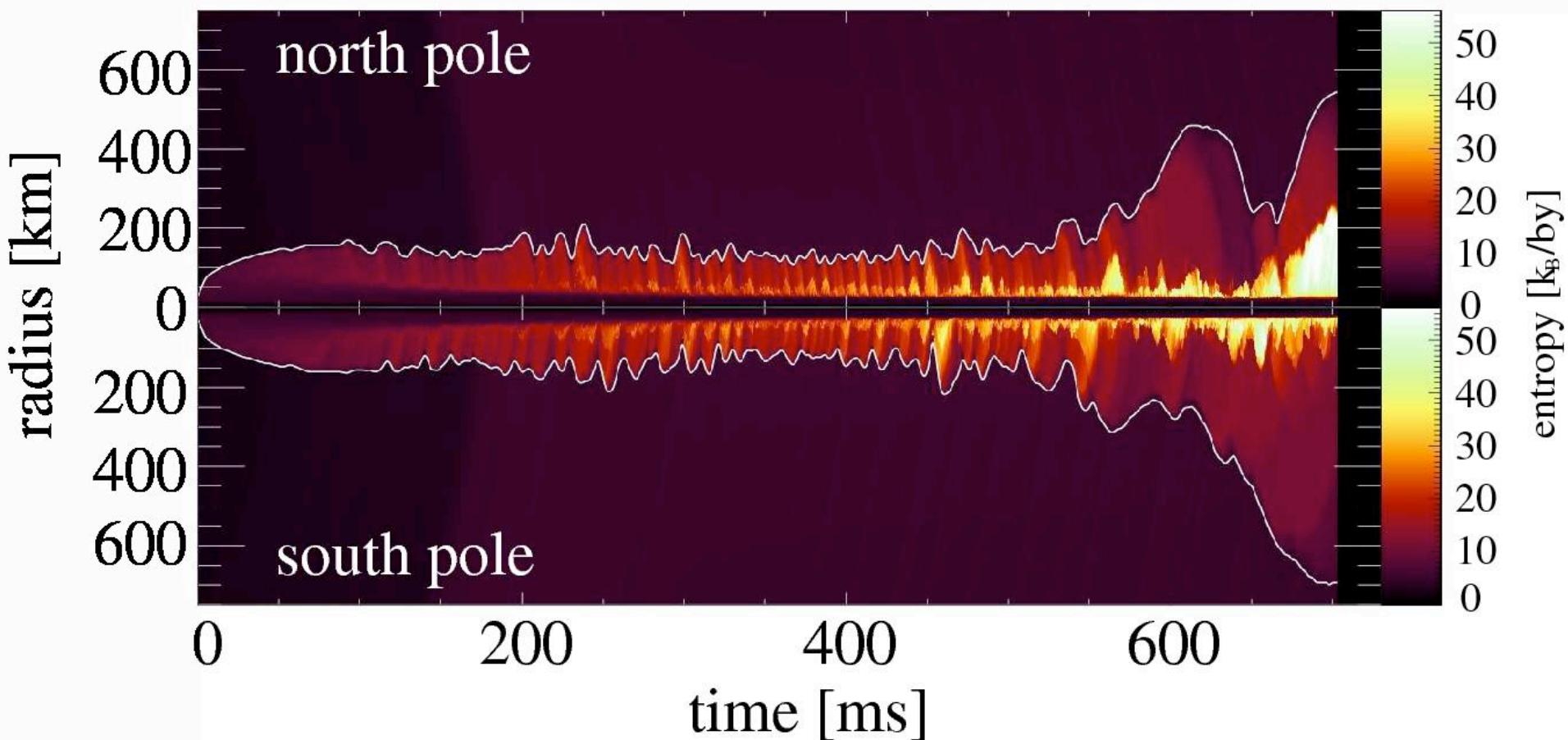
- couple 1D solution to 2D hydrodynamics in angular segments
- explosion for 11Ms, and for 15Ms  
(Marek & Janka 2007)
- advantage of reliable 1D transport
- disadvantage of large computation time and neglected tangential transport

# ...produces weak delayed explosions

- Standing Accretion Shock Instability (SASI) perturbs shock radius      Blondin, Mezzacappa 2003, Foglizzo et al. 2007
- Extended postbounce phase before weak explosion

Full problem only  
affordable for few  
selected runs

Marek & Janka 2009



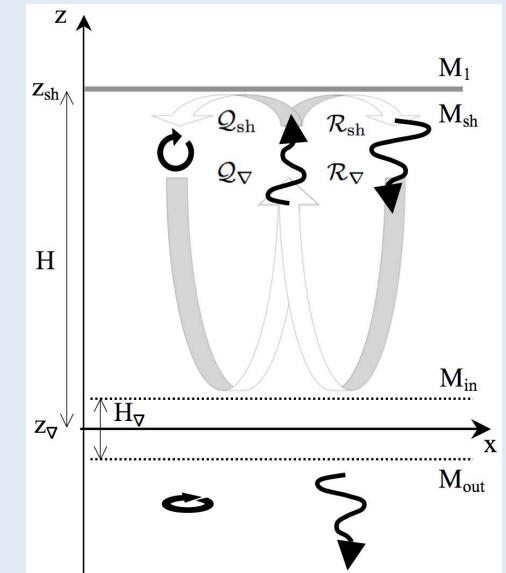
# Standing Accretion Shock Instability

- Seen in simulations without  $\nu$  physics:  
sloshing and spiral modes

Blondin & Mezzacappa 2003

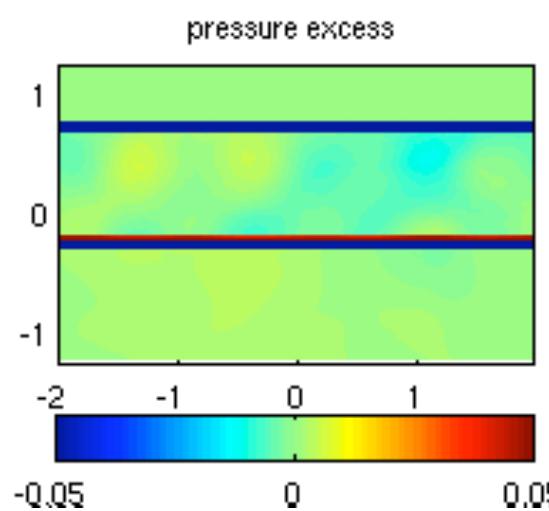
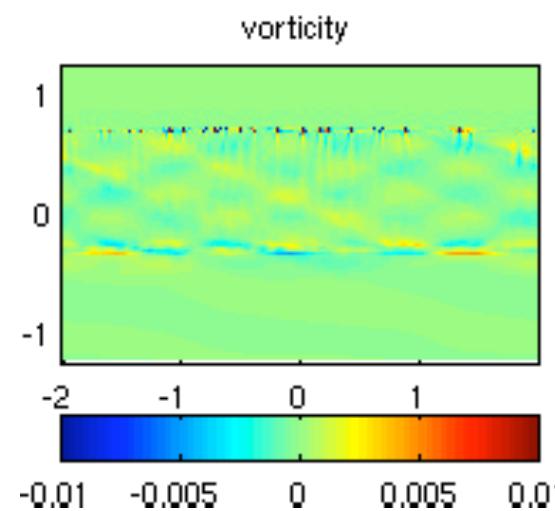
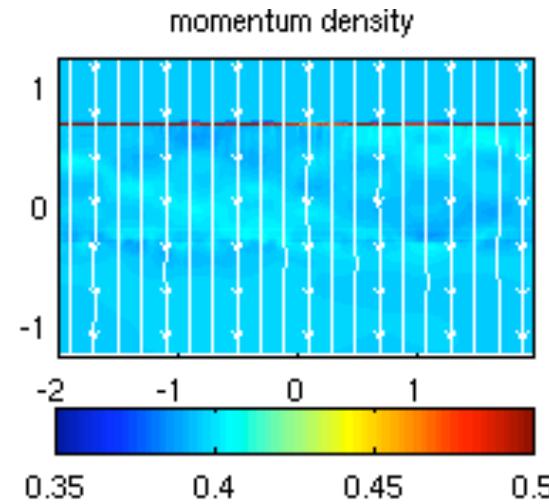
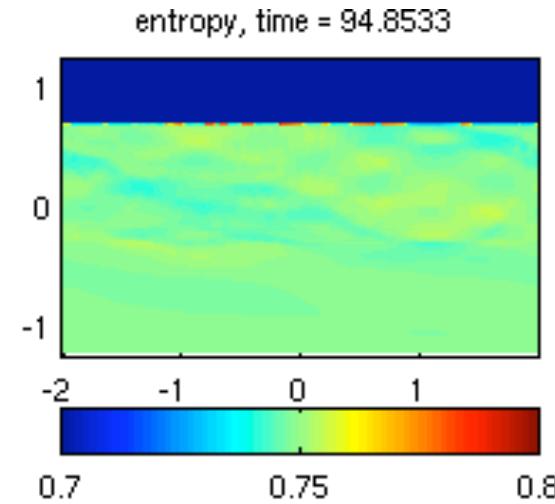
- Advection-acoustic instability (**SASI**)

Foglizzo et al. 2005/9



Why not convective turnover à la Herant et al. 1994 ?

# Standing Accretion Shock Instability

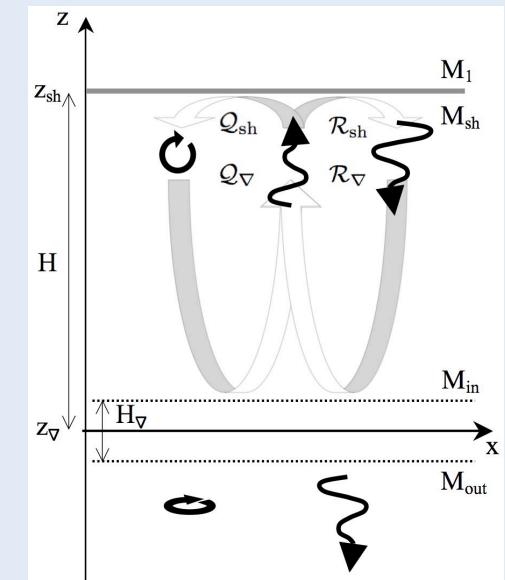


- Seen in simulations without  $\nu$  physics:  
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Blondin & Mezzacappa 2003

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Foglizzo et al. 2005/9

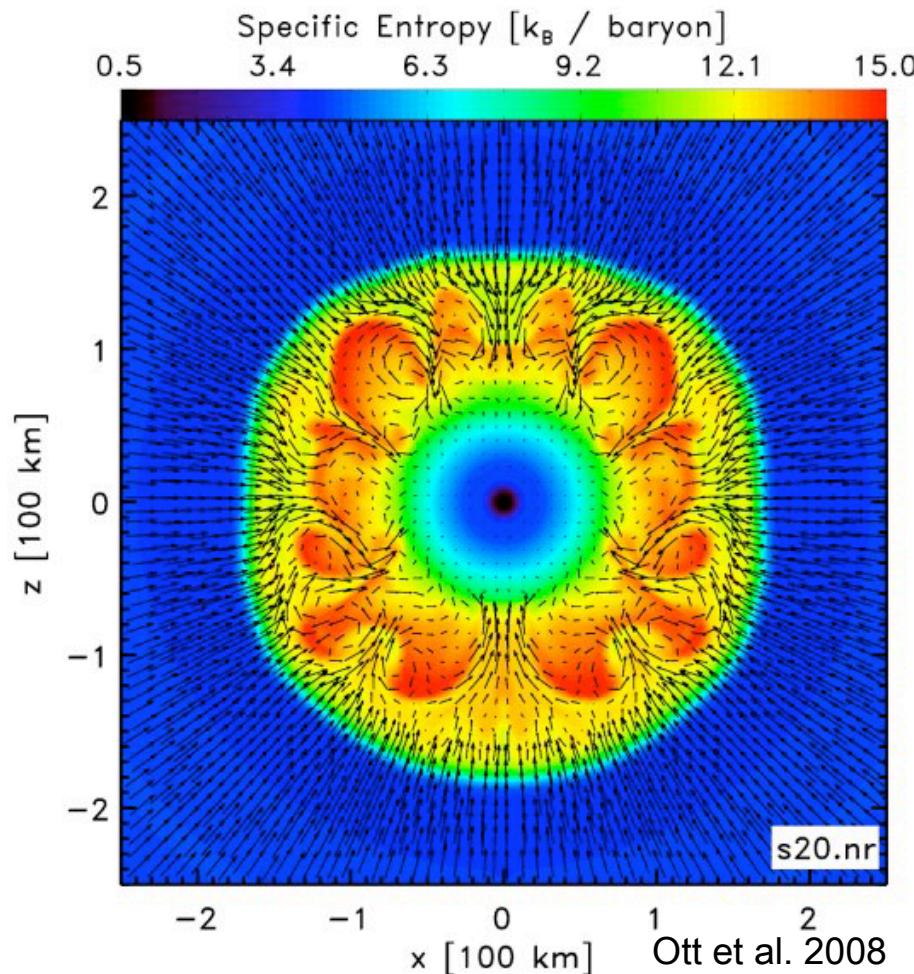


Why not convective turnover à la Herant et al. 1994 ?

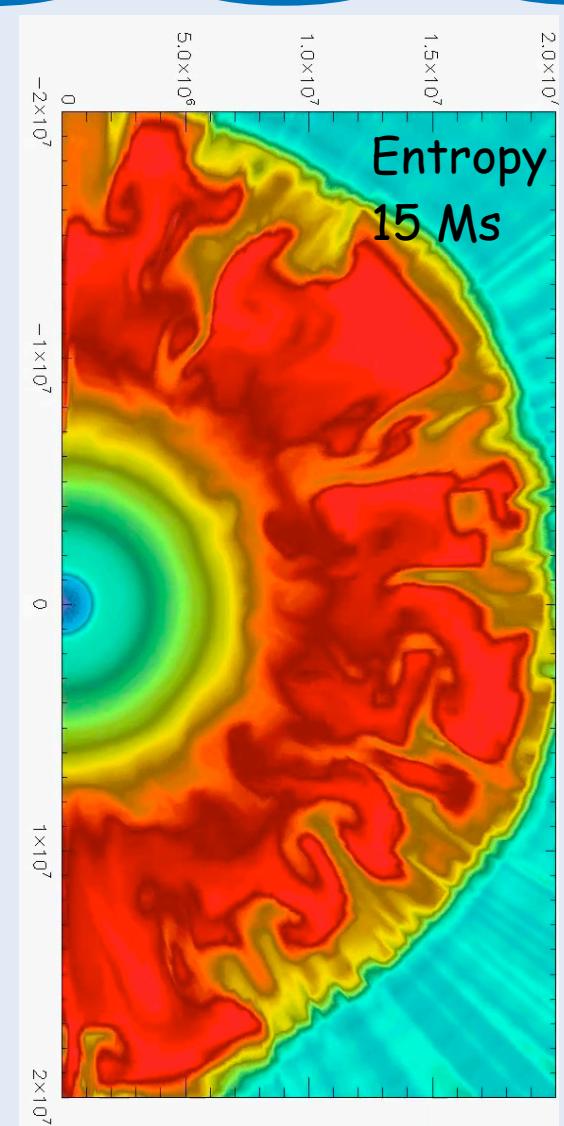
# Convergence in 2D not yet demonstrated

Explosion at 1200 ms by acoustic mechanism:

- unconfirmed by other groups Burrows et al. 2006
- coupling to higher modes? Weinberg & Quataert 2008



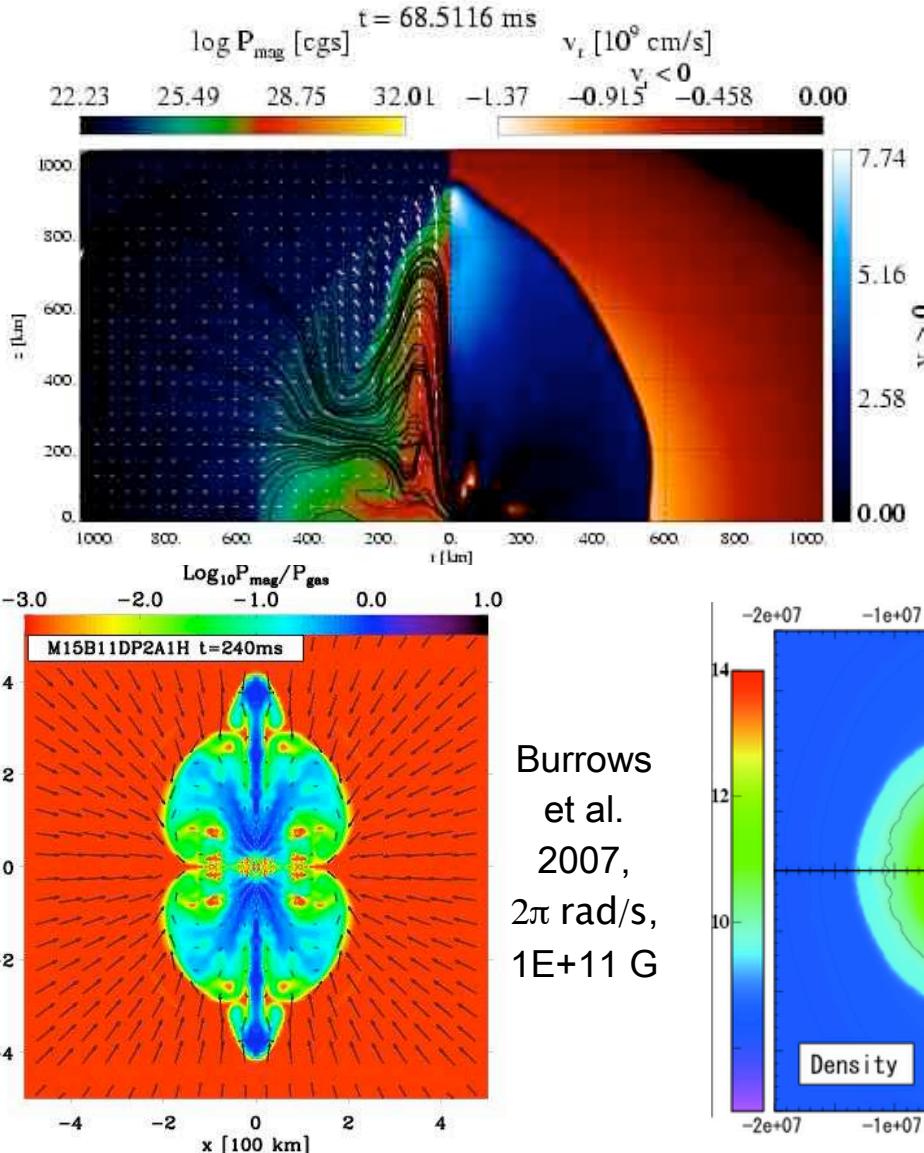
Entropy  
at 160 ms  
20 Ms



Explosion ~400ms?  
Messer et al. 2008

# Magneto-rotational explosion mechanism

## Recent MHD collapse simulations with a neutron star



Obergaulinger  
et al. 2006,  
 $T/W=0.23\%$ ,  
 $B=1E+13 \text{ G}$

Takiwaki et al.  
2007,  $T/W=1\%$ ,  
 $B=1E+10 \text{ G}$

Burrows  
et al.  
2007,  
 $2\pi \text{ rad/s}$ ,  
 $1E+11 \text{ G}$

## Pioneering efforts:

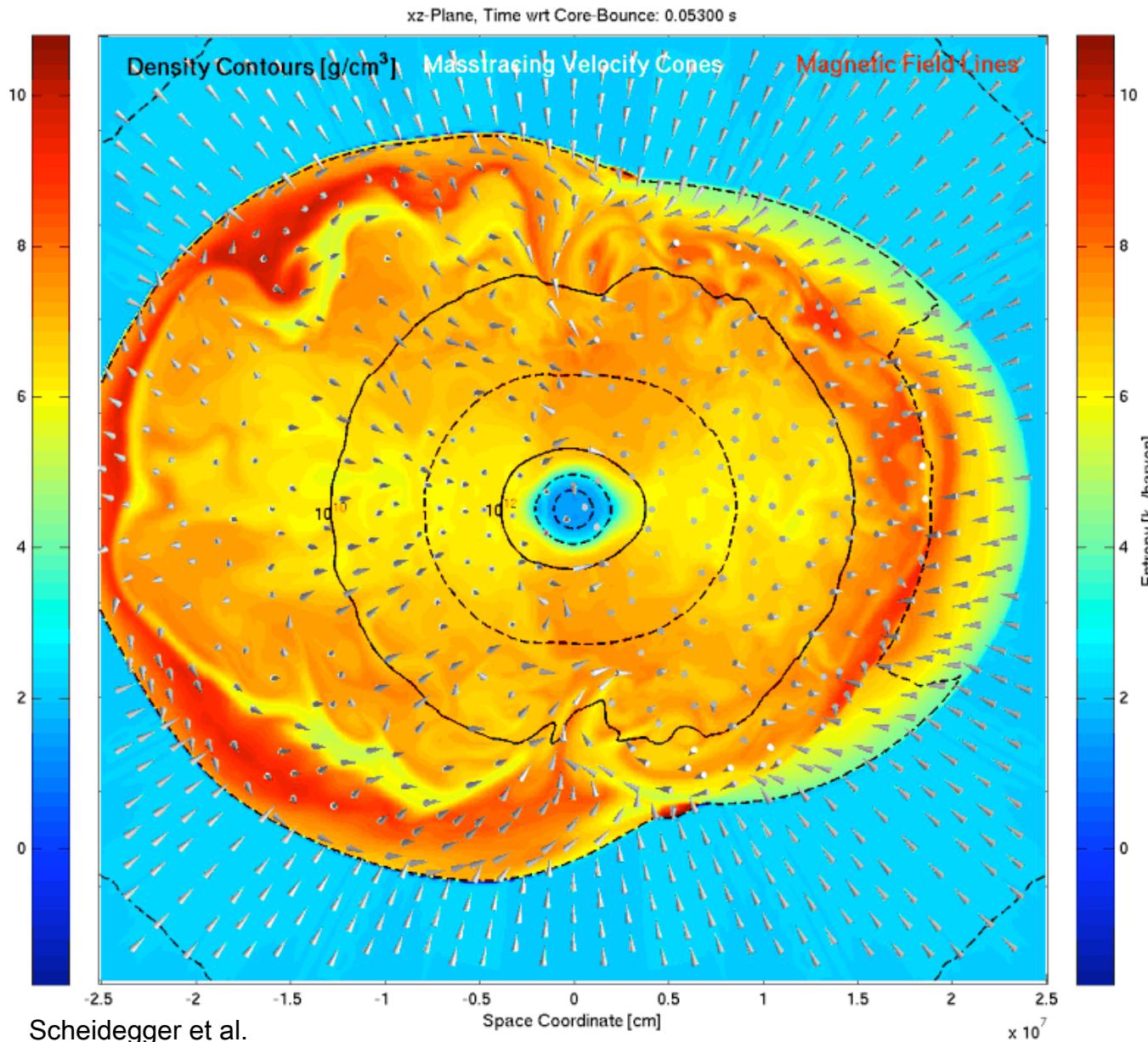
Leblanc & Wilson 1970  
Bisnovatyi-Kogan 1971/76  
Kundt 1976  
Meier et al. 1976  
Müller & Hillebrandt 1979  
...  
MacFadyen & Woosley 1999

## Collapsar model (accretion into BH)

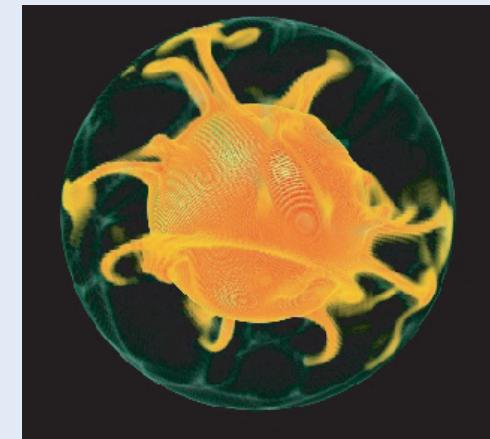
Modelling challenge:

- Weak initial field
- Short collapse
- Long growth time

# From axisymmetry to 3D



- how restrictive is axisymmetry?
- convective turnover is always toroidal
- narrow downflow restricted to cones instead of tubes



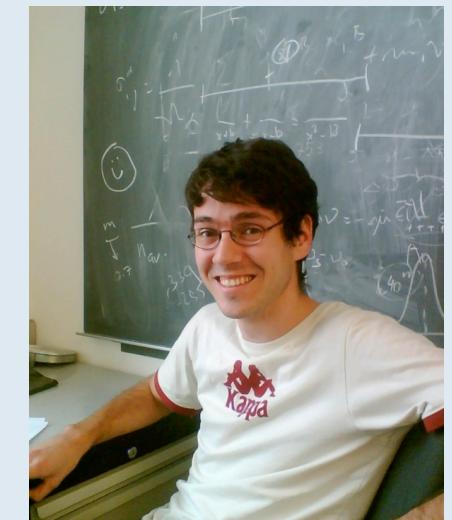
# 3D Magneto-Hydrodynamics



Elegant parallel hydrodynamics with  
approximate neutrino transport



Dr. Stuart C. Whitehouse  
Roger Käppeli

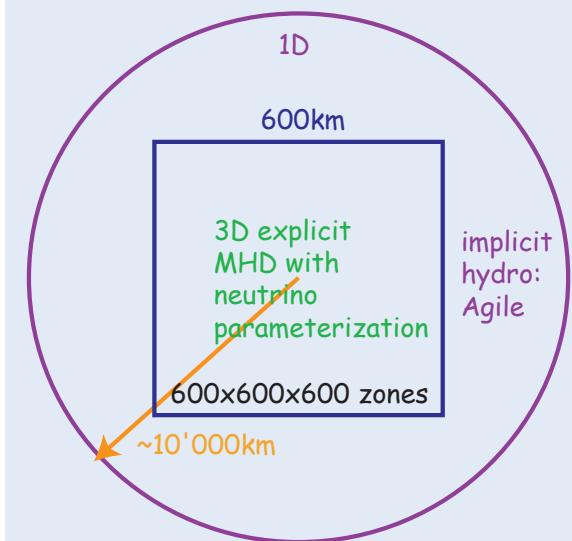


# 3D Magneto-Hydrodynamics

Elegant parallel hydrodynamics with approximate neutrino transport



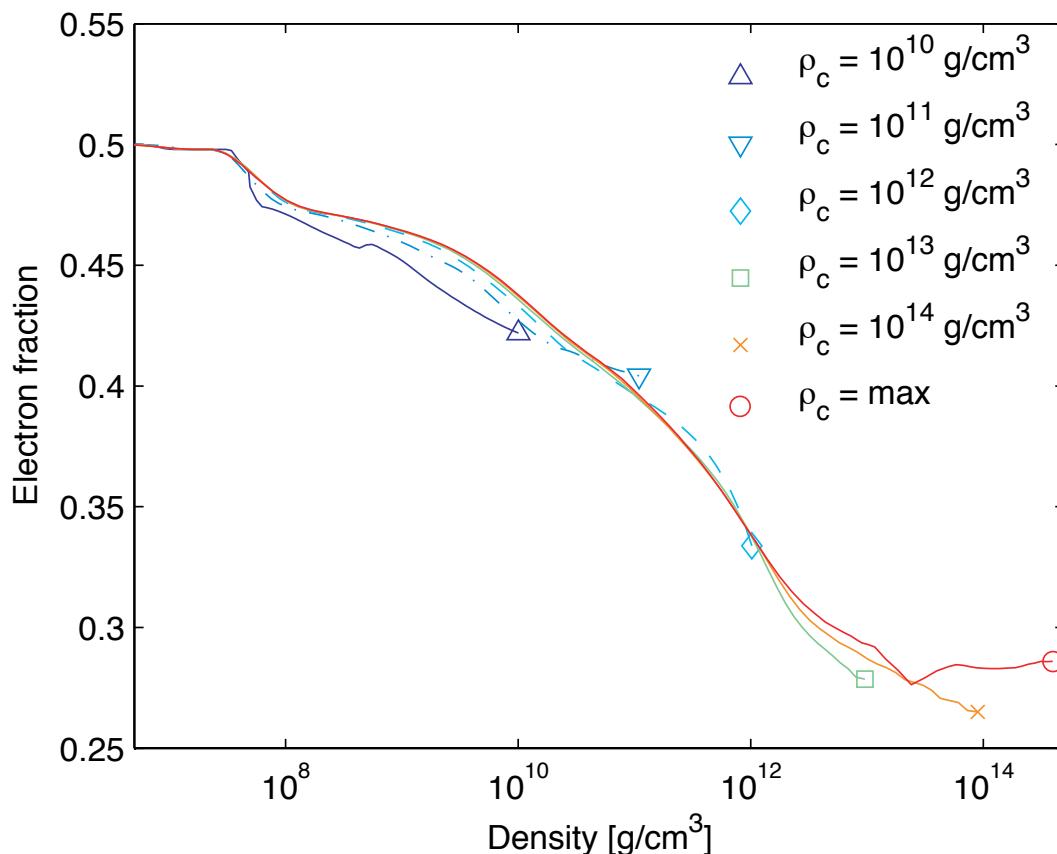
- Lattimer-Swesty EoS
- Effective GR potential
- constrained transport
- 2nd order TVD
- e-flavour neutrinos



(Liebendörfer, Pen, Thompson 2006)

# Parameterised $\nu$ -physics before bounce

Electron fraction in spherical runs can be parameterised



Entropy changes  
and neutrino stress  
can be derived:

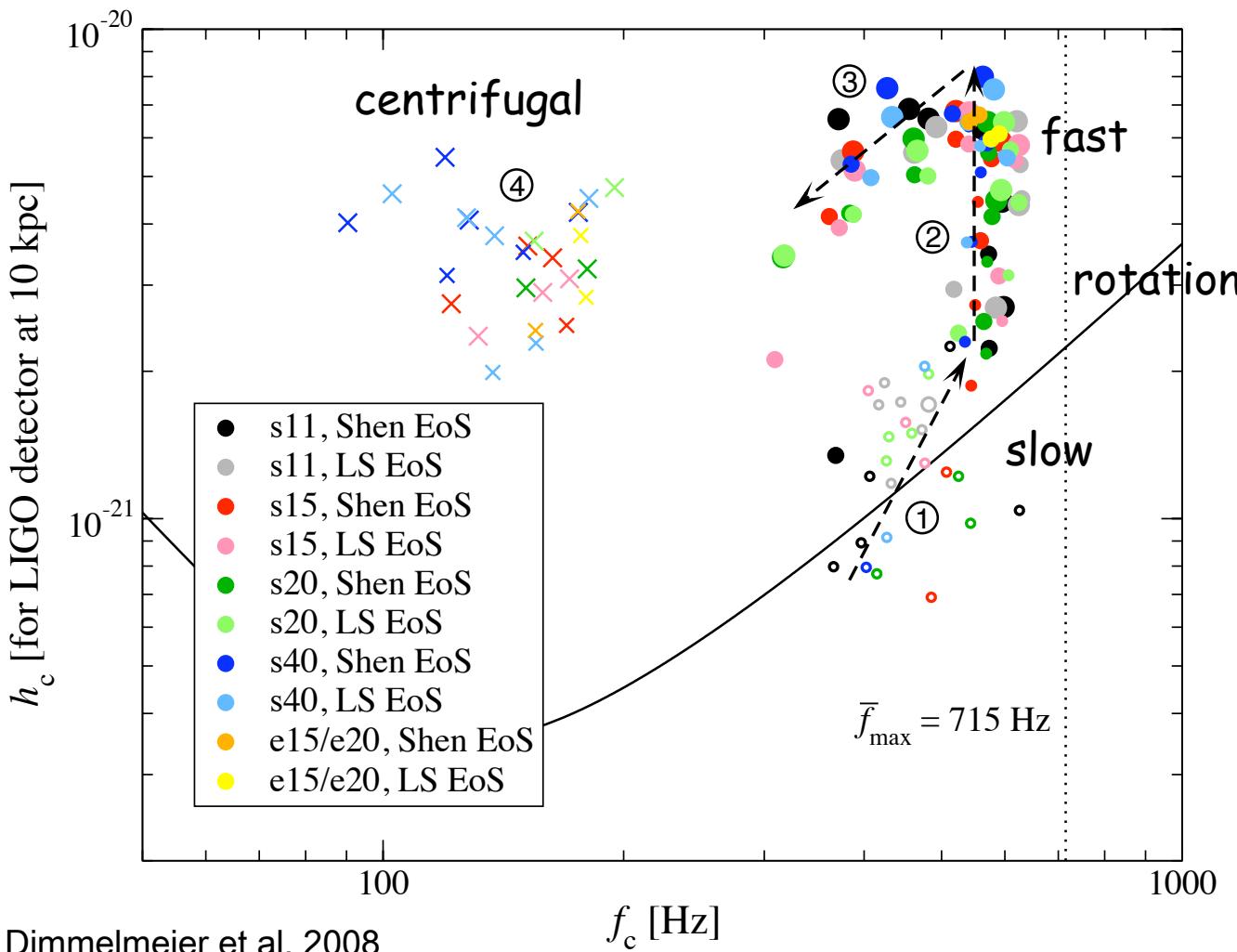
$$\frac{\Delta s}{\Delta t} = - \frac{\Delta Y_e}{\Delta t} \frac{\mu_e - \mu_n + \mu_p - E_\nu^{esc}}{T} \quad (\sim 10 \text{ MeV})$$

- Simple to implement (compared to neutrino transport...)
- Computationally very efficient!
- Performs well for collapse and bounce
- Not applicable in postbounce phase!

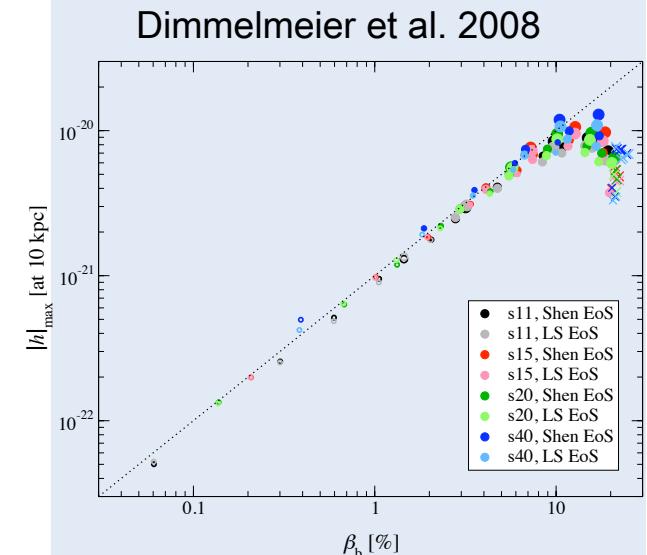
Liebendörfer 2005

# Gravitational waves in 2D models

Many different runs have been analysed based on neutrino parameterisation scheme and nuclear EoS:



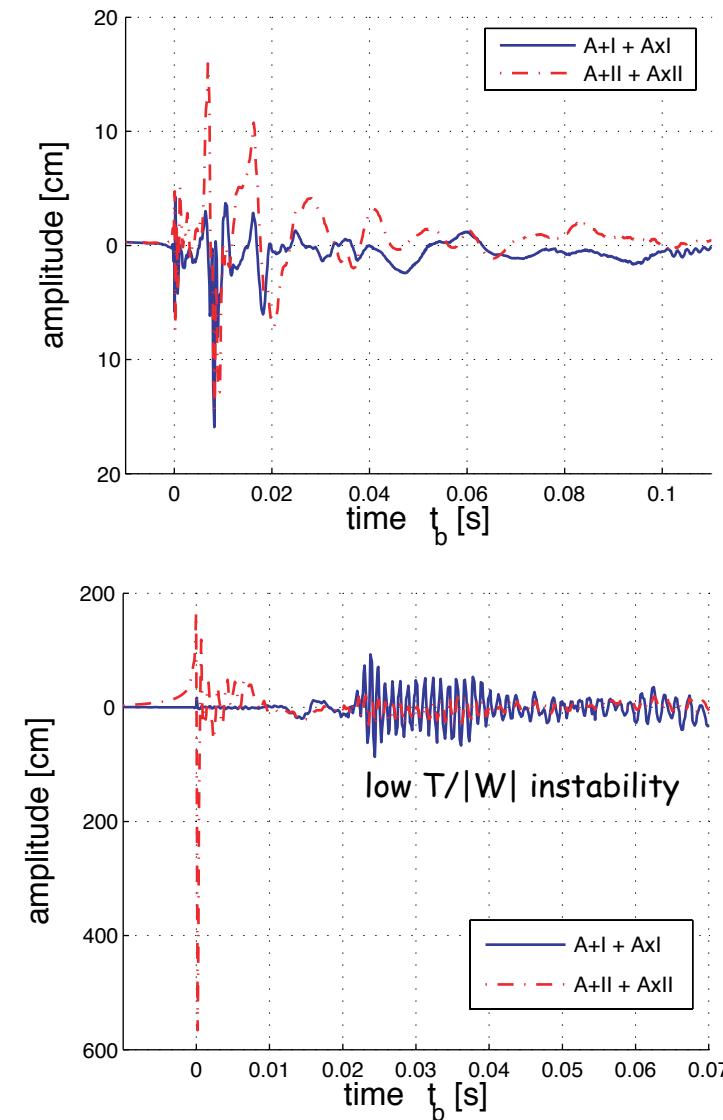
Only type I signals found!



Linear imprint of core rotation rate on GW

- Recent reviews on GW from core-collapse supernovae:
- Kotake, Sato, Takahashi 2005
  - Ott 2009

# Gravitational waves in 3D



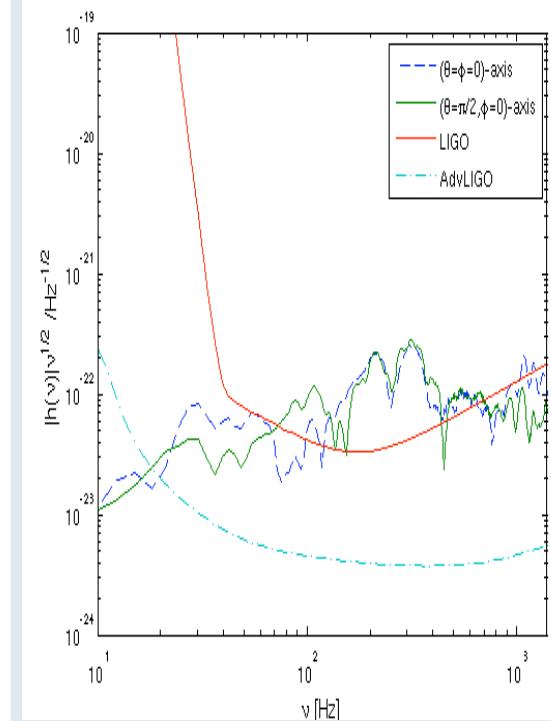
Slowly rotating 15Ms progenitor according to

(Heger, Woosley & Spruit 2005)

Fast rotating 15Ms progenitor  
 $\Omega \sim 2\pi$  rad/ps

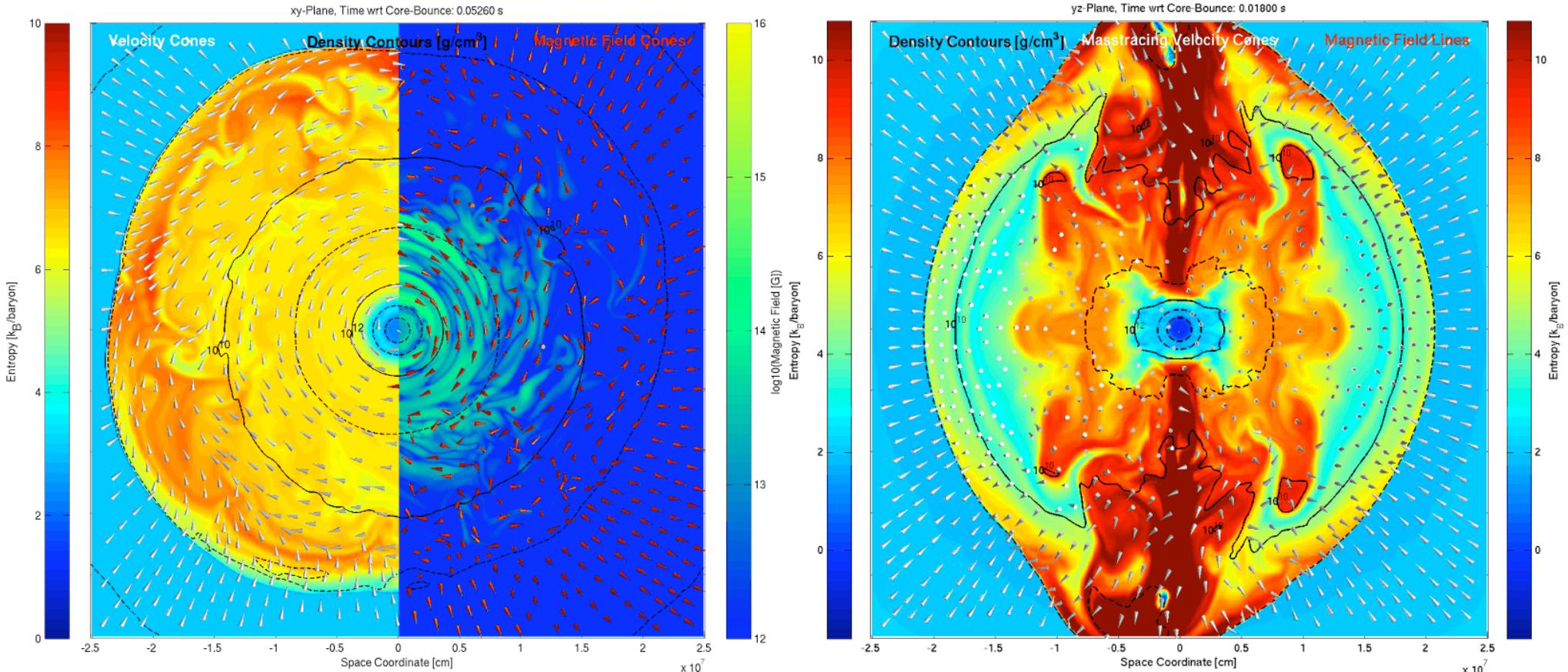
--> imprint of bounce and rotation rate

Scheidegger et al. 2009, in qualitative agreement with Ott et al. 2007



Galactic supernovae  
-- could (LIGO)  
-- should (Adv. LIGO)  
be detectable

# Experimental 3D magneto-rotational runs



Setup with weak toroidal field  
 --> winding  
 Liebendörfer et al. 2006

Setup with poloidal field as in Burrows et al. 2007,  
 --> jet  
 Käppeli, Scheidegger et al.

# Pitfalls of multi-D Boltzmann $v$ -transport



Boltzmann transport:

- One fluid element contains  
4  $v$  types  $\times$  20 energies  $\times$  100 angles = 8000 variables
- At a resolution of  $1000^3$  zones  
--> 64TB per time step

Hydrodynamics:

- One fluid element  
contains ~10 variables
- At a resolution of  
 $1000^3$  zones  
--> 80GB per step

# Solving the Boltzmann equation



$$\begin{aligned}
 & \frac{\partial F}{\alpha c \partial t} + \frac{\partial (4\pi r^2 \alpha \rho \mu F)}{\alpha \partial m} + \Gamma \left( \frac{1}{r} - \frac{\partial \alpha}{\alpha \partial r} \right) \frac{\partial [(1 - \mu^2) F]}{\partial \mu} \\
 & + \left( \frac{\partial \ln \rho}{\alpha c \partial t} + \frac{3u}{rc} \right) \frac{\partial [\mu (1 - \mu^2) F]}{\partial \mu} \\
 & + \left[ \mu^2 \left( \frac{\partial \ln \rho}{\alpha c \partial t} + \frac{3u}{rc} \right) - \frac{1}{rc} u - \mu \Gamma \frac{\partial \alpha}{\alpha \partial r} \right] \frac{1}{E^2} \frac{\partial (E^3 F)}{\partial E} \\
 & = \frac{j}{\rho} - \tilde{\chi} F + \frac{1}{h^3 c^4} E^2 \int d\mu' R_{is}(\mu, \mu', E) F(\mu', E) \\
 & - \frac{1}{h^3 c^4} E^2 F \int d\mu' R_{is}(\mu, \mu', E) \\
 & + \frac{1}{h^3 c^4} \left[ \frac{1}{\rho} - F(\mu, E) \right] \int E'^2 dE' d\mu' \tilde{R}_{nes}^{in}(\mu, \mu', E, E') F(\mu', E) \\
 & - \frac{1}{h^3 c^4} F(\mu, E) \int E'^2 dE' d\mu' \tilde{R}_{nes}^{out}(\mu, \mu', E, E') \left[ \frac{1}{\rho} - F(\mu', E') \right]
 \end{aligned}$$

$$\frac{\partial Y_e}{\partial t} = -\frac{2\pi m_B}{h^3 c^2} \int E^2 dE d\mu \left( \frac{j}{\rho} - \tilde{\chi} F \right) \quad \frac{\partial e}{\partial t} = \dots \quad \frac{\partial u}{\partial t} = \dots$$

(Mezzacappa & Bruenn 1993, Liebendörfer 2000, Liebendörfer et al. 2004)

Evolution of specific neutrino distr. function:

$$F(t, m, \mu, E) = f(t, r, \mu, E) / \rho$$

=> 3D implicit problem

Comoving metric:

$$\begin{aligned}
 ds^2 &= -\alpha^2 dt^2 + \left( \frac{1}{\Gamma} \frac{\partial r}{\partial a} \right)^2 \\
 &+ r^2 (d\vartheta^2 + \sin^2 \vartheta d\varphi^2)
 \end{aligned}$$

Stress-energy tensor:

$$\begin{aligned}
 T^{tt} &= \rho (1 + e + J) \\
 T^{ta} = T^{at} &= \rho H \\
 T^{aa} &= p + \rho K \\
 T^{\vartheta\vartheta} = T^{\varphi\varphi} &= p + \frac{1}{2} \rho (J - K)
 \end{aligned}$$

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Boltzmann transport:

- One fluid element contains  
 $4 v \text{ types} \times 20 \text{ energies} \times 100 \text{ angles} = 8000 \text{ variables}$
- At a resolution of  $1000^3$  zones  
 $\rightarrow 64\text{TB per time step}$

Compression of Fermi-gas:

$$\frac{dF}{dt} - \frac{1}{3E^2} \frac{\partial}{\partial E} (E^3 \rho F) \frac{d}{dt} \left( \frac{1}{\rho} \right) - \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{c\lambda}{3} \frac{\partial F}{\partial r} \right) = \left( \frac{dF}{dt} \right)_{\text{collision}}$$

$dE$        $p dV$       diffusion      = interactions

Hydrodynamics:

- One fluid element contains  $\sim 10$  variables
- At a resolution of  $1000^3$  zones  
 $\rightarrow 80\text{GB per step}$

difficult energy-terms  
 must not be neglected!

# Pitfalls of multi-D Boltzmann $v$ -transport

Boltzmann transport:

- One fluid element contains  
 $4 v \text{ types} \times 20 \text{ energies} \times 100 \text{ angles} = 8000 \text{ variables}$
- At a resolution of  $1000^3$  zones  
 $\rightarrow 64\text{TB per time step}$

Compression of Fermi-gas:

$$\frac{dF}{dt} - \frac{1}{3E^2} \frac{\partial}{\partial E} (E^3 \rho F) \frac{d}{dt} \left( \frac{1}{\rho} \right) - \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{c\lambda}{3} \frac{\partial F}{\partial r} \right) = \left( \frac{dF}{dt} \right)_{\text{collision}}$$

$de$        $p dV$       diffusion      = interactions

Diffusion limit:

$$\frac{\lambda}{3} \frac{\partial F}{\partial r} \ll F, \quad \frac{H}{cJ} \sim 10^{-4}, \quad H = \int_{-1}^{+1} F(\mu) \mu d\mu$$

Hydrodynamics:

- One fluid element contains  $\sim 10$  variables
- At a resolution of  $1000^3$  zones  
 $\rightarrow 80\text{GB per step}$

difficult energy-terms  
 must not be neglected!

Inaccurate fluxes in  
 diffusion-regime due to  
 large cancellations in  
 angle integral!

# There is no perfect transport algorithm...

	Diffusive regime	Semi-transparent	Transparent regime
Boltzmann solver	Truncation errors in flux		Inefficient ang. resol.
Flux-limited diffusion		Flux-factor estimated	Flux-factor unknown
Ray-tracing	Short mean free path	Limited by reaction rates	

The ideal algorithm combines the three green fields!  
 However, it might be too complicated. Alternatives:

- Variable Eddington Factor method successful in 2D but very computationally expensive!  
 (Rampp & Janka, Buras et al. 2002-5)
- Grey diffusion in one regime and grey transparent elsewhere successful in 3D but not accurate enough!  
 (e.g. Fryer & Warren 2004)
- Multi-Group Flux-Limited diffusion difficulty of local flux limiters & multi-D  
 (e.g. Arnett 1966, Bruenn 1985,...)

# ... and 3D neutrino transport?



Fryer & Warren 2002/4,  
Scheck et al. 2003

# ... and 3D neutrino transport?



Efficiency and accuracy?

Fryer & Warren 2002/4,  
Scheck et al. 2003

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Neutrino transport dominates calculation time:

--> Implement **all** relevant physics to **leading** order!

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Neutrino transport dominates calculation time:

- > Implement **all** relevant physics to **leading** order!
- Thermodynamics of trapped particles (pdV-term)

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Neutrino transport dominates calculation time:

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- Thermodynamics of trapped particles (pdV-term)
- Accurate diffusion limit

# ... and 3D neutrino transport?

Efficiency and accuracy?

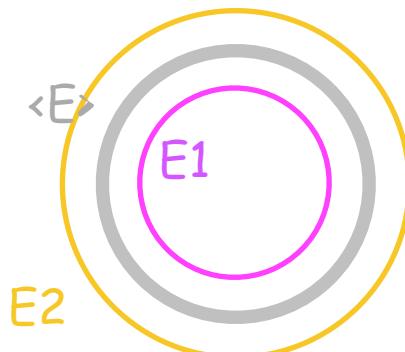
Fryer & Warren 2002/4,  
Scheck et al. 2003

Neutrino transport dominates calculation time:

('grey' schemes)

--> Implement **all** relevant physics to **leading** order!

- Thermodynamics of trapped particles (pdV-term)
- Accurate diffusion limit
- Spectral lepton- and energy flux



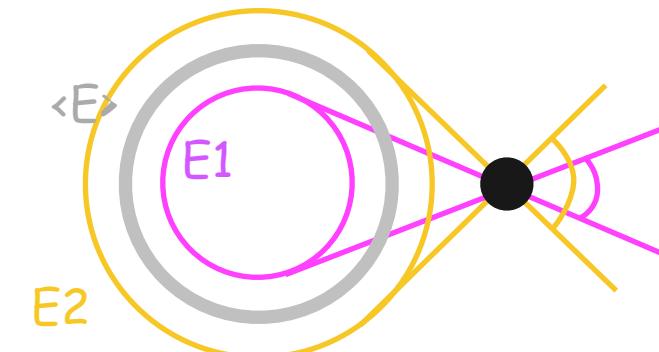
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- Non-local (geometric) v propagation directions



Grey transport and  
'local' MGFLD miss  
some geometry!

Fryer & Warren 2002/4,  
Scheck et al. 2003  
(‘grey’ schemes)

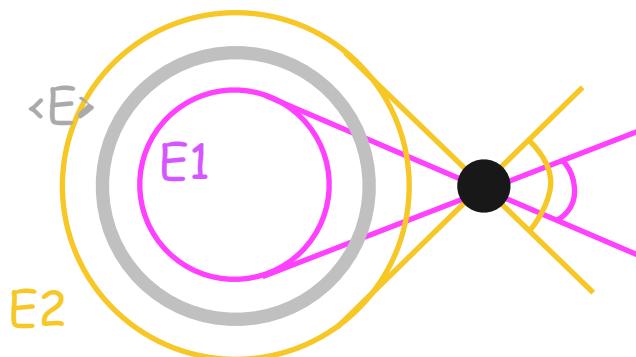
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Separation into

- trapped particles
- streaming particles

Different evolution  
approximations for  
these components  
(adaptive algorithm)

# Spectral neutrino transport after bounce



$$D(f) = j - \chi^* f$$

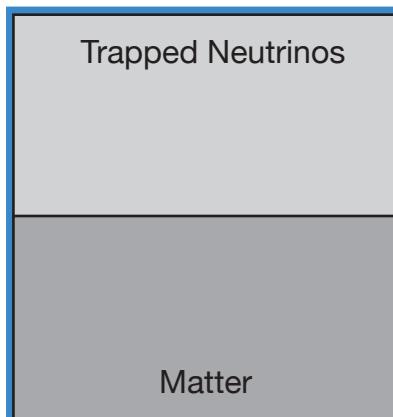
$$f = f(\text{trapped}) + f(\text{streaming}) = f_t + f_s$$

Different approx.  
for trapped & streaming  
neutrino components!

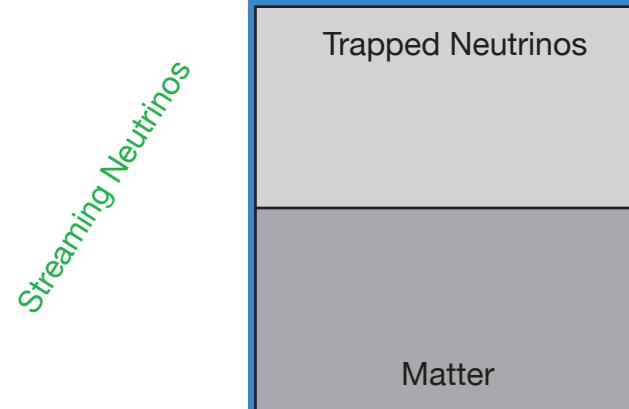
I sotropic  
D iffusion  
S ource  
A pproximation

(Liebendörfer,  
Whitehouse,  
Fischer 2007)

Fluid element A



Fluid element B



# Spectral neutrino transport after bounce

$$D(f) = j - \chi^* f$$

$$f = f(\text{trapped}) + f(\text{streaming}) = f_t + f_s$$

$$D(f_t) = j - \chi^* f_t - \Sigma \quad (1)$$

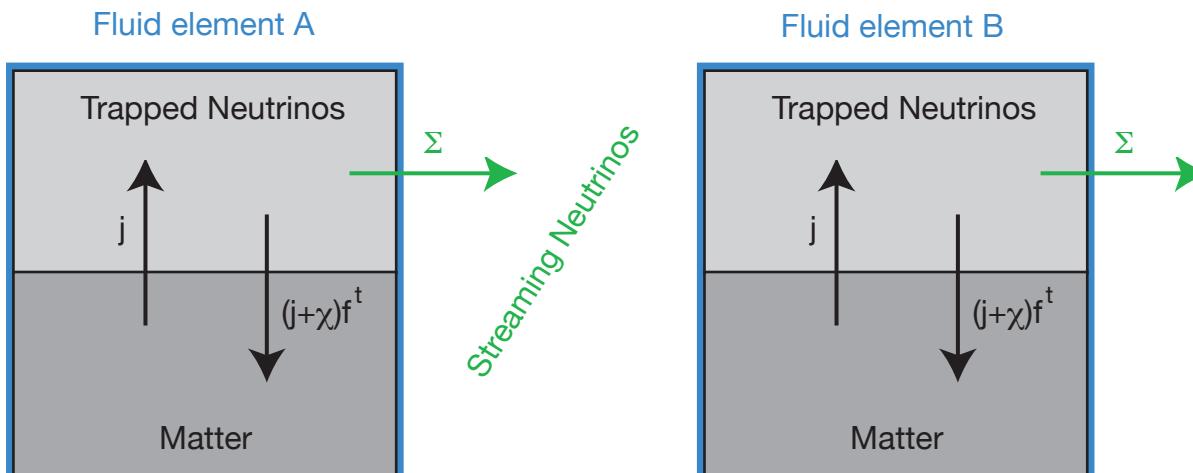
$$D(f_s) = -\chi^* f_s + \Sigma \quad (2)$$

Different approx.  
for trapped & streaming  
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$\Sigma$  determined by diffusion limit of (1)

I isotropic  
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(Liebendörfer,  
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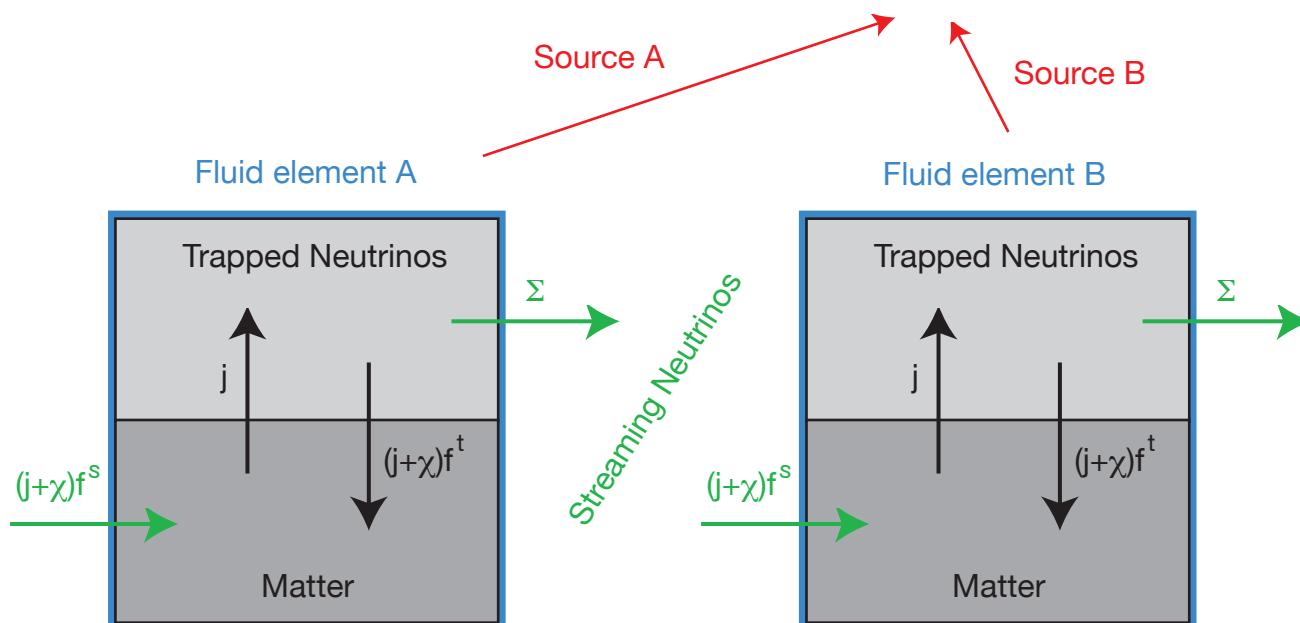
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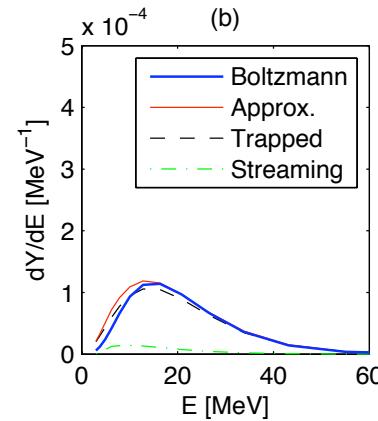
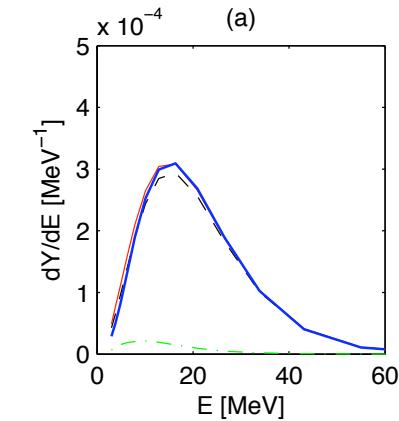
Stationary state approx. for (2) --> Poisson Eq.

I isotropic  
D iffusion  
S ource  
A pproximation

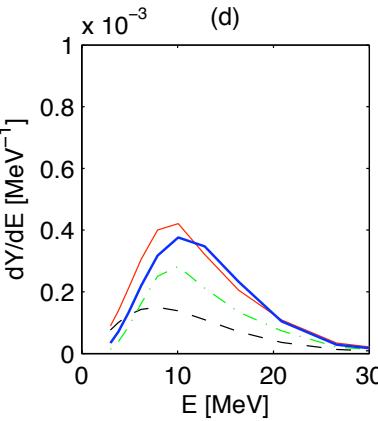
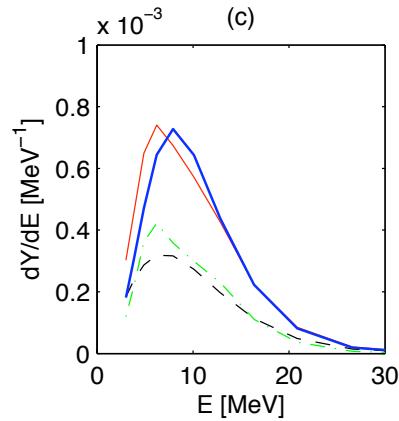
(Liebendörfer,  
Whitehouse,  
Fischer 2007)



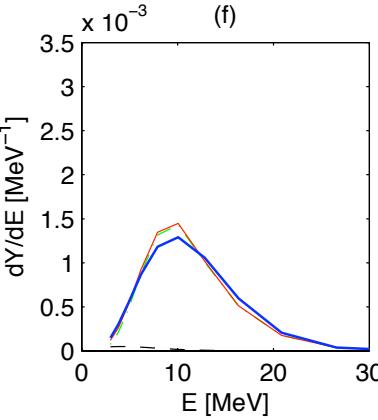
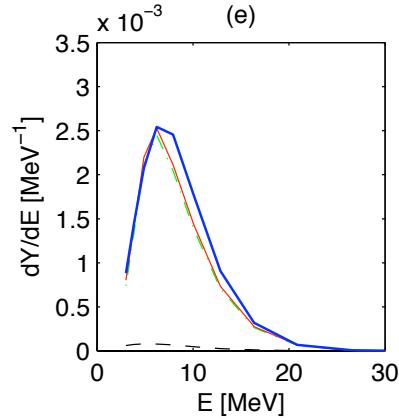
# Comparison of IDSA Spectra



at 40 km radius  
(trapped regime)



at 80 km radius  
(semi-transparent)



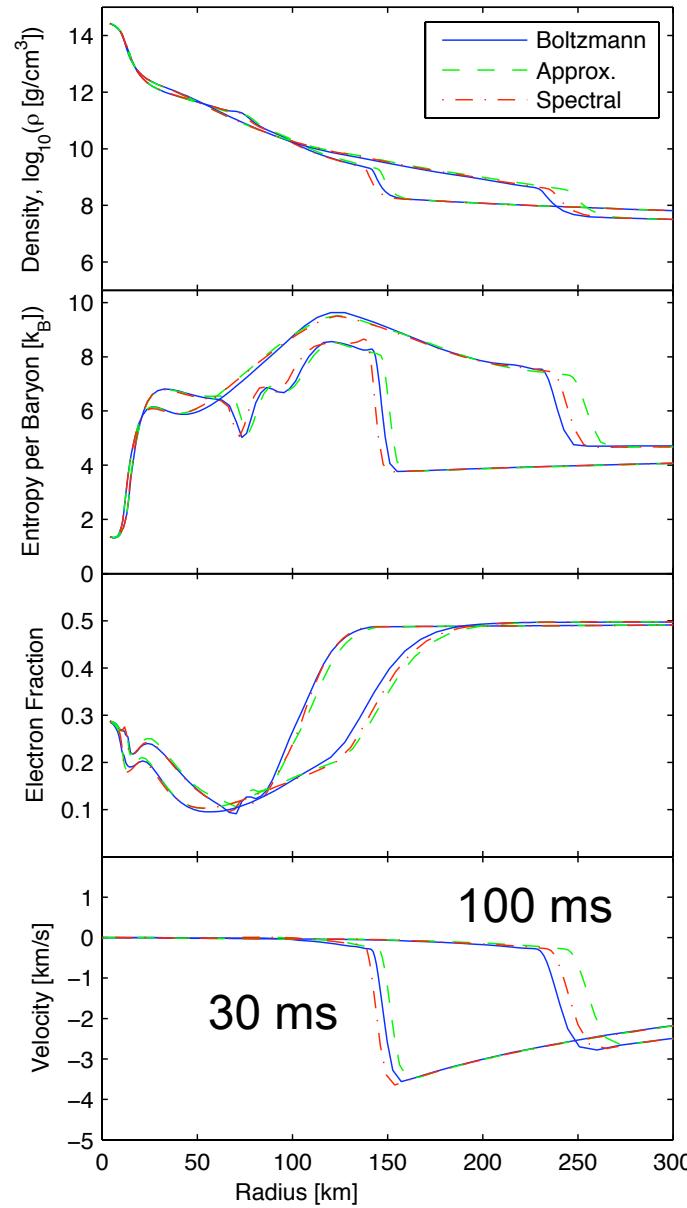
at 160 km radius  
(free streaming)

Trapped neutrinos  
dominate spectrum

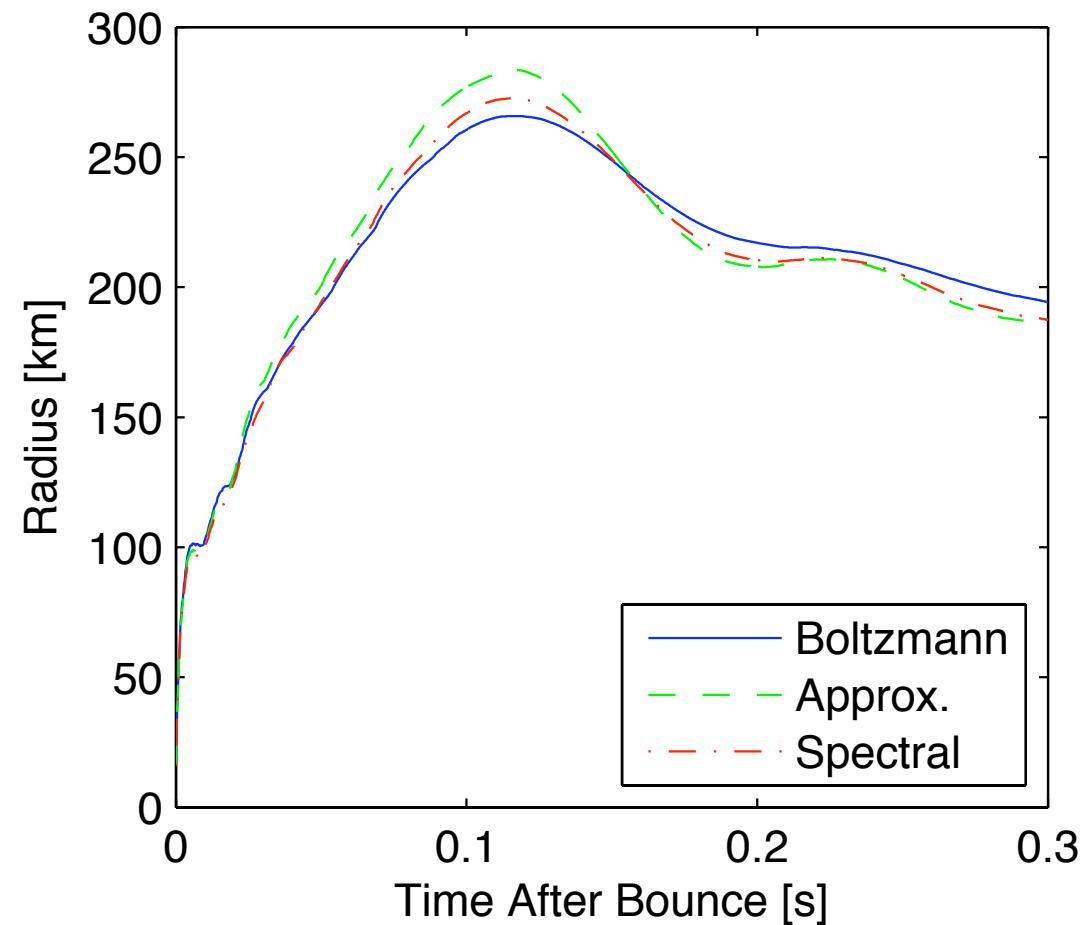
Trapped \*and\*  
streaming neutrinos  
form spectrum

Streaming neutrinos  
dominate spectrum

# Comparison of Hydrodynamical Evolution

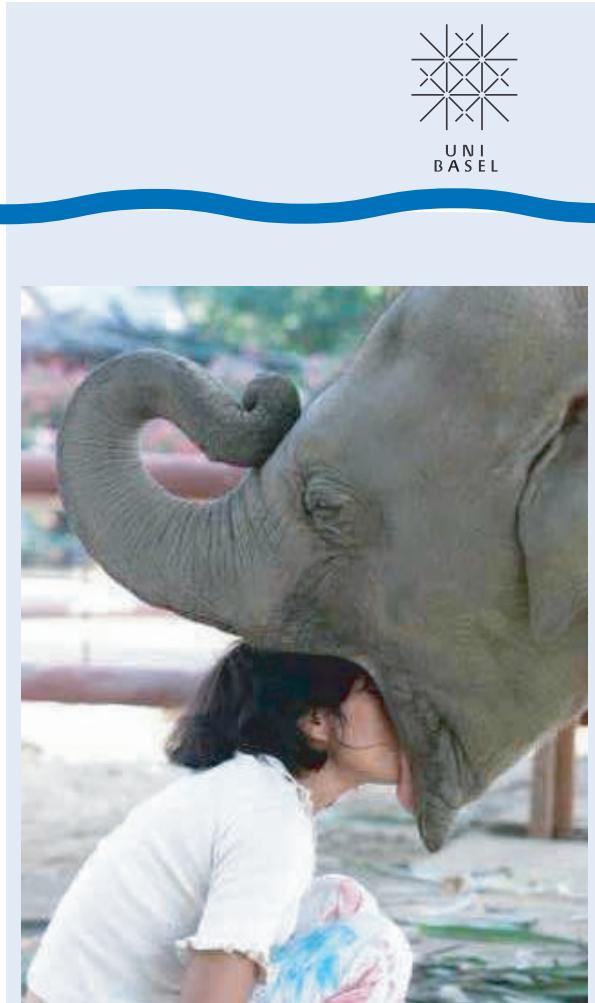
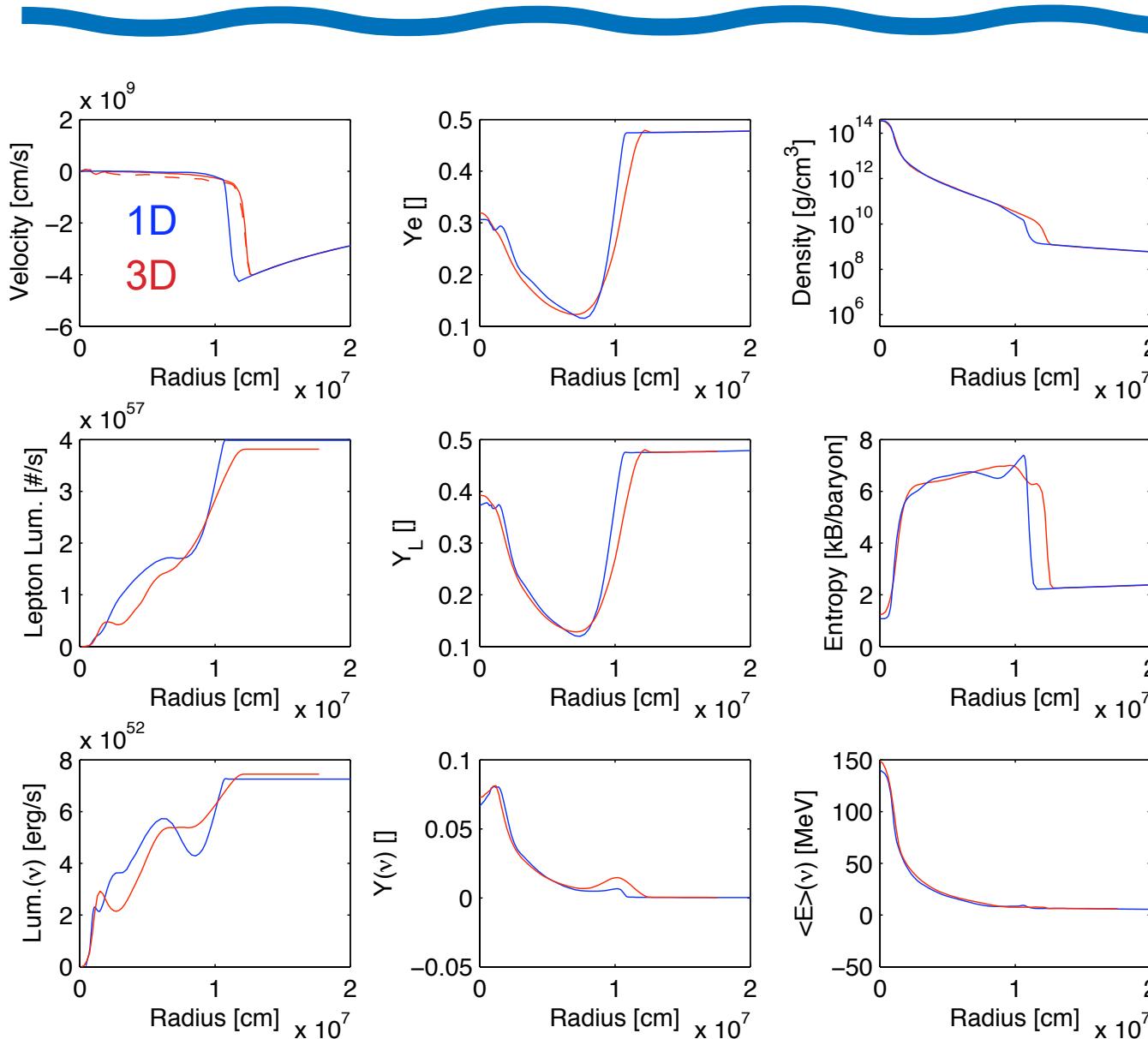


Evolution of shock radius as function of time



Good agreement!

# Checking the 3D Elephant code...

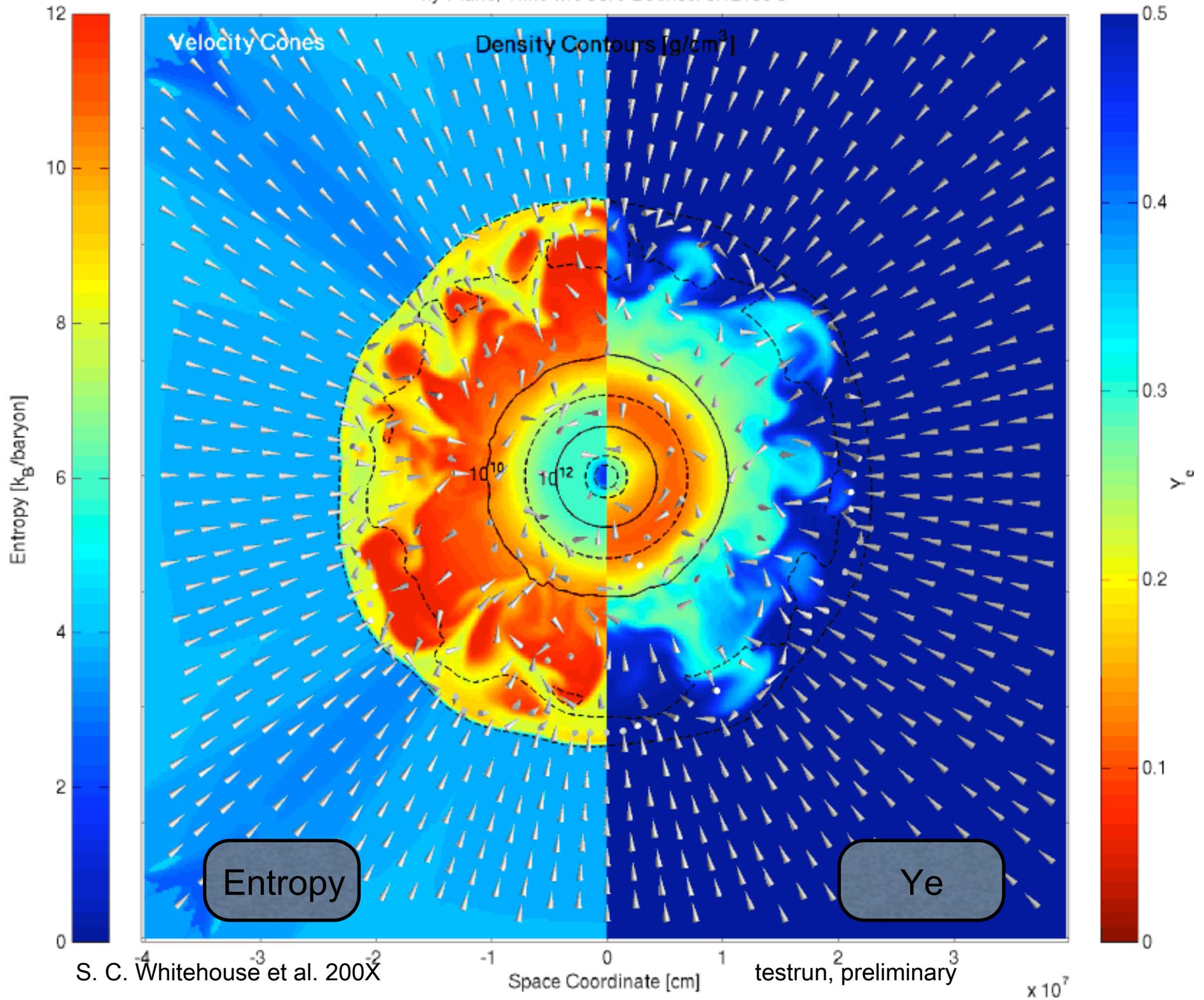


- Production at Swiss Natl. Supercomp. Cent. (240'000 CPUh/month)



20 ms postbounce, same input physics

xy-Plane, Time wrt Core-Bounce: 0.12100 s



# Physics <--> Model <--> Observation



# Physics <--> Model <--> Observation



## Spherical Symmetry:

- Excellent v-transport with detailed input physics
- 5 different codes give consistent results!

Bruenn et al. 2001, Liebendörfer et al. 2001-5, Rampp & Janka 2000-2,  
Thompson et al. 2003, Sumiyoshi et al. 2005-7

- No explosions obtained for most progenitors, exploring neutrino interactions & nuclear physics

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## Three-dimensional:

- v-transport approximations
- enable 3D flow pattern & magnetic fields

Fryer & Warren 2002/4, Scheck et al. 2003, Ott et al. 2007, Scheidegger et al. 2008, Iwakami et al. 2008

- Phenomenology --> predictive power, coming up...