

# 4. Electroweak Effective Theory

- **Higgs Mechanism**
- **Custodial Symmetry**
- **Equivalence Theorem**
- **Goldstone Electroweak Effective Theory**
- **New Physics Scales**



Energy Scale

Fields

Effective Theory

$\Lambda_{\text{NP}} \sim \text{TeV}$

$S_n, P_n, V_n, A_n, F_n$   
 $H, W, Z, \gamma, g$   
 $\tau, \mu, e, \nu_i$   
 $t, b, c, s, d, u$

Underlying Dynamics

..... Energy Gap .....

$M_W$

$H, W, Z, \gamma, g$   
 $\tau, \mu, e, \nu_i$   
 $t, b, c, s, d, u$

Standard Model

# Effective Field Theory

$$\mathcal{L}_{\text{eff}} = \mathcal{L}^{(4)} + \sum_{D>4} \sum_i \frac{c_i^{(D)}}{\Lambda^{D-4}} \mathcal{O}_i^{(D)}$$

- Most general Lagrangian with the SM gauge symmetries
- Light ( $m \ll \Lambda_{\text{NP}}$ ) fields only
- The SM Lagrangian corresponds to  $D = 4$
- $c_i^{(D)}$  contain information on the underlying dynamics:

$$\mathcal{L}_{\text{NP}} \doteq g_X (\bar{q}_L \gamma^\mu q_L) X_\mu \quad \rightarrow \quad \frac{g_X^2}{M_X^2} (\bar{q}_L \gamma^\mu q_L) (\bar{q}_L \gamma_\mu q_L)$$

- Options for  $\mathbf{H}(126)$ :
  - $\text{SU}(2)_L$  doublet (SM)
  - Scalar singlet
  - Additional light scalars

# Higgs Mechanism:

**Gauge invariance**

Massless  $W^\pm, Z$  (spin 1)

$3 \times 2$  polarizations = 6

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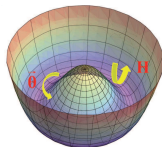


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$$3 \times 3 \text{ polarizations} = 9$$

## Spontaneous Symmetry Breaking

$$\mathcal{L}_\Phi = (D_\mu \Phi)^\dagger D^\mu \Phi - \mu^2 \Phi^\dagger \Phi - \lambda (\Phi^\dagger \Phi)^2$$



$$\mu^2 < 0$$

$$\Phi(x) = \exp \left\{ i \frac{\vec{\sigma}}{2} \cdot \vec{\theta}(x) \right\} \frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ v + H(x) \end{bmatrix}$$

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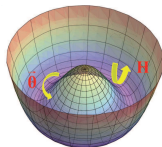


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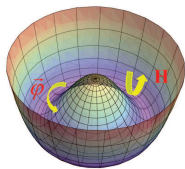
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$$D_\mu \Phi = \left( \partial_\mu + \frac{i}{2} g \vec{\sigma} \cdot \vec{W}_\mu + \frac{i}{2} g' B_\mu \right) \Phi \quad ; \quad v^2 = -\mu^2 / \lambda$$

$$(D_\mu \Phi)^\dagger D^\mu \Phi \rightarrow M_W^2 W_\mu^\dagger W^\mu + \frac{M_Z^2}{2} Z_\mu Z^\mu$$

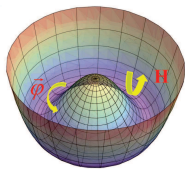
$$M_W = M_Z \cos \theta_W = \frac{1}{2} g v$$



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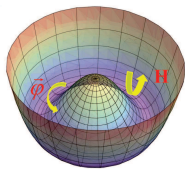
$$\Sigma \equiv (\Phi^c, \Phi) = \begin{pmatrix} \Phi^{0*} & \Phi^+ \\ -\Phi^- & \Phi^0 \end{pmatrix}$$



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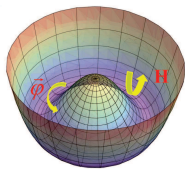
$SU(2)_L \otimes SU(2)_R \rightarrow SU(2)_{L+R}$  Symmetry:

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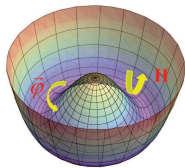
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Same Goldstone Lagrangian as QCD pions:

$$f_\pi \rightarrow v \quad , \quad \vec{\pi} \rightarrow \vec{\varphi} \rightarrow W_L^\pm, Z_L$$

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**Derivative  
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**Goldstones become free at zero momenta**

# Electroweak Symmetry Breaking

$$\mathcal{L}_2 = \frac{v^2}{4} \text{Tr} \left( D_\mu U^\dagger D^\mu U \right) \xrightarrow{U=1} \mathcal{L}_2 = M_W^2 W_\mu^\dagger W^\mu + \frac{1}{2} M_Z^2 Z_\mu Z^\mu$$
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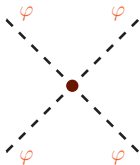
- EW Goldstones are responsible for  $M_{W,Z}$  (not the Higgs!)
- QCD pions also generate small  $W, Z$  masses:  $\delta_\pi M_W = \frac{1}{2} \mathbf{g} \mathbf{f}_\pi$

## Goldstone interactions are determined by the underlying symmetry

$$\begin{aligned}\frac{v^2}{4} \langle \partial_\mu U^\dagger \partial^\mu U \rangle &= \partial_\mu \varphi^- \partial^\mu \varphi^+ + \frac{1}{2} \partial_\mu \varphi^0 \partial^\mu \varphi^0 \\ &+ \frac{1}{6v^2} \left\{ \left( \varphi^+ \overleftrightarrow{\partial}_\mu \varphi^- \right) \left( \varphi^+ \overleftrightarrow{\partial}^\mu \varphi^- \right) + 2 \left( \varphi^0 \overleftrightarrow{\partial}_\mu \varphi^+ \right) \left( \varphi^- \overleftrightarrow{\partial}^\mu \varphi^0 \right) \right\} \\ &+ \mathcal{O}(\varphi^6/v^4)\end{aligned}$$

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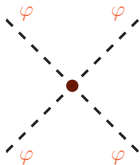


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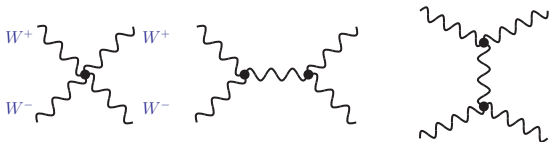


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**Non-Linear Lagrangian:**

$2\varphi \rightarrow 2\varphi, 4\varphi \dots$  related

# Equivalence Theorem



Cornwall–Levin–Tiktopoulos  
Vayonakis  
Lee–Quigg–Thacker

$$\begin{aligned} T(W_L^+ W_L^- \rightarrow W_L^+ W_L^-) &= \frac{s+t}{v^2} + O\left(\frac{M_W}{\sqrt{s}}\right) \\ &= T(\varphi^+ \varphi^- \rightarrow \varphi^+ \varphi^-) + O\left(\frac{M_W}{\sqrt{s}}\right) \end{aligned}$$

The scattering amplitude grows with energy

Goldstone dynamics  $\longleftrightarrow$  derivative interactions

Tree-level violation of unitarity

# Longitudinal Polarizations

$$k^\mu = (k^0, 0, 0, |\vec{k}|) \quad \rightarrow \quad \epsilon_L^\mu(\vec{k}) = \frac{1}{M_W} (|\vec{k}|, 0, 0, k^0) = \frac{k^\mu}{M_W} + \mathcal{O}\left(\frac{M_W}{|\vec{k}|}\right)$$

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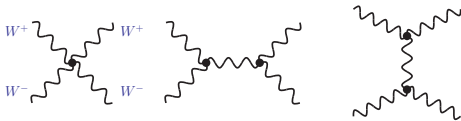
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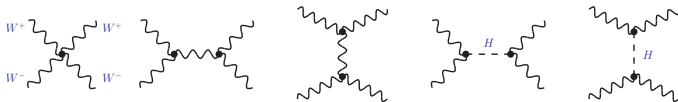
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**Gauge  
Cancellation**

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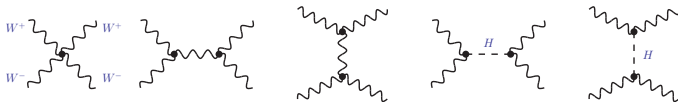
$$W_L^+ W_L^- \rightarrow W_L^+ W_L^-:$$



$$T_{\text{SM}} = \frac{1}{v^2} \left\{ s + t - \frac{s^2}{s - M_H^2} - \frac{t^2}{t - M_H^2} \right\} = -\frac{M_H^2}{v^2} \left\{ \frac{s}{s - M_H^2} + \frac{t}{t - M_H^2} \right\}$$

**Higgs-exchange exactly cancels the  $O(s, t)$  terms in the SM**

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When  $s \gg M_H^2$ ,  $T_{\text{SM}} \approx -\frac{2M_H^2}{v^2}$ ,  $a_0 \equiv \frac{1}{32\pi} \int_{-1}^1 d\cos\theta T_{\text{SM}} \approx -\frac{M_H^2}{8\pi v^2}$

**Unitarity:**

Lee-Quigg-Thacker

$$|a_0| \leq 1 \quad \Rightarrow \quad M_H < \sqrt{8\pi} v \underbrace{\sqrt{2/3}}_{\text{W}^+ \text{W}^-, \text{ZZ}, \text{HH}} \approx 1 \text{ TeV}$$

$\text{W}^+ \text{W}^-, \text{ZZ}, \text{HH}$

# What happens in QCD?

- QCD satisfies unitarity (it is a renormalizable theory)
- Pion scattering unitarized by exchanges of resonances (composite objects):
  - P-wave ( $J = 1$ ) unitarized by  $\rho$  exchange
  - S-wave ( $J = 0$ ) unitarized by  $\sigma$  exchange
- The  $\sigma$  meson is the QCD equivalent of the SM Higgs
- **BUT**, the  $\sigma$  is an 'effective' object generated through  $\pi$  rescattering (summation of pion loops)

Does not seem to work this way in the EW case, but ...



# Higher-Order Goldstone Interactions

$$\mathcal{L}_{\text{EW}}^{(4)} \Big|_{\text{CP-even}} = \sum_{i=0}^{14} a_i \mathcal{O}_i \quad (\text{Appelquist, Longhitano})$$

$$\mathcal{O}_0 = v^2 \langle T_L V_\mu \rangle^2$$

$$\mathcal{O}_1 = \langle U \hat{B}_{\mu\nu} U^\dagger \hat{W}^{\mu\nu} \rangle$$

$$\mathcal{O}_3 = i \langle \hat{W}_{\mu\nu} [V^\mu, V^\nu] \rangle$$

$$\mathcal{O}_5 = \langle V_\mu V^\mu \rangle^2$$

$$\mathcal{O}_7 = 4 \langle V_\mu V^\mu \rangle \langle T_L V_\nu \rangle^2$$

$$\mathcal{O}_9 = -2 \langle T_L \hat{W}_{\mu\nu} \rangle \langle T_L [V^\mu, V^\nu] \rangle$$

$$\mathcal{O}_{11} = \langle (D_\mu V^\mu)^2 \rangle$$

$$\mathcal{O}_{13} = 2 \langle T_L D_\mu V_\nu \rangle^2$$

$$\mathcal{O}_2 = i \langle U \hat{B}_{\mu\nu} U^\dagger [V^\mu, V^\nu] \rangle$$

$$\mathcal{O}_4 = \langle V_\mu V_\nu \rangle \langle V^\mu V^\nu \rangle$$

$$\mathcal{O}_6 = 4 \langle V_\mu V_\nu \rangle \langle T_L V^\mu \rangle \langle T_L V^\nu \rangle$$

$$\mathcal{O}_8 = \langle T_L \hat{W}_{\mu\nu} \rangle^2$$

$$\mathcal{O}_{10} = 16 \{ \langle T_L V_\mu \rangle \langle T_L V_\nu \rangle \}^2$$

$$\mathcal{O}_{12} = 4 \langle T_L D_\mu D_\nu V^\nu \rangle \langle T_L V^\mu \rangle$$

$$\mathcal{O}_{14} = -2i \varepsilon^{\mu\nu\rho\sigma} \langle \hat{W}_{\mu\nu} V_\rho \rangle \langle T_L V_\sigma \rangle$$

$$V_\mu \equiv D_\mu U U^\dagger \quad , \quad D_\mu V_\nu \equiv \partial_\mu V_\nu - i [\hat{W}_\mu, V_\nu] \quad , \quad (V_\mu, D_\mu V_\nu, T_L) \rightarrow g_L (V_\mu, D_\mu V_\nu, T_L) g_L^\dagger$$

**Symmetry breaking:**

$$T_L \equiv U \frac{\sigma_3}{2} U^\dagger \quad , \quad \hat{B}_{\mu\nu} \equiv -g' \frac{\sigma_3}{2} B_{\mu\nu}$$

# EW Resonance Effective Theory

- Towers of heavy states are usually present in strongly-coupled models of EWSB: **Technicolour, Walking TC...**
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**This program works in QCD:  $R_\chi T$**  (Ecker–Gasser–Leutwyler–Pich–de Rafael)

**Good dynamical understanding at large  $N_C$**

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_\varphi + \sum_R \mathcal{L}_R + \sum_{R,R'} \mathcal{L}_{RR'} + \dots$$

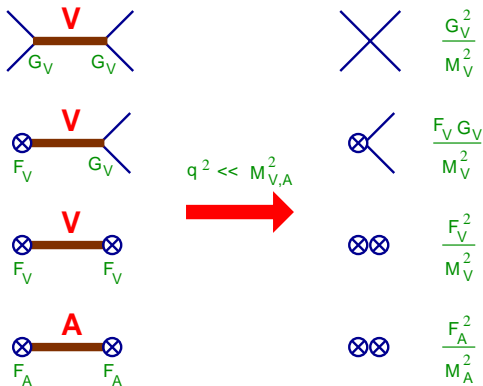
**Triples:**  $\mathbf{V}(1^{--})$ ,  $\mathbf{A}(1^{++})$  ; **Singlet:**  $\mathbf{S}_1(0^{++})$

$$\begin{aligned} \mathcal{L}_{S_1} + \mathcal{L}_A + \mathcal{L}_V &= \frac{v}{2} \kappa_W S_1 \langle u^\mu u_\mu \rangle + \frac{F_A}{2\sqrt{2}} \langle A_{\mu\nu} f_-^{\mu\nu} \rangle \\ &+ \frac{F_V}{2\sqrt{2}} \langle V_{\mu\nu} f_+^{\mu\nu} \rangle + \frac{i G_V}{2\sqrt{2}} \langle V_{\mu\nu} [u^\mu u^\nu] \rangle \\ \mathcal{L}_{S_1 A} &= \sqrt{2} \lambda_1^{SA} \partial_\mu S_1 \langle A^{\mu\nu} u_\nu \rangle \end{aligned}$$

**Antisymmetric  $V_{\mu\nu}$  and  $A_{\mu\nu}$  fields (better UV properties):**

$$\mathcal{L}_{\text{Kin}} = -\frac{1}{2} \sum_{R=V,A} \langle \nabla^\lambda R_{\lambda\mu} \nabla_\nu R^{\nu\mu} - \frac{1}{2} M_R^2 R_{\mu\nu} R^{\mu\nu} \rangle + \frac{1}{2} \partial^\mu S_1 \partial_\mu S_1 - \frac{1}{2} M_{S_1}^2 S_1^2$$

# Resonance Exchange



$$a_1 = -\frac{F_V^2}{4M_V^2} + \frac{F_A^2}{4M_A^2}, \quad a_2 = a_3 = \frac{F_V G_V}{4M_V^2}, \quad a_4 = -a_5 = \frac{G_V^2}{4M_V^2}$$

# OUTLOOK

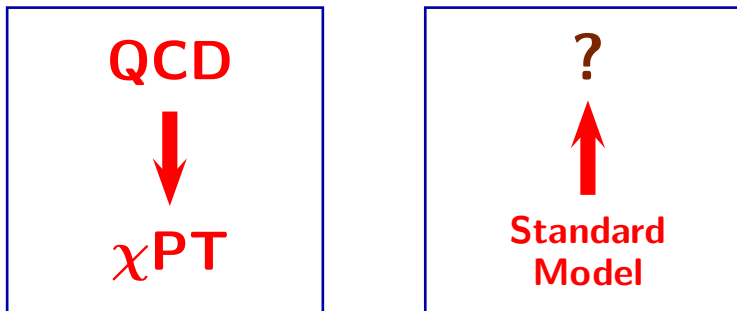
- **Effective Field Theory:** powerful low-energy tool
- **Mass Gap:**  $E, m_{\text{light}} \ll \Lambda_{\text{NP}}$
- **Assumption:** relevant symmetries (breakings) & light fields
- **Most general**  $\mathcal{L}_{\text{eff}}(\phi_{\text{light}})$  allowed by symmetry
- **Short-distance dynamics** encoded in **LECs**
- **LECs** constrained phenomenologically
- **Goal:** get hints on the underlying fundamental dynamics



**New Physics**

Learning from QCD experience. **EW problem more difficult**

**Fundamental Underlying Theory unknown**



**Additional dynamical input (fresh ideas!) needed**