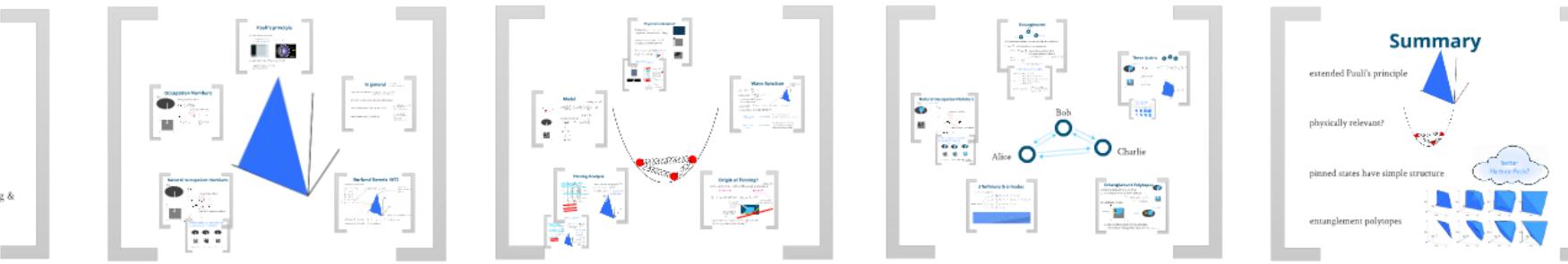


## From Pauli's Principle to Fermionic Entanglement

Matthias Christandl  
University of Copenhagen

based on work with Michael Walter, Christian Schilling &  
David Gross, Brent Doran



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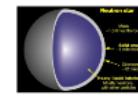
## Pauli's principle

Pauli's exclusion principle (1925):

'no two fermions in  
the same quantum state'

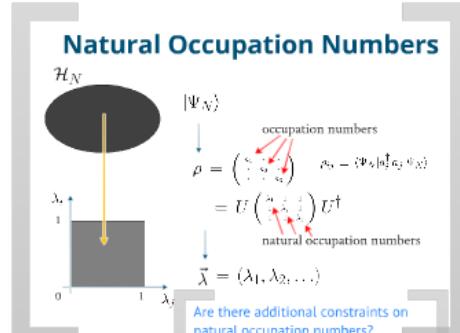
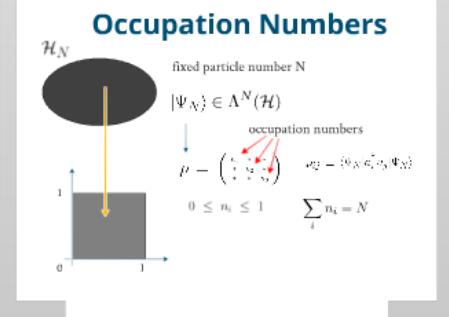


$$0 \leq n_i \leq 1$$



strengthened by Dirac & Heisenberg in (1926):

'quantum states of fermions  
are antisymmetric'



## In general

distinguishable  
& indistinguishable particles

region is convex polytope partial trace operation is moment map  
apply Karwan's convexity theorem

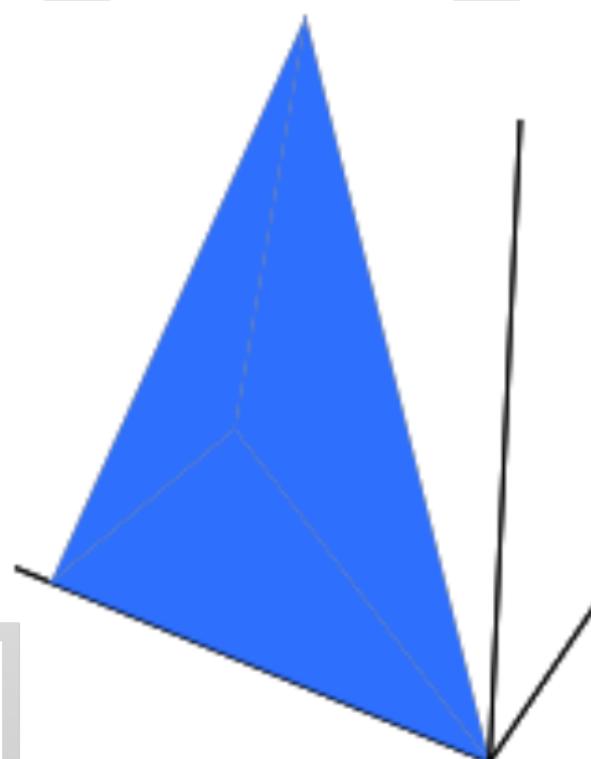
depends on number of particles and local dimension

inner characterisation (representation theory)

Christandl & Mitchison, 2004  
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Verguts & Walter 2014



## Borland Dennis 1972

3 fermions & 6 modes

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_6$$

$$\lambda_1 + \lambda_6 = 1$$

$$\lambda_2 + \lambda_5 = 1$$

$$\lambda_3 + \lambda_4 = 1$$

$$\lambda_2 - \lambda_6 \leq \lambda_4$$

$$\lambda_1 - \lambda_2 \leq 1 + \lambda_6$$

$$\lambda_1 + \lambda_2 + \lambda_4 + \lambda_7 \leq 2$$

$$\dots$$

$$3 \text{ fermions in 7 modes}$$

$$5 \text{ fermions in 10 modes}$$

$$161 \text{ inequalities}$$

$$\lambda_1 \wedge \lambda_2 \wedge \lambda_3 \text{ Slater determinant } (1,1,1)$$

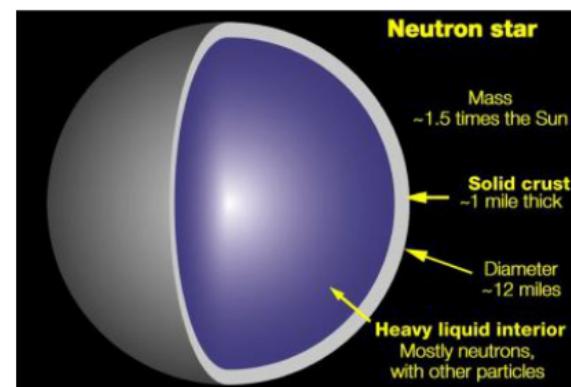
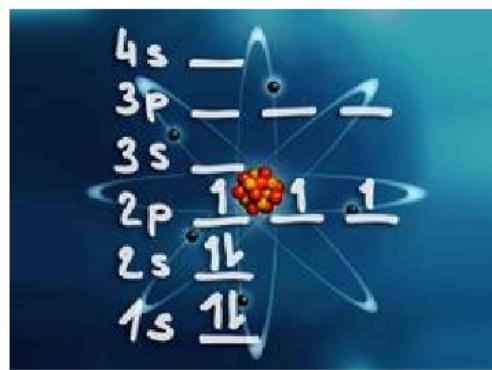
$$\text{http://polytopes.iceispeak.org/}$$

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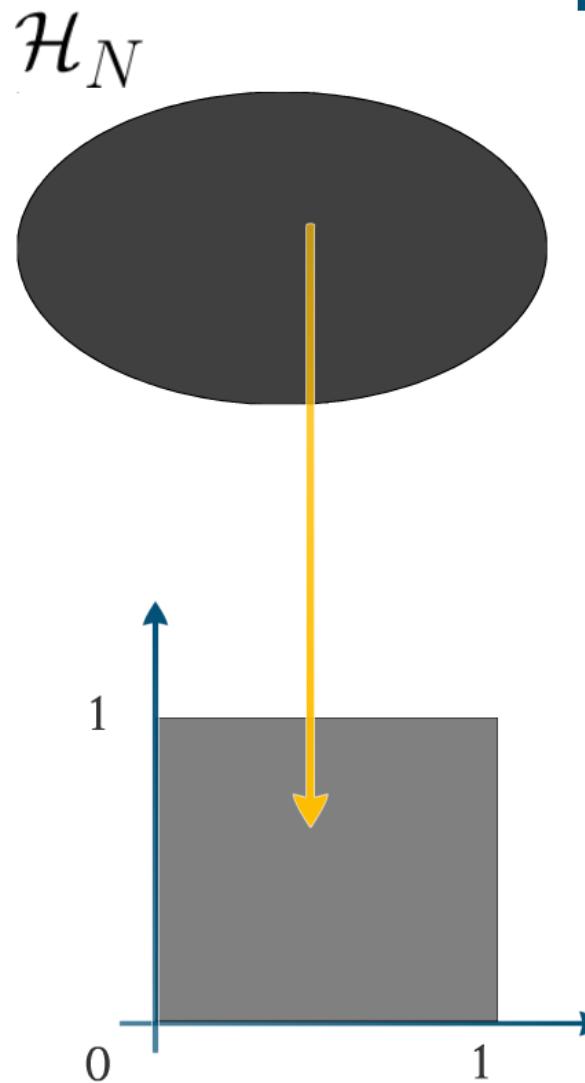
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# Occupation Numbers



$$|\Psi_N\rangle \in \Lambda^N(\mathcal{H})$$

↓

$$\rho = \begin{pmatrix} n_1 & * & * \\ * & n_2 & * \\ * & * & n_3 \end{pmatrix}$$

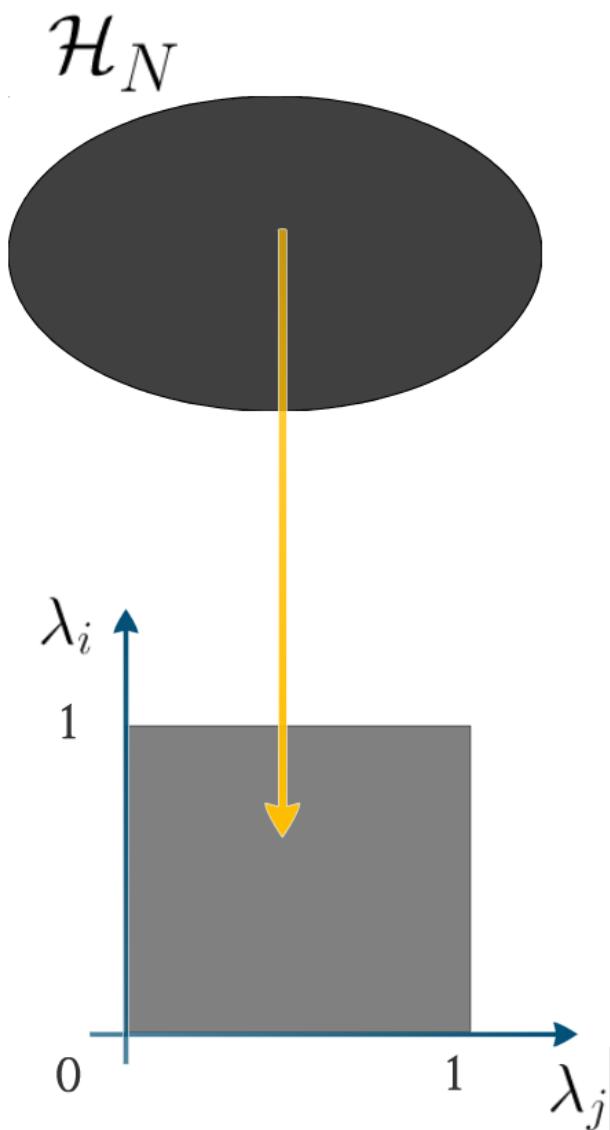
occupation numbers

$$\rho_{ij} \equiv \langle \Psi_N | a_i^\dagger a_j | \Psi_N \rangle$$

$$0 \leq n_i \leq 1$$

$$\sum_i n_i = N$$

# Natural Occupation Numbers



$|\Psi_N\rangle$



$$\rho = \begin{pmatrix} n_1 & * & * \\ * & n_2 & * \\ * & * & n_3 \end{pmatrix}$$

occupation numbers

$$\rho_{ij} \equiv \langle \Psi_N | a_i^\dagger a_j | \Psi_N \rangle$$

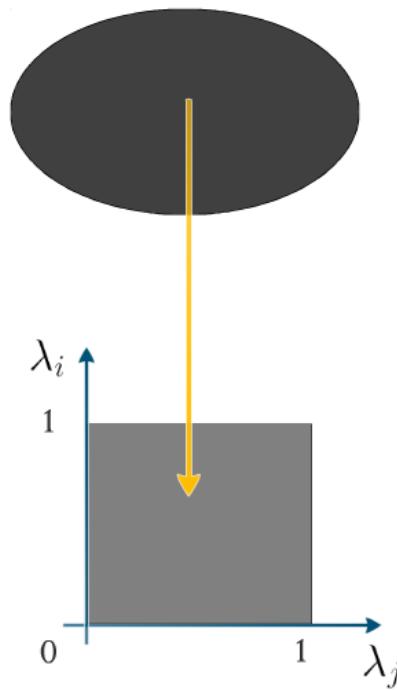
$$= U \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} U^\dagger$$

natural occupation numbers

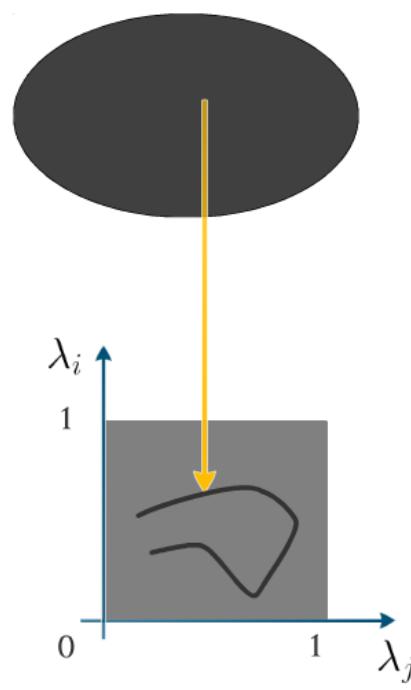
$$\vec{\lambda} = (\lambda_1, \lambda_2, \dots)$$

Are there additional constraints on  
natural occupation numbers?

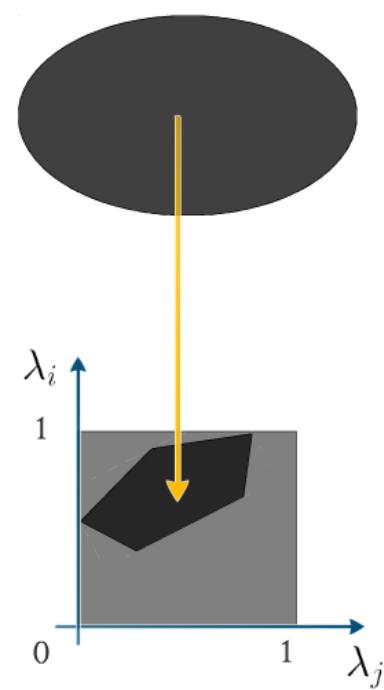
# Are there additional constraints on natural occupation numbers?



no constraints?



weird constraints?



linear constraints!

# Borland Dennis 1972

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$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_6$$

not implied by Pauli principle

$$\lambda_1 + \lambda_6 = 1$$

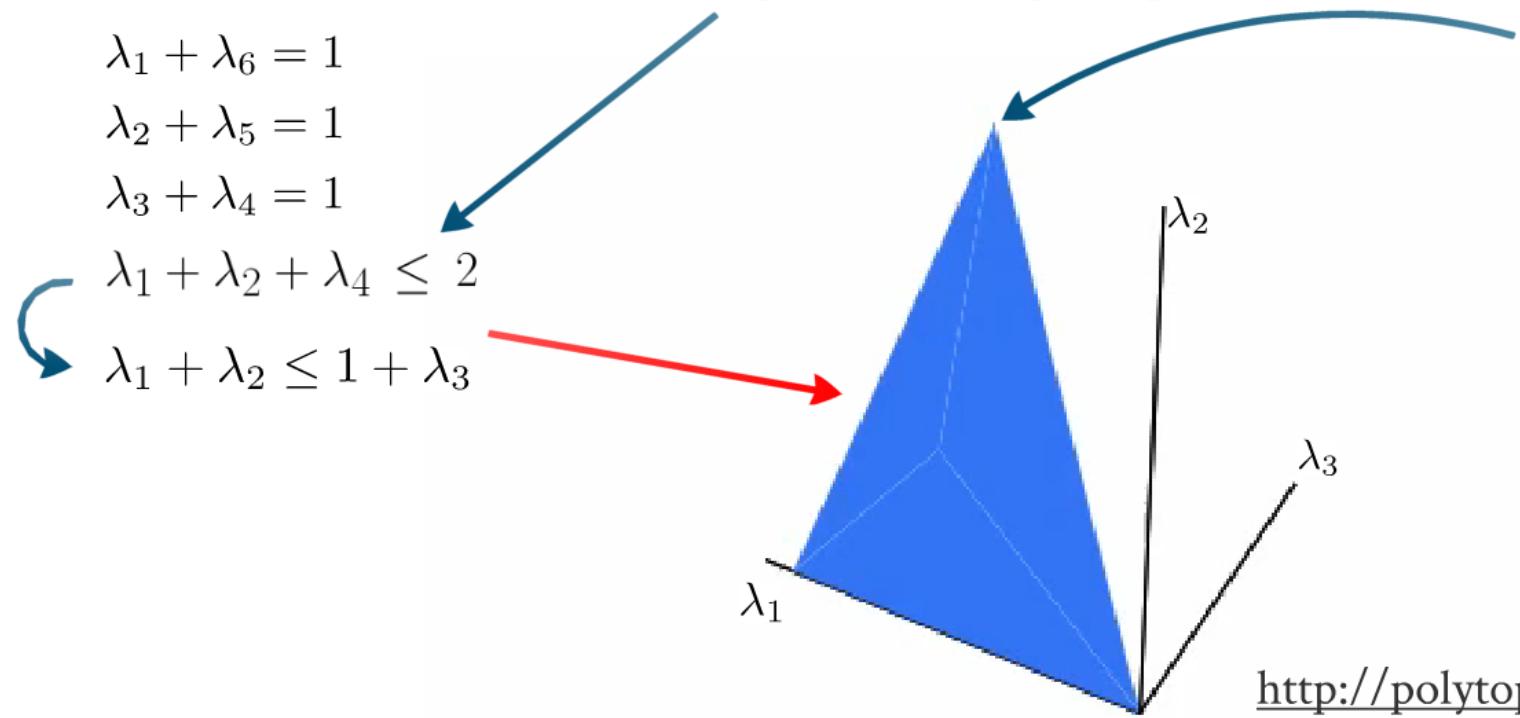
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$v_1 \wedge v_2 \wedge v_3$   
Slater determinant  
(1,1,1)



<http://polytopes.leetspeak.org/>

3 fermions in 7 modes

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and three others

5 fermions in 10 modes

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...

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distinguishable  
&indistinguishable particles

region is convex polytope    partial trace operation is moment map  
                                        apply Kirwan's convexity theorem

depends on number of particles and local dimension

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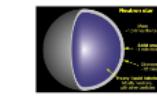
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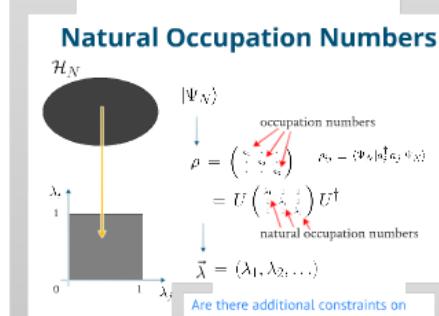
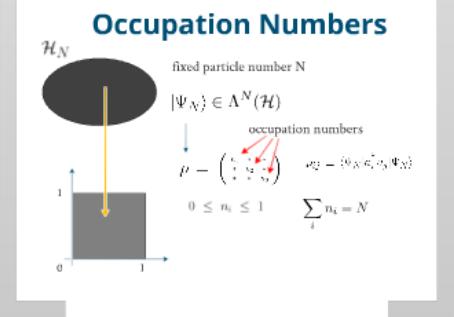


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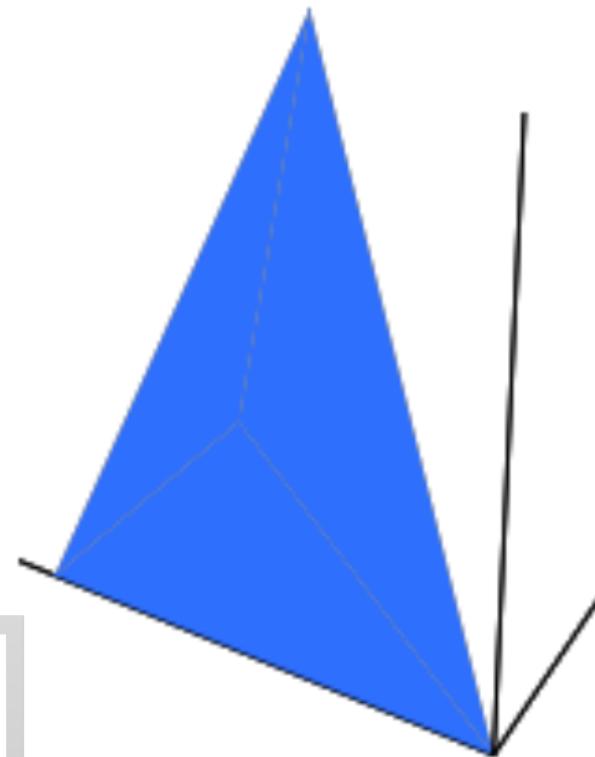
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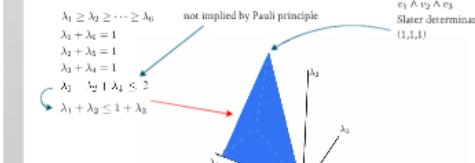
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$$\text{not implied by Pauli principle}$$



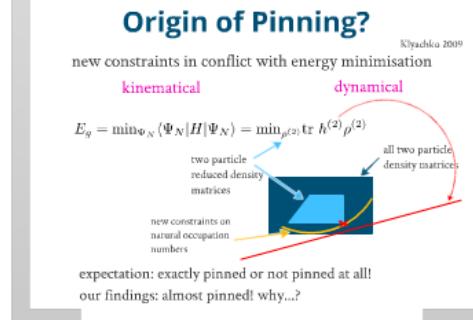
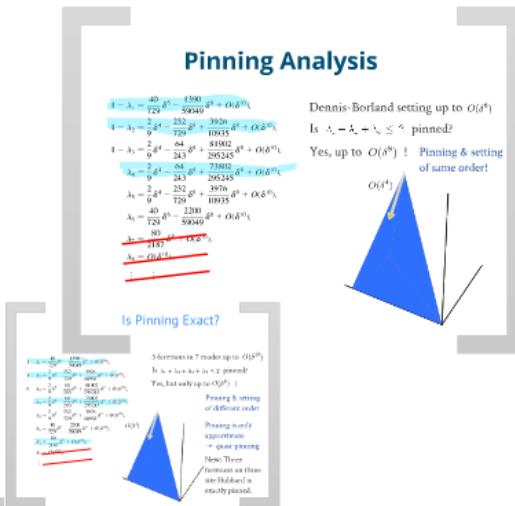
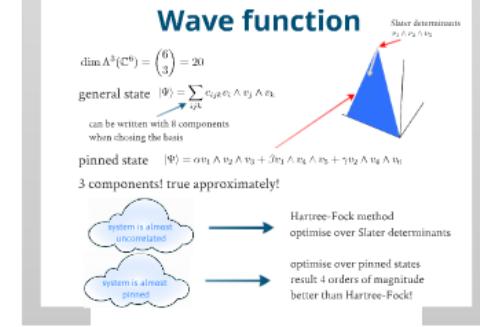
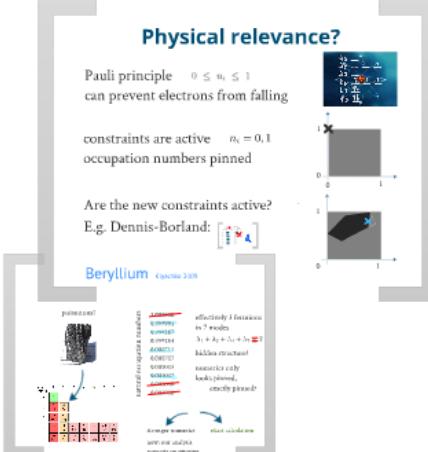
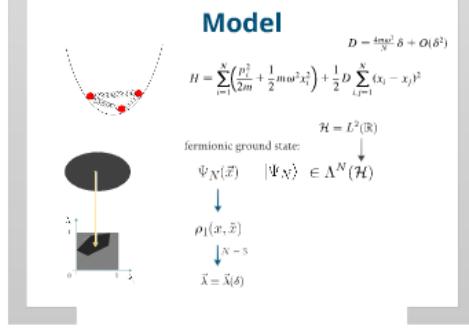
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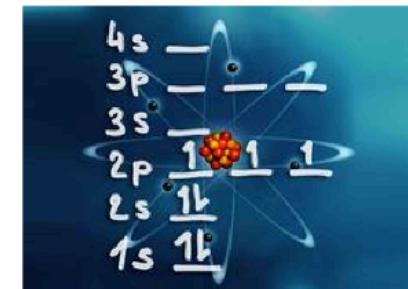
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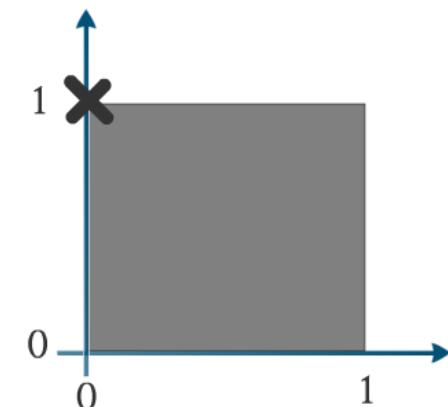


# Physical relevance?

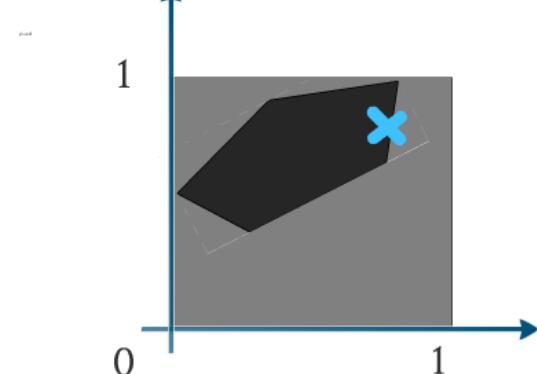
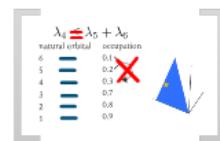
Pauli principle  $0 \leq n_i \leq 1$   
can prevent electrons from falling



constraints are active  $n_i = 0, 1$   
occupation numbers pinned



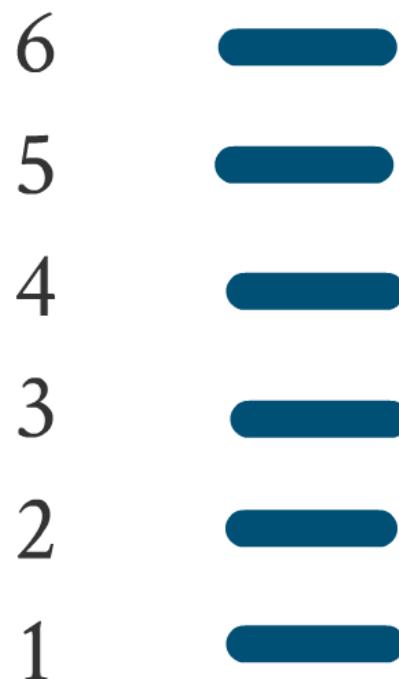
Are the new constraints active?  
E.g. Dennis-Borland:



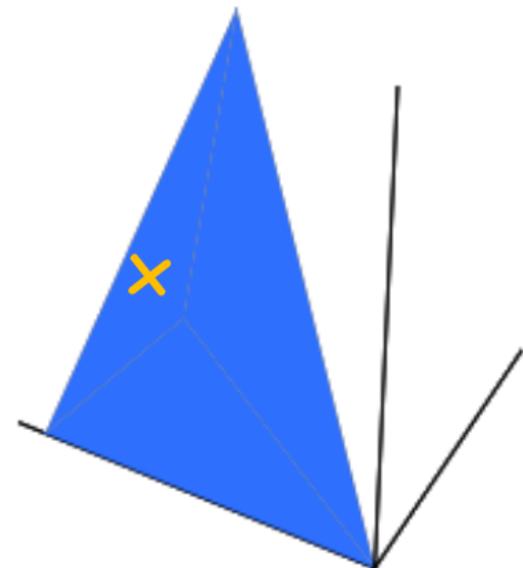
Beryllium Klyachko 2009

$$\lambda_4 \leq \lambda_5 + \lambda_6$$

natural orbital

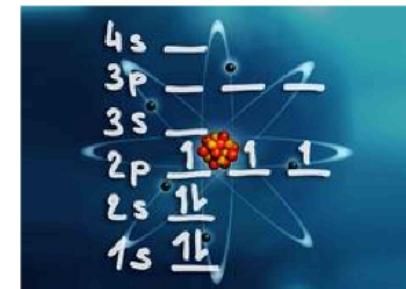


occupation

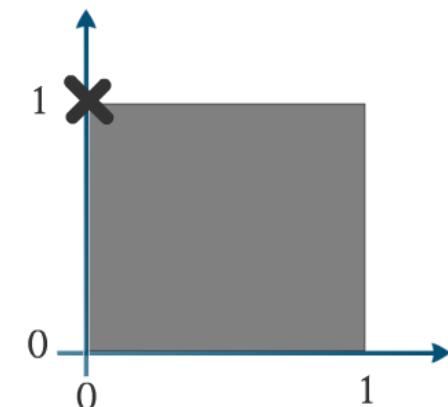


# Physical relevance?

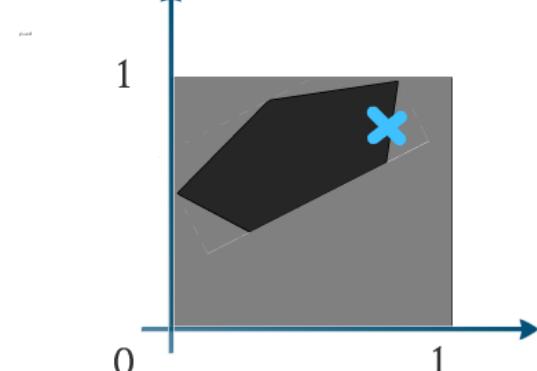
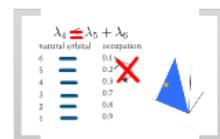
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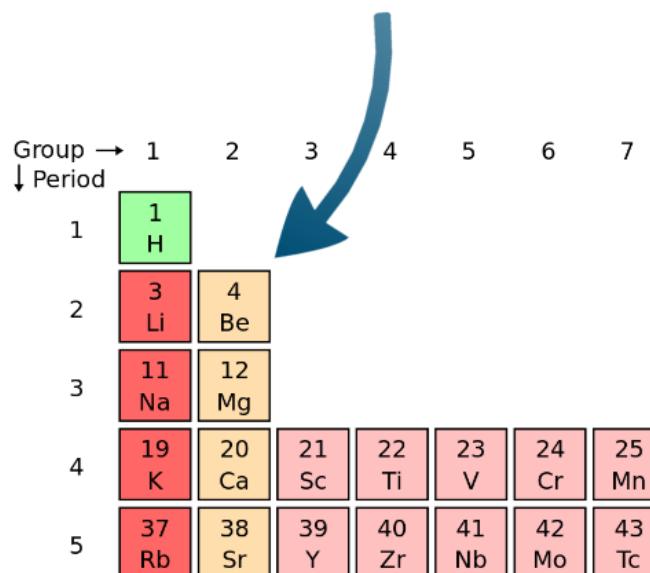


Beryllium Klyachko 2009

# Beryllium

Klyachko 2009

poisonous!



natural occupation numbers

1.000000
0.999995
0.999287
0.999284
0.000711
0.000707
0.000009
0.000007
0.000000
0.000000

effectively 3 fermions  
in 7 modes

$$\lambda_1 + \lambda_2 + \lambda_4 + \lambda_7 \leq 2$$

hidden structure!

numerics only  
looks pinned,  
exactly pinned?

stronger numerics

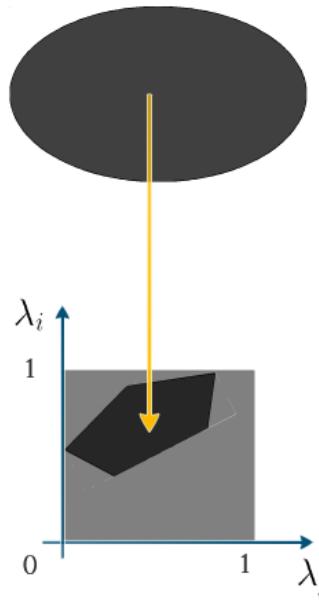
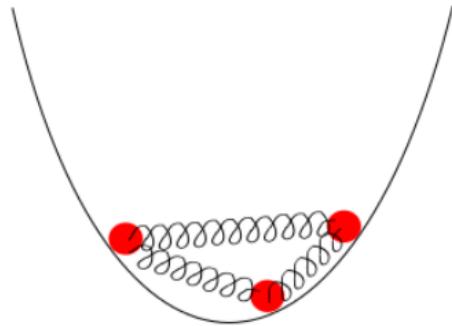
exact calculation

new: our analysis  
suggests no pinning

# Model

$$D = \frac{4m\omega^2}{N} \delta + O(\delta^2)$$

$$H = \sum_{i=1}^N \left( \frac{p_i^2}{2m} + \frac{1}{2} m\omega^2 x_i^2 \right) + \frac{1}{2} D \sum_{i,j=1}^N (x_i - x_j)^2$$



fermionic ground state:

$$\mathcal{H} = L^2(\mathbb{R})$$



$$\Psi_N(\vec{x}) \quad |\Psi_N\rangle \in \Lambda^N(\mathcal{H})$$



$$\rho_1(x, \tilde{x})$$



$$N = 3$$
  

$$\vec{\lambda} \equiv \vec{\lambda}(\delta)$$

# Pinning Analysis

$$1 - \lambda_1 = \frac{40}{729} \delta^6 - \frac{1390}{59049} \delta^8 + O(\delta^{10}),$$

$$1 - \lambda_2 = \frac{2}{9} \delta^4 - \frac{232}{729} \delta^6 + \frac{3926}{10935} \delta^8 + O(\delta^{10}),$$

$$1 - \lambda_3 = \frac{2}{9} \delta^4 - \frac{64}{243} \delta^6 + \frac{81902}{295245} \delta^8 + O(\delta^{10}),$$

$$\lambda_4 = \frac{2}{9} \delta^4 - \frac{64}{243} \delta^6 + \frac{73802}{295245} \delta^8 + O(\delta^{10}),$$

$$\lambda_5 = \frac{2}{9} \delta^4 - \frac{232}{729} \delta^6 + \frac{3976}{10935} \delta^8 + O(\delta^{10}),$$

$$\lambda_6 = \frac{40}{729} \delta^6 - \frac{2200}{59049} \delta^8 + O(\delta^{10}),$$

$$\lambda_7 = \frac{80}{2187} \delta^8 + O(\delta^{10}),$$

$$\lambda_8 = O(\delta^{10}),$$

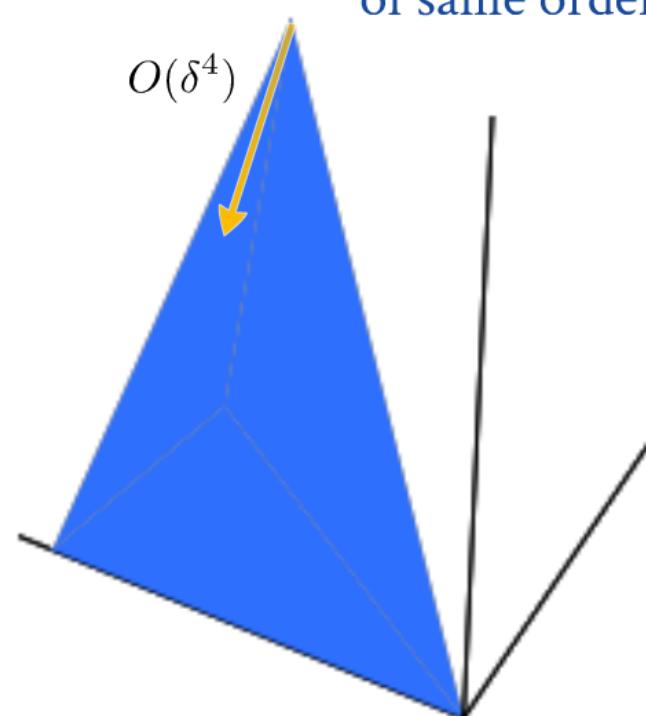
$\vdots$

Is Pinning Exact?

Dennis-Borland setting up to  $O(\delta^8)$

Is  $\lambda_1 + \lambda_2 + \lambda_4 \leq 2$  pinned?

Yes, up to  $O(\delta^8)$  ! Pinning & setting of same order!



# Is Pinning Exact?

$$1 - \lambda_1 = \frac{40}{729} \delta^6 - \frac{1390}{59049} \delta^8 + O(\delta^{10}),$$

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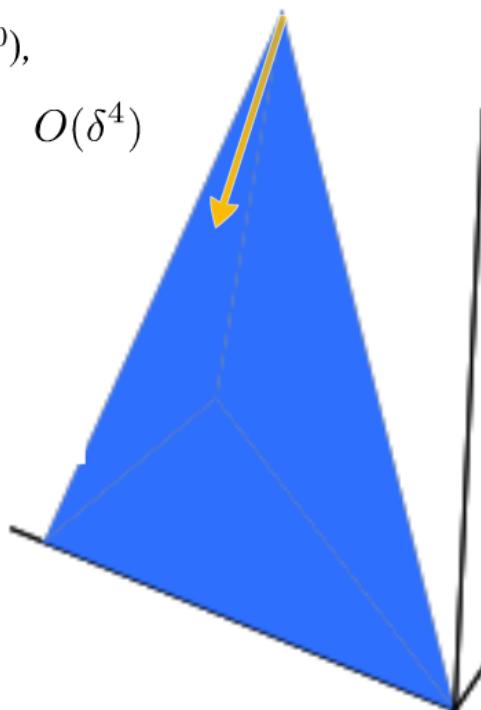
$$\lambda_5 = \frac{2}{9} \delta^4 - \frac{232}{729} \delta^6 + \frac{3976}{10935} \delta^8 + O(\delta^{10}),$$

$$\lambda_6 = \frac{40}{729} \delta^6 - \frac{2200}{59049} \delta^8 + O(\delta^{10}), \quad O(\delta^4)$$

$$\lambda_7 = \frac{80}{2187} \delta^8 + O(\delta^{10}),$$

$$\lambda_8 = O(\delta^{10}),$$

⋮



3 fermions in 7 modes up to  $O(\delta^{10})$

Is  $\lambda_1 + \lambda_2 + \lambda_4 + \lambda_7 \leq 2$  pinned?

Yes, but only up to  $O(\delta^8)$  !

Pinning & setting  
of different order

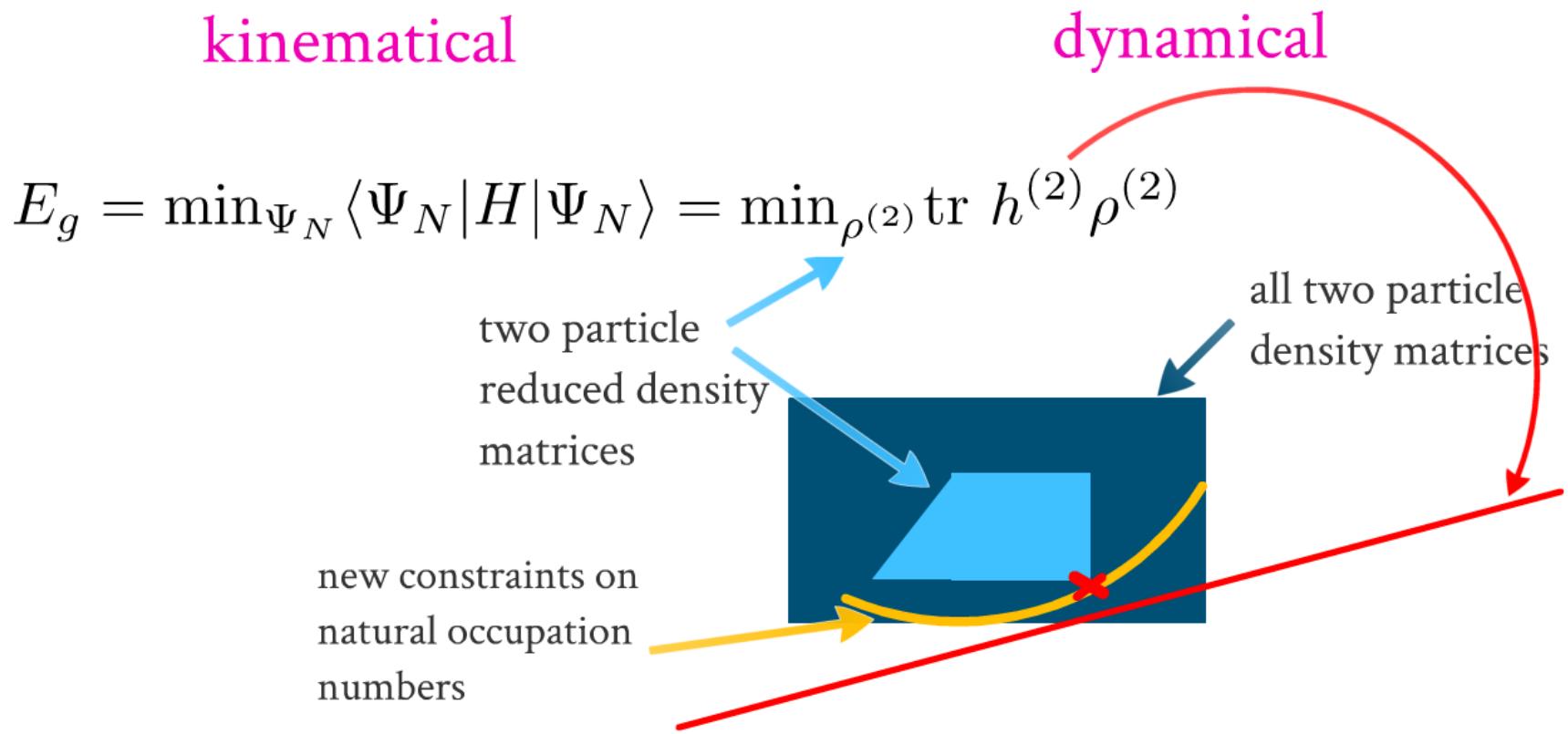
Pinning is only  
approximate  
→ quasi-pinning

New: Three  
fermions on three  
site Hubbard is  
exactly pinned.

# Origin of Pinning?

Klyachko 2009

new constraints in conflict with energy minimisation



expectation: exactly pinned or not pinned at all!

our findings: almost pinned! why...?

# Wave function

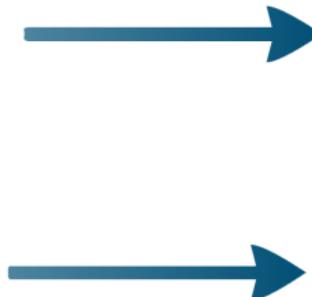
$$\dim \Lambda^3(\mathbb{C}^6) = \binom{6}{3} = 20$$

general state  $|\Psi\rangle = \sum_{ijk} c_{ijk} v_i \wedge v_j \wedge v_k$

can be written with 8 components  
when choosing the basis

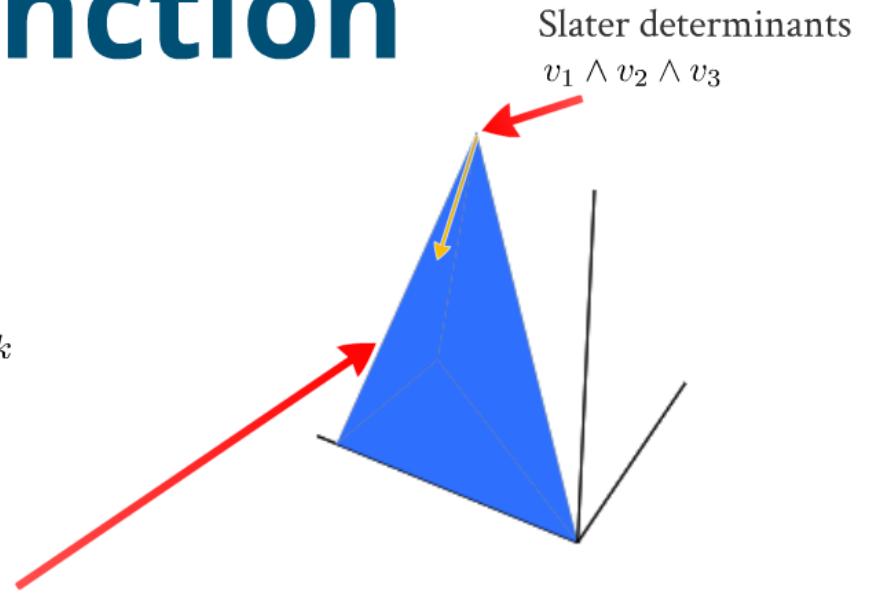
pinned state  $|\Psi\rangle = \alpha v_1 \wedge v_2 \wedge v_3 + \beta v_1 \wedge v_4 \wedge v_5 + \gamma v_2 \wedge v_4 \wedge v_6$

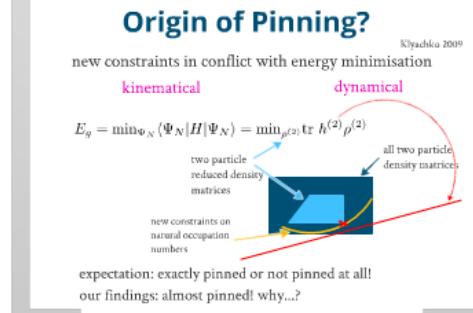
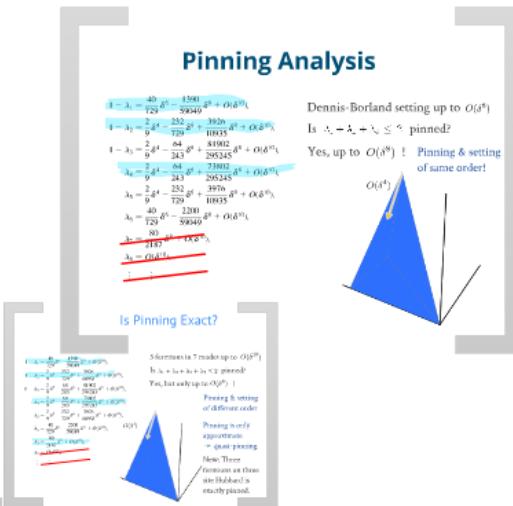
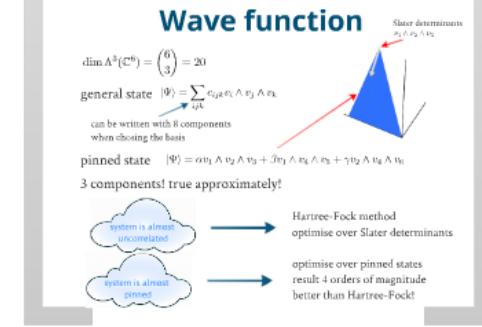
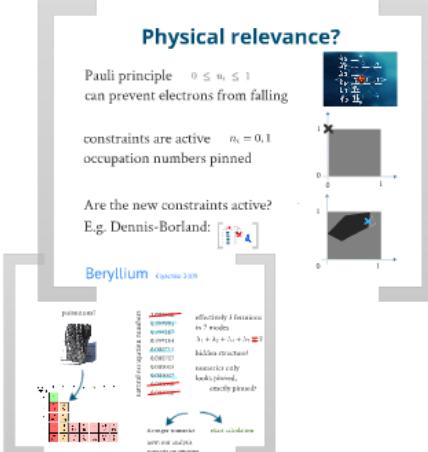
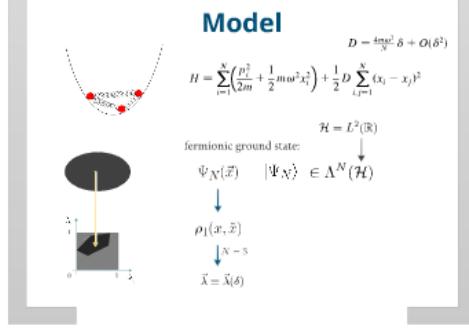
3 components! true approximately!

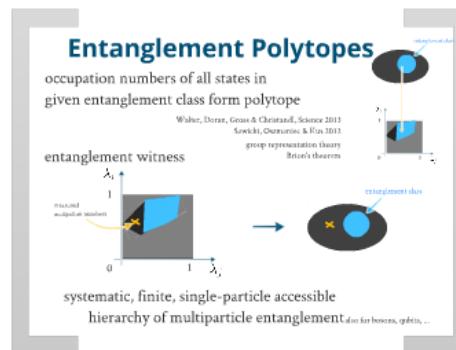
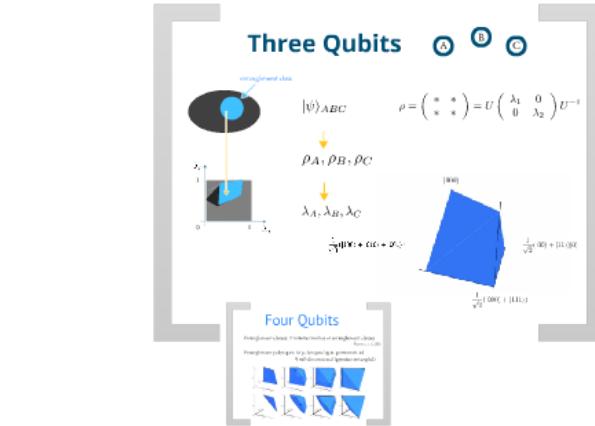
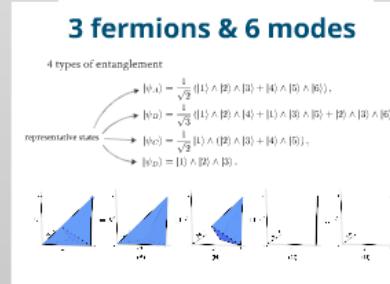
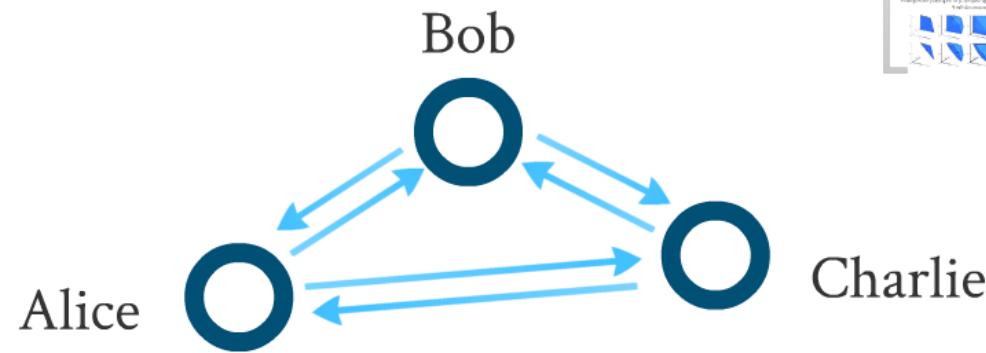
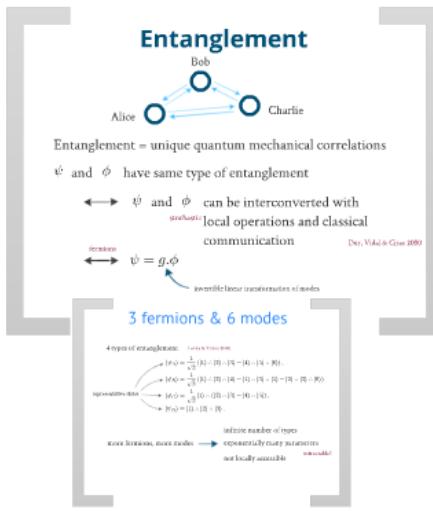
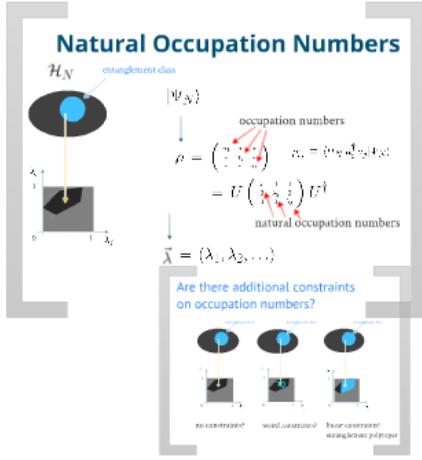


Hartree-Fock method  
optimise over Slater determinants

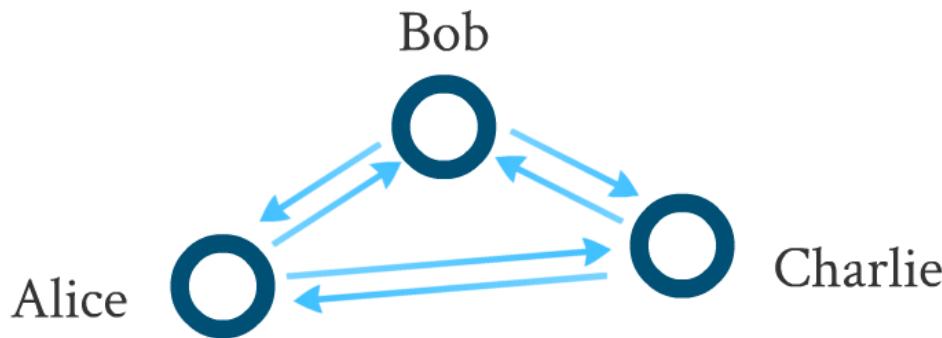
optimise over pinned states  
result 4 orders of magnitude  
better than Hartree-Fock!







# Entanglement



Entanglement = unique quantum mechanical correlations

$\psi$  and  $\phi$  have same type of entanglement

$\longleftrightarrow$   $\psi$  and  $\phi$  can be interconverted with  
stochastic local operations and classical  
communication

Dür, Vidal & Cirac 2000

$\longleftrightarrow$   $\psi = g \cdot \phi$   
fermions  
invertible linear transformation of modes

3 fermions & 6 modes

# 3 fermions & 6 modes

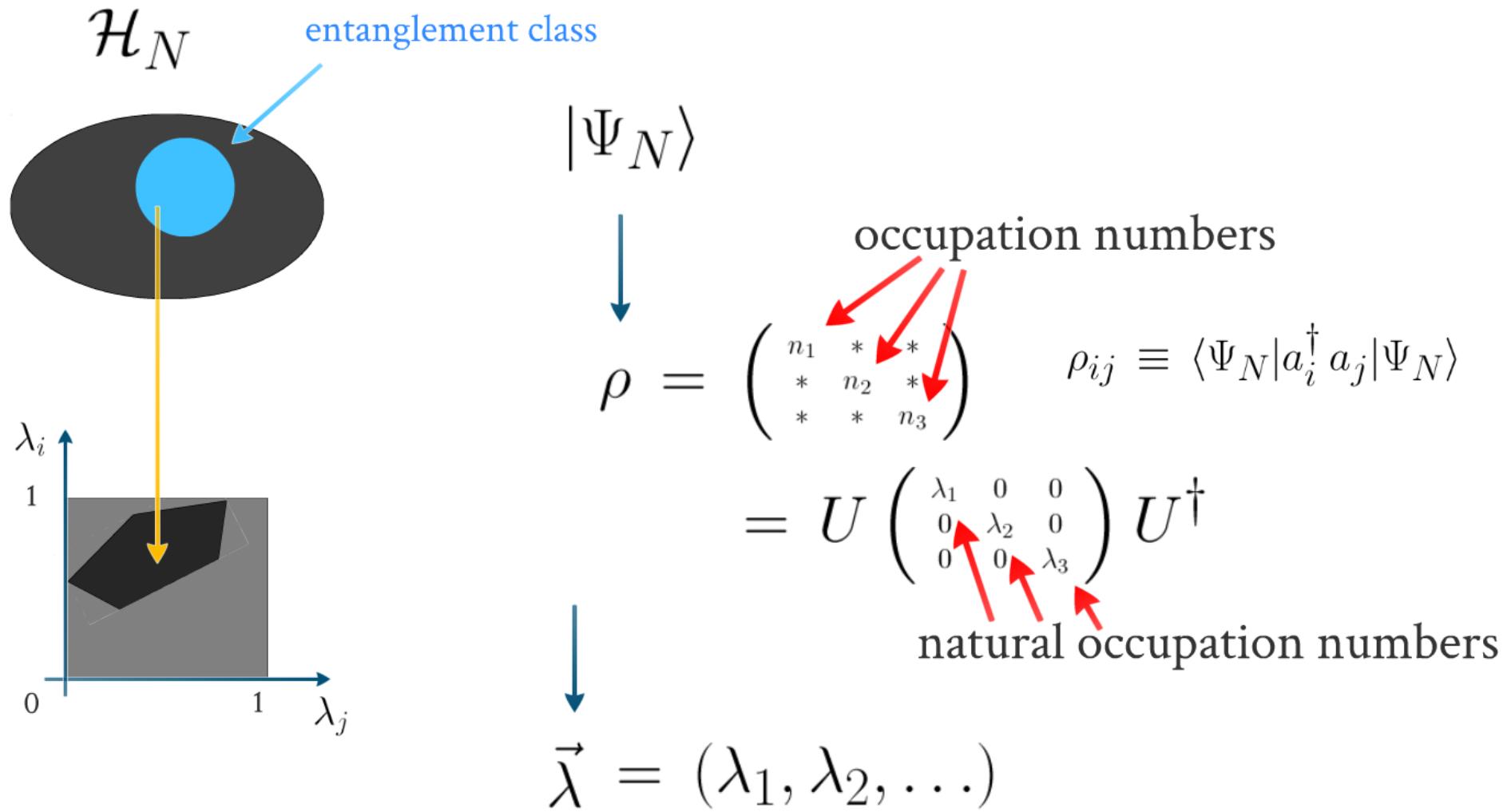
4 types of entanglement      Levay & Vrana 2008

representative states

$$\begin{aligned} |\psi_A\rangle &= \frac{1}{\sqrt{2}} (|1\rangle \wedge |2\rangle \wedge |3\rangle + |4\rangle \wedge |5\rangle \wedge |6\rangle), \\ |\psi_B\rangle &= \frac{1}{\sqrt{3}} (|1\rangle \wedge |2\rangle \wedge |4\rangle + |1\rangle \wedge |3\rangle \wedge |5\rangle + |2\rangle \wedge |3\rangle \wedge |6\rangle) \\ |\psi_C\rangle &= \frac{1}{\sqrt{2}} |1\rangle \wedge (|2\rangle \wedge |3\rangle + |4\rangle \wedge |5\rangle), \\ |\psi_D\rangle &= |1\rangle \wedge |2\rangle \wedge |3\rangle. \end{aligned}$$

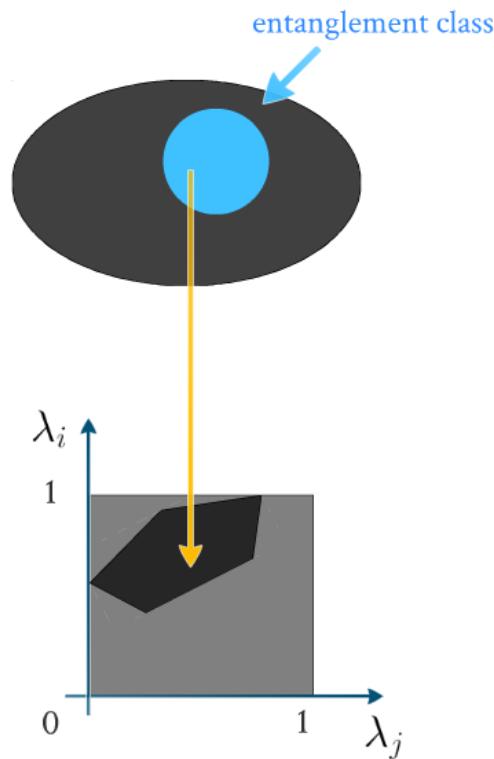
more fermions, more modes → infinite number of types  
exponentially many parameters  
not locally accessible      intractable!

# Natural Occupation Numbers

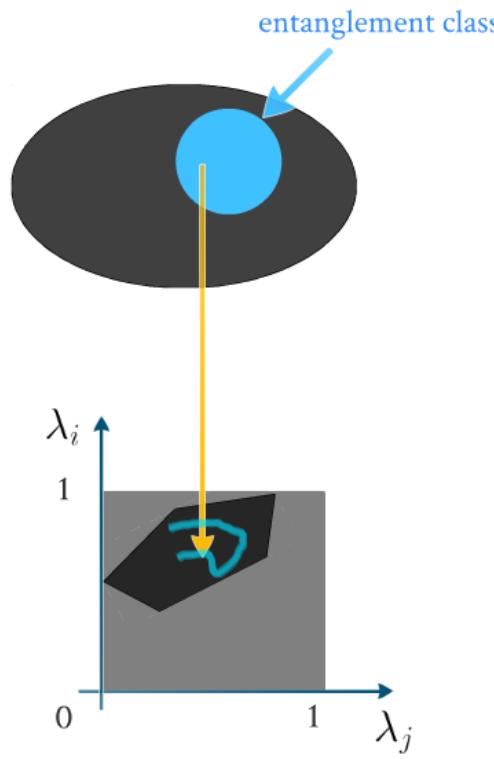


Are there additional constraints  
on occupation numbers?

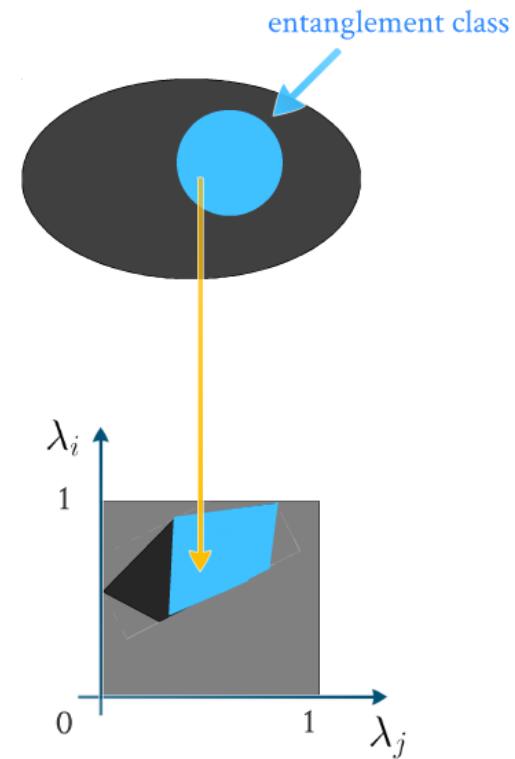
# Are there additional constraints on occupation numbers?



no constraints?



weird constraints?



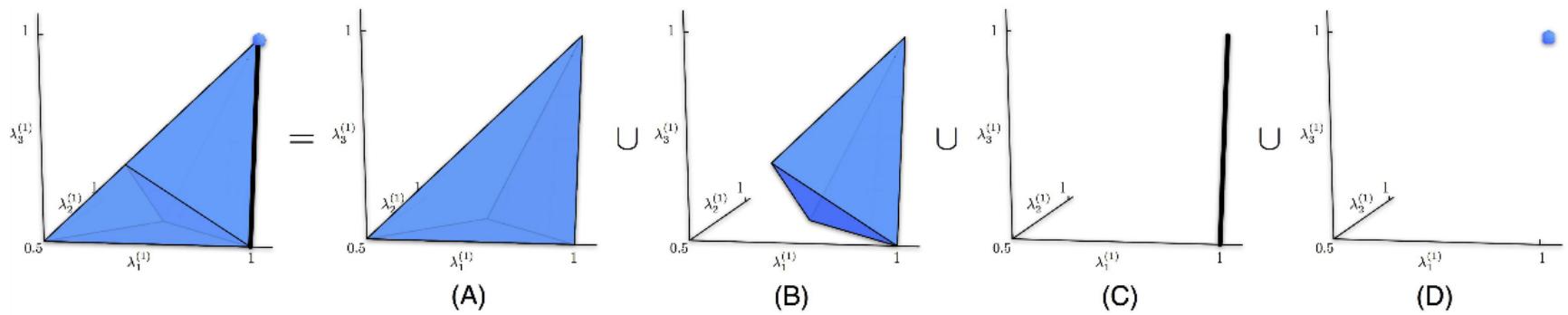
linear constraints!  
entanglement polytopes

# 3 fermions & 6 modes

4 types of entanglement

representative states

$$\begin{aligned} |\psi_A\rangle &= \frac{1}{\sqrt{2}} (|1\rangle \wedge |2\rangle \wedge |3\rangle + |4\rangle \wedge |5\rangle \wedge |6\rangle), \\ |\psi_B\rangle &= \frac{1}{\sqrt{3}} (|1\rangle \wedge |2\rangle \wedge |4\rangle + |1\rangle \wedge |3\rangle \wedge |5\rangle + |2\rangle \wedge |3\rangle \wedge |6\rangle) \\ |\psi_C\rangle &= \frac{1}{\sqrt{2}} |1\rangle \wedge (|2\rangle \wedge |3\rangle + |4\rangle \wedge |5\rangle), \\ |\psi_D\rangle &= |1\rangle \wedge |2\rangle \wedge |3\rangle. \end{aligned}$$



# Entanglement Polytopes

occupation numbers of all states in  
given entanglement class form polytope

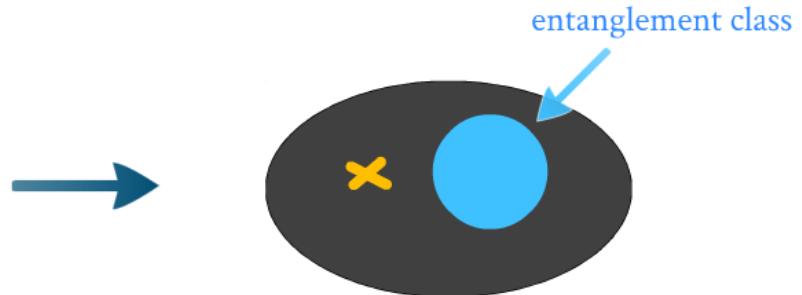
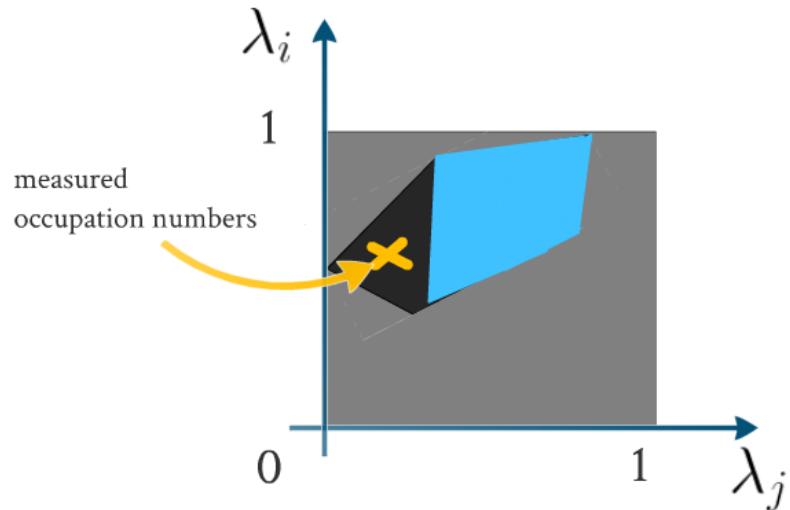
Walter, Doran, Gross & Christandl, Science 2013

Sawicki, Oszmaniec & Kus 2012

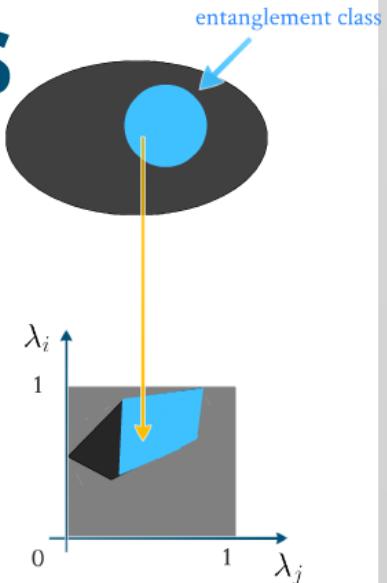
group representation theory

Brion's theorem

entanglement witness

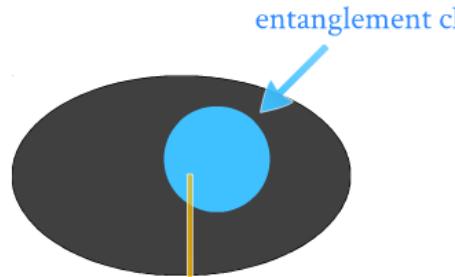


systematic, finite, single-particle accessible  
hierarchy of multiparticle entanglement also for bosons, qubits, ...



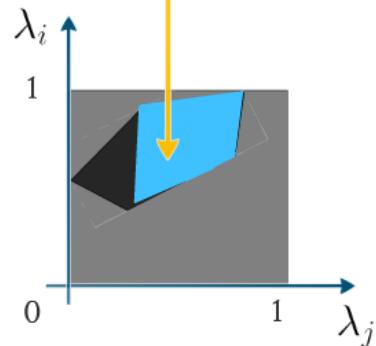
# Three Qubits

A      B      C



$$|\psi\rangle_{ABC}$$

$$\rho = \begin{pmatrix} * & * \\ * & * \end{pmatrix} = U \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} U^{-1}$$



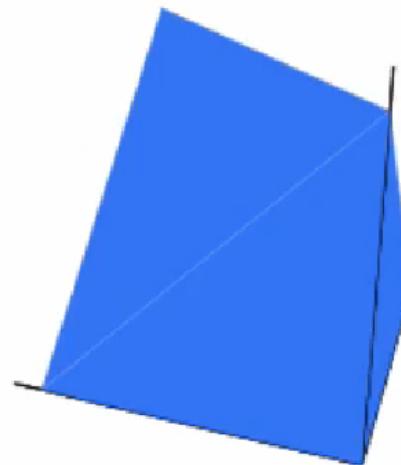
$$\rho_A, \rho_B, \rho_C$$

$$\lambda_A, \lambda_B, \lambda_C$$

$$\frac{1}{\sqrt{3}}(|100\rangle + |010\rangle + |001\rangle)$$

$$|000\rangle$$

$$\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)|0\rangle$$



$$\frac{1}{\sqrt{2}}(|000\rangle + |111\rangle)$$

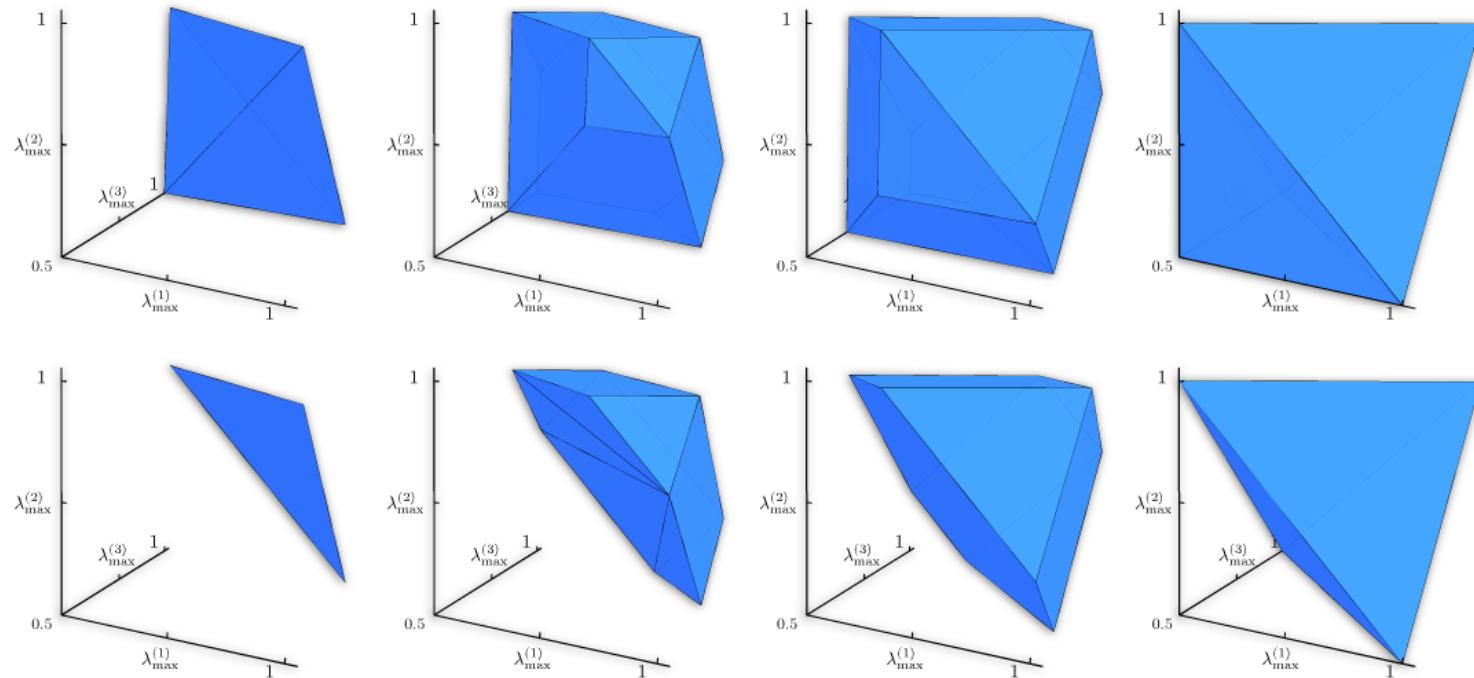
Four Qubits

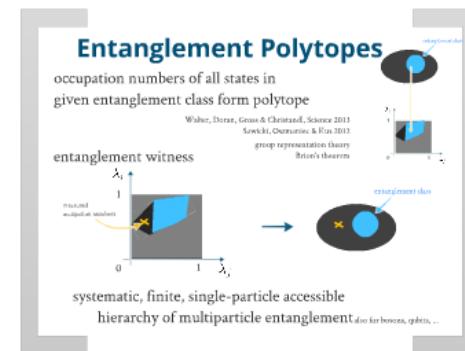
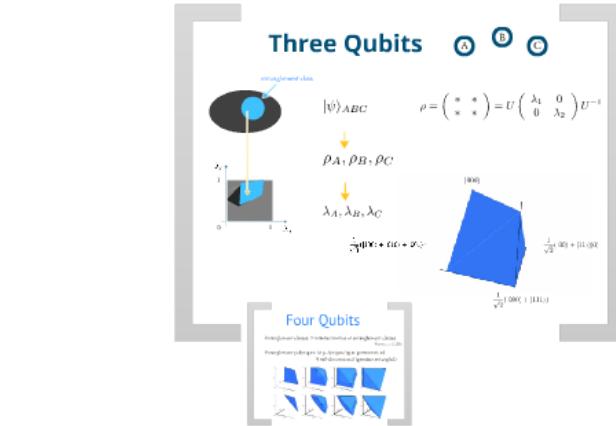
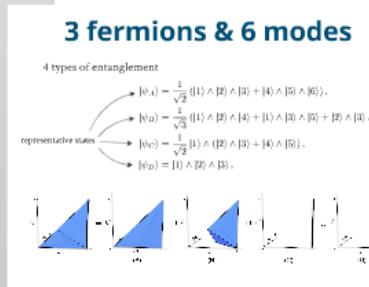
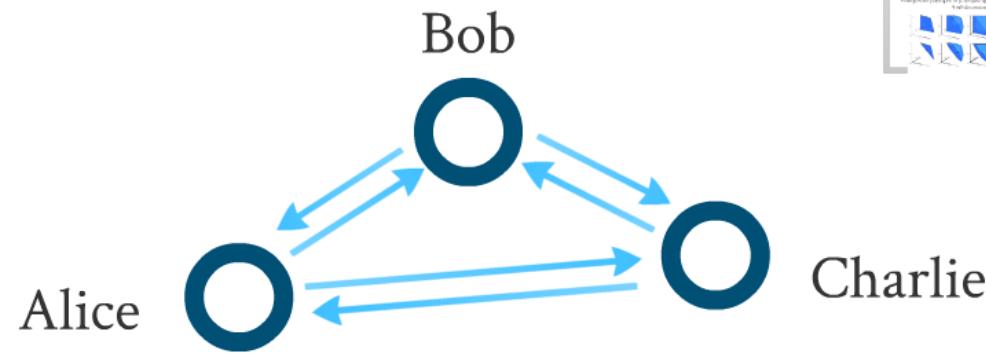
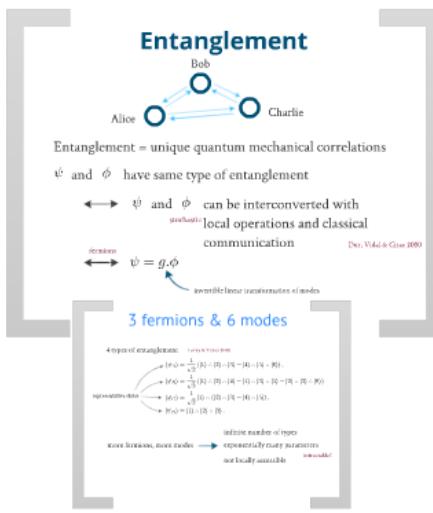
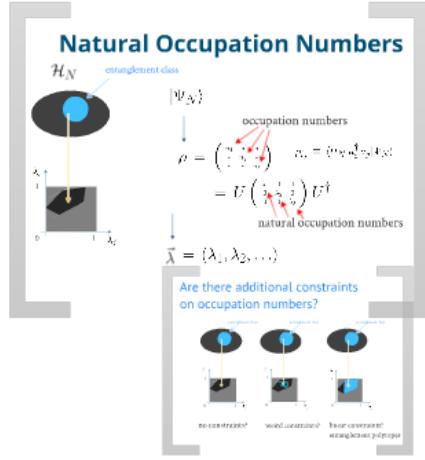
# Four Qubits

Entanglement classes: 9 infinite families of entanglement classes

Verstraete et al, 2002

Entanglement polytopes: 16 polytopes (up to permutation)  
9 full-dimensional (genuine entangled)





# Summary

extended Pauli's principle

physically relevant?

pinned states have simple structure

entanglement polytopes

